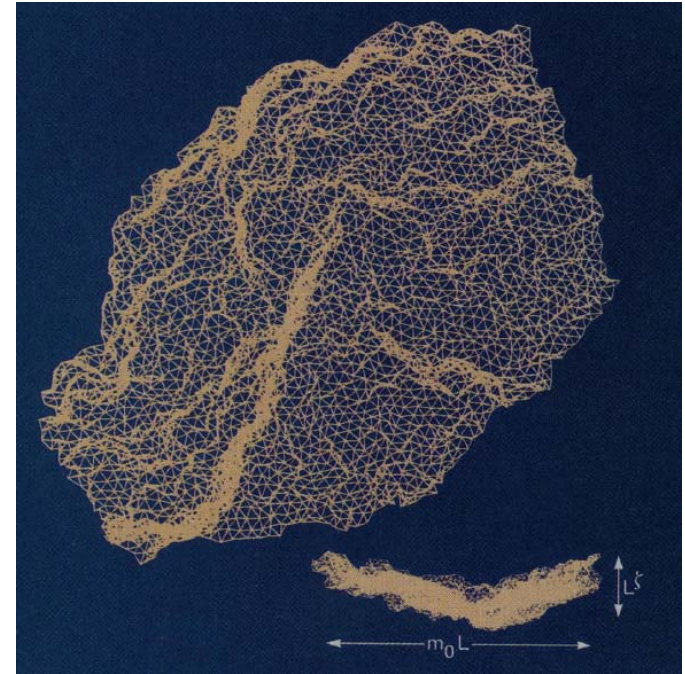
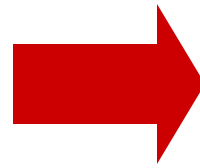
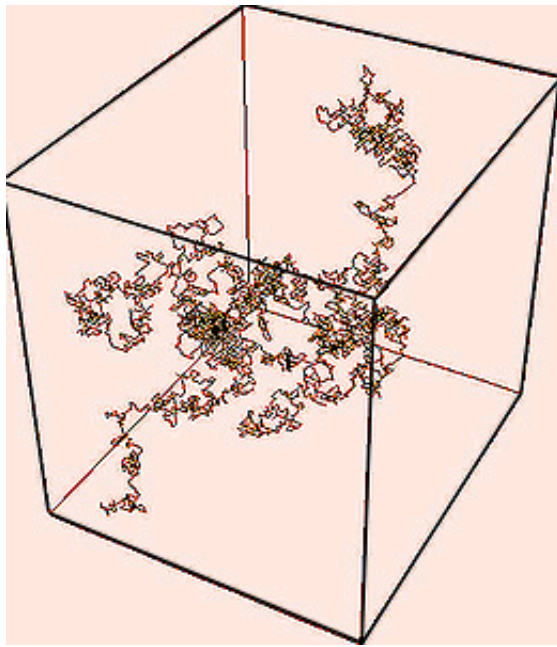


Ancient History: from linear polymers to tethered surfaces

✿ By the 1990's, theories of linear polymer chains in a good solvent were generalized to treat the statistical mechanics of flexible sheet polymers



F. Abraham and drn, Science 249, 393 (1990)

sheet polymer = soft interface permeable to solvent molecules on either side...

- Remarkably, “tethered surfaces” with a shear modulus are able to resist thermal crumpling and exhibit a low temperature, “wrinkled” flat phase...
- A continuous broken symmetry --long range order in the surface normals-- arises in two dimensions (violates Mermin-Wagner-Hohenberg theorem)

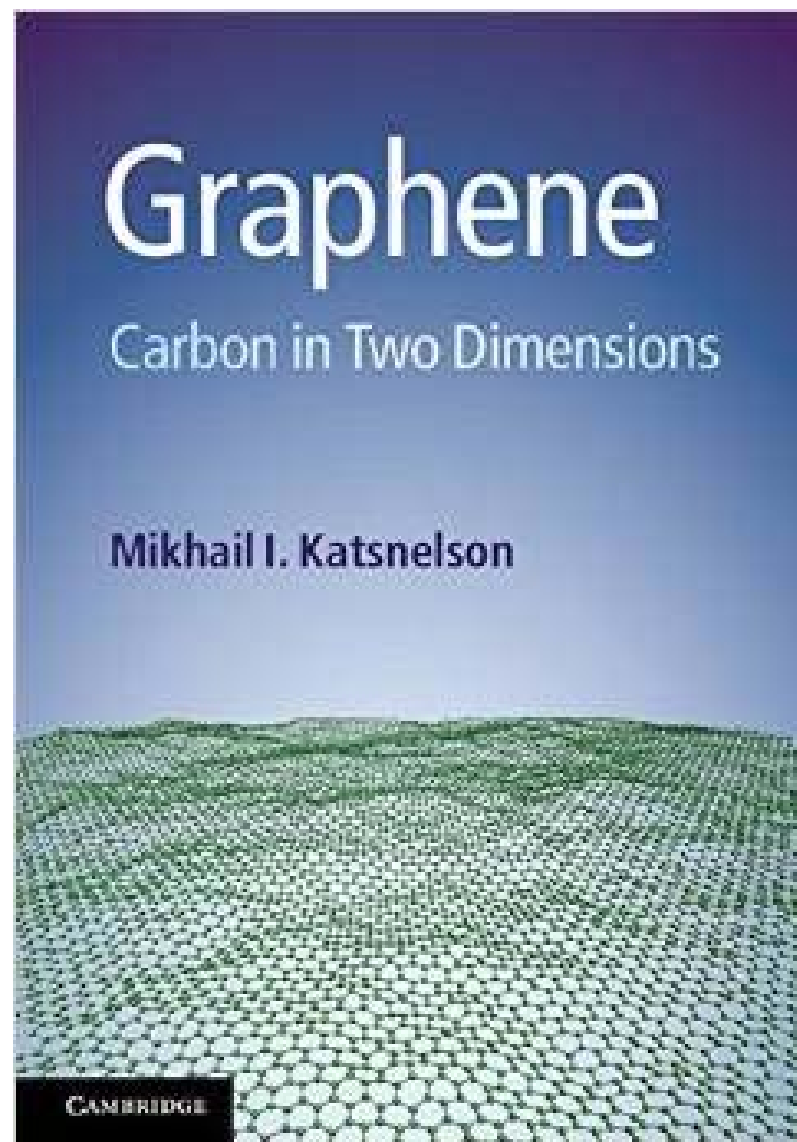
Lots of recent interest in the flat phase among graphene theorists, but (until recently) not many experiments....

Influence of out-of-plane phonons on electronic properties:

1. E. Mariani and F. von Oppen, Phys. Rev. Lett. 100, 076801 (2008).
2. K. S. Tkhonov, W. L. Z. Zhao and A. M. Finkel'stein, Phys. Rev. Lett. 113, 076601 (2014).

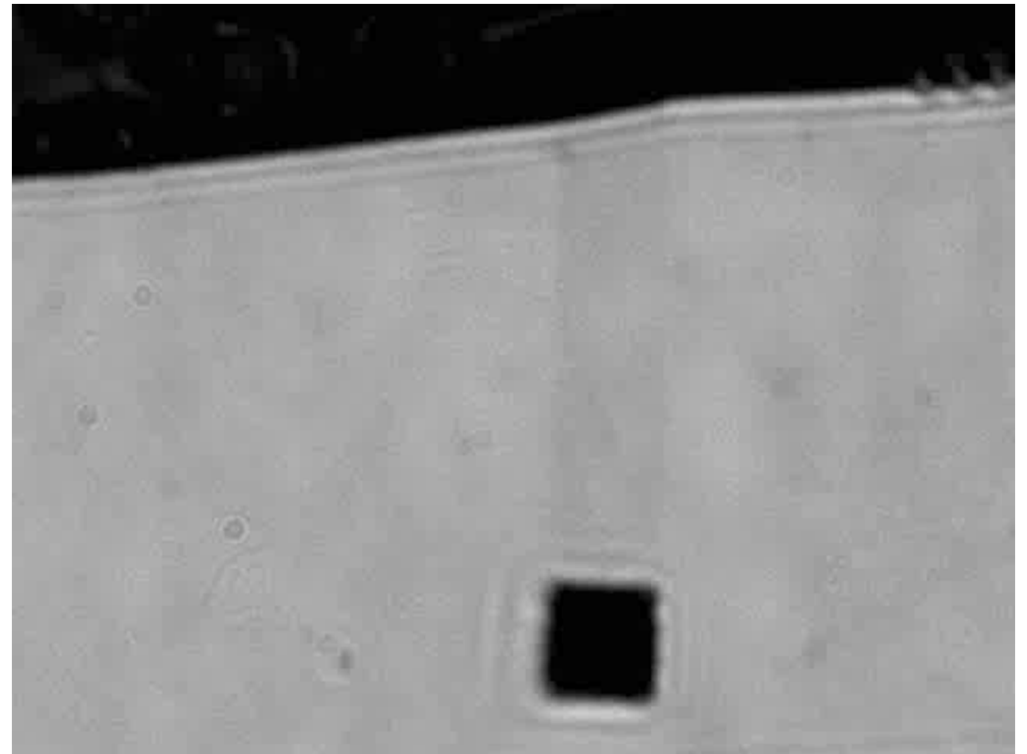
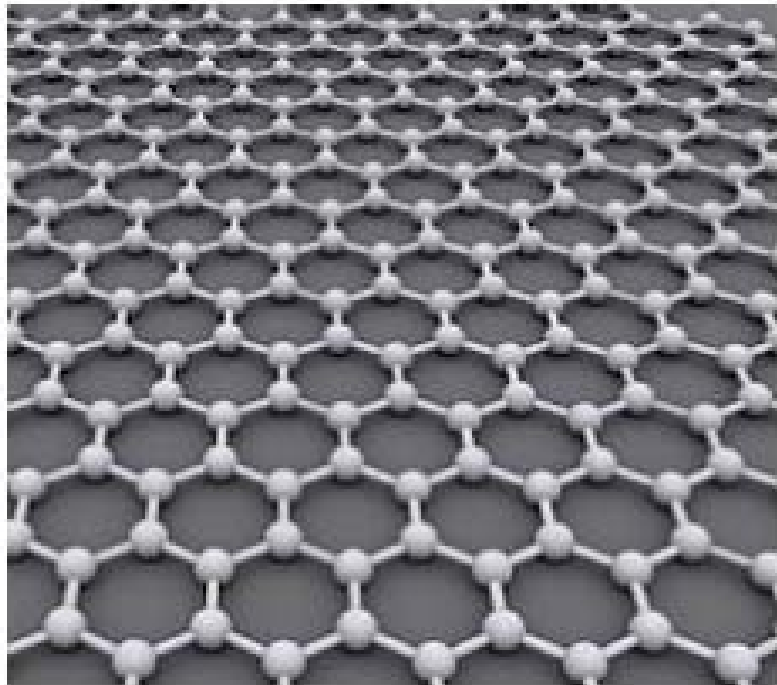
Quantum effects at low temperatures:

1. E. I. Kats and V. V. Lebedev, Phys. Rev. B89, 125433 (2014).
2. B. Amorim, R. Roldan, E. Cappelluti, F. Guinea, A. Fasolino and M. I. Katsnelson, Phys. Rev. B 89, 224307 (2014)



