

Lecture II

- Reentrance problems in perturbation theory : need to sum all orders.
- Resummation technique:
 - solution by maximum section
 - solution by matrix diagonalization
- Tuning T_c suppression with other parameters
 - magnetic impurities $\frac{1}{T_S}$ ✓
 - magnetic field H ✓
 - width in wires a ✓
 - electromagnetic environment
 - spin-orbit scattering

Quick Summary of Lecture I

- Disorder in metals causes electrons to move diffusively, so Coulomb interaction less effectively screened.

- In equation for T_c ,

$$\underline{T_c = 1.13 \omega_D \exp[-1/N(\omega_D) (\lambda - \mu^*)]}$$

find that DoS $N(\omega) \downarrow$, pseudopot $\mu^* \uparrow$.

- Simple-minded predictions for 2D films

$$\ln\left(\frac{T_c}{T_{c0}}\right) \approx \begin{cases} -\frac{R_0}{R_0} \left\{ \ln^3\left(\frac{1}{2\pi T_c \tau}\right) + \ln^2\left(\frac{1}{2\pi T_c \tau}\right) \right\} \\ \quad \text{(featureless potential)} \\ -\frac{R_0}{R_0} \left\{ \ln^2\left(\frac{1}{2\pi T_c \tau}\right) \ln(D\kappa_c^2 \tau) + \ln^3\left(\frac{1}{2\pi T_c \tau}\right) \right\} \\ \quad \text{(screened Coulomb)} \end{cases}$$

- Need honest calculation to check if this is true.

Reentrance Problems in PT

- Basic PT predicts exponential decay of T_c with R_0 , so T_c never suppressed to zero:

$$\ln \left[\frac{T_c(R_0)}{T_{c0}} \right] = -\frac{1}{3} \frac{R_0}{R_0} \ln^3 \left(\frac{1}{2\pi T_{c0} \tau} \right)$$

- "Self-consistent" version involves solution of cubic eqn $x = \frac{1}{3} t (x + \beta)^3$

$$\Rightarrow x = -\beta + \frac{2}{\sqrt{t}} \sin \left[\frac{1}{3} \sin^{-1} \left(\frac{3\sqrt{t}\beta}{2} \right) + \frac{2\pi n}{3} \right] \quad n=0,1,2$$

- There are 3 real solutions, but only $n=0$ version gives $T_c(R_0=0) = T_{c0}$, and so this is physical correct solⁿ [$n=1 \Rightarrow T_c(R_0=0) = 0$
 $n=2 \Rightarrow T_c(R_0=0) = \infty$]

- The $n=0$ and $n=1$ solutions meet at the reentrance point $t = \frac{4}{9\beta^2}$ $x = \beta/2$ beyond which no physical soln exists.

- For $\beta=6$ this is $R_0 \approx 2k\Omega$, $T_c/T_{c0} \sim 0.05$

- Reentrance means still can't get to zero T_c .

Raffy et al $T_c(R_D)$ Data

PRB28 6607 (1983)

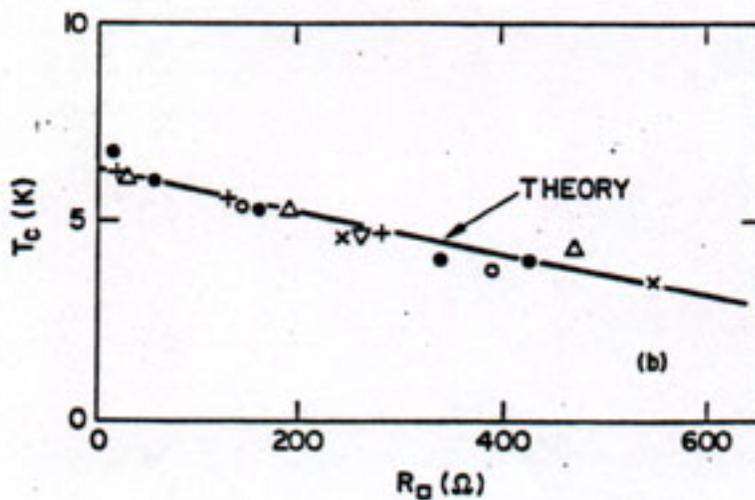
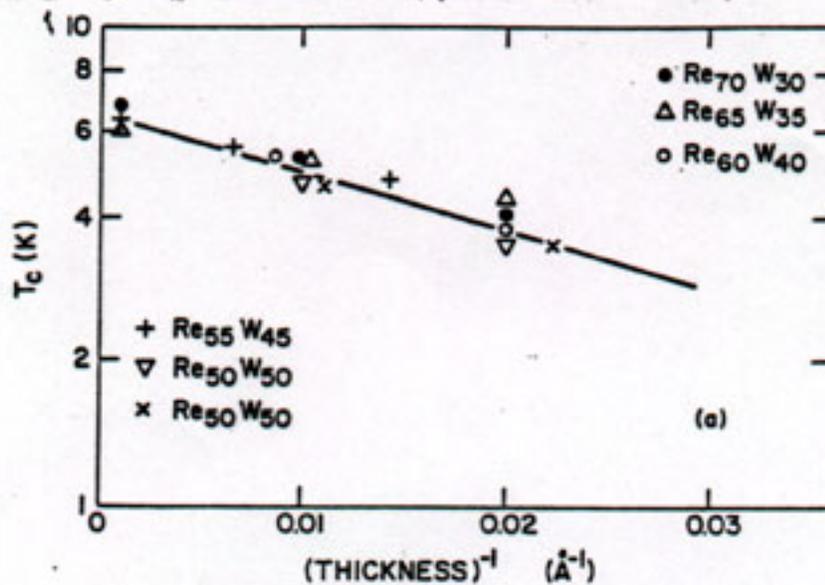


FIG. 1. Superconducting transition temperature T_c plotted as a function of the inverse of the thickness for a variety of alloy compositions. The solid line is a best fit to the data. Data replotted as a function of sheet resistance R_D . Theory curve is that of Maekawa or Fukuyama (Ref. 5).

Graybeal + Beasley

PRB29 4167 (1984)

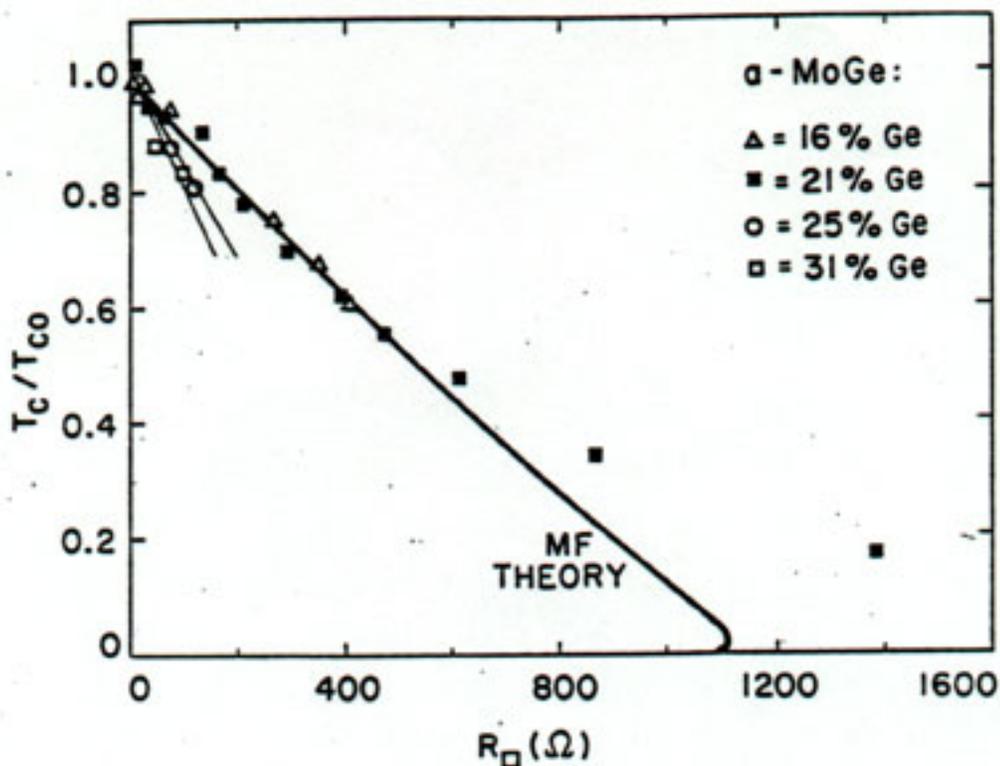
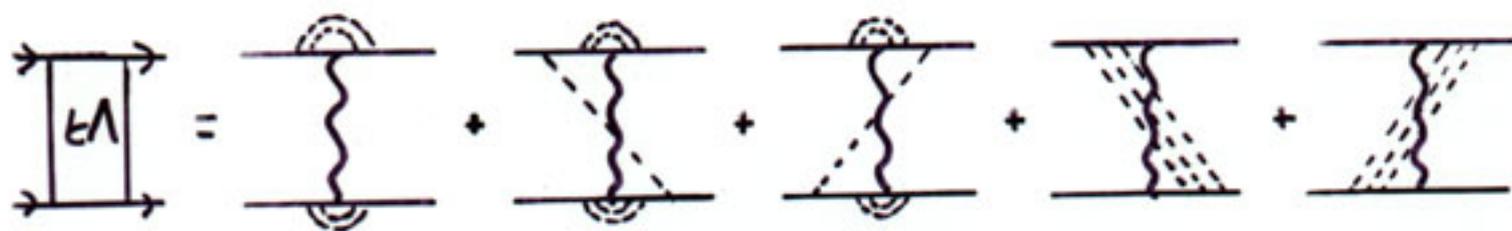
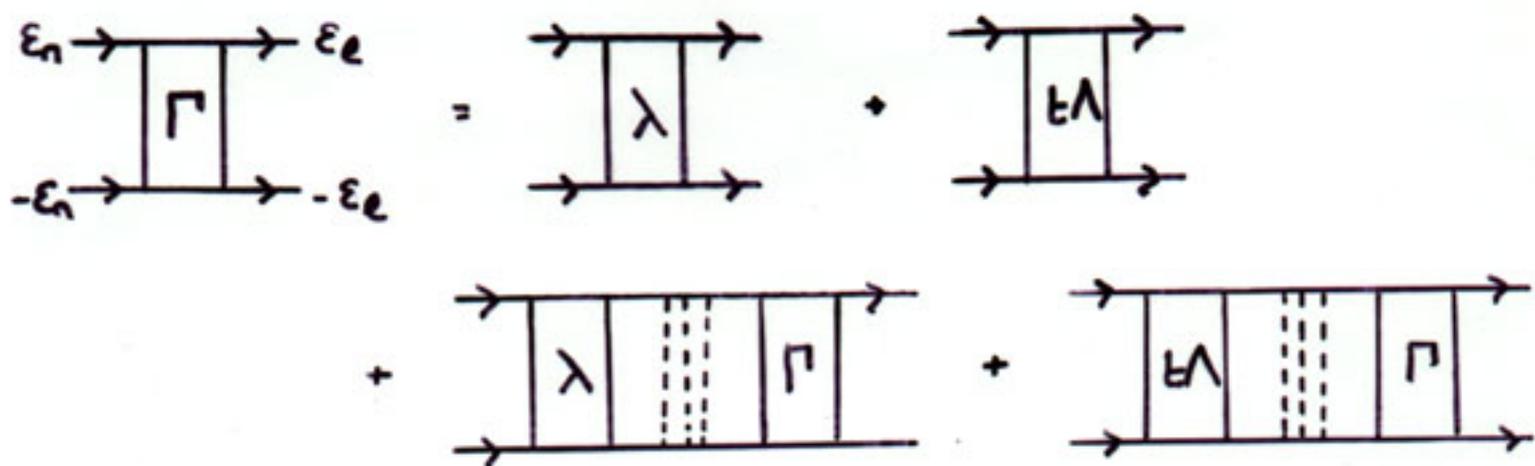


FIG. 2. (T_c/T_{c0}) vs R_{\square} for various compositions, with fit to theory. Values of T_{c0} are 7.6, 7.2, 6.8, and 5.8 K for increasing Ge content.

