

## Renormalization Groups for Fermion (II) [Shankar]

- We shall first consider  $(1+1)$ -Dimensional

- ▲ NOTE that 1D is special since there is no alternative pathway. Two particles cannot pass through each other without collisions.

- ▲ Take  $\mathcal{H} = -\sum_n (\gamma_n^\dagger \gamma_{n+1} + h.c.) + U \sum_n \gamma_n^\dagger \gamma_n \gamma_{n+1}^\dagger \gamma_{n+1}$

- ▲ In momentum space,  $\mathcal{H}_0 = -\sum_k \gamma_k^\dagger \gamma_k \cos k$ .

→ the system is a perfect metal, with

gapless excitation.

- ▲ Instead, if  $U \rightarrow \infty$ , there are 2 degenerate ground state

either  $\dots \circ \times \circ \times \circ \circ \dots$

or  $\dots \times \circ \times \circ \times \circ \dots$

And the gap is seen to be  $U$ :

- ▲ Hence we expect a phase transition somewhere at  $U=0$  metal  $\xrightarrow{U=0} \star \star \star$

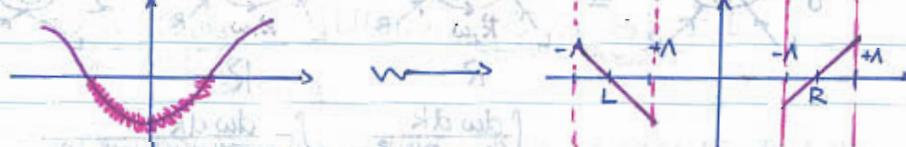
- ▲ The simplest way to consider mean-field:

$$\langle \gamma_n^\dagger \gamma_n \rangle = (-1)^n \Delta$$

Solve for spectrum and minimize w.r.t.  $\Delta$

- ▲ Meanfield predicts phase transition precisely at  $U=0$ , i.e., arbitrary perturbative  $U$  drives the system into CDW.

- ▲ Now consider RG, we may, for low-energy, consider only region around  $E_F$ , and linearize the spectrum



$$\Rightarrow \mathcal{H}_0 = -\sum_\alpha \int_{-\Lambda}^{\Lambda} dk \gamma_\alpha^\dagger(k) \gamma_\alpha(k) k$$

$$\Rightarrow Z_0 = \int D\bar{\gamma} D\bar{\gamma}^\dagger e^{-S_0}; S_0 = \sum_\alpha \int_{-\infty}^{\infty} \int_{-\Lambda}^{\Lambda} dw dk \bar{\gamma}_\alpha(w, k) (i\omega - k) \gamma_\alpha^\dagger(w, k)$$

- ▲ Under  $\Lambda \rightarrow \Lambda/s$ ,  $S_0 \rightarrow \sum_\alpha \int_{-\infty}^{\infty} \int_{-\Lambda/s}^{\Lambda/s} dw dk \bar{\gamma}^\dagger(i\omega - k) \gamma_\alpha^\dagger$

Change variable  $k \mapsto k' = sk$ ;  $\omega' \mapsto \omega' = sw$

$$\Rightarrow S_0 \mapsto \sum_\alpha \int_{-\infty}^{\infty} \int_{-\Lambda/s}^{\Lambda/s} dw dk' \bar{\gamma}^\dagger\left(\frac{w}{s}, \frac{k'}{s}\right) \gamma_\alpha^\dagger\left(\frac{w}{s}, \frac{k'}{s}\right)$$

[Exercise] (I) no mass, self-energy, no self-energy

$$\text{Finally let } \gamma'(\omega, k) = S^{-3/2} \gamma\left(\frac{\omega'}{S}, \frac{k}{S}\right)$$

Then  $S_0$  is invariant.

- Now add in a general 4-fermion interaction:

$$S_4 = \int d1 \int d2 \int d3 \bar{\psi}_L(4) \bar{\psi}_R(3) \bar{\psi}_L(2) \bar{\psi}_R(1) U_{LRRL}(4321)$$

$$\text{where } \int d1 = \int_{-\infty}^{\infty} dw_1 \int_{-\Lambda}^{\Lambda} dk_1, \text{ etc.}$$

and implicitly we have conservation "1" + "2" = "3" + "4".

- Under the transformation  $(\Lambda, k, \omega, 4) \mapsto (\Lambda/s, k, \omega', \gamma')$ ,

we have  $S_4 \mapsto S'_4$ , where the changes occur just on  $U \mapsto U'$ ,  
with  $U'(k', \omega') = U\left(\frac{k'}{s}, \frac{\omega'}{s}\right)$ ;  $U'(4, 3, 2, 1) = U\left(\frac{4}{s}, \frac{3}{s}, \frac{2}{s}, \frac{1}{s}\right)$

- Henceforth we use the shorthand  $k \equiv (k, \omega)$ .