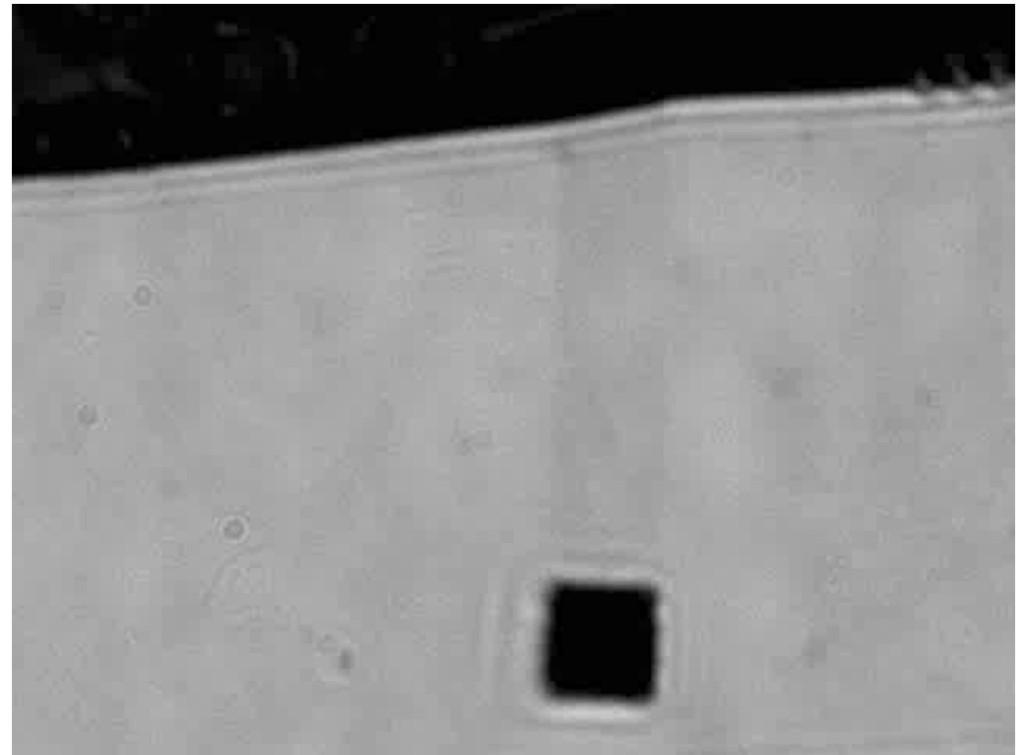
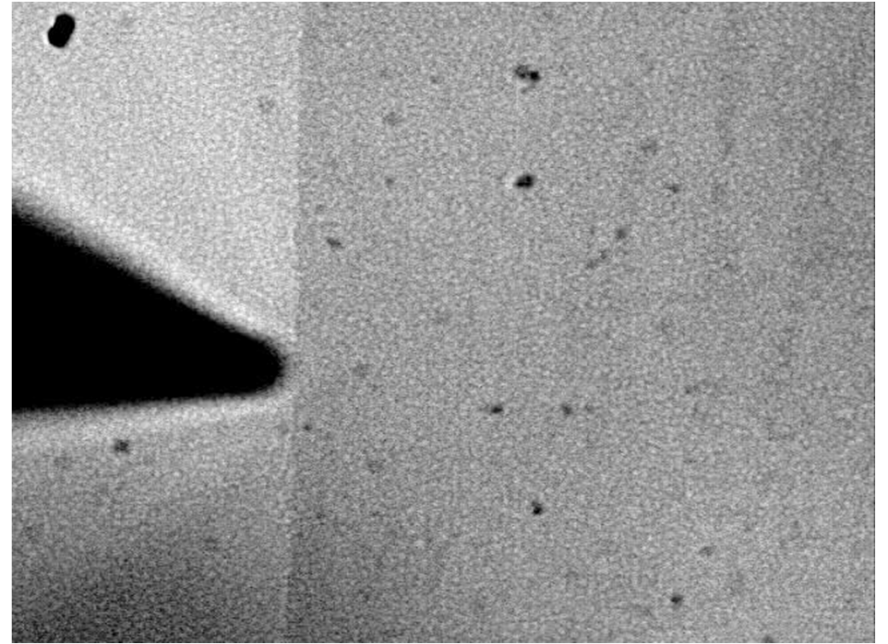
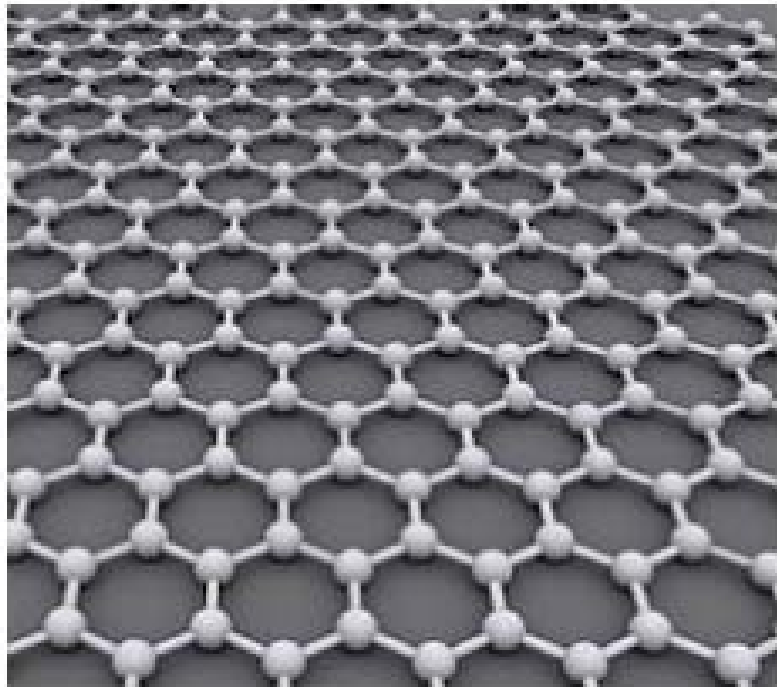
Experiments of the McEuen group Cornell: “Single molecule polymer physics” for graphene

graphene



Experiments of the McEuen group Cornell: “Single molecule polymer physics” for graphene

graphene



Critical phenomena without critical points: Theory of free-standing graphene ribbons

Nonlinear equations of thin plate theory

- nonlinear bending and stretching energies
- $\nu K = \text{Föppl-von Karman number} = YR^2/\kappa \gg 1$

Remarkable effect of thermal fluctuations

- entire low temperature flat phase characterized by critical fluctuations; “self-organized criticality”
- strongly scale-dependent bending elastic parameters

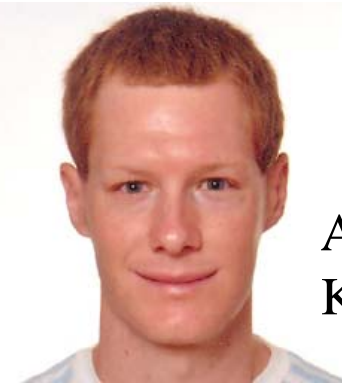
Graphene (and BN, MoS₂, WS₂, ...?)

- $\nu K \sim 10^{13}$! “Moore’s Law limit of thinness...”
- Thermal fluctuations dominate for $l > l_{th} = 0.15\text{nm}$...
- Bending rigidity at room temperature enhanced 6000-fold
- Anomalous properties of ribbons, crumpling transition, etc.

Luca Peliti
Mehran Kardar
Yantor Kantor

Recent experiments:
Paul McEuen group
(Cornell)

Recent theory:
Mark Bowick
Rastko Sknepnek &

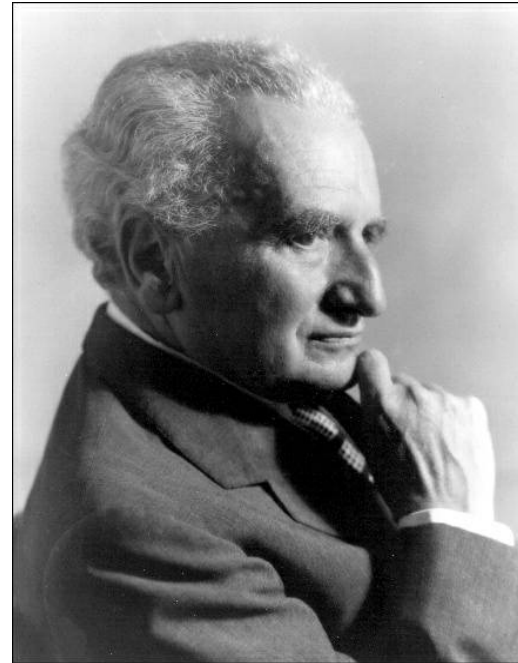


Andrej
Kosmrlj

Truly ancient history: in 1904, Föppl & von Kármán studied large deflections of elastic plates

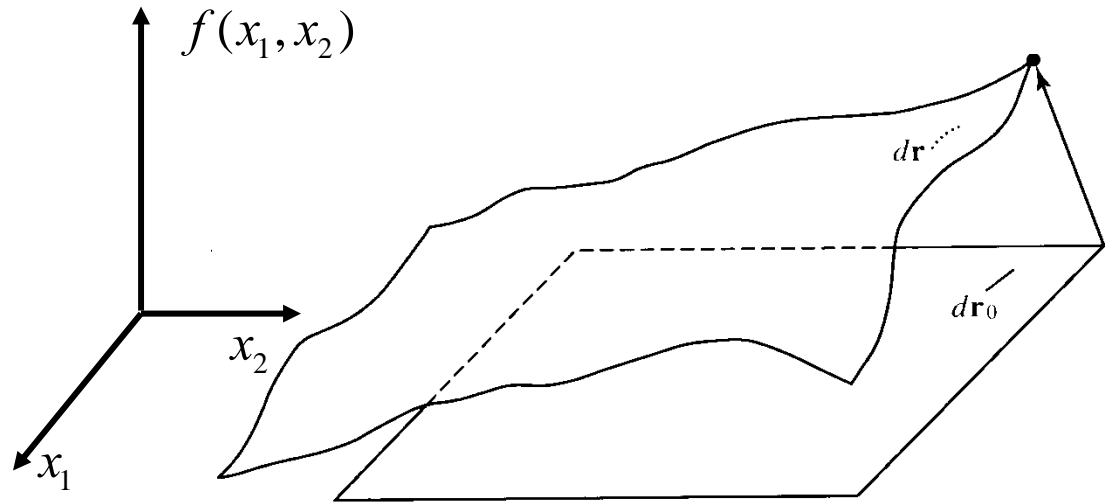


August Föppl
(1854-1924)
Pioneer of
elasticity theory



Theodore von Kármán
(1881-1963) Hungarian-
American physicist &
aeronautical engineer

To study deformed surfaces, expand about a flat reference state...



$$\vec{r}(x_1, x_2) = \vec{r}_0 = \begin{pmatrix} f(x_1, x_2) \\ u_1(x_1, x_2) \\ u_2(x_1, x_2) \end{pmatrix}$$

$$dr^2 = dr_0^2 + 2u_{ij}dx_i dx_j$$

$$u_{ij}(\vec{x}) = \frac{1}{2} \left[\frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} \right]$$

$$E = \frac{1}{2} \int d^2x \left[\underbrace{\kappa(\nabla^2 f(\vec{x}))^2}_{\text{bending energy}} + \underbrace{2\mu u_{ij}^2(\vec{x}) + \lambda u_{kk}^2(\vec{x})}_{\text{stretching energy}} \right]$$

*bending
energy*

*stretching
energy*

κ = bending rigidity

μ = shear modulus

$\mu + \lambda$ = bulk modulus

Flexural phonons can escape softly into the 3rd dimension...

Nonlinear Föppl -von Karman Equations (1905)

$$\partial_i \sigma_{ij} = 0 \Rightarrow \sigma_{ij}(\vec{x}) = 2\mu u_{ij}(\vec{x}) + \lambda \delta_{ij} u_{kk}(\vec{x}) \equiv \varepsilon_{im} \varepsilon_{jn} \partial_m \partial_n \chi(\vec{x})$$

$\chi(\vec{x}) =$ Airy stress function

Bending modes $f(\vec{x})$ coupled to stretching modes $\vec{u}(\vec{x})$;

Minimize energy over $f(\vec{x})$ and $\chi(\vec{x})$...

$$\kappa \nabla^4 f = \frac{\partial^2 \chi}{\partial y^2} \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 \chi}{\partial x^2} \frac{\partial^2 f}{\partial y^2} - 2 \frac{\partial^2 \chi}{\partial x \partial y} \frac{\partial^2 f}{\partial x \partial y} \quad \text{Young's modulus } Y = \frac{4\mu(\mu + \lambda)}{2\mu + \lambda}$$

$$\frac{1}{Y} \nabla^4 \chi = -\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} + \left(\frac{\partial^2 f}{\partial x \partial y} \right)^2 = \text{Gaussian curvature} \quad \text{bending rigidity } \kappa$$

Nonlinear Föppl -von Karman Equations (1905)

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\Rightarrow dimensionless "Foepppl-von Karman number" $\nu K = YL^2 / \kappa \gg 1$ ($L =$ linear dimension)

(compare Reynold's number $Re = uL / \nu$ in fluid mechanics)

\Rightarrow resembles a simplified form of general relativity (developed 10 years later....)

\Rightarrow exact solutions available only in very special cases

Applications: thin solid shells and structures

macroscopic (1cm - 10m)



plant
leaves



egg
shell



aluminum foil



pipes

Applications: thin solid shells and structures

macroscopic (1cm - 10m)



plant leaves



egg shell

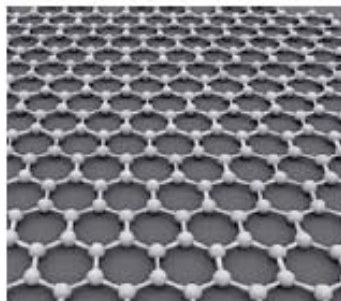


aluminum foil

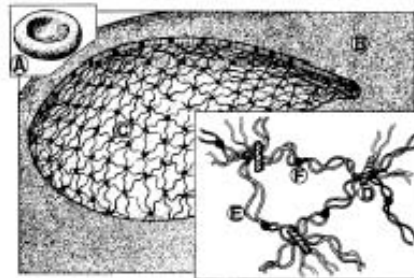


pipes

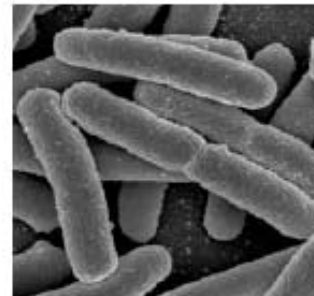
& microscopic (0.1nm - 1 μ m, but what about Brownian motion??)