Beyond Perturbation Theory

- Problem with PT is that phonon and Coulomb interactions treated differently.
- Cancellation of low- q singularity in Coulomb potential means we can fix this:



$$\underline{\underline{\Gamma(\epsilon_n, \epsilon_e) = [-\lambda + t\Lambda(\epsilon_n, \epsilon_e)] - 2\pi T \sum_{m=0}^M [-\lambda + t\Lambda(\epsilon_n, \epsilon_m)] \frac{\Gamma(\epsilon_m, \epsilon_e)}{|\epsilon_m|}}}$$

$$\underline{\underline{\Lambda(\epsilon_n, \epsilon_e) = \ln \left[\frac{1}{(\epsilon_n + \epsilon_m)\tau} \right]}} \quad (d=2)$$

[Oreg + Finkelstein PRL 83 191 (1999)]

Solution By Maximum Section Method

• Continuous version of Γ eqn is :

$$\Gamma(\epsilon, \epsilon') = f(\epsilon, \epsilon') - \int_{\omega}^{\omega_T} \frac{d\epsilon_1}{\epsilon_1} f(\epsilon, \epsilon_1) \Gamma(\epsilon_1, \epsilon')$$

$$f(\epsilon, \epsilon') = -\lambda + t \ln \left[\frac{1}{(\epsilon + \epsilon')\tau} \right]$$

• Define $\Gamma(\omega) = \Gamma(\omega, \omega)$, the effective magnitude of Γ at energy scale ω . If we consider $\omega = T$ then $\Gamma(\omega)$ is given by :

$$\begin{aligned} \Gamma(\omega) &= f(\omega) - \int_{\omega}^{\omega_T} d\epsilon_1 f(\omega, \epsilon_1) \frac{1}{\epsilon_1} f(\epsilon_1, \omega) \\ &\quad + \int_{\omega}^{\omega_T} d\epsilon_1 \int_{\omega}^{\omega_T} d\epsilon_2 f(\omega, \epsilon_1) \frac{1}{\epsilon_1} f(\epsilon_1, \epsilon_2) \frac{1}{\epsilon_2} f(\epsilon_2, \omega) + \dots \\ &= f(\omega) - Q(\omega) \end{aligned}$$

• Consider N -th term in $Q(\omega)$:

$$Q_N(\omega) = (-1)^{N+1} \int_{\omega}^{\omega_T} d\epsilon_1 \dots d\epsilon_N f(\omega, \epsilon_1) \frac{1}{\epsilon_1} f(\epsilon_1, \epsilon_2) \frac{1}{\epsilon_2} \dots \frac{1}{\epsilon_N} f(\epsilon_N, \omega)$$

Split integral into N regions with j -th region

$$\omega < \epsilon_j < \epsilon_1, \epsilon_2 \dots \epsilon_{j-1}, \epsilon_{j+1} \dots \epsilon_N$$

[Sudakov, Doklady 1 662 (1956)]

- Consider terms to left and right of $d\varepsilon_j$ and use approximation $f(\varepsilon, \varepsilon') = f(\max[\varepsilon, \varepsilon'])$:

$$Q_N^{Lj}(\omega) = (-1)^j \int_{\omega}^{1/\omega\tau} d\varepsilon_1 \dots d\varepsilon_{j-1} f(\varepsilon_j, \varepsilon_1) \frac{1}{\varepsilon_1} f(\varepsilon_1, \varepsilon_2) \dots \frac{1}{\varepsilon_{j-1}} f(\varepsilon_{j-1}, \varepsilon_j)$$

$$Q_N^{Rj}(\omega) = (-1)^{N-j+1} \int_{\omega}^{1/\omega\tau} d\varepsilon_{j+1} \dots d\varepsilon_N f(\varepsilon_j, \varepsilon_{j+1}) \frac{1}{\varepsilon_{j+1}} \dots \frac{1}{\varepsilon_N} f(\varepsilon_N, \varepsilon_j)$$

where we noted $f(\omega, \varepsilon_j) = f(\varepsilon_j, \varepsilon_j)$
 $f(\varepsilon_N, \omega) = f(\varepsilon_N, \varepsilon_j)$

- The N different j values just give the N different terms with $N+1$ f 's in integral

$$\int_{\omega}^{1/\omega\tau} \frac{d\varepsilon}{\varepsilon} \Gamma(\varepsilon)^2$$

$$\Rightarrow \underline{\underline{\Gamma(\omega) = f(\omega) - \int_{\omega}^{1/\omega\tau} \frac{d\varepsilon}{\varepsilon} \Gamma(\varepsilon)^2}}$$

$$\Rightarrow \underline{\underline{\Gamma(\omega) = -\lambda + t \ln\left(\frac{1}{\omega\tau}\right) - \int_{\omega}^{1/\omega\tau} \frac{d\varepsilon}{\varepsilon} \Gamma(\varepsilon)^2}}$$

- Define variable $z = \ln(1/\omega\tau)$ to get

$$\underline{\underline{\frac{d\Gamma}{dz} = t - \Gamma^2}}$$

• Integrating this gives

$$\underline{\ln\left(\frac{1}{\omega\tau}\right) = \frac{1}{2\sqrt{E}} \ln\left|\frac{\Gamma + \sqrt{E}}{\Gamma - \sqrt{E}}\right| + \text{const}}$$

• We know limits : $\omega = \frac{1}{\tau} \Rightarrow \Gamma = -\lambda$

$$\omega = T_c \Rightarrow \Gamma = -\infty$$

$$\Rightarrow \underline{\ln\left(\frac{1}{T_c\tau}\right) = \frac{1}{2\sqrt{E}} \ln\left(\frac{\lambda + \sqrt{E}}{\lambda - \sqrt{E}}\right)}$$

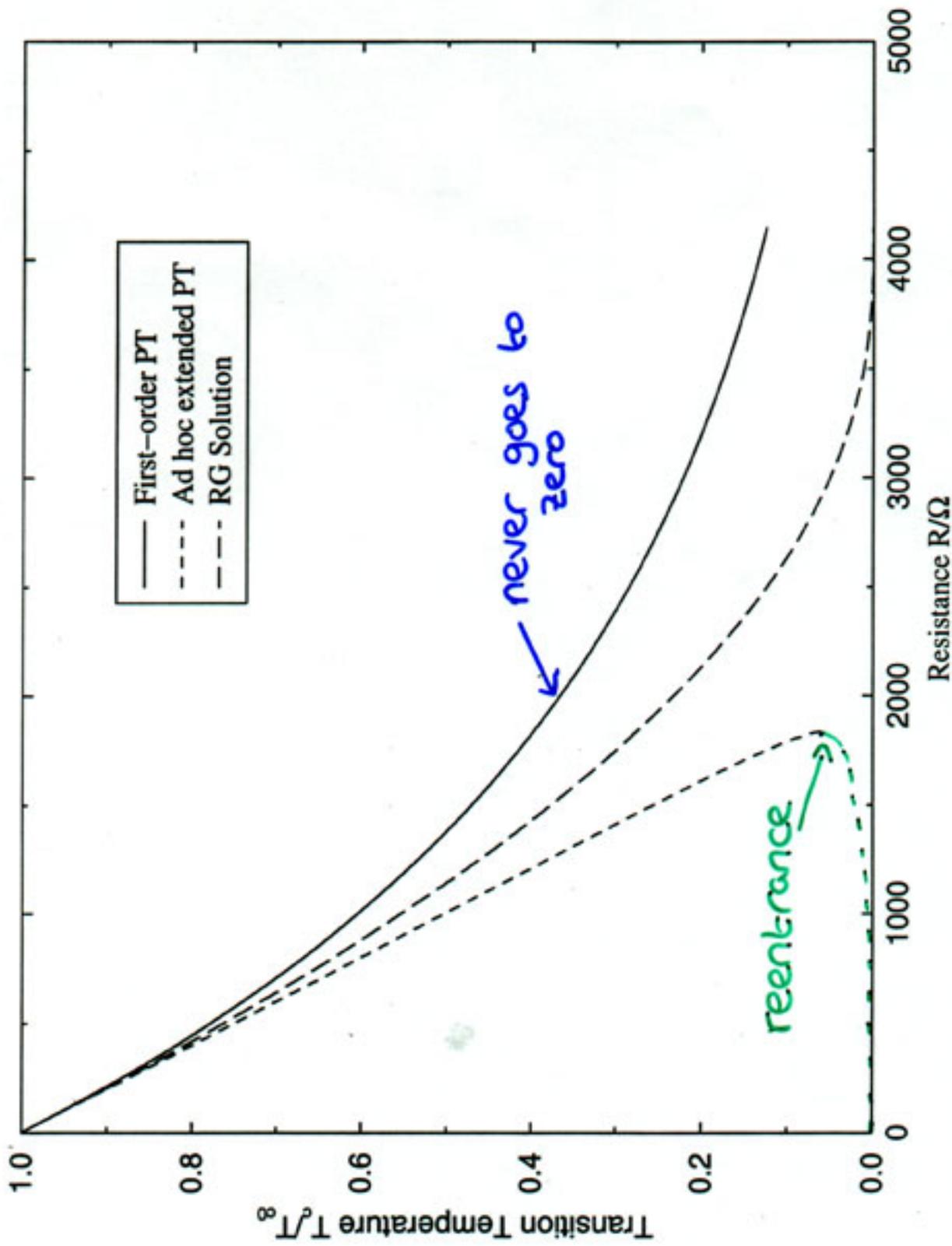
• When $t=0$, $T_c = T_{c0} \Rightarrow \ln\left(\frac{1}{T_{c0}\tau}\right) = \frac{1}{\lambda}$

$$\underline{\ln\left(\frac{T_c}{T_{c0}}\right) = \beta - \frac{1}{2\sqrt{E}} \ln\left(\frac{1 + \beta\sqrt{E}}{1 - \beta\sqrt{E}}\right)} \quad \underline{\beta = \ln\left(\frac{1}{T_{c0}\tau}\right)}$$

• Expanding as a power series in t , the leading term $-\frac{1}{3}t\beta^3$ reproduces P.T.

• T_c goes smoothly to zero at $t = 1/\beta^2$.
For $\beta = 6 \Rightarrow R_0 \approx 4.5 \text{ k}\Omega$.

Why We Need Non-Perturbative Approach



Fitting of Graybeal + Beasley
Data to Finkelstein $T_c(R_0)$

JETP Lett 45 46 (1987)

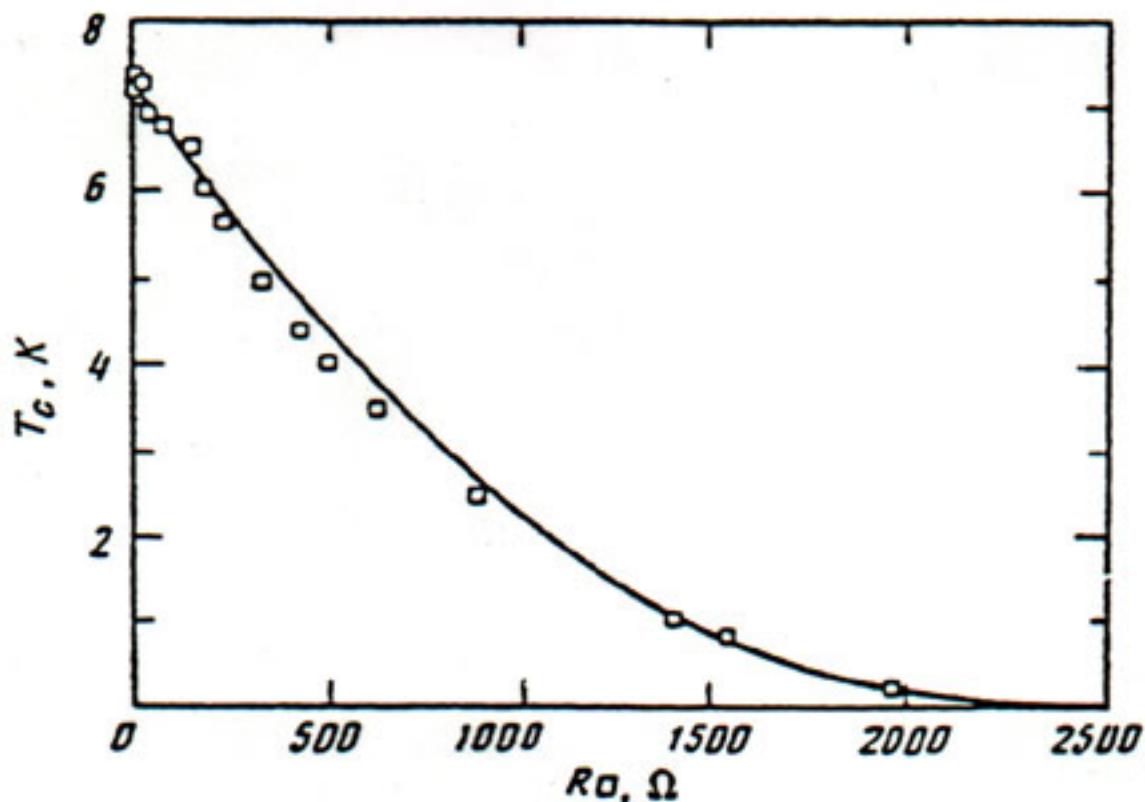


Fig. 14. Suppression of superconductivity in amorphous $\text{Mo}_{79}\text{Ge}_{21}$ [42]. The solid line is a theoretical fit with Eq. (13).

