Lecture 3

Mesoscopic effects in hopping conductivity

Topics

- 1. Anomalous transmission of a barrier
- 2. Hopping conductivity through an amorphous film
- 3. Distribution function of hopping conductivity of a finite-size sample
- 4. Hopping conductivity in 1D

Theory of the passage of particles and waves through randomly inhomogeneous media

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system of filaments with random traps **D**-probability to pass through a trap

$$\langle \ln \sigma \rangle = -\overline{N} \ln(1/D), \quad \ln(1/D) > 1 - D$$

average conductance exceeds *exponentially* the conductance of a typical filament

 $\ln \langle \sigma \rangle > \langle \ln \sigma \rangle$

Poisson's distribution

$$p(N) = \overline{N}^{N} e^{-\overline{N}}/N!$$
I.M. Lifshitz

$$\langle \sigma \rangle = \sum_{N=0}^{\infty} D^{N} p(N) = \sum_{N=0}^{\infty} \frac{(D\overline{N})^{N} e^{-\overline{N}}}{N!}$$

$$\ln \langle \sigma \rangle = - \bar{N}(1-D).$$

the product $D^N p(N)$ is maximal for $N = D\overline{N} = N_{opt} < \overline{N}$ sparse filaments with N close to N_{opt}

determine the average conductance



N!

Tunnel transparency of disordered systems



Hopping conductivity of an amorphous film

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Solid State Communications 115 (2000) 281-285

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Hopping transport in systems of finite thickness or length

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Evolution of a 2D network with increasing T The network progresses from independent conducting strands to an interconnected grid:



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Sample of a finite area

Since the distances between punctures are exponentially large, the situation may occur when no optimal puncture is present in a sample

Then the conductivity of a typical sample will be determined by a few punctures of highest transmittance present in the sample





conductivity will strongly depend on the sample area

conductivity will strongly fluctuate from sample to sample

Ensemble of samples should be characterized by the distribution function

Peak position of the distribution function



$$\langle \sigma \rangle = A_0 \int_0^\infty du \ e^{-u} \rho(u) = \frac{A_0}{S_0} \int_0^\infty du \ \exp[-u - \Omega(u)]$$

The integrand has a sharp maximum at $u = u_{opt}$ such that Ω

$$\Omega'(u_{\rm opt}) + 1 = 0$$

$$\ln \langle \sigma \rangle \cong \ln \left(\frac{S_0}{A_0} \langle \sigma \rangle \right) = -u_{\rm opt} - \Omega(u_{\rm opt})$$

Applies when the number of optimal punctures within the sample area is large $S\rho(u_{opt}) \ge 1$

Since $|\ln(S_0 \rho(u_{opt}))| = \Omega(u_{opt}) \ge 1$ the condition of applicability reduces to V

$$v = \frac{\ln(S/S_0)}{\Omega(u_{\rm opt})}$$

For v < 1 the conductivity of a typical sample will be dominated by a few punctures with highest transmission present in the sample this condition defines $u = u_f > u_{opt}$ such that $S\rho(u_f) \sim 1 \implies \Omega(u_f) = v\Omega(u_{opt})$ u_f defines the lower limit in $\int_{u_f}^{\infty} du \,\rho(u) \exp(-u)$ $\ln\left(\frac{S_0}{A_0}\sigma(v)\right) = -v\Omega(u_{opt}) - u_f(v)$ —maximum of the distribution function

Width of the distribution function

variance

determines the width of the the distribution function if $V > V_{d}$ where V_{d} is the solution of $\varphi(v_{d}) = 2$ $\varphi(u_{f}) = v\Omega(u_{opt})$ $\varphi(v) = -\Omega'(u_{f}(v))$ $\psi(v) = \frac{\ln(S/S_{0})}{\Omega(u_{opt})}$

The width of the distribution function of $\ln \sigma$ for $v > v_d$

$$\Delta_0 \sim (\langle (\delta\sigma)^2 \rangle)^{1/2} / \langle \sigma \rangle \sim \exp\left[u_{opt} + (1 - \frac{1}{2}\nu)\Omega(u_{opt}) - u_d - \frac{1}{2}\Omega(u_d)\right]$$
for $\nu < \nu_d$ the width is inversely proportional to $S^{1/2}$

$$\Delta_0 \sim \frac{1}{\sigma(v)} \left(\frac{A_0^2}{SS_0} \int_{u_{\rm f}}^{\infty} du \exp\left[-2u - \Omega(u)\right] \right)^{1/2} = \frac{A_0}{S_0 \sigma(v)} \exp\left[-u_{\rm f}(v) - v\Omega(u_{\rm opt})\right]$$