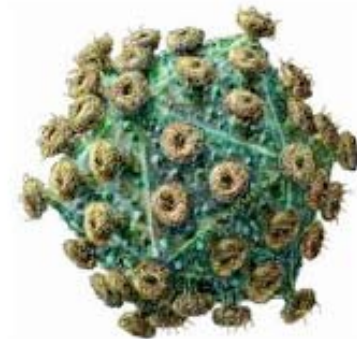
graphene



cell membrane with cytoskeleton



bacterial cell wall



viral capsid

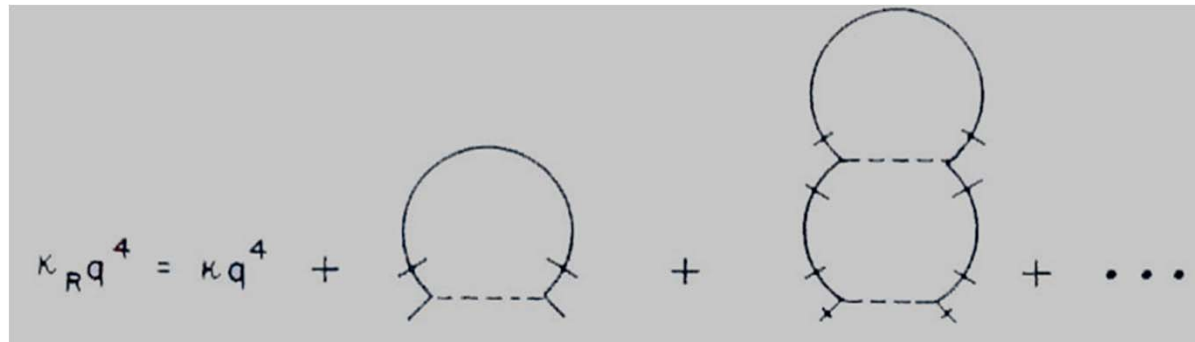
What About Thermally Excited Membranes? *(L. Peliti & drn)*

✿ Tracing out in-plane phonon degrees of freedom yields a massless nonlinear field theory

$$F_{\text{eff}} = -k_B T \ln \left(\int D\{u_x(x, y)\} \int D\{u_y(x, y)\} e^{-E/k_B T} \right)$$

$$F_{\text{eff}} = \frac{1}{2} \kappa \int d^2 x [(\nabla^2 f)^2] + \frac{1}{4} Y \int d^2 x [P_{ij}^T (\partial_i f \partial_j f)]^2 \equiv F_0 + F_1; \quad P_{ij}^T = \delta_{ij} - \frac{\partial_i \partial_j}{\nabla^2}$$

◆ Assume $k_B T / \kappa \ll 1$, and do low temperature perturbation theory



$$\begin{aligned} \kappa_R(q) &= \kappa + k_B T Y \int \frac{d^2 k}{(2\pi)^2} \frac{\hat{q}_i P_{ij}^T(\vec{k}) \hat{q}_j}{\kappa |\vec{q} + \vec{k}|^4} + \dots \\ &\approx \kappa [1 + (vK) k_B T / (4\pi^3 \kappa) + \dots] \end{aligned}$$

$$vK = YL^2 / \kappa \approx (L/h)^2 \gg 1$$

L = membrane size

h = membrane thickness

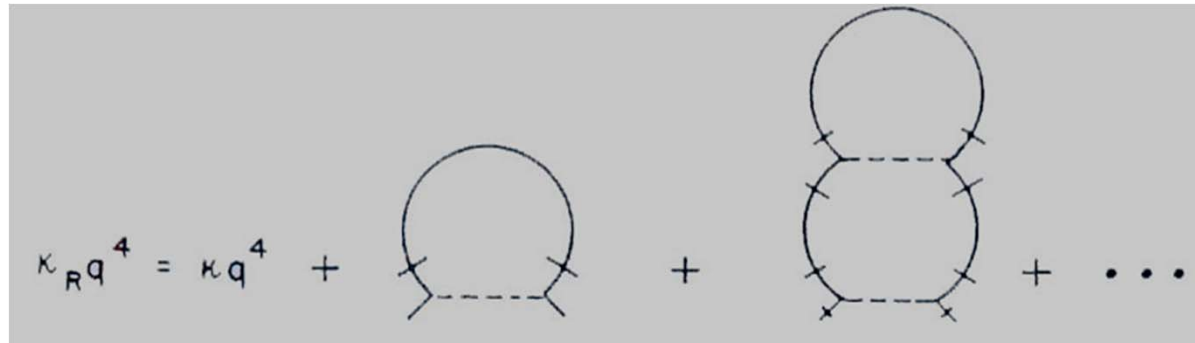
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$$vK = YL^2 / \kappa \approx (L/h)^2 \gg 1$$

L = membrane size

h = membrane thickness

Self-consistent bending rigidity, $\kappa_R(q) \sim 1/q$ & diverges as $q \rightarrow 0$!

Renormalization Group for Thermally Excited Sheets

L. Peliti & drn (~1987)
J. Aronovitz and T. Lubenksy
P. Le Doussal and L. Radzihovsky

define running coupling constants....

$$\bar{\mu}(l) = k_B T \mu a_0^2 / \kappa^2; \quad \bar{\lambda}(l) = k_B T \lambda a_0^2 / \kappa^2$$

scale dependent Young's modulus

$$Y(l) = \frac{4\mu(l)[\mu(l) + \lambda(l)]}{2\mu(l) + \lambda(l)}$$

$$E = \frac{1}{2} \int d^2x [\kappa(\nabla^2 f(\vec{x}))^2 + 2\mu u_{ij}^2(\vec{x}) + \lambda u_{kk}^2(\vec{x})]$$

$$u_{ij}(\vec{x}) = \frac{1}{2} \left[\frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} \right]$$

$$Z = \int \mathcal{D}\vec{u}(x_1, x_2) \int \mathcal{D}f(x_1, x_2) \exp(-E / k_B T)$$

$$\kappa_R(l) \approx \kappa(l / l_{th})^\eta$$

$$Y_R(l) \approx Y(l_{th} / l)^{\eta_u}$$

$$\eta \approx 0.82, \quad \eta_u \approx 0.36$$

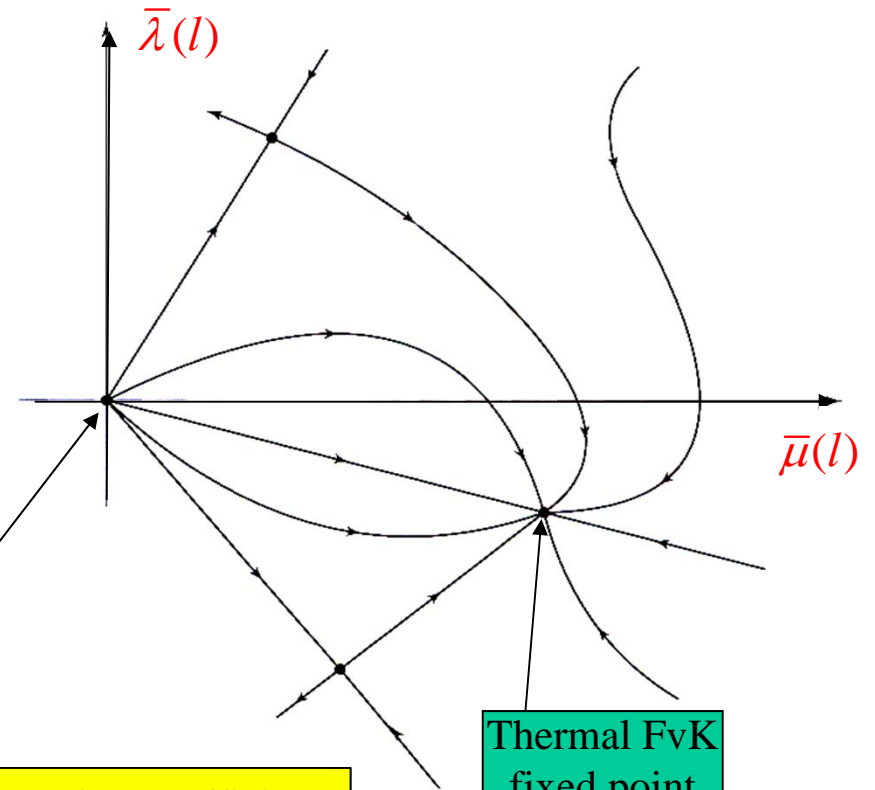
Thermal fluctuations dominate whenever $L > l_{th}$

$$l_{th} \approx \sqrt{\kappa^2 / (k_B T Y)}$$

F.-von K. fixed point

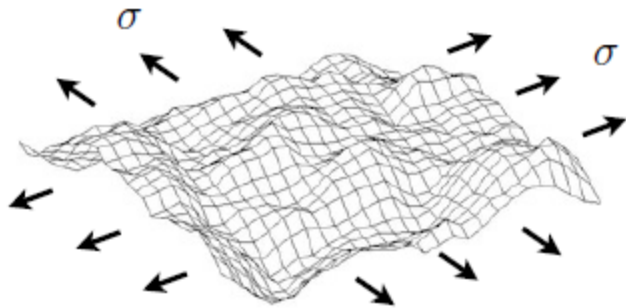
Thermal FvK fixed point

Negative thermal expansion coefficient





Negative coefficient of thermal expansion and nonlinear stress strain curves



Out of plane fluctuations suppressed by an external tension σ

$$\langle |f(\mathbf{q})|^2 \rangle = \frac{k_B T}{\sigma q^2 + \kappa q^{4-\eta} / \ell_{\text{th}}^\eta}$$

$$\eta \approx 0.82 \quad \ell_{\text{th}} \sim \kappa / \sqrt{k_B T Y}$$

E Guitter, F David, S Leibler, L Peliti, PRL **61**, 2949 (1988)

Membrane area extension

$$\left\langle \frac{\delta A}{A} \right\rangle \approx \underbrace{-\frac{k_B T}{4\pi\kappa} \left[\eta^{-1} + \ln(\ell_{\text{th}} \Lambda) \right]}_{\text{shrinking due to fluctuations}} + \underbrace{\frac{k_B T}{4\pi\kappa} \left[\eta^{-1} - \frac{1}{2} \right] \left(\frac{\ell_{\text{th}}^2 \sigma}{\kappa} \right)^{\eta/(2-\eta)}}_{\text{non-linear response like in critical phenomena}} + \underbrace{\frac{\sigma}{(\mu + \lambda)}}_{\text{material stretching}}$$

$$\eta/(2 - \eta) \approx 0.7$$

For large tension, $\sigma \gtrsim k_B T Y / \kappa$, thermal fluctuations become irrelevant!

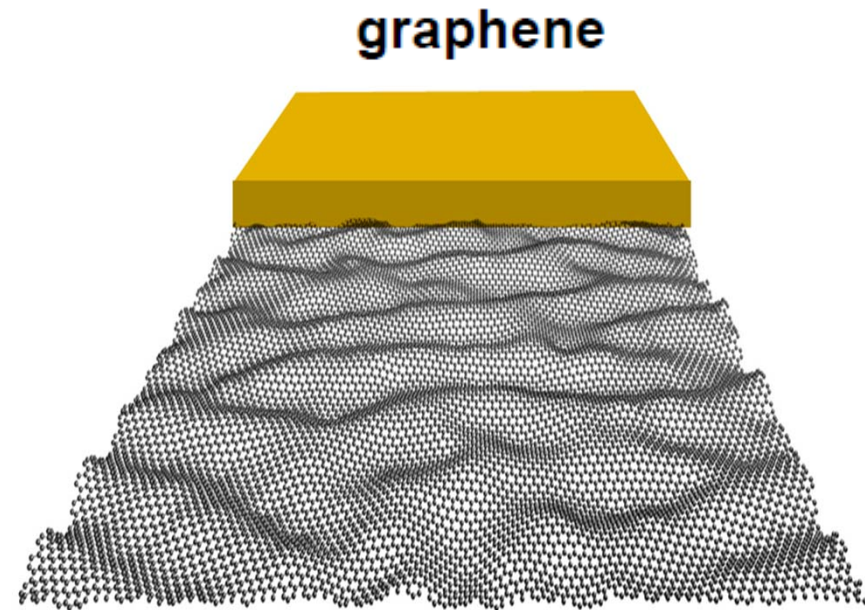
Freely supported graphene is an ideal test bed...

Graphene is the ultimate 2D crystalline membrane:

- One atom thick
- Very stiff in-plane (Young's modulus $Y = 500$ GPa)

With graphene, we have reached the “Moore's Law” limit of large Foppl-von Karman numbers

$$L = 10\mu, h = 3 A, \nu K = 10^{13} !!$$



(atomistic calculations)

$$\kappa_0 \approx 1.2\text{eV} \approx 2 \times 10^{-19} J$$

Extremely flexible!