Aside: "RG" View of Superconductivity

- Take pair amplitude $\Gamma(\omega)$ at large energy scales and integrate out high energies until Γ diverges at T_c :

$$\frac{d\Gamma}{d\omega} = -\frac{\Gamma^2}{\omega} \quad \Rightarrow \quad \Gamma^{-1} = A + \ln\omega$$

- First start with Coulomb $\Gamma(\epsilon_F) = \mu$ and integrate down to $\omega = \omega_D$

$$\Gamma(\omega_D) = \mu^* = \frac{\mu}{1 + \mu \ln(\epsilon_F/\omega_D)}$$

- At this energy add in phonons so total $\Gamma(\omega_D) = \mu^* - \lambda = -\lambda^*$. Integrating gives

$$\Gamma(\omega) = -\frac{\lambda^*}{1 - \lambda^* \ln(\omega_D/\omega)}$$

$$\Rightarrow \underline{T_c = \omega_D e^{-1/\lambda^*}}$$

- Magnetic impurities give eqn

$$\frac{d\Gamma}{d\omega} = -\frac{\Gamma^2}{\omega + \frac{1}{\tau_s}} \quad \Rightarrow \quad T_c\left(\frac{1}{\tau_s}\right) - T_{c0} = -\frac{1}{\tau_s}$$

Solution by Matrix Diagonalization

- Eqn for Γ is a matrix eqn with rows and columns labelled by Matsubara frequency:

$$\hat{\Gamma} = [-\lambda \hat{I} + t \hat{\Lambda}] - [-\lambda \hat{I} + t \hat{\Lambda}] \hat{\epsilon}^{-1} \hat{\Gamma}$$

where $\Gamma_{nm} = \Gamma(\epsilon_n, \epsilon_m)$; $\Lambda_{nm} = \Lambda(\epsilon_n, \epsilon_m)$; $I_{nm} = 1$ and $\epsilon_{nm} = (1 + 1/2) \delta_{nm}$. Solving for Γ with $I_{nm} = \delta_{nm}$

$$\begin{aligned} \hat{\Gamma} &= \{ \hat{I} + [-\lambda \hat{I} + t \hat{\Lambda}] \hat{\epsilon}^{-1} \}^{-1} [-\lambda \hat{I} + t \hat{\Lambda}] \\ &= \hat{\epsilon}^{-t} \{ \hat{I} + \hat{\epsilon}^{-t} [-\lambda \hat{I} + t \hat{\Lambda}] \hat{\epsilon}^{-t} \}^{-t} \hat{\epsilon}^t [-\lambda \hat{I} + t \hat{\Lambda}] \end{aligned}$$

- When the matrix in brackets has a zero eigenvalue, $\hat{\Gamma}$ is singular and we are at T_c .
- Rank of matrix $M = \frac{1}{2\pi T \tau}$ depends upon T . Start at $M_0 = \frac{1}{2\pi T_{c0} \tau}$, find lowest eigenvalue and increase M by one until eigenvalue changes sign. Then $T_c/T_{c0} = M_0/M$.
- Fast + adaptable using Lanczos on Pentium PC.

Effect of Magnetic Impurities

- Extend Γ eqn to include magnetic impurities

$$\Gamma(\epsilon_n, \epsilon_\ell) = [-\lambda + t\Lambda(\epsilon_n, \epsilon_\ell)] - \pi T \sum_{m=-(M+1)}^M [-\lambda + t\Lambda(\epsilon_n, \epsilon_m)] \frac{\Gamma(\epsilon_m, \epsilon_\ell)}{|\epsilon_m| + v_T \tau_s}$$

$$\Lambda(\epsilon_n, \epsilon_m) = \begin{cases} \ln \left[\frac{1}{(|\epsilon_n| + |\epsilon_m|) \tau} \right] & \epsilon_n \epsilon_m < 0 \\ \ln \left[\frac{1}{(|\epsilon_n| + |\epsilon_m| - 2/T_s) \tau} \right] & \epsilon_n \epsilon_m > 0 \end{cases}$$

- Matrices double in size to rank $2M$ due to breaking of time-reversal invariance.
- Magnetic impurities affect both mean field and perturbative terms. Hard to approximate by differential eqn unless we ignore 2nd effect

$$\Rightarrow \frac{d\Gamma}{d\omega} = \frac{\Gamma^2}{\omega + \frac{1}{T_s}} - \frac{t}{\omega}$$

- Experimentally measure pair-breaking rate per impurity

$$\alpha(R_0) = \frac{T_c(R_0, 0) - T_c(R_0, v_T \tau_s)}{v_T \tau_s}$$

Theory predicts $\alpha(R_0)$ roughly constant.

MAGNETIC IMPURITIES EXPT
 [CHERVENAK + VALLES PRB51 11977 (1995)]

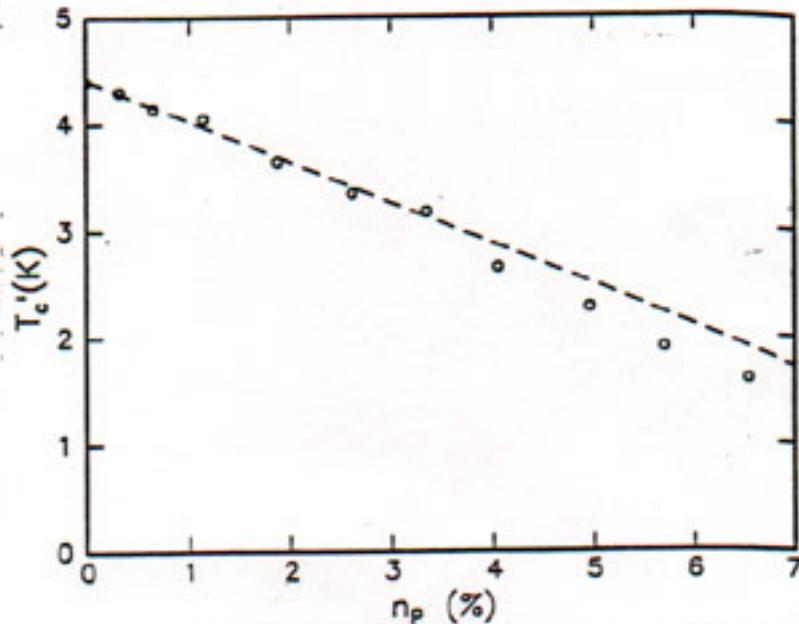


FIG. 1. A 10-Å, 800-Ω Pb_{0.9}Bi_{0.1}/Ge film in which T_c is suppressed by incrementing the thickness of the Gd top layer. The dashed line suggests the regime of linear suppression of T_c with n_p .

LINEAR SUPPRESSION OF T_c BY $1/T_s$

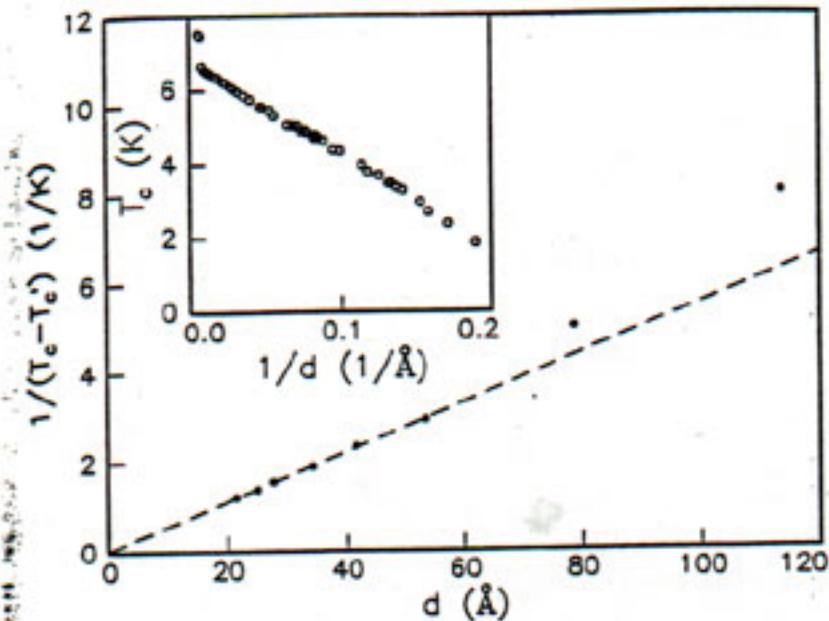
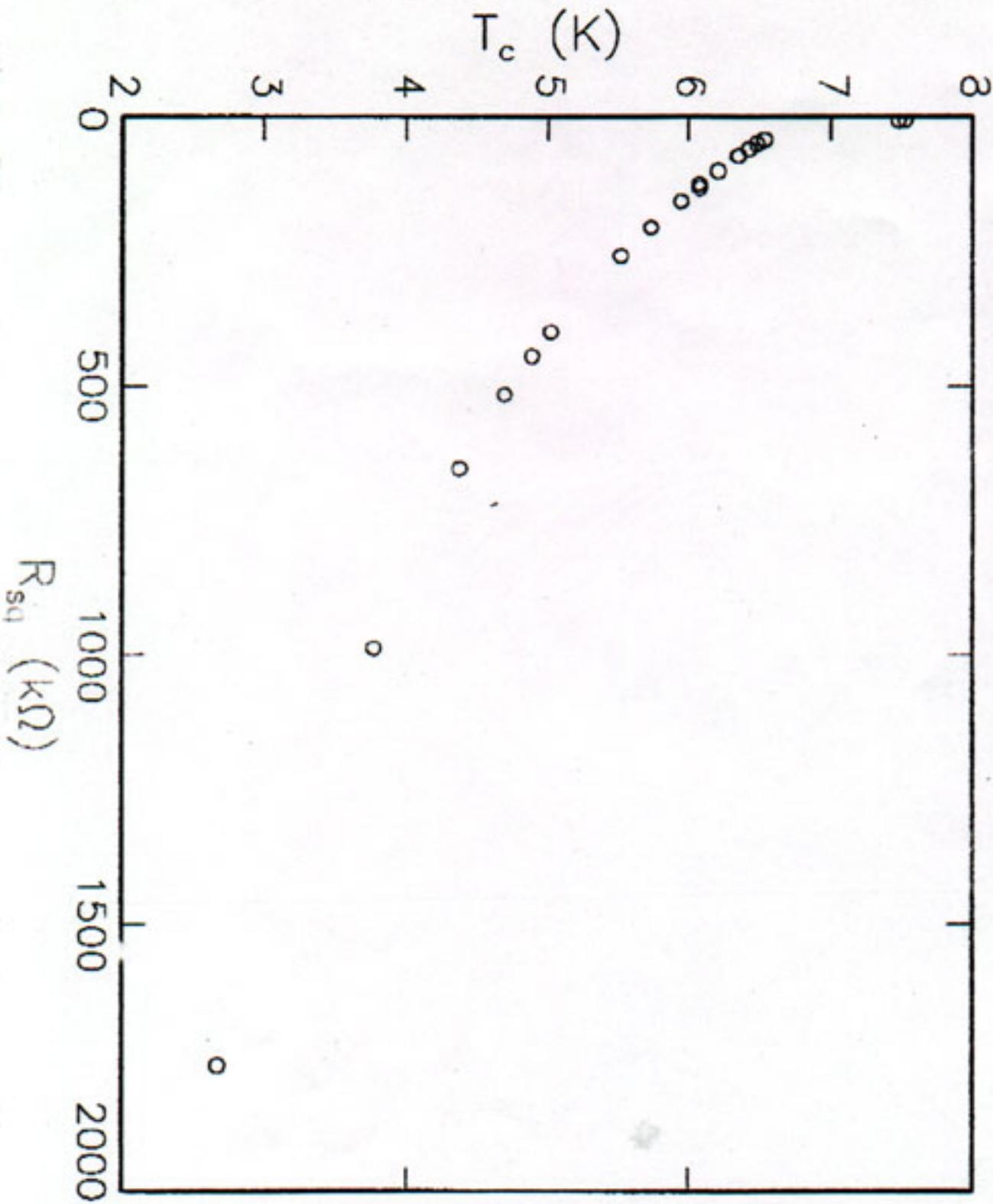