integration only over punctures present in a typical sample

$$1 < v < v_{\rm d} \Longrightarrow \sigma(v) = \langle \sigma \rangle \Longrightarrow \Delta_0 \sim \exp\left[-u_{\rm f}(v) + u_{\rm opt} + (1-v)\Omega(u_{\rm opt})\right]$$

$$v < 1 \implies \ln\left(\frac{S_0}{A_0}\sigma(v)\right) = -v\Omega(u_{opt}) - u_f(v) \Longrightarrow \Delta_0 \sim 1$$

for $v \leq 1$ uncertainty in $u_{\rm f}$ determines the width of the distribution function $S\rho(u_{\rm f}) \sim 1-2 \implies \delta u_{\rm f} \sim [S\rho'(u_{\rm f})]^{-1} \implies \Delta_0 \sim \delta u_{\rm f} \sim 1/\varphi(v) \gg 1$



evolution of the width of the distribution function with area

$$\Delta_0 \sim \exp\left[-\frac{1}{2}Q_0(v+v^{-1}-2)\right], \quad 1 < v < 2^{1/2}$$
$$\Delta_0 \sim \exp\left[-\frac{1}{4}Q_0(v-4+2^{3/2})\right], \quad v > 2^{1/2}$$

Analytical expression for the distribution function

$$\sigma = \frac{A_0}{S} \sum_{i} n_i \exp(-u_i), \qquad p(n_i) = \frac{\exp(-\bar{n}_i)}{n_i!} \vec{n}_i^n \quad each type of punctures is Poisson-distributed$$

$$Q = -\ln(S_0 \sigma/A_0) \implies f(Q) = e^{-Q} \sum_{n_i=0}^{\infty} \delta\left(e^{-Q} - \frac{S_0}{S} \sum_{i} n_i \exp(-u_i)\right) \prod_{k} p(n_k)$$
Fourier transform of the δ function
$$f(Q) = \frac{e^{-Q}}{2\pi} \int_{-\infty}^{\infty} dt \exp(it e^{-Q}) \times \sum_{n_i=0}^{\infty} \prod_{i} \frac{\exp(-\bar{n}_i)}{n_i!} \left[\vec{n}_i \exp\left(-\frac{itS_0}{S} \exp(-u_i)\right) \right]^{n_i}$$
summation over n_i

$$f(Q) = \frac{e^{-Q}}{2\pi} \int_{-\infty}^{\infty} dt \exp(it e^{-Q}) \prod_{i} \exp\left\{ \bar{n}_i \left[\exp\left(-\frac{itS_0}{S} e^{-u_i}\right) - 1 \right] \right\}$$
in the continuous limit
$$f(Q) = \frac{e^{-Q}}{2\pi} \int_{-\infty}^{\infty} dt \exp\left\{ it e^{-\frac{Q}{2}} S \int_{0}^{\infty} du \rho(u) \left[\exp\left(-\frac{itS_0}{S} e^{-u} \right) - 1 \right] \right\}$$

For
$$v > v_d$$
 the distribution function is gaussian $\Delta = \ln \sigma - \ln \langle \sigma \rangle$
 Δ_0 distribution function of $\ln \sigma$ $f(\ln \sigma) = \frac{\exp(-\Delta^2/2\Delta_0^2)}{(2\pi)^{1/2}\Delta_0}$

 $1 < v < v_{\rm d}$ non- gaussian but the peak position is still determined by optimal punctures

$$f(\ln \sigma) = \frac{1}{\pi \Delta_0} \int_0^\infty dt \, \exp\left(t^\varphi \cos \frac{\pi \varphi}{2}\right) \cos\left(t \frac{\Delta}{\Delta_0} + t^\varphi \sin \frac{\pi \varphi}{2}\right)$$

v < 1 the peak position is determined by punctures with $u = u_f > u_{opt}$

$$f(\ln \sigma) = \frac{e^{\Delta}}{\pi} \int_0^\infty dt \, \exp\left(-t^{\varphi} \cos\frac{\pi\varphi}{2}\right) \cos\left(t \, e^{\Delta} - t^{\varphi} \sin\frac{\pi\varphi}{2}\right)$$



longer tail towards large conductivities



For
$$v < 1$$
 the variance

$$D = \langle (\ln \sigma)^2 \rangle - \langle \ln \sigma \rangle^2$$
is given by
$$= \pi^2 \left(1 \right)^2$$