R. Nicklow, N. Wakabayashi and H. G. Smith,
PRB 5, 4951 (1972)

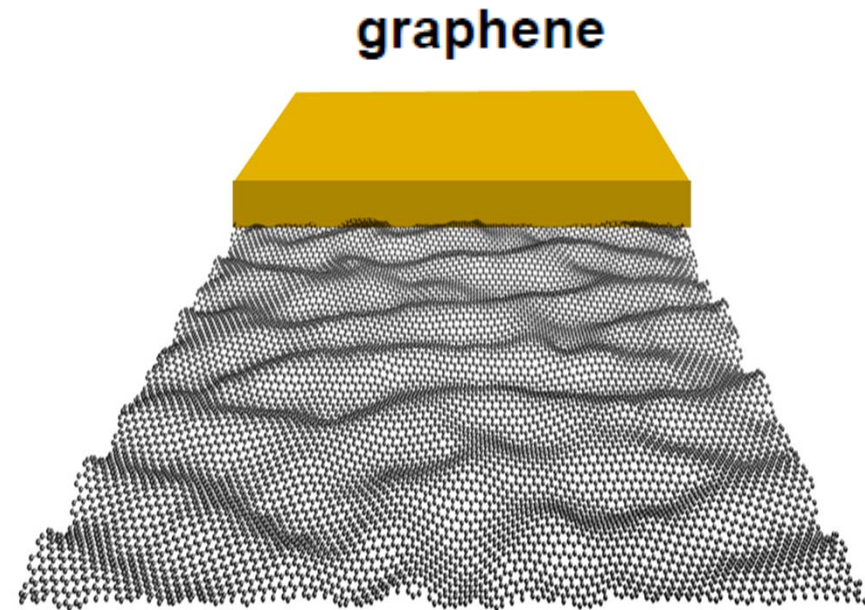
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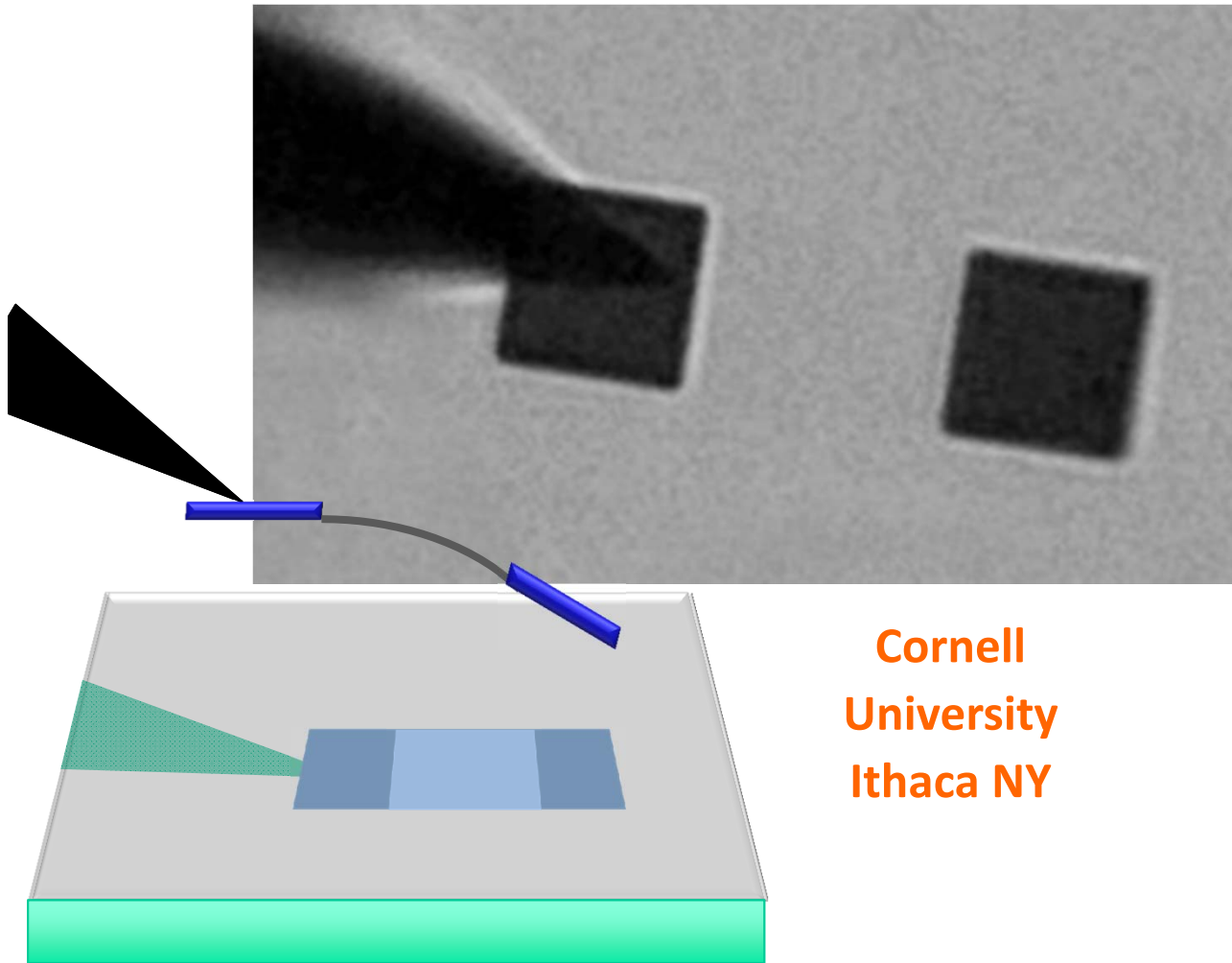
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PRB 5, 4951 (1972)

fluctuations dominate for $L > l_{th}$

$$l_{th} \approx \sqrt{\kappa^2 / (k_B T Y)} \approx 0.2\text{nm!}$$

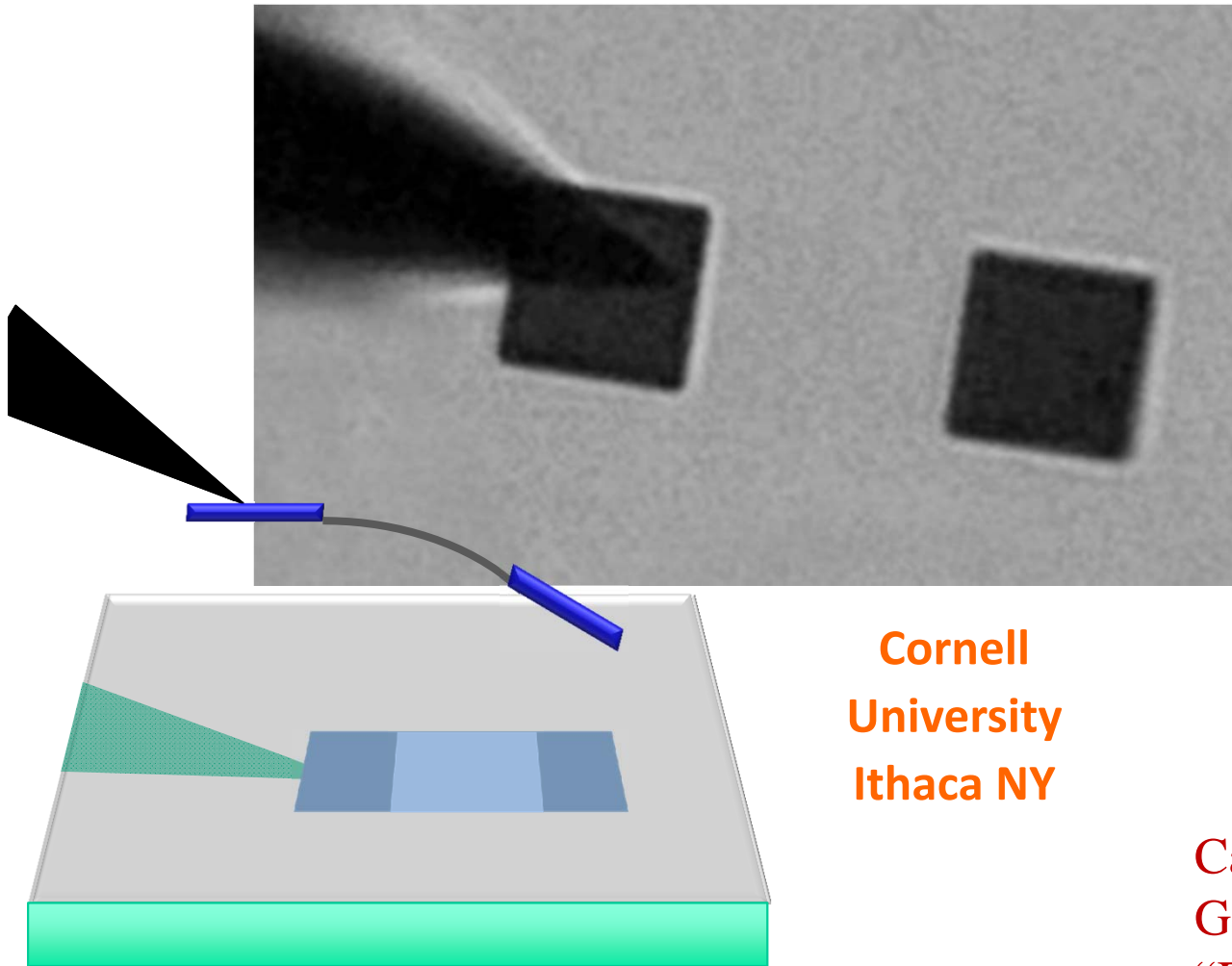
Graphene cantilever experiment



Cornell
University
Ithaca NY

Melina Bles, Arthur Barnard, Samantha Roberts, Josh Kevek, Alex Ruyack, Jenna Wardini, Peijie Ong, Aliaksandr Zaretski, Si Ping Wang, and Paul L. McEuen

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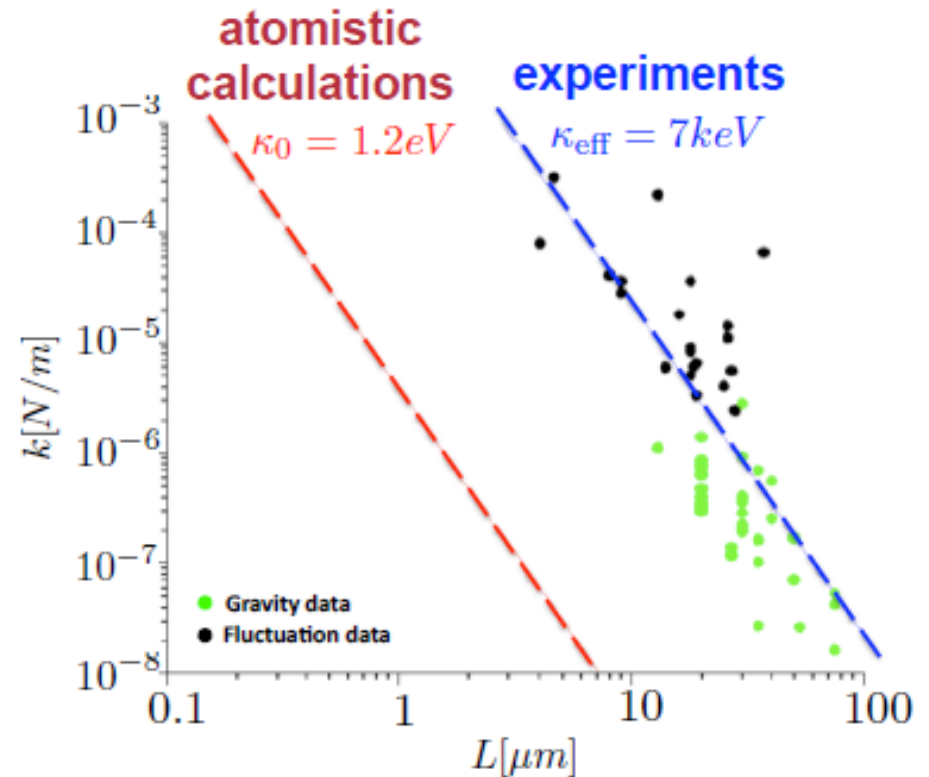
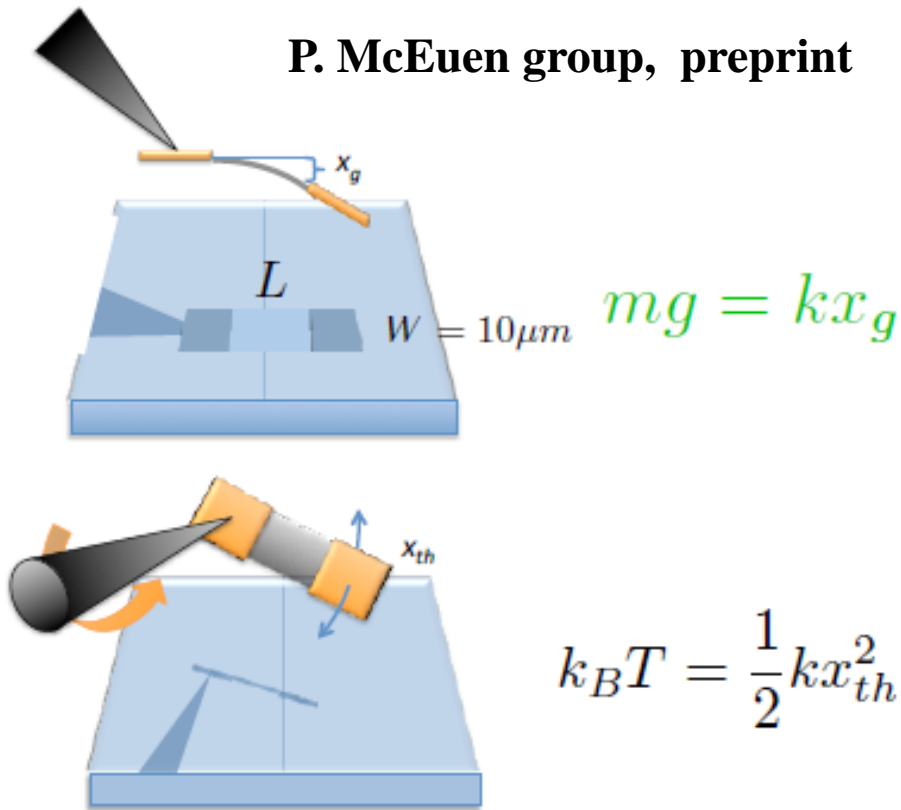