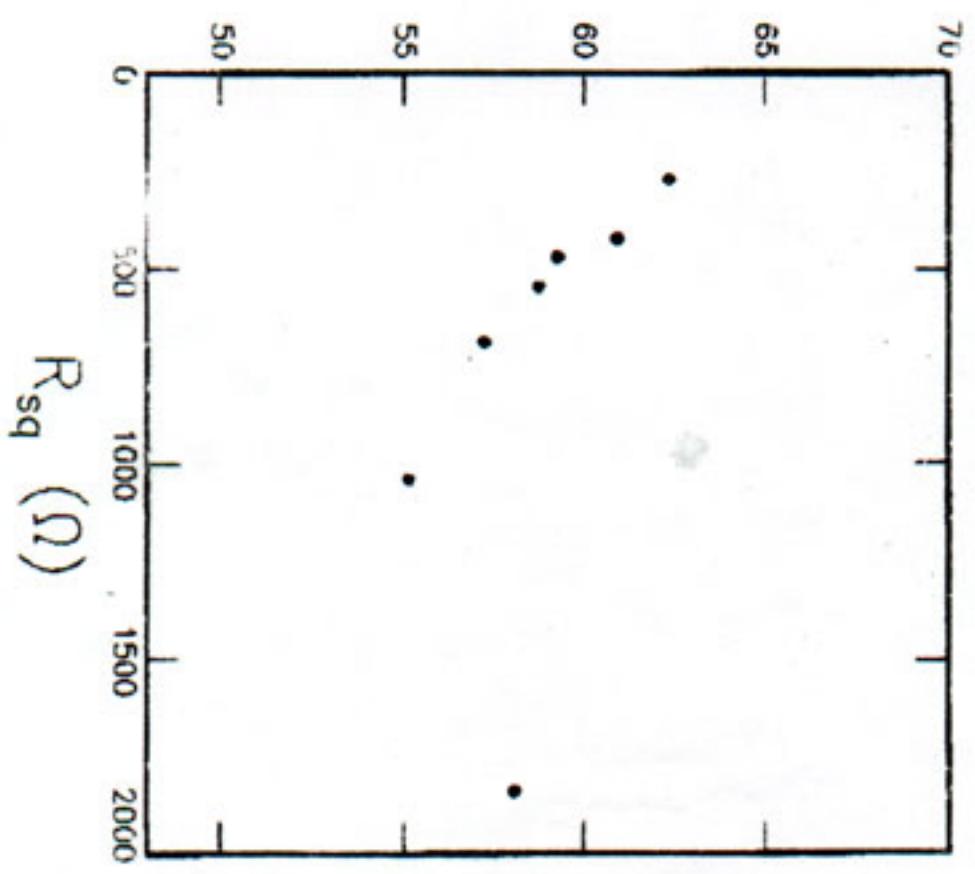
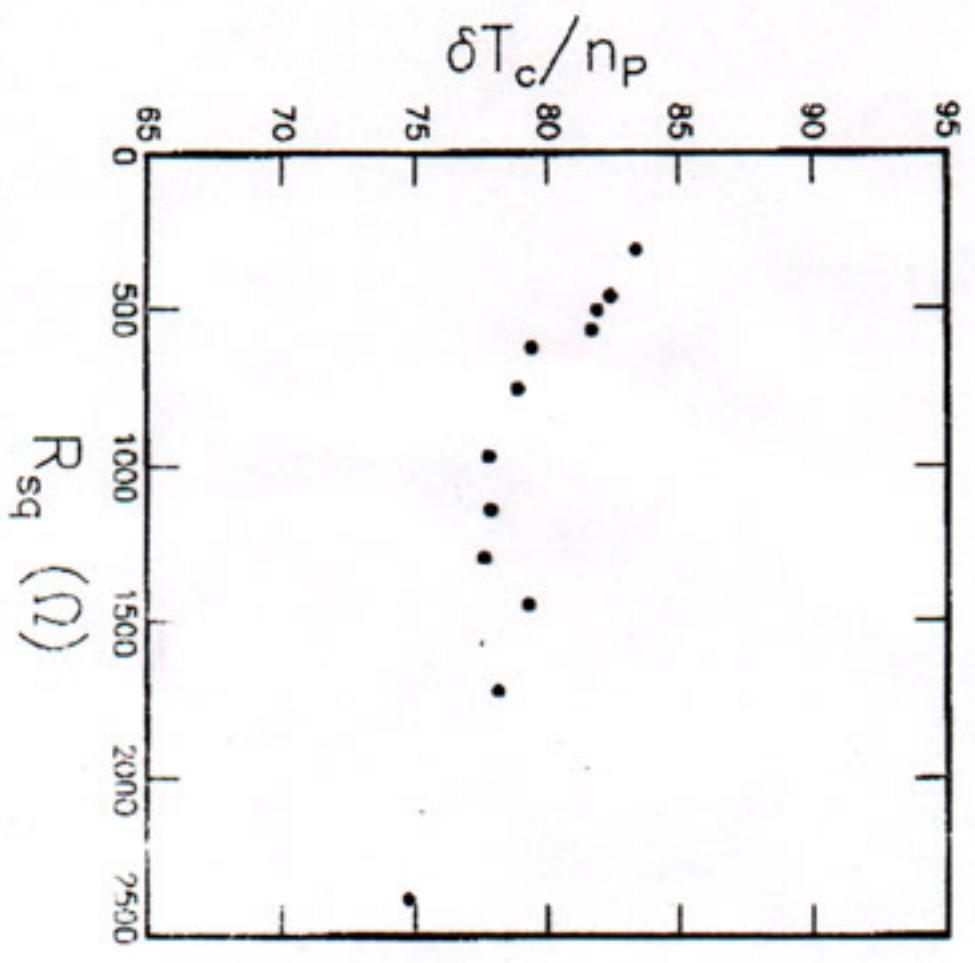
FIG. 2. Inverse T_c shift vs film thickness of PbBi. The deviation from the dashed line indicates reduced pair-breaking strength in the thickest films. Inset: Data from three experimental runs showing $T_c \propto 1/d$ in PbBi films.

LINEAR SUPPRESSION OF T_c BY $1/d$

MAGNETIC IMPURITIES EXPT
SUPPRESSION OF T_c BY R_a



MAGNETIC IMPURITIES EXPT
PAIR-BREAKING RATE PER IMPURITY
VS R_0 OF FILM



First Order P.T. : Magnetic Field

- First done by Maekawa, Ebisawa and Fukuyama, J Phys. Soc. Jpn. 52 1352 (1983) :

$$\ln\left(\frac{T_c}{T_{c0}}\right) = \Psi\left(\frac{t}{2}\right) - \Psi\left(\frac{t}{2} + \frac{1}{2\pi T_c T_H}\right) + R_{HF} + R_V$$

$$R_{HF} = -\frac{k}{2} \ln^2\left(\frac{1}{2\pi T_c T_H}\right) - t \ln\left(\frac{1}{2\pi T_c T_H}\right) \left[\Psi\left(\frac{t}{2}\right) - \Psi\left(\frac{t}{2} + \frac{1}{2\pi T_c T_H}\right) \right]$$

$$R_V = -\frac{k}{3} \ln^3\left(\frac{1}{2\pi T_c T_H}\right) - t \ln^2\left(\frac{1}{2\pi T_c T_H}\right) \left[\Psi\left(\frac{t}{2}\right) - \Psi\left(\frac{t}{2} + \frac{1}{2\pi T_c T_H}\right) \right]$$

- Basic idea: magnetic fields scramble the phase coherence needed for superconductivity and the localization processes that suppress superconductivity : WHO WINS?
- Above formula predicts **upturn** in $H_{c2}(T)$ at low T as R_D increased i.e. localization loses.
- We find effect disappears if sums in perturbation theory performed carefully.

|| NO UPTURN IN $H_{c2}(T)$ PREDICTED ||
|| BY LOCALIZATION THEORY ||

Effect of Magnetic Fields

- We extend Γ eqn to include magnetic fields by calculating Γ at finite external momentum and setting $Dq^2 = 2/\tau_H = 2DeH$:

$$\Gamma(\epsilon_n, \epsilon_e) = [-\lambda + t\Lambda(\epsilon_n, \epsilon_e)] - \pi T \sum_{m=-(M+1)}^M [-\lambda + t\Lambda(\epsilon_n, \epsilon_m)] \frac{\Gamma(\epsilon_m, \epsilon_e)}{|\epsilon_m| + \frac{1}{\tau_H}}$$

$$\Lambda(\epsilon_n, \epsilon_m) = \begin{cases} \ln \left[\frac{1}{(|\epsilon_n| + |\epsilon_m|)\tau} \right] & \epsilon_n \epsilon_m > 0 \\ \ln \left[\frac{1}{(|\epsilon_n| + |\epsilon_m|)\tau} \right] + \frac{2}{(|\epsilon_n| + |\epsilon_m|)\tau_H} & \epsilon_n \epsilon_m < 0 \end{cases}$$

- Matrices double in size to rank $2M$ due to breaking of time-reversal invariance.
- Find NO POSITIVE CURVATURE IN $H_{c2}(T)$.

Graybeal + Beasley $H_{c2}(T)$ Data

PRB29 4167 (1984)

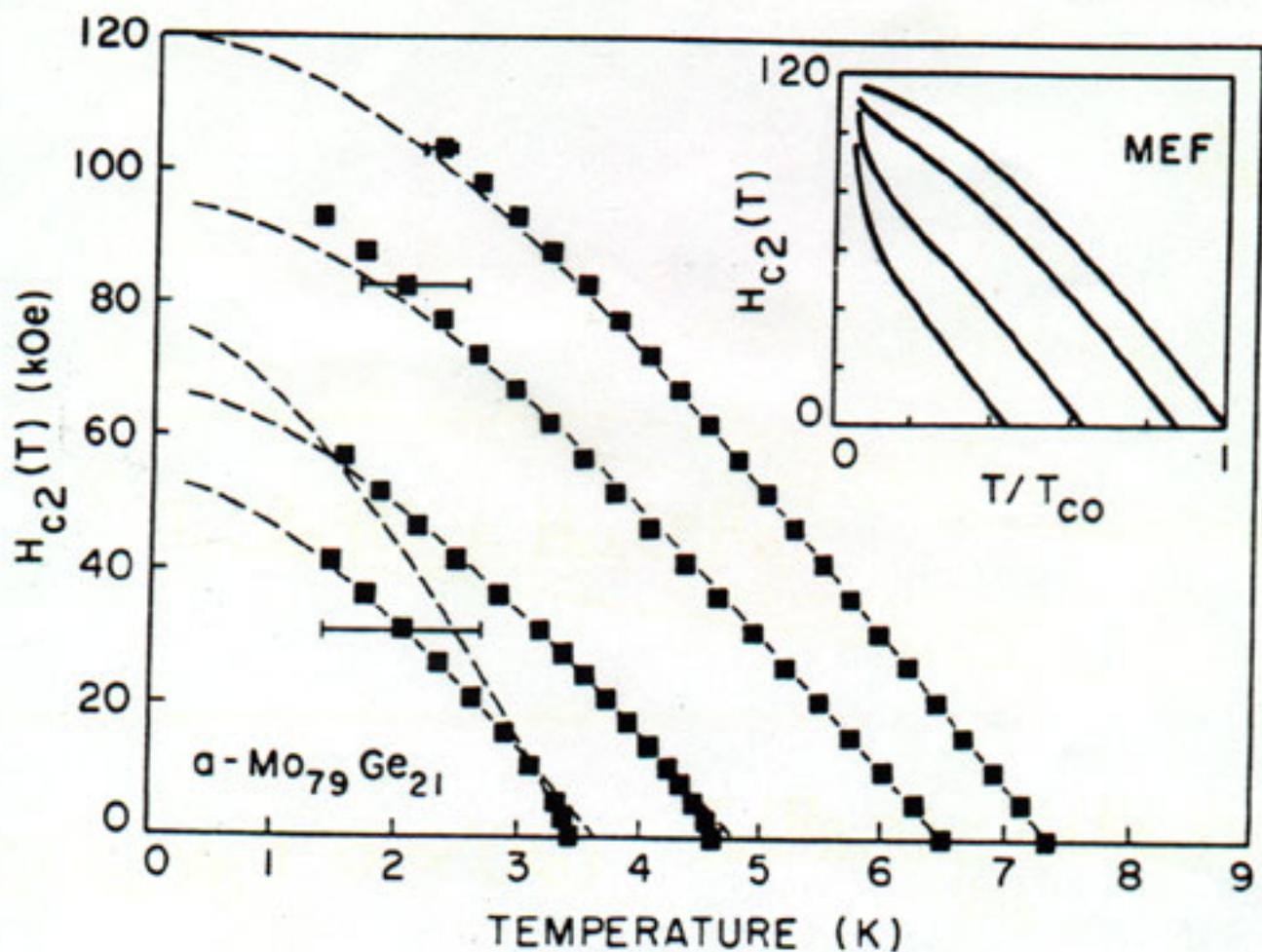
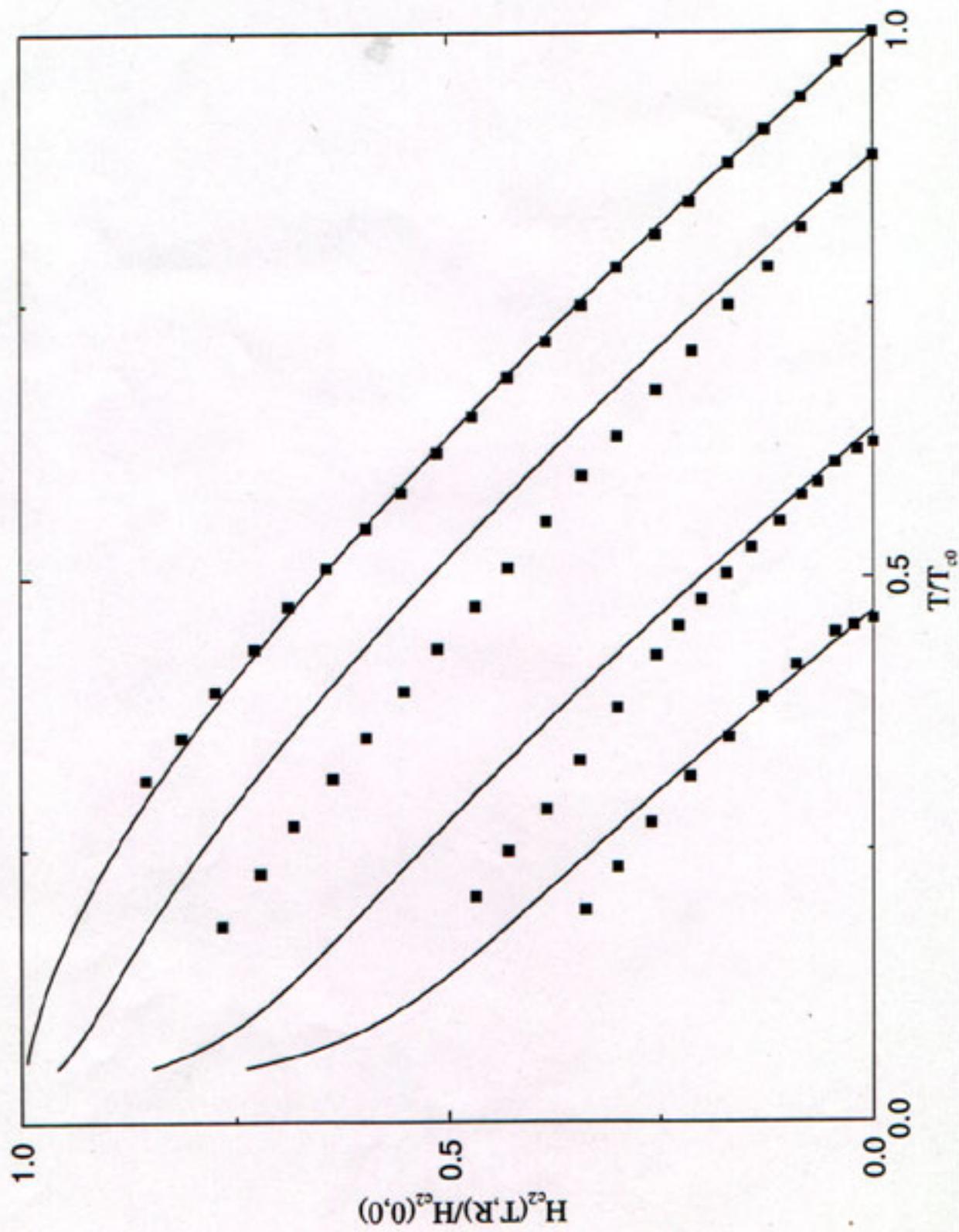