- Taylor expand,  $U(k) = U_0 + U_1 k + U_2 k^2 + \dots$  [assumed short-ranged]

$$U'(k) = U(k/s) \implies \begin{cases} U'_0 = U_0 \\ U'_1 = U_1/s \\ U'_2 = U_2/s^2 \end{cases}$$

Hence only  $U_0$  is important.

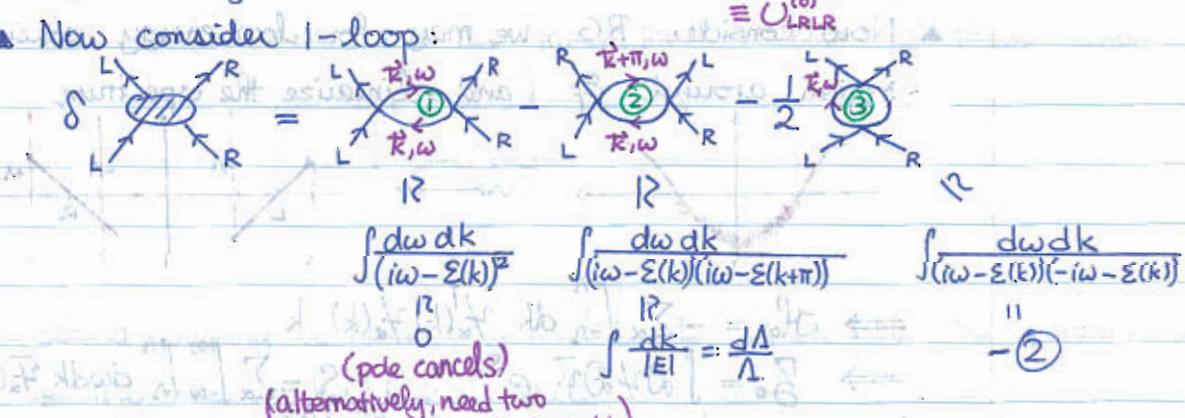
- Next, claim that if  $\alpha = \beta$  or  $\gamma = \delta$  then the term vanishes.

Reason:  $\gamma_L(2) \gamma_L(1) = -\gamma_L(1) \gamma_L(2) \implies U_{LL??} = 0$ , etc.

- Thus the only object we need to consider is:  $U_{RLRL}$ .

- And we argued that at tree level  $U \mapsto U' = U$ .

- Now consider 1-loop:



(pde cancels)  
(alternatively, need two  
 $\int_X^R dk$ , impossible to match)

In ①, the sign of

(L/R)

In ②, sign of internal leg is determined uniquely, while in

③ there is two choices of species. This cancels the 1/2.

[your question] What does it mean in terms of initial Q and?

$$\Delta \text{Conclusion: } \frac{dU}{d\tau} = \beta(U) = 0$$

$W < U \Rightarrow$  The coupling does not flow.  $\Rightarrow$  system remains gapless.

$\Delta$  But then where is the transition?

Answer: by Umklapp term  $\bar{U} = (k_1 - k_2)(k_3 - k_4) \bar{\gamma}_R \bar{\gamma}_L \gamma_L \gamma_R$

This term is irrelevant when expand around Gaussian fixed point, but at intermediate coupling it becomes LESS irrelevant.

$\Delta$  The non-flow of coupling is related to the system being a Luttinger liquid.

Now consider system in  $(2+1)$ -D.

$$\Delta \mathcal{S} = \int d^2K \bar{\psi}^\dagger(\vec{K}) \left( \frac{K^2}{2m} - \mu \right) \psi(\vec{K}) \\ \approx \int d^2k \bar{\psi}^\dagger(\vec{k}) k \psi(\vec{k}) \quad \left[ \frac{K^2}{2m} - \frac{K_F^2}{2m} \approx \frac{K_F^2}{m} (K - K_F) \right]$$

$$\rightarrow S_0 = \int dk d\omega d\theta \bar{\psi}(\omega, k, \theta) (i\omega - k) \psi(\omega, k, \theta)$$

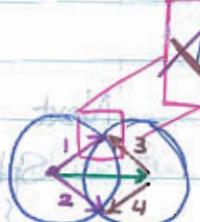
$\Delta$  The scaling  $(\Lambda, k, \omega, \psi) \mapsto (\Lambda/s, k', \omega', \psi')$  is the same as in 1D.

$\Delta$  Again only the constant part of 4-fermion term can be important.

i.e., need only consider  $U = U(\theta_1, \theta_2, \theta_3, \theta_4)$

$\Delta$  But in 2D and limit of very thin shell, only (almost) forward scattering is possible

$$\rightarrow U(\theta_1, \theta_2, \theta_3, \theta_4) = U(\theta_1, \theta_2) = U(\theta_1, -\theta_2) = F(\theta) \quad \text{only "almost" forward scattering}$$



$\Delta$  This explains why in Landau theory we only need ONE f-function  $\delta n(k) \delta n(k') f(k, k')$ , and why we can stop at 2<sup>nd</sup>-order.

\* However, we have another possibility:

This is the BCS channel