Cantilever experiment
Galileo Galilei (1638)
“Discourses and Mathematical
Demonstrations Relating to
Two New Sciences”

Melina Bles, Arthur Barnard, Samantha Roberts, Josh Kevek, Alex Ruyack, Jenna Wardini, Peijie Ong, Aliaksandr Zaretski, Si Ping Wang, and Paul L. McEuen

Bending rigidity of graphene membranes

P. McEuen group, preprint



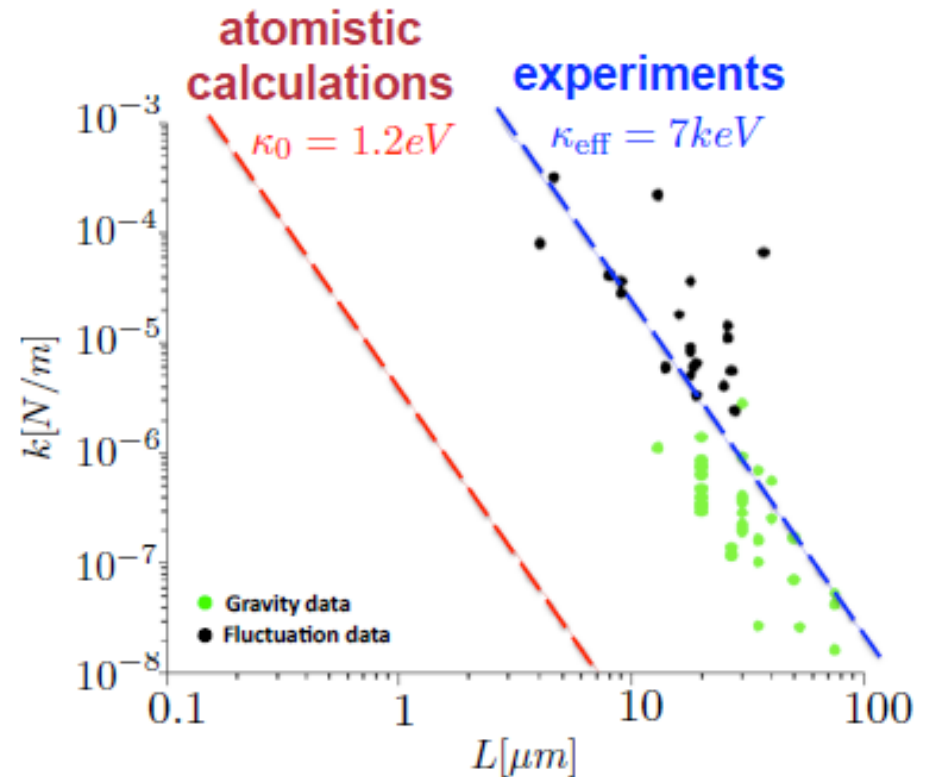
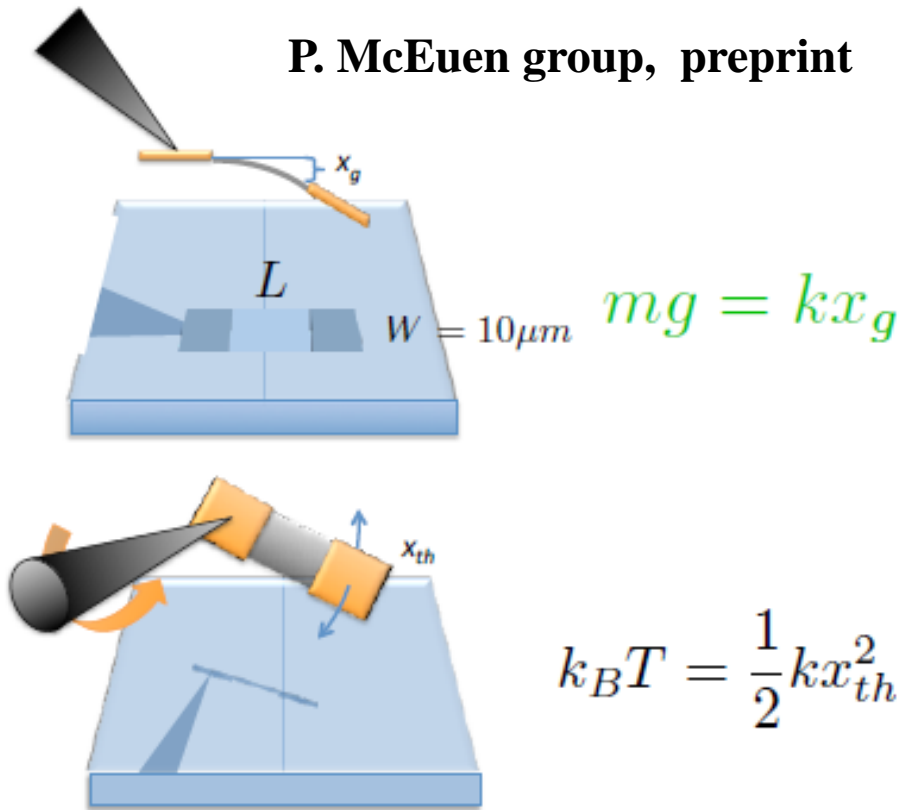
$$WL \times \kappa_{\text{eff}} \times (x/L^2)^2 \sim (\kappa_{\text{eff}} W / L^3) x^2 = kx^2$$

plate area bending rigidity (curvature)² bending energy

$$k = 3\kappa_R W / L^3$$

Bending rigidity of graphene membranes

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$$WL \times \kappa_{eff} \times (x/L^2)^2 \sim (\kappa_{eff} W / L^3) x^2 = k x^2$$

plate area bending rigidity (curvature)² bending energy

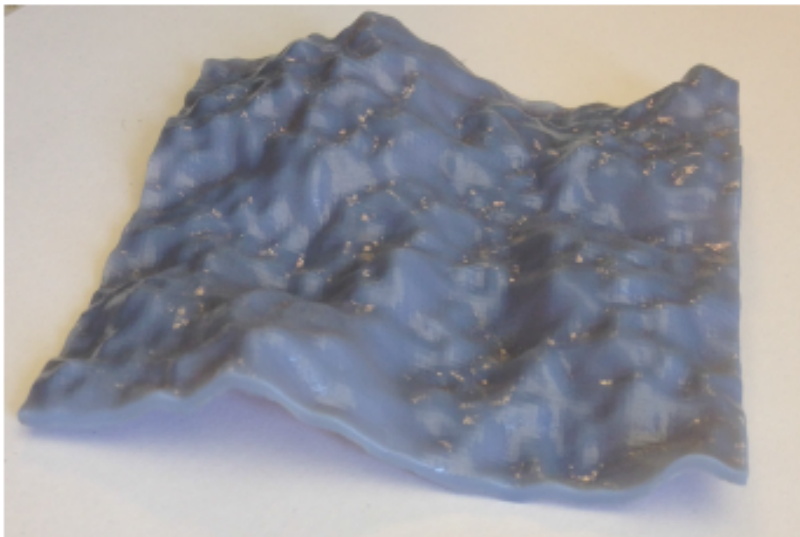
$$k = 3\kappa_R W / L^3$$

Bending rigidity enhanced 6000 fold.
Agrees with $\kappa_R(l) \approx \kappa(W/l_{th})^{0.8}$
($l_{th} \sim 0.2 nm$ for graphene)

Frozen fluctuations in nearly flat membranes

A. Košmrlj and D. Nelson, PRE **88**, 012136 (2013)

A. Košmrlj and D. Nelson, PRE **89**, 022126 (2014)



frozen height fluctuations $h_{\text{eff}}^2 = \frac{1}{A} \int dA \langle h^2 \rangle$

thickness t

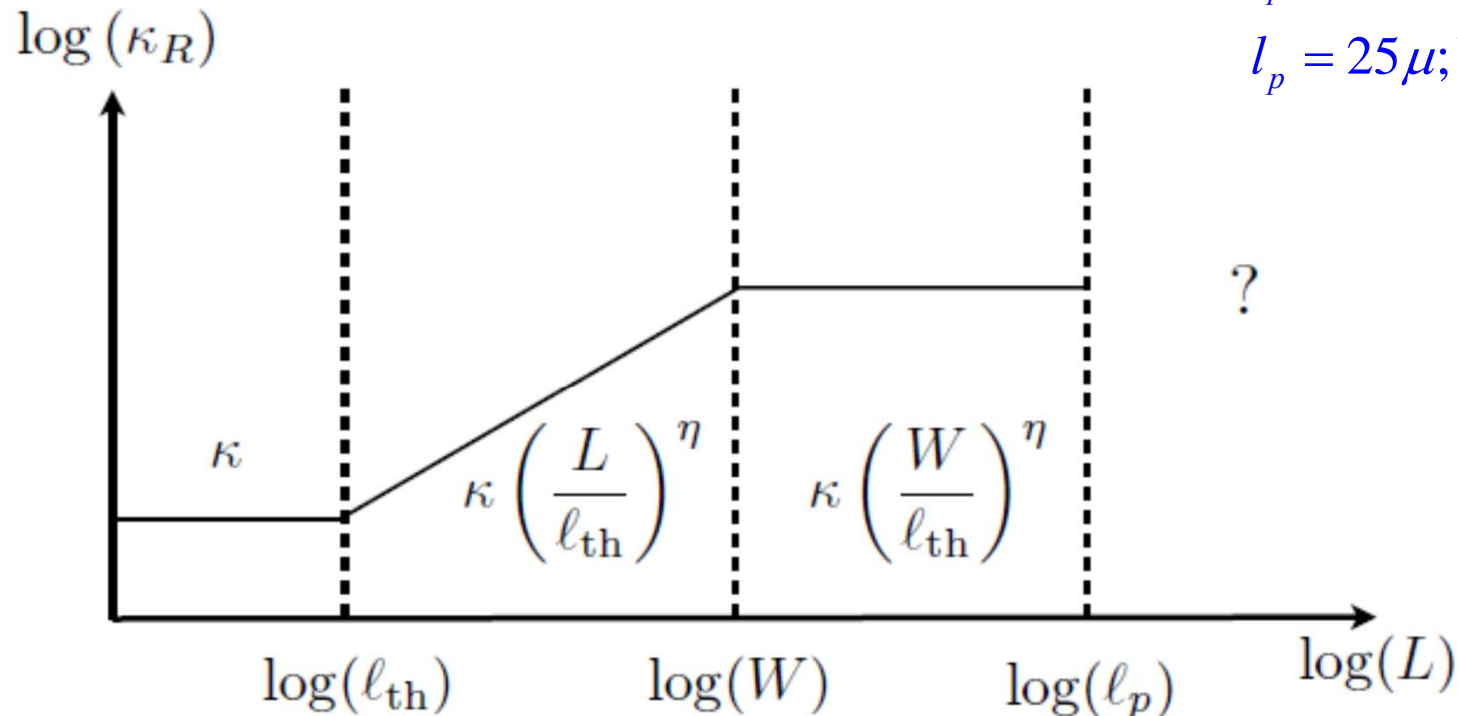
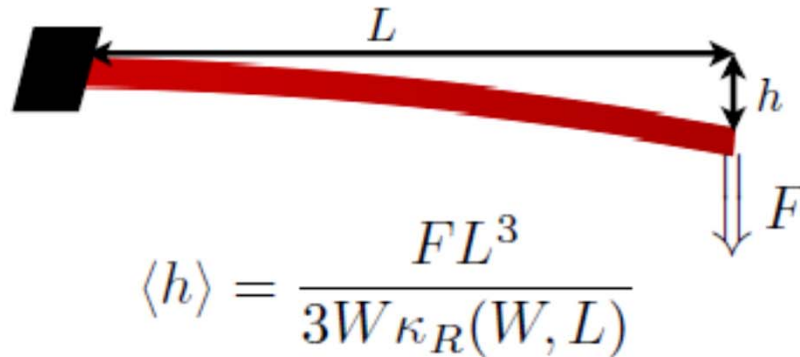
**Linear response properties
averaged over frozen disorder**

$$\langle \kappa_{\text{eff}} \rangle / \kappa \sim \sqrt{(Y h_{\text{eff}}^2) / \kappa} \sim h_{\text{eff}} / t$$

$$\langle Y_{\text{eff}} \rangle / Y, \langle \mu_{\text{eff}} \rangle / \mu \sim \sqrt{\kappa / (Y h_{\text{eff}}^2)} \sim t / h_{\text{eff}}$$

Effective bending rigidity for ribbons

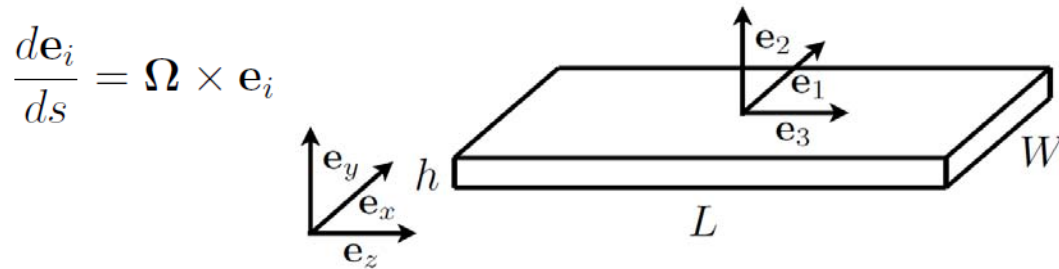
L = ribbon length
 W = ribbon width
 l_p = persistence length



$l_p = 5m$; $W = 10\mu$, but
 $l_p = 25\mu$; $W = 10nm$!