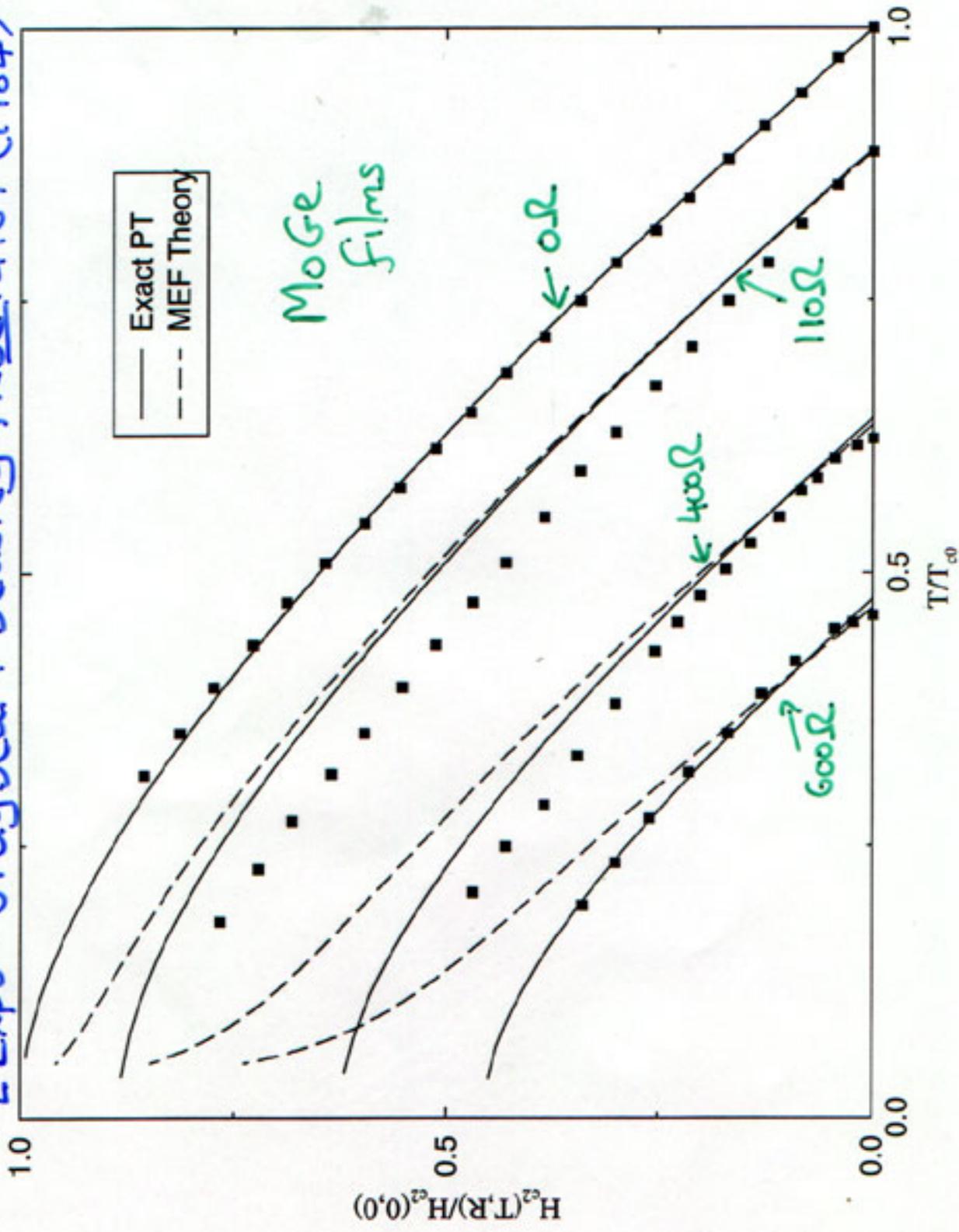
FIG. 3. Upper critical-field data, with GLAG fits (dashed lines). Inset shows MEF theory for same samples.

Fit of Graybeal and Beasley H_{c2} Data to MEF Theory



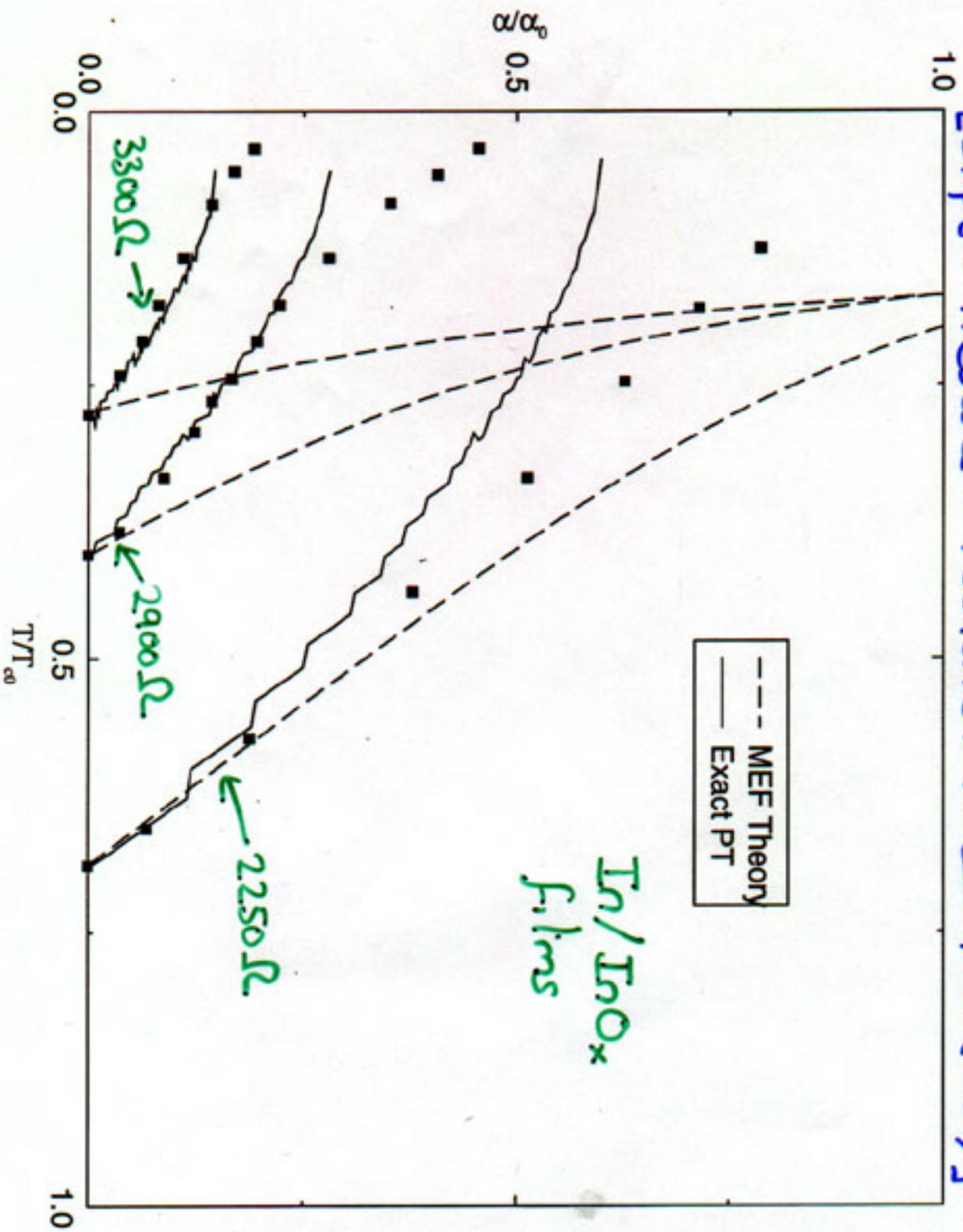
Fit of H_{c2} Data to Exact PT and MEF Theory

[Expt : Graybeal + Beasley PR8294167 (1984)]