$$D(v) = \frac{\pi^2}{6} \left(\frac{1}{\varphi^2(v)} - 1 \right)$$

Asymptotic form for small area, $y \ll 1$

$$f(\ln \sigma) = \varphi \exp(-\varphi \Delta - e^{-\varphi \Delta})$$
$$F(\sigma) = \frac{\varphi}{\sigma} \left(\frac{\sigma_{\nu}}{\sigma}\right)^{\varphi} \exp\left[-\left(\frac{\sigma_{\nu}}{\sigma}\right)^{\varphi}\right]$$



the difference in log-transmissions exceeds the width of the distribution function at

 $\delta F \sim F_0 [\beta \Omega(u_f)]^{1/2} / u_f \varphi(v) = F_c \implies$ "individuality" of the sample is forgotten

distribution function of mesoscopic fluctuations of conductivity of a given sample yields the distribution functions over samples VOLUME 8, NUMBER 2

Hopping Conductivity in One Dimension

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Mott's law in 1D $\ln \sigma = -(T_0/T)^{1/2}$ $T_0 = 1/ga$.



resistance of 1D sample



a break in a one-dimensional chain



Conductance in Restricted-Dimensionality Accumulation Layers

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FIG. 3. The log of conductance plotted as a function of $T^{-1/2}$ for various gate voltages (V_g) with the substrate and controls grounded to the source. The solid lines are the best least-squares fits to the data.



FIG. 4. The power laws that best fit the data in Fig. 3 as a function of gate voltage. The error bars are estimated by deleting points from the ends of the fits. The error from the least-squares fit parameters is better. The open triangles are fits to a restricted low-temperature range only.



FIG. 1. The upper part shows an idealized plan of a sample. The two n^+ regions are the source and drain. The p^+ regions are the control electrodes. In this case the *n*-type substrate was $10-\Omega$ -cm Si. The width between the controls was $1-2 \mu$ m. The length of the controls is 14μ m. The lower part shows a section through the device along the dotted lines. The diffusions were about 1μ m deep and the oxide was 300 Å thick. Potential lines are sketched for a positive gate voltage.







FIG. 2. Conductance as a function of gate voltage for three temperatures.

Upon decreasing temperature:

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Origin of the Peaked Structure in the Conductance of One-Dimensional Silicon Accumulation Layers



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FIG. 1. Conductance as a function of gate voltage on an expanded gate voltage scale for selected temperatures. Only the large peak is displayed for the 65- and 36-mK curves.

No flat-topped peaks characteristic of one-hop-controlled processes were observed.

Nonmonotonic Variations of the Conductance with Electron Density in \sim 70-nm-Wide Inversion Layers

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(Received 18 August 1983)

The conductance of metal-oxide-silicon field-effect transistors with ~70-nm-wide inversion layers exhibits nonmonotonic variations with electron density below 15 K. The variations are largest at low electron concentrations and are the result of variations of the activation energy E_A . When E_A is largest the current is found to be limited by spatial barriers which contain tunneling channels at discrete energies, as in the model of Azbel.



FIG. 1. Left: Schematic top view of the narrow-gate MOSFET. The resistivity of the p-type Si substrate is 3 Ω -cm. Right: Cross section through the device along the dotted line in the left figure. Shown are the narrow inversion layer situated under the narrow gate and the boundary of the depletion region.

The narrow

gate is created by first reactive-ion etching a 50-nm step down into the 100-nm-thick gate oxide using photoresist as the mask, and then evaporating Al into the step at a glancing angle to the surface.

As sketched

in Fig. 1, wide gates overlap the n^+ regions so that electrical contact to the narrow inversion layer is made through ~1-mm-wide inversion layers.



FIG. 2. Current vs gate voltage. The arrow indicates the gate voltage (2.585 V) of the deep minimum explored in this Letter.

In Fig. 2 we show

an expanded version of the structure near threshold. When V_G is increased beyond the first few maxima the conductance decreases by as much as three orders of magnitude at 2 K with a gate-voltage change of 0.05 V. Note that the inversion laver contains only 10^3-10^4 electrons because it is so narrow, and the large decrease in the conductance is achieved with an increase of V_G corresponding to the addition of only ~ 200 electrons to the inversion layer.