Theory of thermalized cantilever ribbons (A. Kosmrlj and drn)



$$E = \int \frac{ds}{2} \left[A_1 \Omega_1^2 + A_2 \Omega_2^2 + C \Omega_3^2 \right] - Fz$$

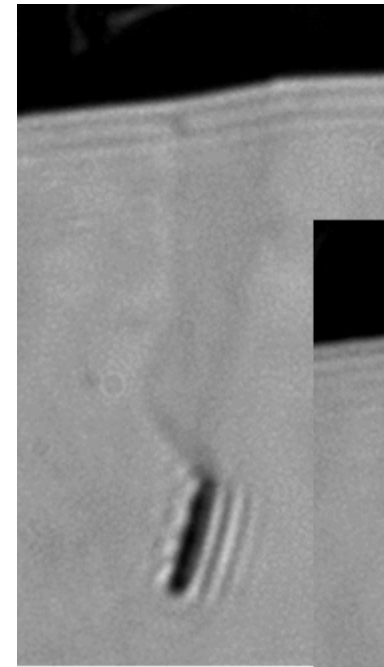
$$A_1 = \kappa W (1 - \nu^2) / 12; \quad C = 2\kappa W (1 - \nu)$$

$$A_2 = YW^3 / 12 \gg A_1, C$$

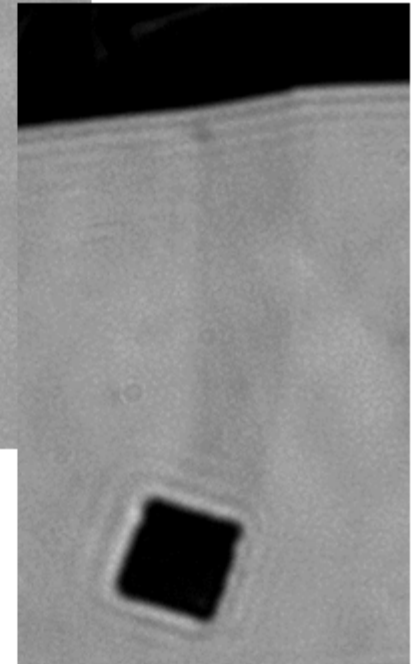
$E = 2d$ Young's modulus

$\mu = 2d$ shear modulus

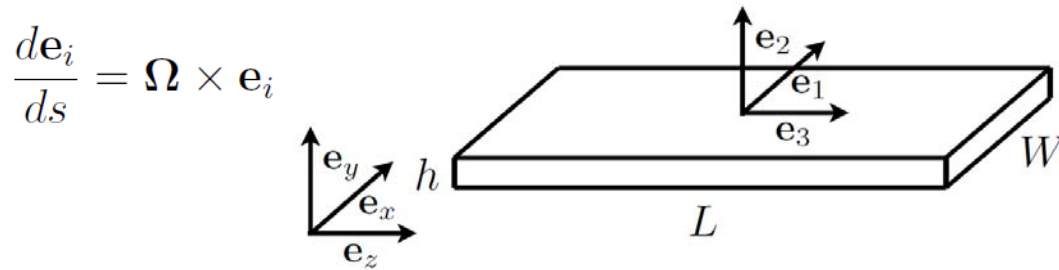
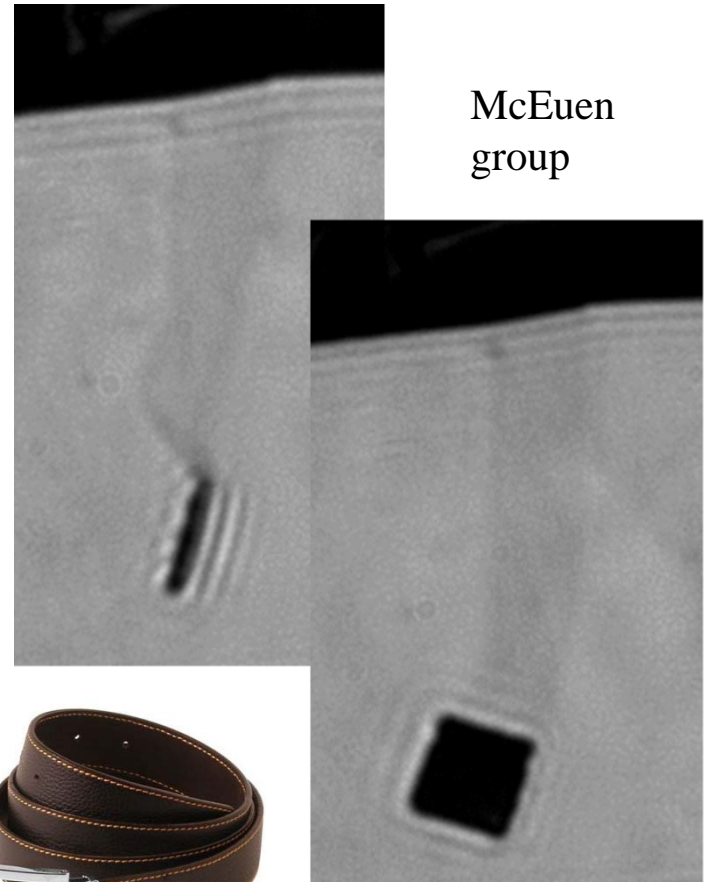
$\nu = 2d$ Poisson ratio



McEuen
group



Theory of thermalized cantilever ribbons (A. Kosmrlj and drn)



$$\frac{de_i}{ds} = \Omega \times e_i$$

$$E = \int \frac{ds}{2} \left[A_1 \Omega_1^2 + A_2 \Omega_2^2 + C \Omega_3^2 \right] - Fz$$

$$A_1 = \kappa W (1 - \nu^2) / 12; \quad C = 2\kappa W (1 - \nu)$$

$$A_2 = YW^3 / 12 \gg A_1, C$$

$Y = 2d$ Young's modulus

$\kappa = 2d$ bending rigidity

$\nu = 2d$ Poisson ratio



Map 1d path integral statistical mechanics onto the quantum mechanics of a rigid rotor in an external gravitational field



Pulling and bending of ribbons of varying lengths

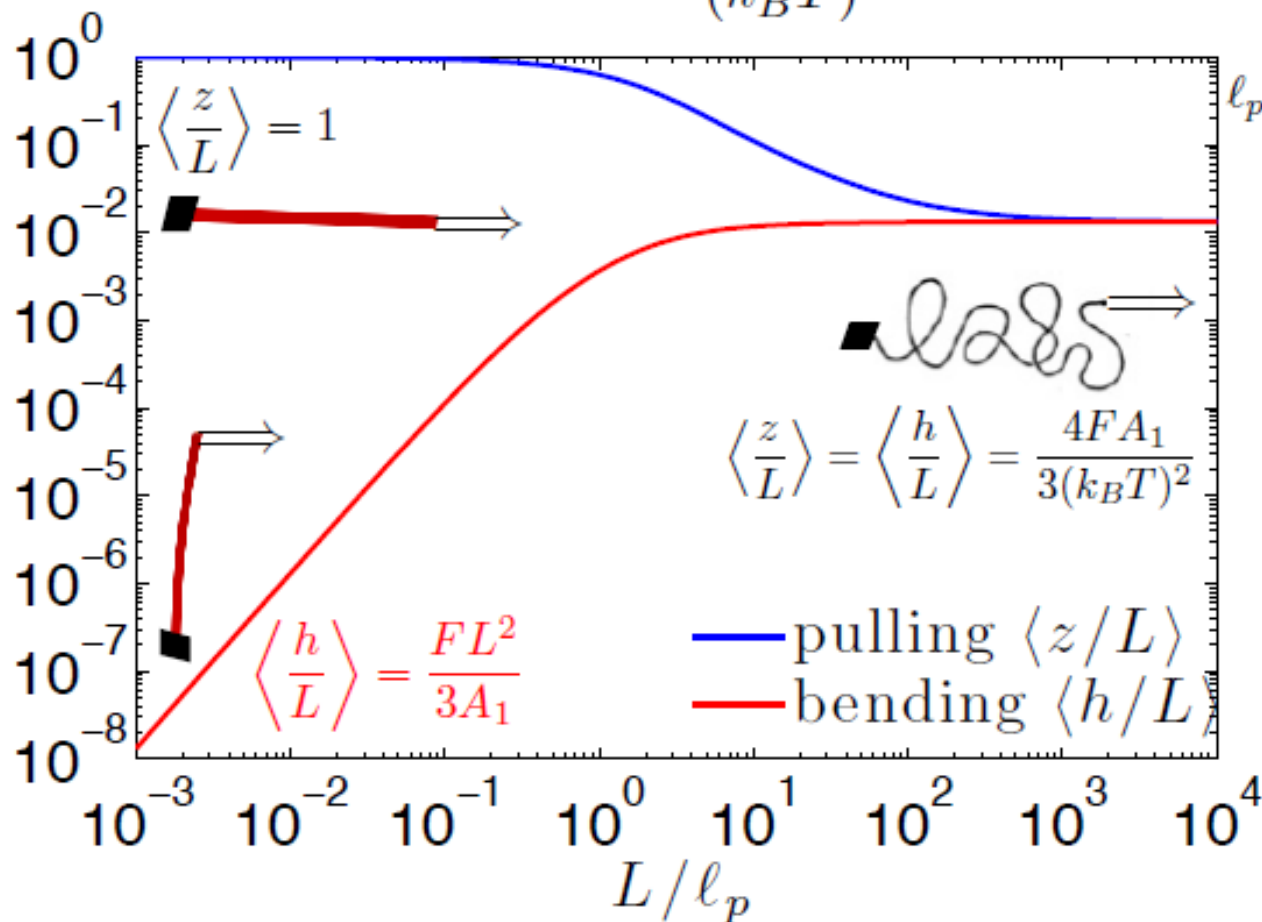
Fixed force, temperature and ribbon width

$$\frac{FA_1}{(k_B T)^2} = 0.01$$

$$C = A_1$$

$$A_2/A_1 \rightarrow \infty$$

$$\ell_p \approx \frac{2A_1}{k_B T} \sim \frac{\kappa W^{1+\eta}}{k_B T \ell_{th}^\eta}$$

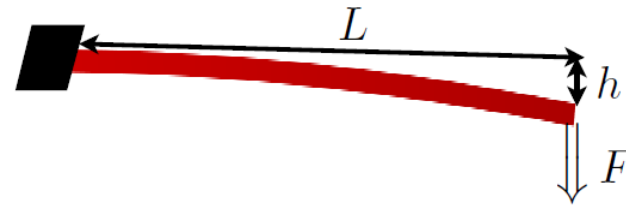


For long ribbons direction of pulling force is irrelevant!