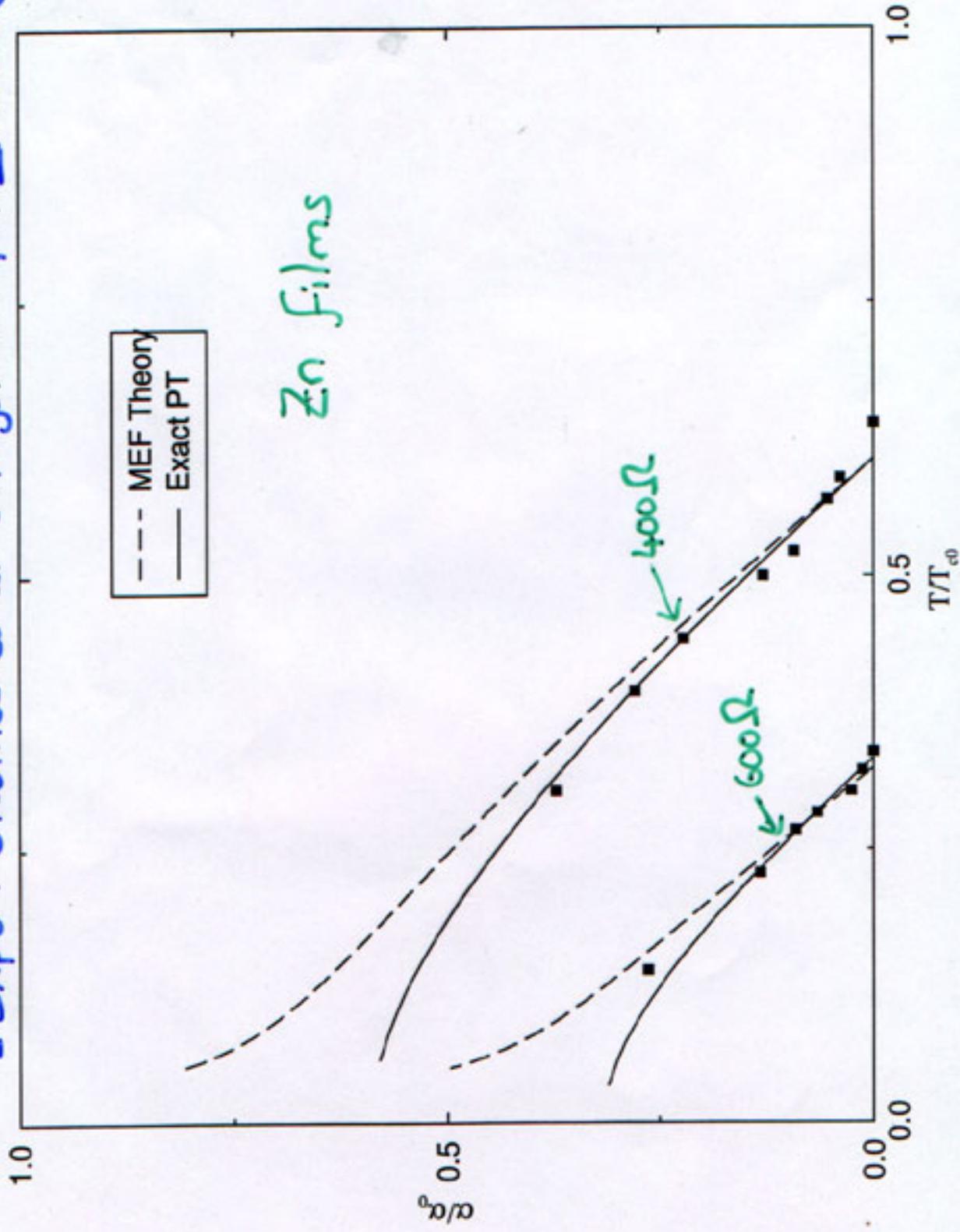
Fit of H_2 Data to Exact PT and MEF Theory

[Expt: Hebard + Paolonen PRB30 4063 (1984)]



Fit of H_{c2} Data to Exact PT and MEF Theory

[Expt: Okuma et al. J. Phys. Soc. Jpn. 52-3269 (1983)]



T_c Suppression in Quasi-1D Wires

- Pb wires of length $L \sim 2 \mu\text{m}$ and widths $a \sim 1000 - 150 \text{ \AA}$. Find decreasing width further suppresses T_c .
- Theoretically new feature is that transverse momentum sum cannot be replaced by an integral:

$$\begin{aligned} \Lambda(\epsilon_n, \epsilon_m) &= \frac{4\pi D}{aL} \sum_{\mathbf{q}} \frac{1}{Dq^2 + |\epsilon_n| + |\epsilon_m|} \\ &= \frac{4\pi D}{a} \sum_{q_a} \int_0^{Dq_a^2 = \frac{1}{T}} \frac{2dq_a}{2\pi} \frac{1}{Dq_a^2 + Dq_a^2 + 2\pi T(n+m+1)} \\ &= \frac{4}{a} \sum_{q_a} \frac{1}{\sqrt{q_a^2 + \frac{2\pi T}{D}(n+m+1)}} \tan^{-1} \left[\frac{1/\sqrt{DT}}{\sqrt{q_a^2 + \frac{2\pi T}{D}(n+m+1)}} \right] \end{aligned}$$

- Find that result is very sensitive to boundary conditions:

$$q_a = \frac{2\pi p}{a} \quad p = 0, \pm 1, \pm 2 \dots \quad (\text{periodic})$$

$$q_a = \frac{2\pi p}{a} \quad p = \pm 0, \pm 1, \pm 2 \dots \quad (\text{Oreg + Finkel'stein})$$

$$q_a = \frac{\pi p}{a} \quad p = 0, 1, 2 \dots \quad (\text{zero gradient}) \checkmark$$

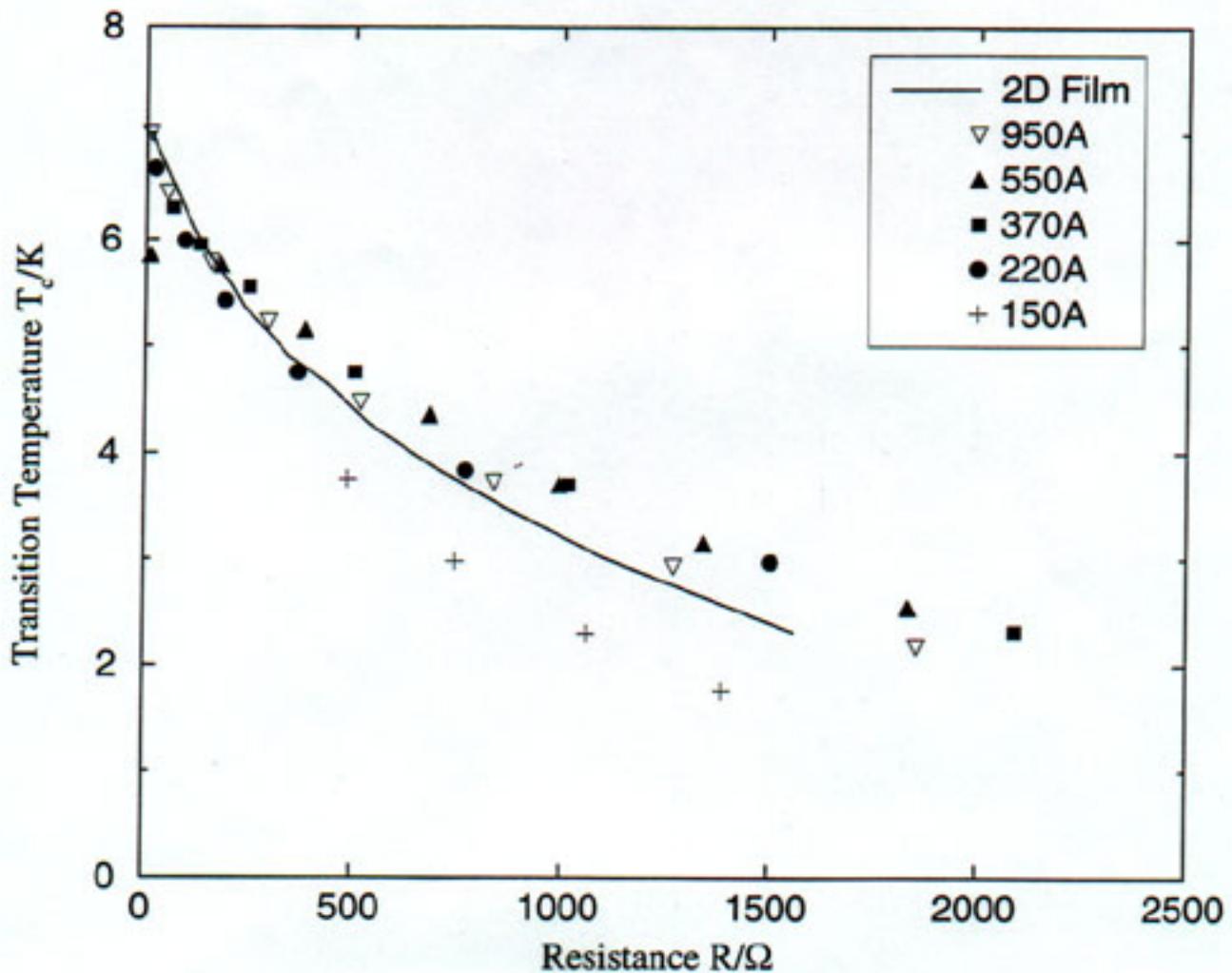
- Zero gradient boundary conditions are correct since these correspond to zero supercurrent flowing out of sides of wire.
- An additional problem is that $T_c(R_0)$ data on 2D films doesn't fit well to theory: quantity to consider is T_c suppression of wires relative to films:

$$\underline{[T_c(a, R_0)/T_c(a, 0)] / [T_c(2D, R_0)/T_c(2D, 0)]}$$

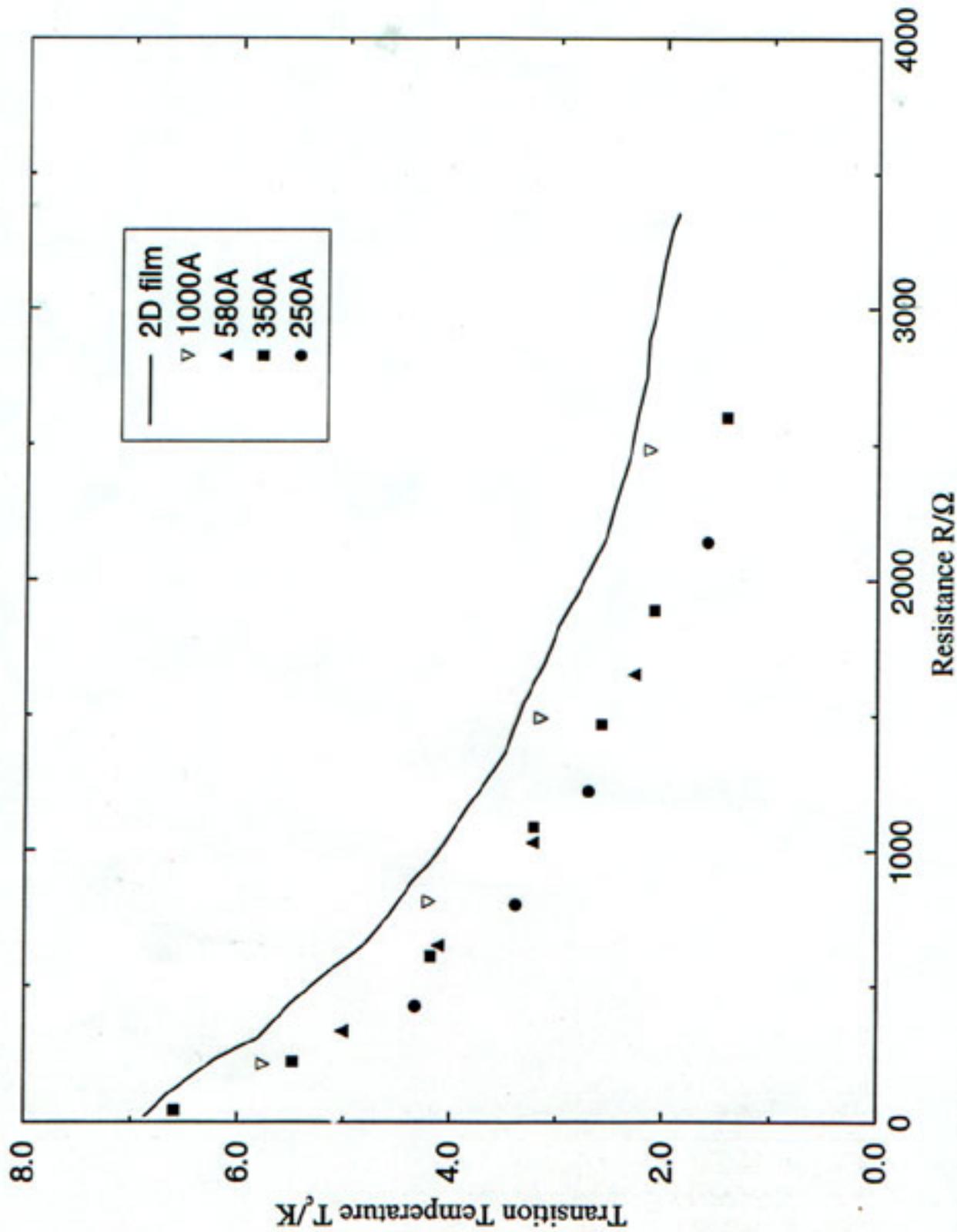
- 2D \rightarrow 1D crossover occurs when width a is of same size as thermal length $L_T = [2\pi D/T]^{1/2} \sim 300 \text{ \AA}$.
- Get qualitative but not quantitative agreement between theory + expt.