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Variable-Range Hopping in Finite One-Dimensional Wires

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$$\alpha = 0.02 \qquad -0.5 < E_i < 0.5$$
$$\ln(R_{ij}/\gamma) = 2\alpha |x_i - x_j| + (1/2kT)(|E_i - \mu| + |E_j - \mu| + |E_i - E_j|)$$

 $\ln (R/\gamma) = \frac{1}{8}$

O

lengths.

dependence of the resistance between two sites on the gate voltage

Nα = 20

 Nα = 40 × Na = 80

10

20

T-1/2

FIG. 2. $(\ln(R/\gamma))$ vs $T^{-1/2}$ for different chain

over Fermi level positions

30

percolation simulation in 1D

T=0.00

at low temperatures plateaus are absent

current flows when resistors with $R_{ii} < R_c$ are switched on

in experiment the sample length $\sim 10 \,\mu m$ exceeds $\sim 20-70$ times the localization length

Average log-resistance obeys a 1D

 $\langle \ln R \rangle$

Mott's law

increases with wire length





FIG. 1. $\ln(R/\gamma)$ vs chemical potential μ for several temperatures. The chain length N = 1000 corresponds to 20 localization lengths.





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Qualitative picture of mesoscopic fluctuations: switching of most resistive breaks



rhombus resistance will fall off as

 $\exp(-|\mu-\mu_0|/T)$

Fig. 15. (a) Schematic of internal and mutual break switching in a one-dimensional chain with variation of the Fermi level; (b) Fluctuations of the chain $\ln \sigma$ with variation of the Fermi level. The dashed lines specify the variation of the resistances of individual breaks.

absence of plateaus: sites on opposite sides of the Fermi level never determine the resistance Quantitative description

$$\rho(u) = gTu \ e^{-\Omega(u)} \qquad \Omega(u) = \frac{1}{2}gTau^{2} = Tu^{2}/2T_{0} \qquad v = \frac{\ln(Lv^{1/2}/a)}{\Omega(u_{opt})} = \frac{2T}{T_{0}}\ln\left(\frac{Lv^{1/2}}{a}\right)$$

$$v < 1 \qquad L\rho(u_{f}) \sim 1 \qquad Mott's \ law$$

$$\ln\left(\frac{R(v)}{\Re_{0}}\right) = u_{f}(v) = \frac{v^{1/2}T_{0}}{T} = \left\{2\frac{T_{0}}{T}\ln\left[\frac{L}{a}\left(\frac{T}{T_{0}}\ln\frac{L}{a}\right)^{1/2}\right]\right\}^{1/2}$$

$$typical \ log-resistance$$

function $\varphi(v)$ for 1D hopping

Width of the distribution function:

$$\varphi(\mathbf{v}) = \mathbf{v}^{1/2} = \Omega'(u_{\rm f}(\mathbf{v}))$$

$$\Delta \sim \frac{1}{\mathbf{v}^{1/2}} = \left(\frac{T_0}{2T}\right)^{1/2} \ln^{-1/2} \left[\frac{L}{a} \left(\frac{T}{T_0} \ln \frac{L}{a}\right)^{1/2}\right]$$

$$\frac{\Delta}{\langle \ln R \rangle} = \frac{T}{T_0 \nu} = \frac{1}{2 \ln \left[\frac{L}{a} \left(\frac{T}{T_0} \right)^{1/2} \right]} \Rightarrow strong fluctuations$$

"Period "of mesoscopic fluctuations





 $\Delta^2 = \langle (\ln \rho - \langle \ln \rho \rangle)^2 \rangle$

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New aspects of variable-range hopping in finite one-dimensional wires

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ensembles) and N = 9000 sites (36 ensembles), respectively Note the high-resistance tails, and that the distribution of the longer chain is narrower.

Wide samples



Fig. 21. Mesoscopic fluctuations in the conductance of MOSFET with variation of gate voltage (Laiko et al. 1987) for different temperatures.



Fig. 20. (a) Cross-section of MOSFET (Orlov et al. 1986, Laiko et al. 1987): 1, conducting channel in GaAs; 2, depletion region; 3, semi-insulating GaAlAs layer; 4, conducting substrate; (b) Band diagram of the structure.





Statistical properties of mesoscopic conductivity fluctuations in a short-channel GaAs field-effect transistor

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FIG. 1. Conductivity fluctuations in the channel of a GaAs FET at various temperatures T:1.5; 2.0; 2.5; 3.0; 3.5; 4.2; 6.0; 8.0 K (curves 1–8).