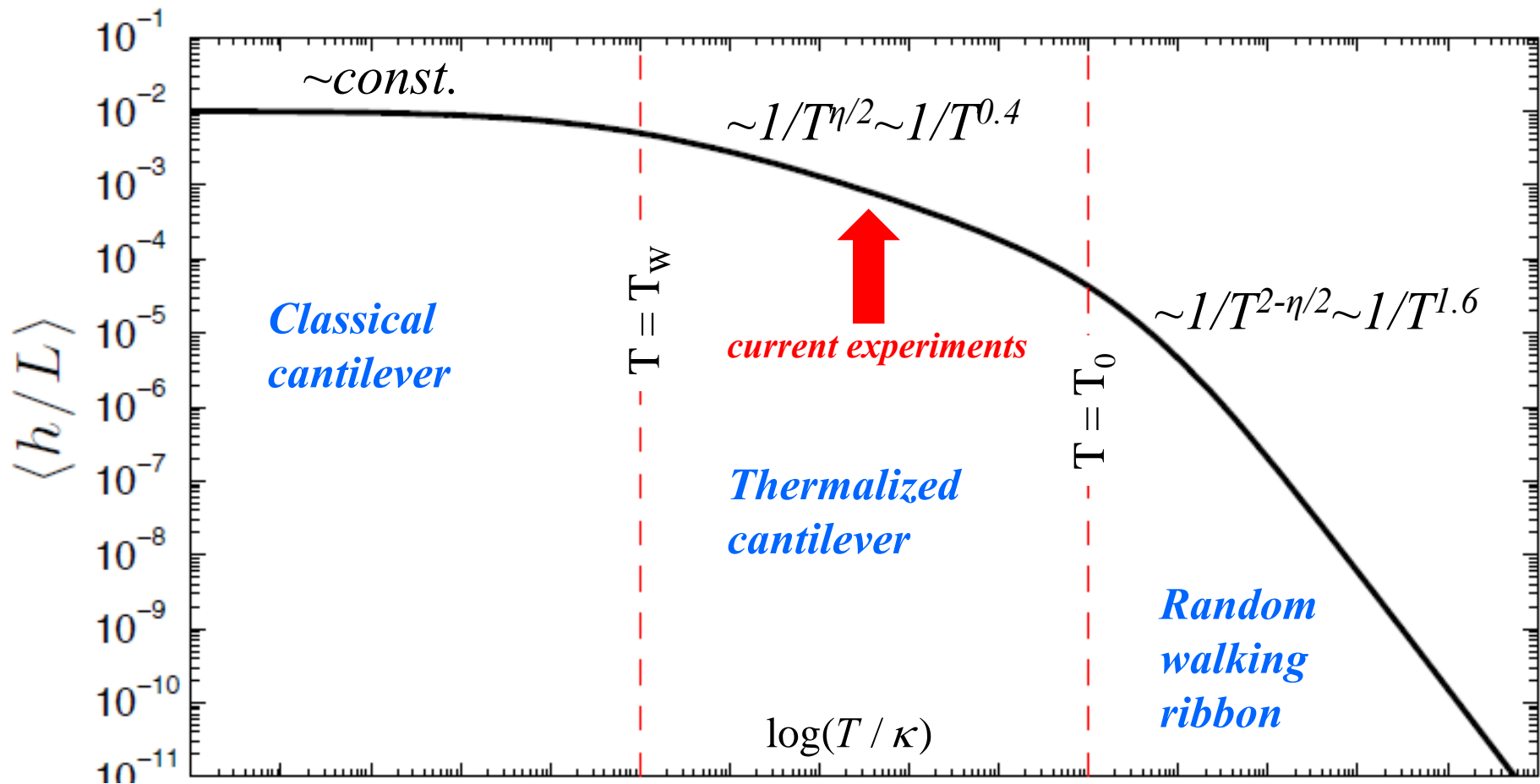
Temperature dependence of cantilever deflection

$$l_{th}(T) = \sqrt{4\pi^3 \kappa^2 / (k_B T Y)}$$

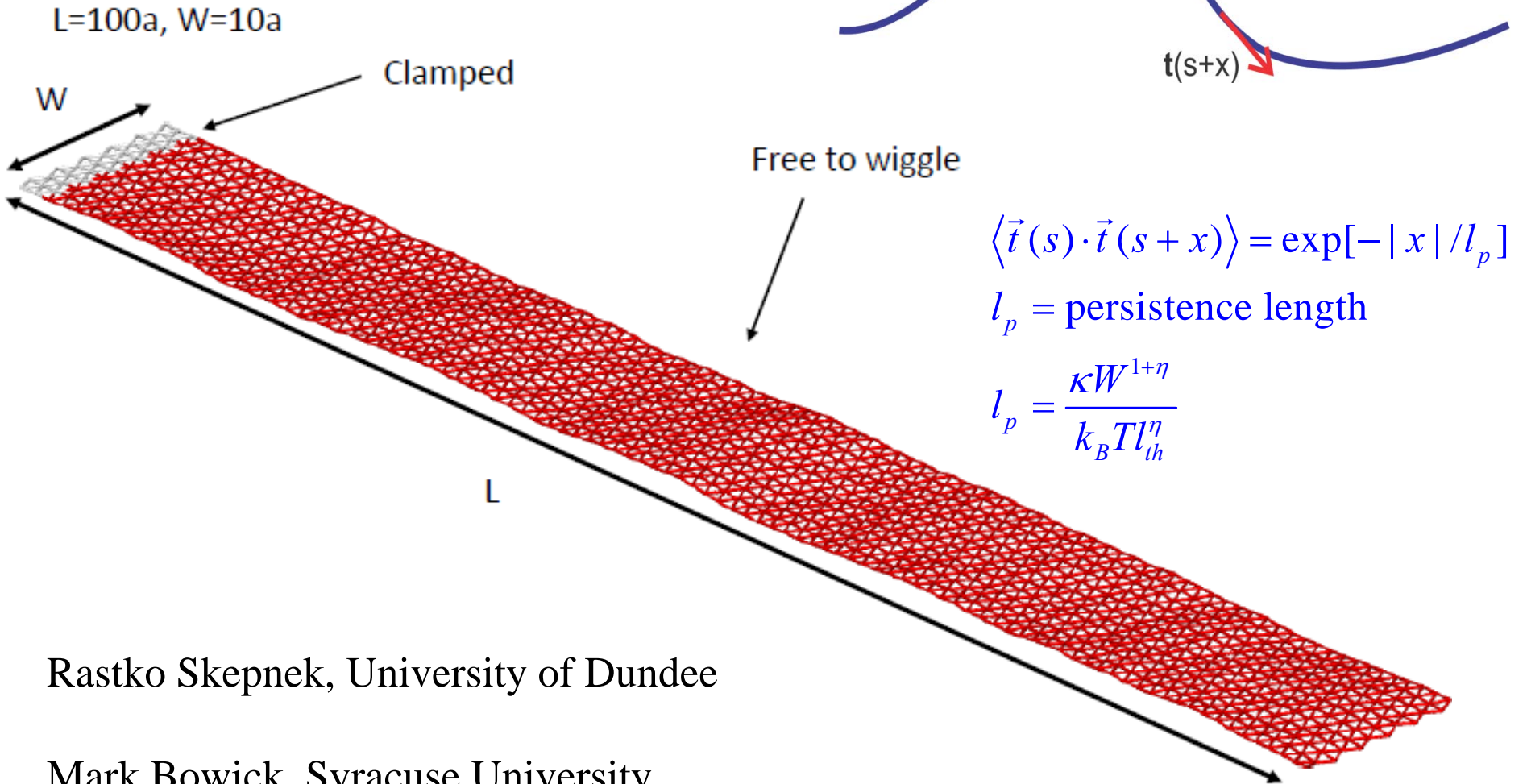
$$l_p(T) = \frac{\kappa}{k_B T} \left(\frac{W}{l_{th}(T)} \right)^\eta \quad W \gg l_{th}(T)$$



*Above T_0 , L exceeds the persistence length
Below T_w , the thermal length exceeds W*



Computer simulations of graphene ribbons



Rastko Skepnek, University of Dundee

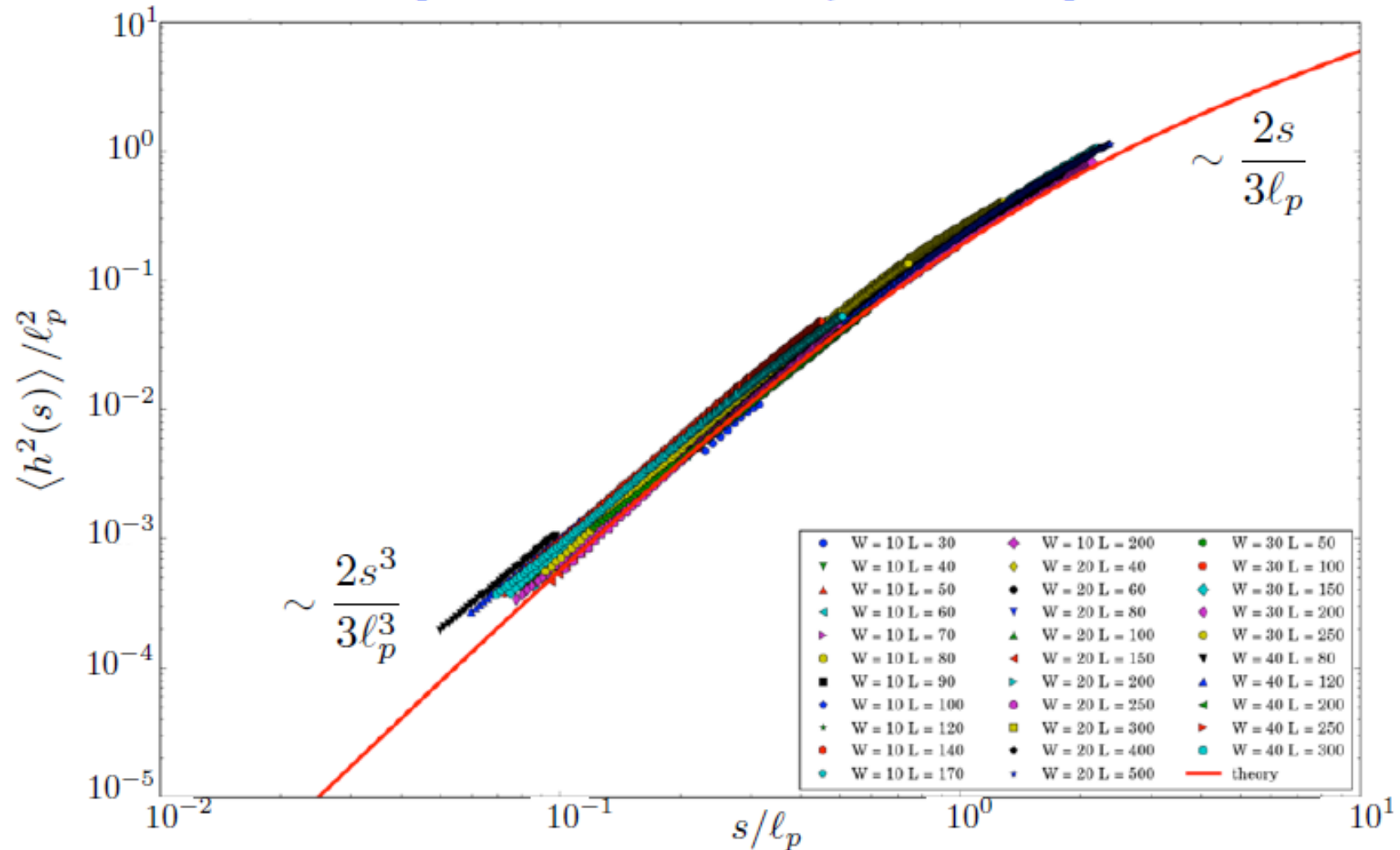
Mark Bowick, Syracuse University

Molecular dynamics simulations of ribbons

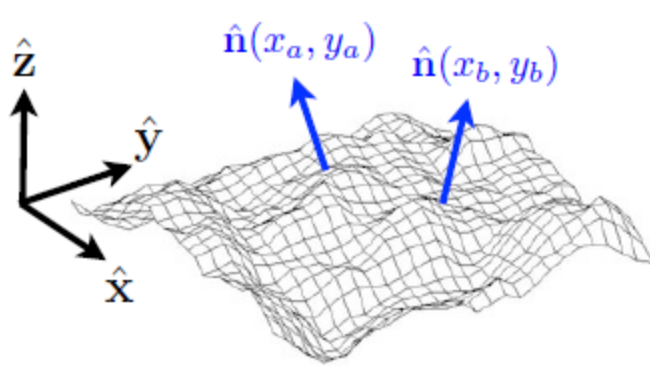


Ribbon fluctuations from molecular dynamics simulations

Data collapse without adjustable parameters!