T_c vs Normal State R_n for
2D Pb Films + Wires

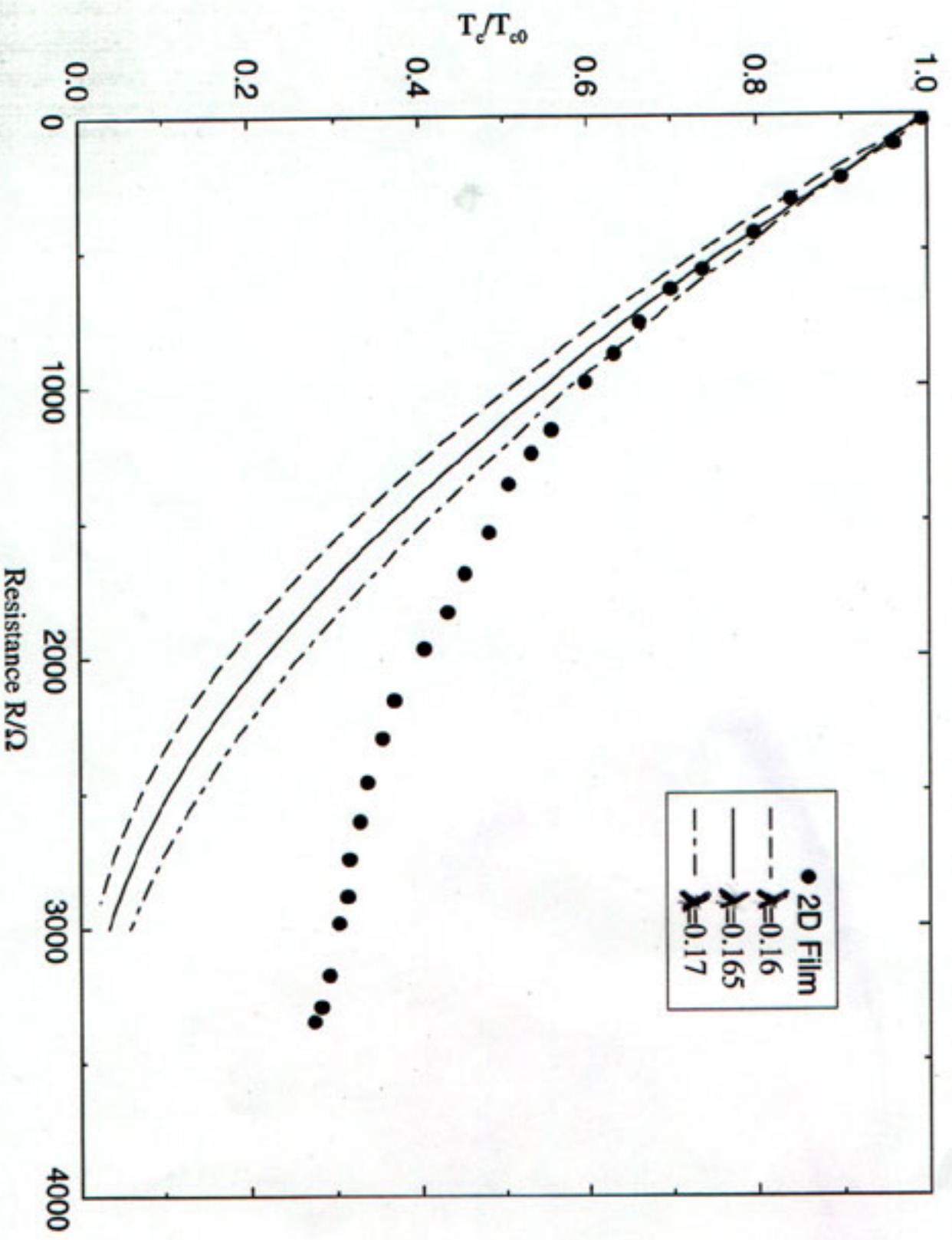
[Sharifi et al PRL 71 428 (1993)]



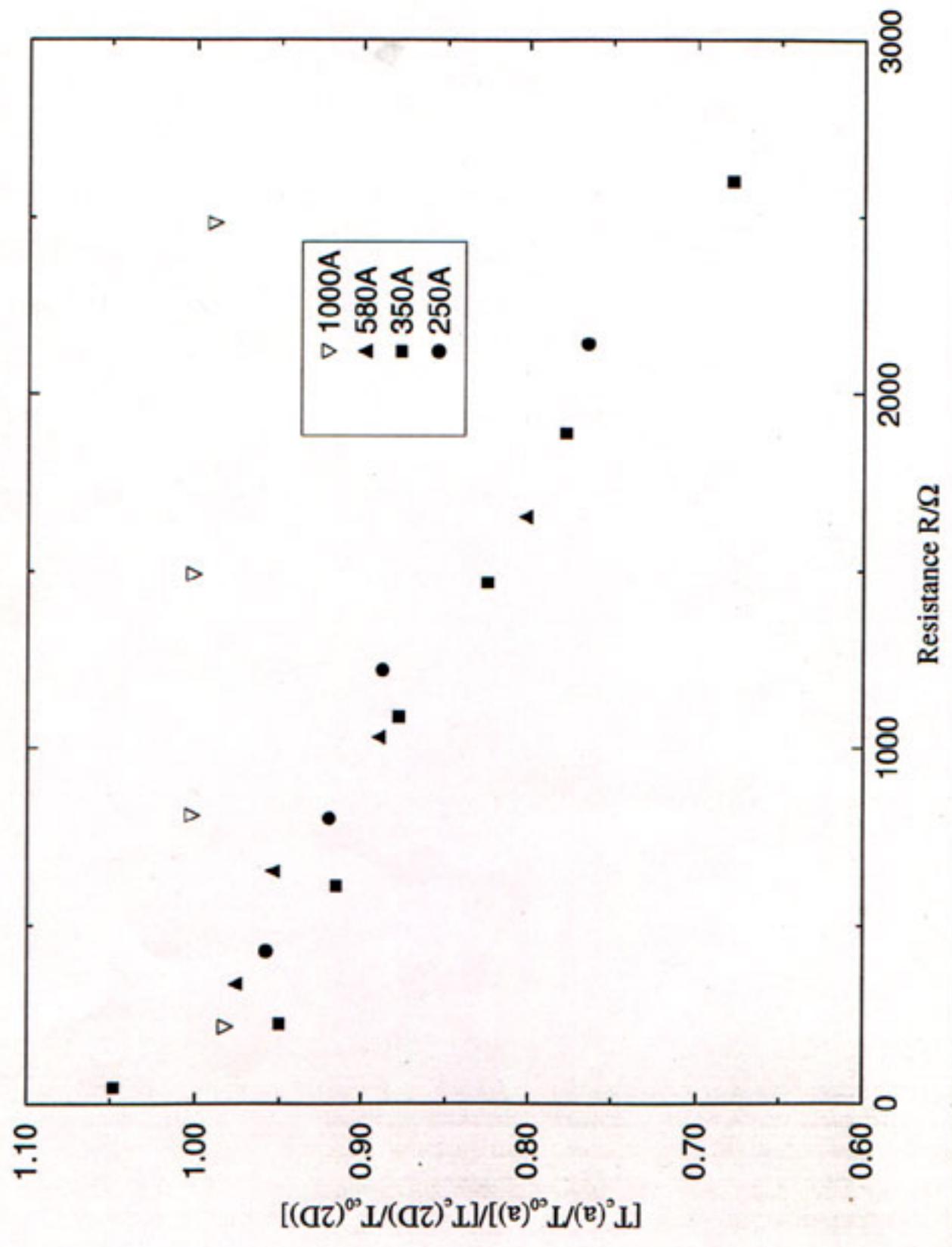
T_c vs Normal State R_n for 2D Pb Films
+ Wires [Xiong et al PRL 78 927 (1997)]



Xiong Data : Obtaining λ by Fitting 2D Data
to Exact Diagonalization Results

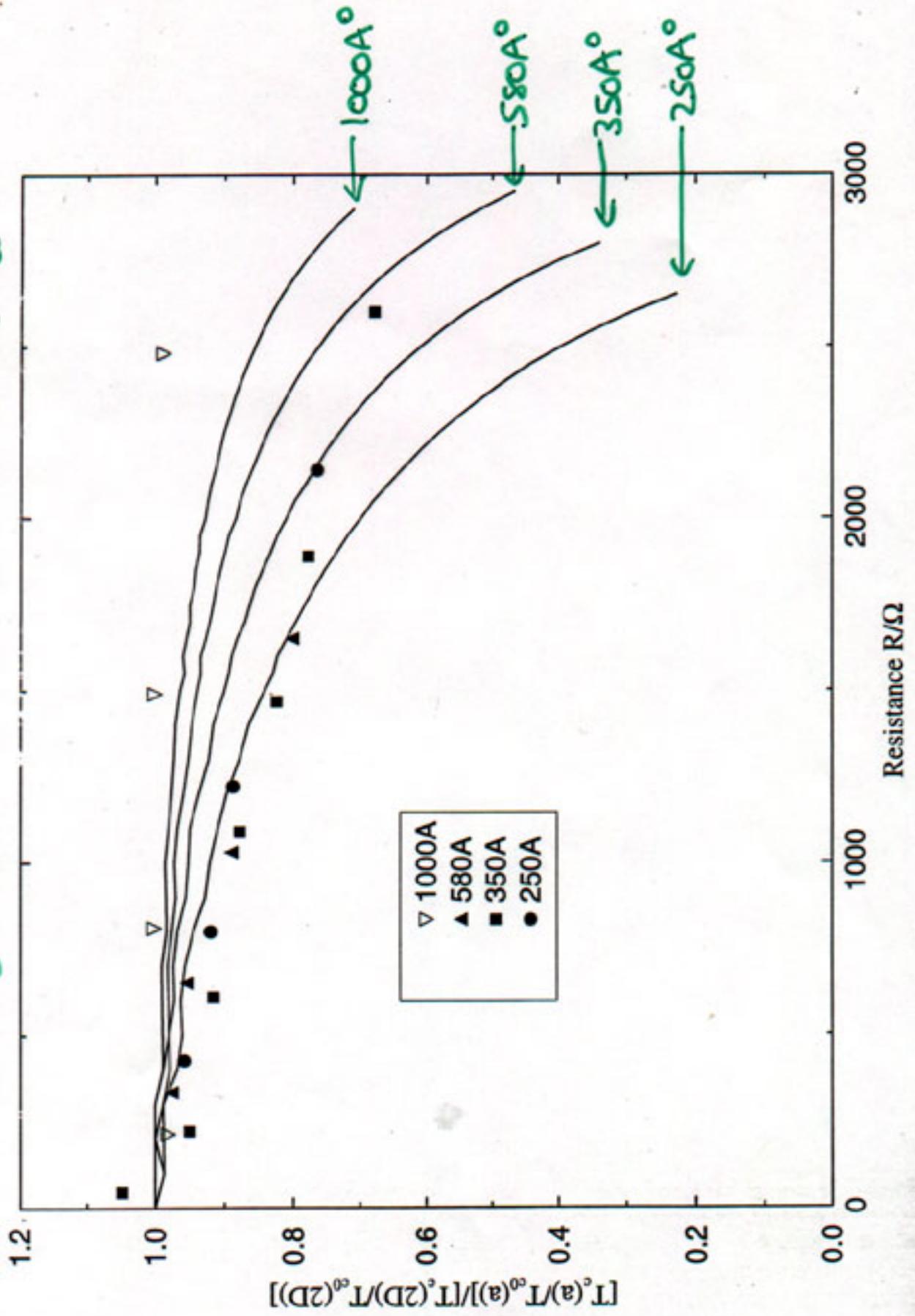


Xiong Data: Fractional T_c Suppression in Wires Relative to Films



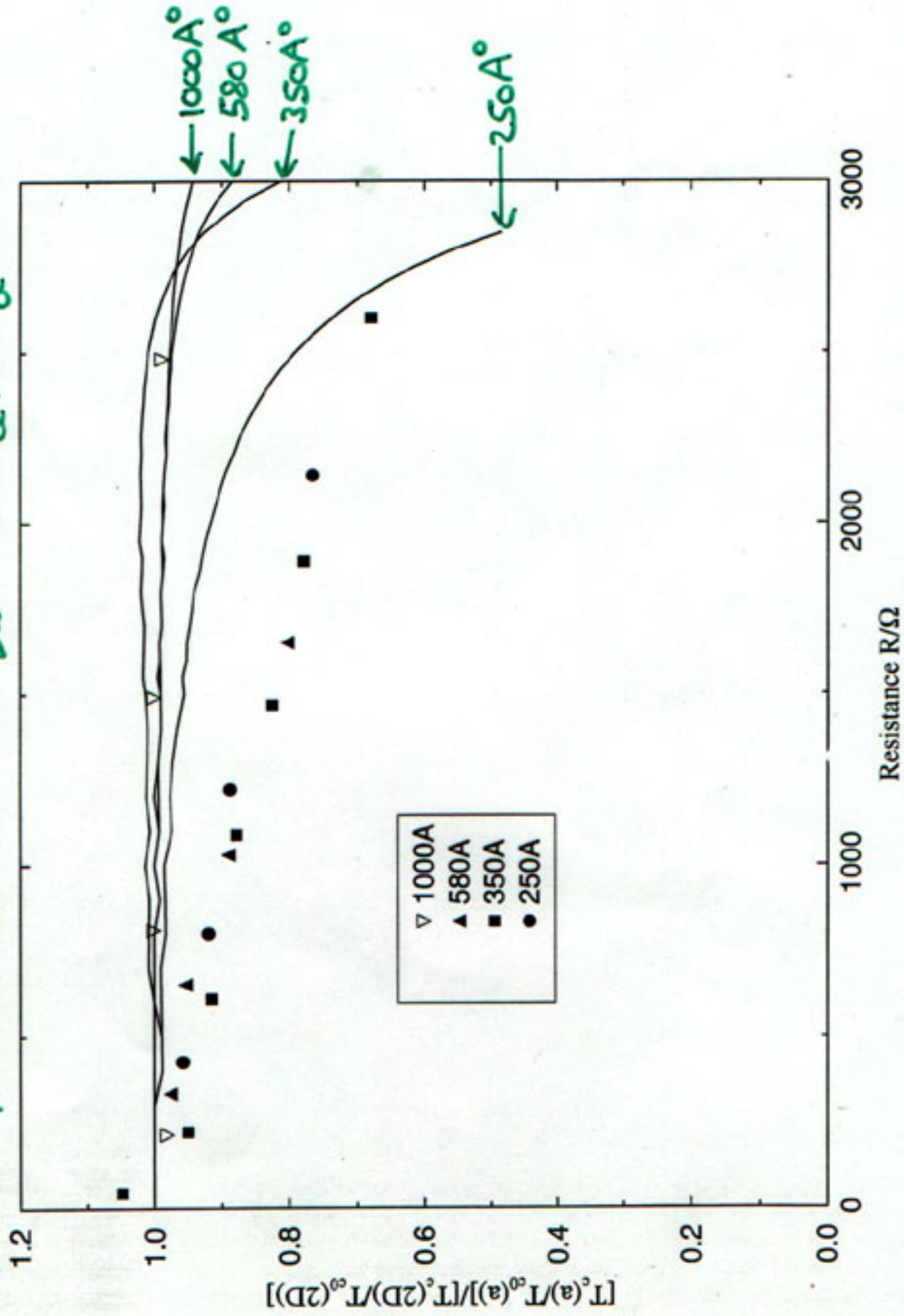
Theory vs Expt

zero gradient b.c.: $q_a = 0, \frac{\pi}{2}, \pi, \frac{3\pi}{2}, 2\pi, \dots$



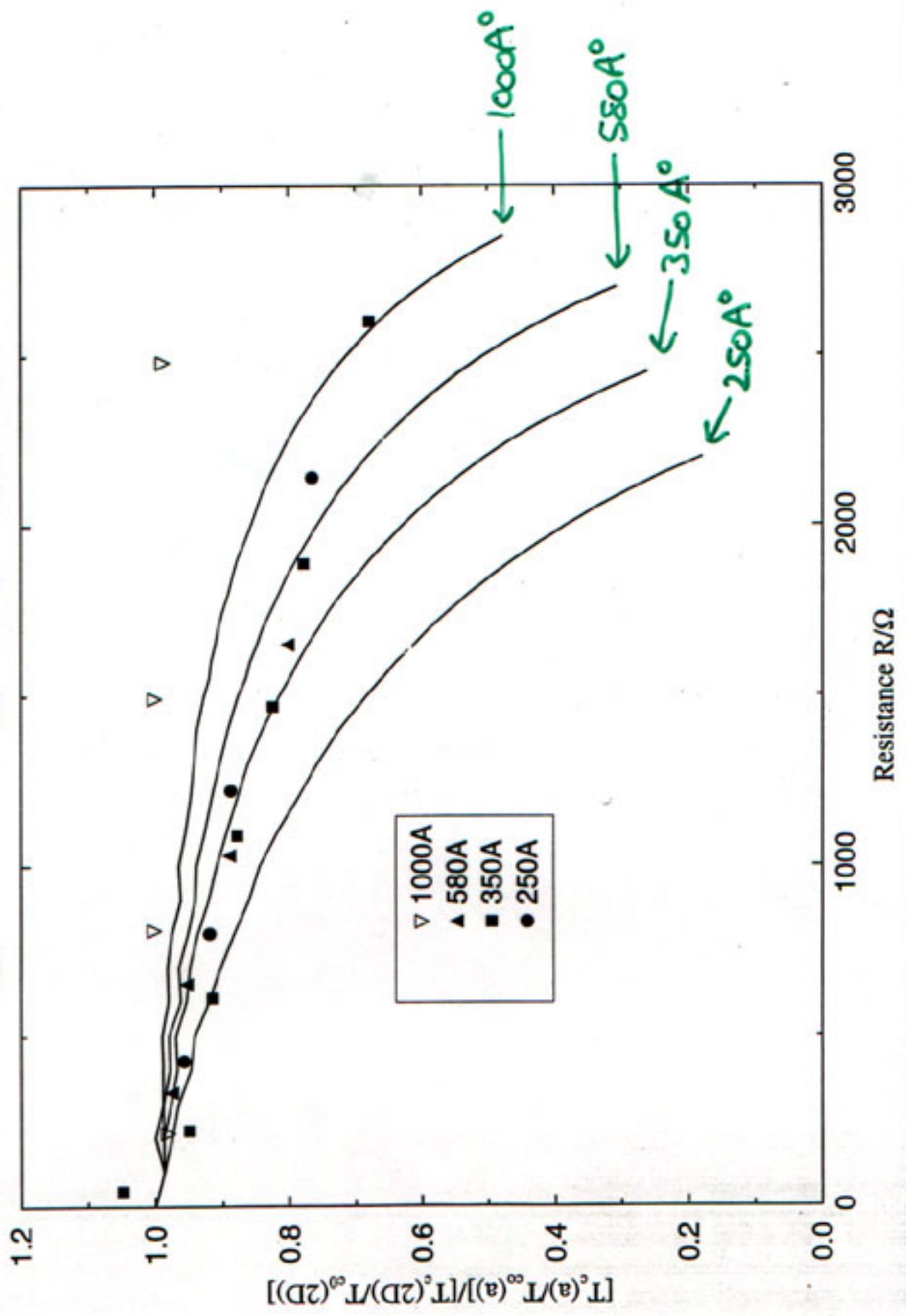
Theory vs Expt

periodic b.c. : $q_a = 0, \pm 2\frac{\pi}{a}, \pm 4\frac{\pi}{a}, \dots$



Theory vs Expt

Oreg's b.c. : $q_a = \pm 0, \pm \frac{2\pi}{\alpha}, \pm \frac{4\pi}{\alpha}, \dots$



Turning Off Coulomb Interaction

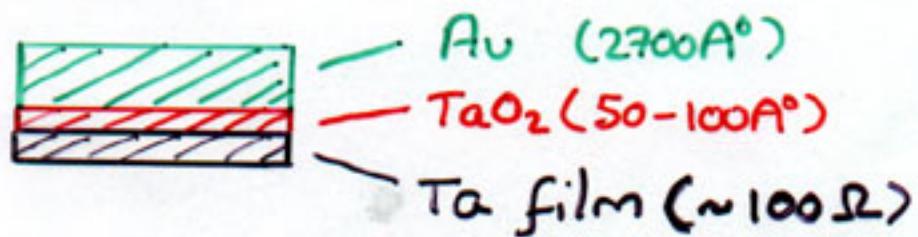
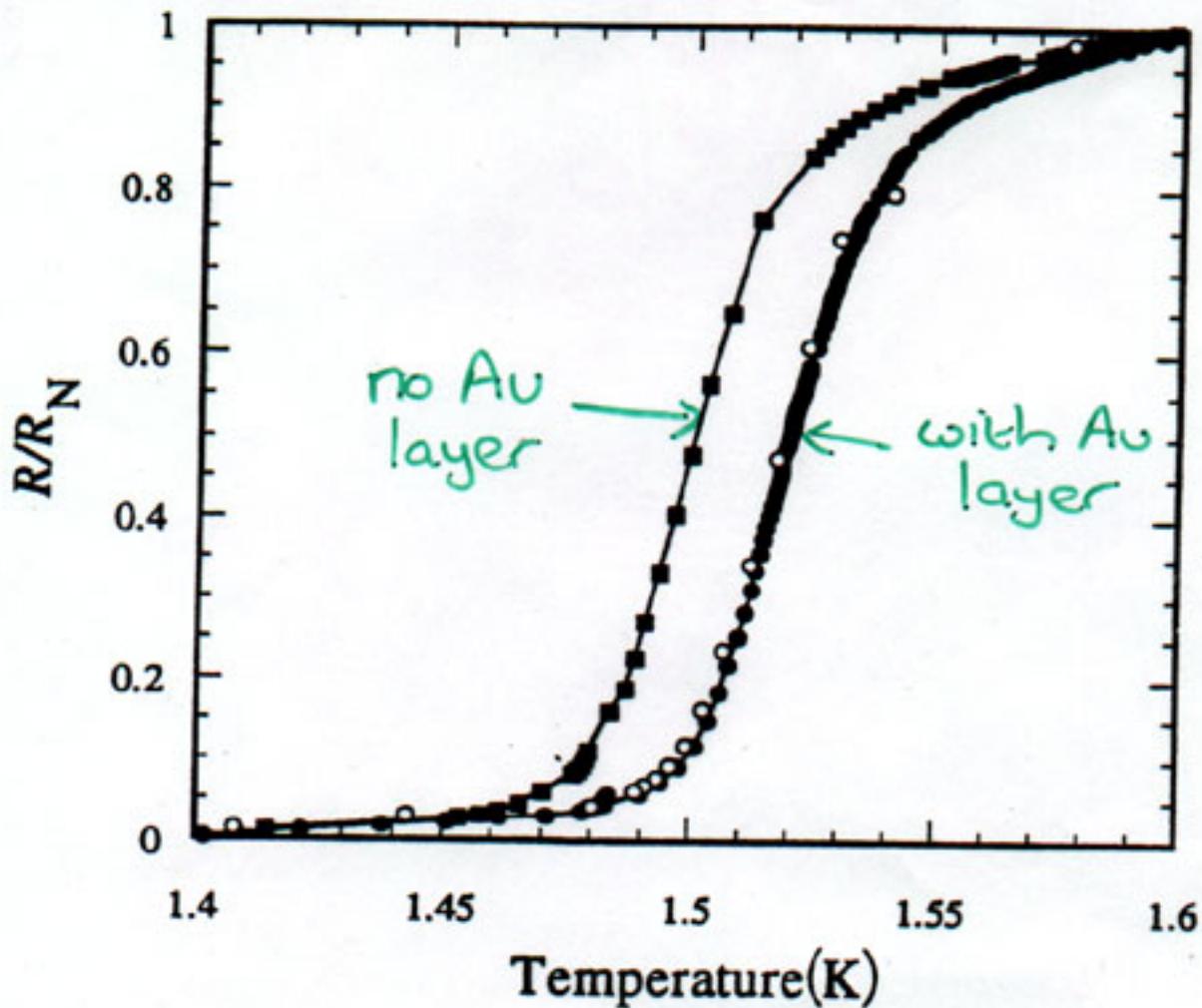
- Start with superconducting Ta film of $R_0 \sim 100 \Omega$ which shows T_c suppression.
- Add thin oxide layer and thick Au layer: image charges induced in Au weaken effective Coulomb interaction.
- T_c increases showing suppression due to Coulomb interaction.

Spin-Orbit Scattering

- Spin-orbit scattering leads to an antilocalization effect: $\sigma(T)$ increases as T decreases.
- Would this lead to turning off T_c suppression effects: ie $T_c \uparrow$ as $1/T_{SO} \uparrow$?
- Seen in expt with granular Al + Bi.

Turning Off T_c Suppression in Ta Films by Turning off Coulomb Interaction

[Astrakharchik + Adkins PRB50 13622(1994)]



Effect of Spin-Orbit Scattering on T_c Suppression in Granular Al

[Miller et al PRL 61 2717 (1989)]