Future directions: flat vs. crumpled phases



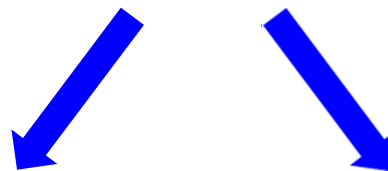
$$\hat{\mathbf{n}}(x, y) = \frac{1}{\sqrt{1 + (\nabla f)^2}} \begin{pmatrix} -\partial_x f \\ -\partial_y f \\ 1 \end{pmatrix}$$

$$\kappa_R(q) \sim \kappa / (q \ell_{\text{th}})^\eta \quad \ell_{\text{th}} \sim \kappa / \sqrt{k_B T Y} \\ \eta \approx 0.82$$

$$\langle \hat{\mathbf{n}}(\mathbf{r}_a) \cdot \hat{\mathbf{n}}(\mathbf{r}_b) \rangle = 1 - \int \frac{d^2 \mathbf{q}}{(2\pi)^2} \frac{k_B T}{\kappa_R(q) q^2} \left[1 - e^{i\mathbf{q} \cdot (\mathbf{r}_b - \mathbf{r}_a)} \right]$$

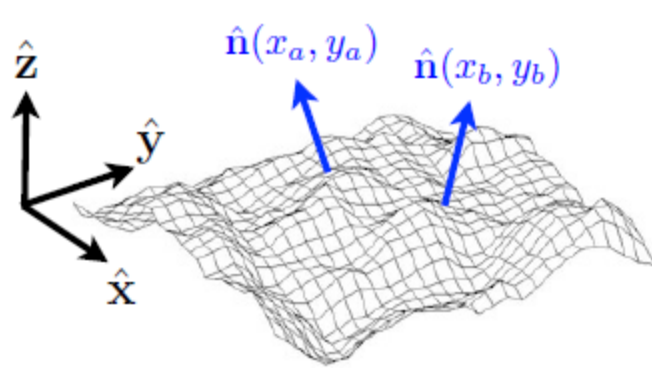
$$\langle \hat{\mathbf{n}}(\mathbf{r}_a) \cdot \hat{\mathbf{n}}(\mathbf{r}_b) \rangle \approx 1 - \frac{k_B T}{2\pi\kappa} \left[\eta^{-1} + \ln \left(\frac{\ell_{\text{th}}}{a_0} \right) \right] + C \frac{k_B T}{\kappa} \left(\frac{\ell_{\text{th}}}{|\mathbf{r}_b - \mathbf{r}_a|} \right)^\eta$$

Normal-normal correlations approach constant value at large separation.



OR

Future directions: flat vs. crumpled phases



$$\hat{\mathbf{n}}(x, y) = \frac{1}{\sqrt{1 + (\nabla f)^2}} \begin{pmatrix} -\partial_x f \\ -\partial_y f \\ 1 \end{pmatrix}$$

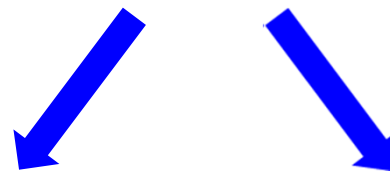
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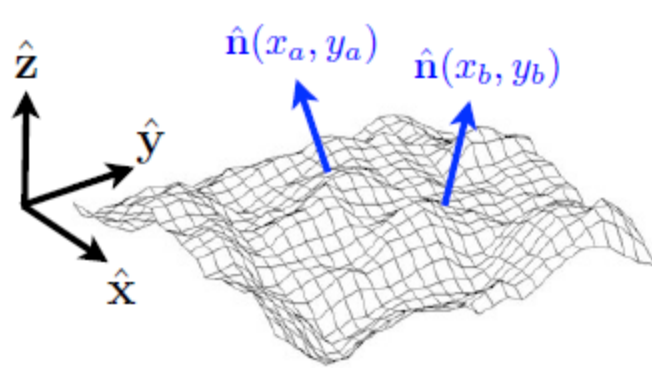
Normal-normal correlations approach constant value at large separation.

*Projected Area $\sim L^2$
low T*



OR

Future directions: flat vs. crumpled phases



$$\hat{\mathbf{n}}(x, y) = \frac{1}{\sqrt{1 + (\nabla f)^2}} \begin{pmatrix} -\partial_x f \\ -\partial_y f \\ 1 \end{pmatrix}$$

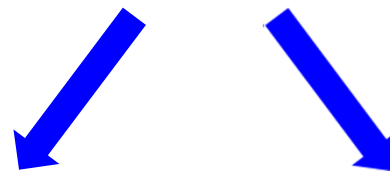
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Normal-normal correlations approach constant value at large separation.

Projected Area $\sim L^2$
low T

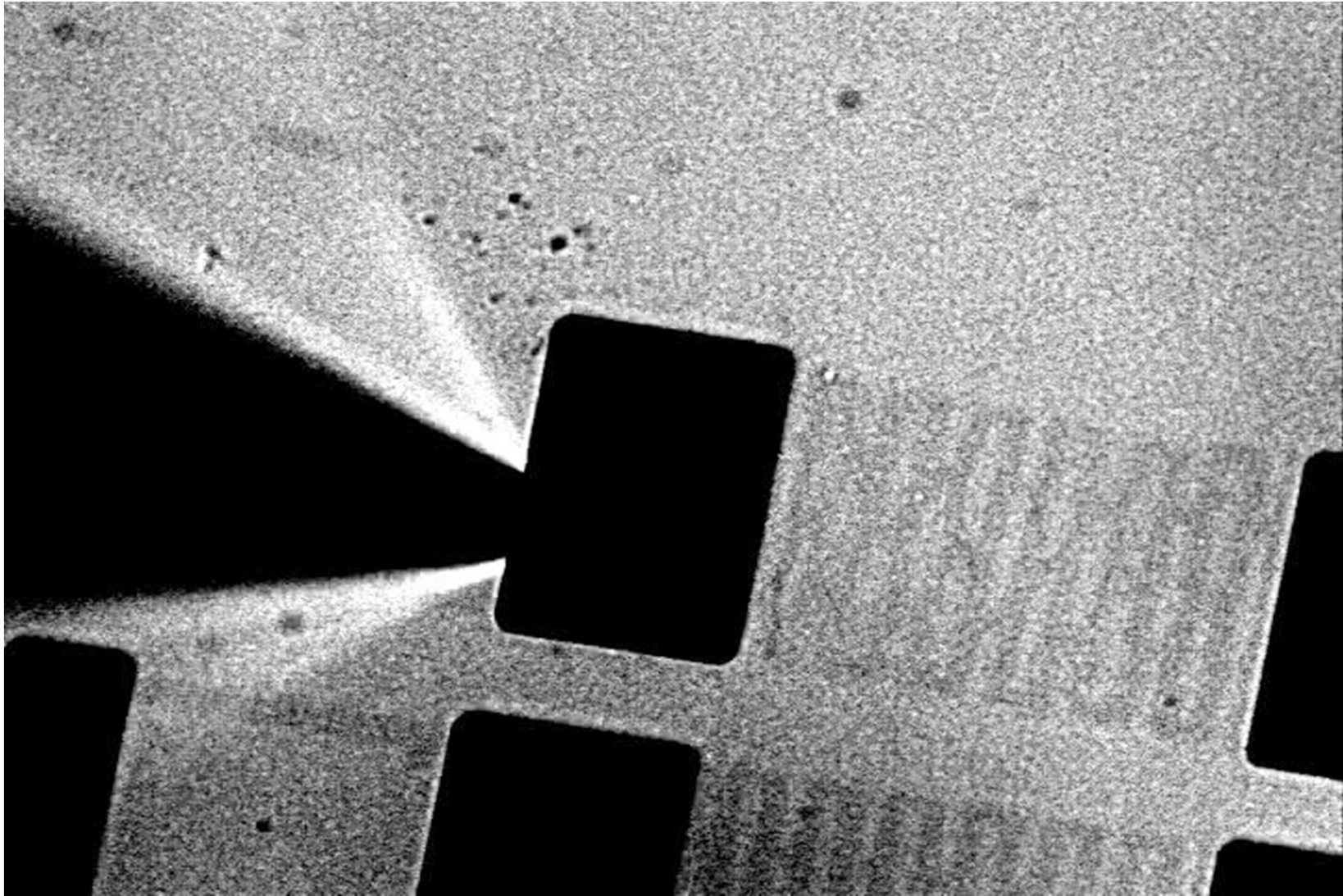


OR



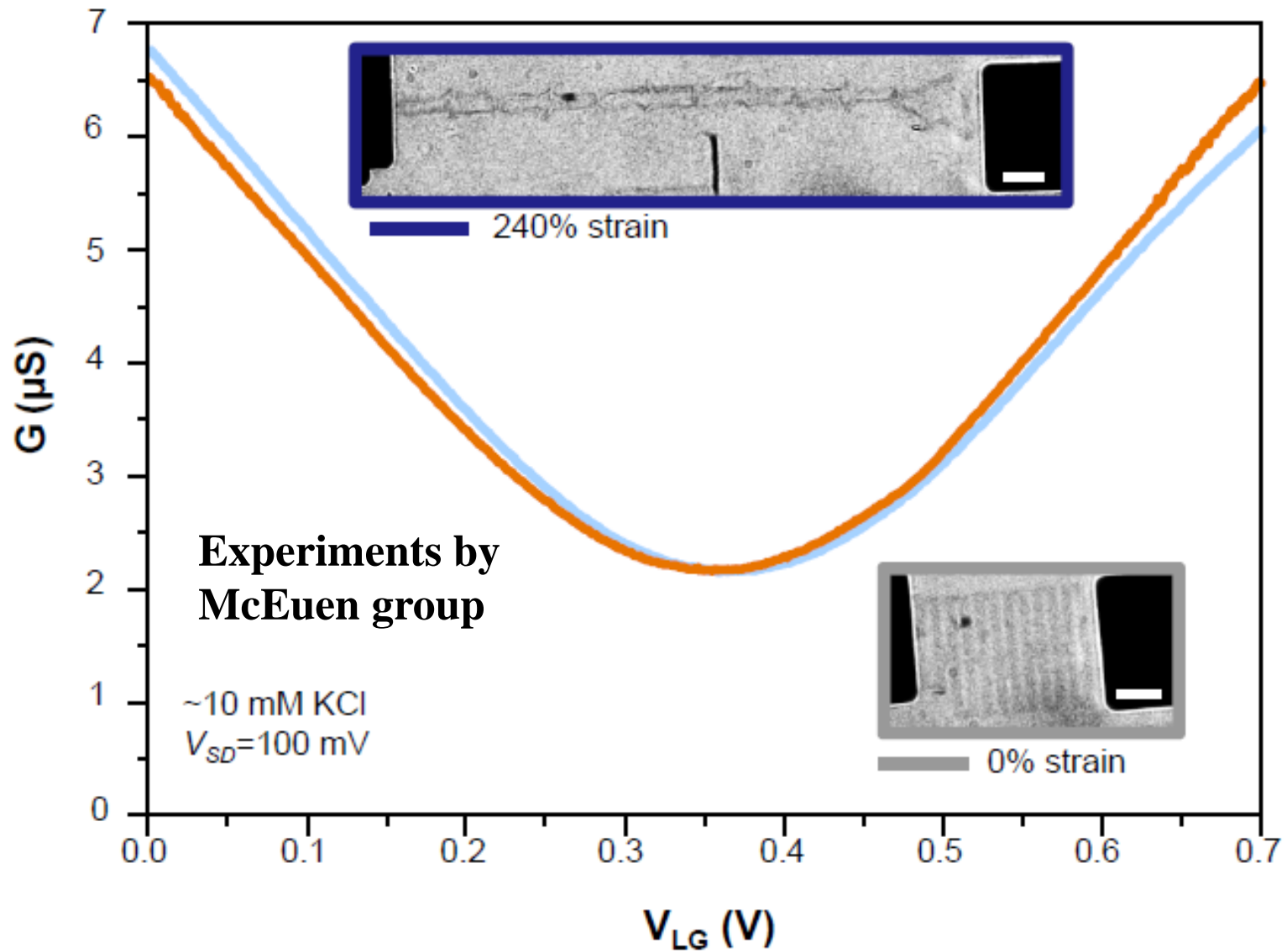
Projected area $\sim L^{8/5}$
high T

Future directions: new, atomically thin springs



10 μm

Electrical conduction of graphene spring as a function of strain & gate voltage





Crumpled paper experiment

Thank you!!

Figure: Melina Blees, Cornell

Critical phenomena without critical points: Theory of free-standing graphene ribbons

Nonlinear equations of thin plate theory

- nonlinear bending and stretching energies
- $\nu K = \text{Föppl-von Karman number} = YR^2/\kappa \gg 1$

Remarkable effect of thermal fluctuations

- entire low temperature flat phase characterized by critical fluctuations; “self-organized criticality”
- strongly scale-dependent bending elastic parameters

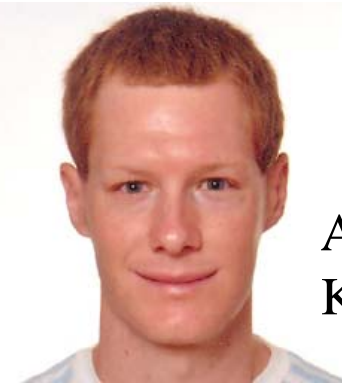
Graphene (and BN, MoS₂, WS₂, ...?)

- $\nu K \sim 10^{13}$! “Moore’s Law limit of thinness...”
- Thermal fluctuations dominate for $l > l_{th} = 0.15\text{nm}$...
- Bending rigidity at room temperature enhanced 6000-fold
- Anomalous properties of ribbons, crumpling transition, etc.

Luca Peliti
Mehran Kardar
Yantor Kantor

Recent experiments:
Paul McEuen group
(Cornell)

Recent theory:
Mark Bowick
Rastko Sknepnek &



Andrej
Kosmrlj