1951 - 2010



FIG. 3. Histogram of the distribution of the log conductivity of the experimental dependences for the three temperatures 1.5 (a), 4.2 (b) and 8 K (c) (curves 1,6 and 8 of Fig. 1) after subtracting the monotonic part from them. The smooth curves show the corresponding approximating functions.





for
$$|1 - \varphi| \ll (\Omega''(u_{opt}))^{1/2}$$

$$f(Q) = \frac{1}{\pi w} \int_{0}^{\infty} \exp\left(-\frac{\pi x}{2}\right) \cos\left(x\frac{\Delta_{i}}{w} - x\ln x\right) dx$$

FIG. 4. Histogram resulting from averaging over the data reduced to T = 1.5 K for eight temperatures, and the corresponding theoretical distribution function of the log conductivity.

the experimental histograms

for all eight temperatures are approximated by the same function (11), in which the parameter w(T) is determined each time by minimizing the mean-square deviation of the theoretical curve from the experimental histogram.

FIG. 5. Temperature dependence of the channel conductivity for various values of $|V_g|$: 1—1.105; 2—1.117; 3—1.148; 4—1.157; 5—1.195; 6—1.200; and 7—1.241 V (curves 1–6 are arbitrarily shifted along the ordinate axis).



as the temperature is increased a transi-

tion should occur from the regime of chainlike conduction to a regime where the sample conductivity is determined by a rather small number of regions having the form of branched clusters.

FIG. 6. Temperature dependence of the experimental value of the width w of the distribution function and the calculated parameters w and Q_0 for two values of the frequency $\tau_{\rm ph}^{-1}$: 10¹¹ sec⁻¹ (curve 1) and 10¹² sec⁻¹ (curve 2).

Distribution-function analysis of mesoscopic hopping conductance fluctuations

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FIG. 3. Conductance fluctuations from a $1.8 \times 0.2 \ \mu m$ 1D GaAs device and experimental DF's obtained from five adjacent gate-voltage intervals spanning the characteristic. At low gate voltages, the distribution is no longer exponentially wide while at high gate voltages the conductance becomes too small to measure. In between is a region where good fits to the theoretical 1D DF (solid curves) can be obtained.

FIG. 8. (a) DF's obtained from a $2 \times 100 \ \mu m$ Si MOSFET as a function of magnetic field and their fits to the 2D theory. (b) The average magnetoconductance obtained by the direct and fitting methods is negative. (c) The standard deviation of the distribution obtained by the two methods increases slightly.



FIG. 5. Temperature dependence of the fluctuations from a 19.4×0.6 μ m Si MOSFET. (a) Experimental DF's fit by the 1D theoretical form. (b) Fit to Eq. (1) of the average of ln*G* obtained both directly (hollow circles) and from the position Δ_0 of the fitted DF's (filled circles). (c) Temperature dependence of the fluctuation amplitude *s* both measured by the standard deviation of the data points (hollow circles) and calculated from the fits to the 1D DF's (filled circles). The gradient of the latter yields a $T^{0.65}$ power law. (d) Fitting the fluctuation amplitude to a $T^{1/2}$ power law yields the prefactor 0.35.



Fits of these data to Eq. (1) averaged over the marked gate-voltage intervals together with the values of T_0 extracted below 0.3 K.



FIG. 4. Distribution functions obtained from three Si MOS-FET's fabricated on the same chip showing the characteristic 1D and 2D asymmetries. Lithographic channel dimensions are (length \times width): (a) 2×100 μ m fit by 2D DF, (b) 5×2 μ m fit by 1D DF, and (c) 1.5×100 μ m fit by a Gaussian. Inset are the regions of the characteristic from which the histograms were obtained.

Conductance fluctuations in large metal-oxide-semiconductor structures in the variable-range hopping regime

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Conductance fluctuations due to variable-range hopping have been studied in 8-mm-wide silicon inversion layers of large area (3.2 mm²). The temperature dependence of the average logarithm of conductance $\langle \ln G \rangle$ varies with the carrier density N_s from nearly activated to very weak. Fluctuations of $\ln G$ with the chemical potential μ occur on two different scales. The distribution function of the fluctuations in $\ln G$ is also analyzed, and the results are consistent with the model of conduction via exponentially rare, highly conducting, quasi-one-dimensional chains of hops.



on much larger samples with length L = 0.4 mmand width W = 8 mm. Several features of our data are consistent with the model of conduction via quasi-1D chains (punctures).

FIG. 1. Conductance vs gate voltage at (a) T = 0.555 K, (b) T = 0.420 K, (c) T = 0.330 K, (d) T = 0.090 K. Inset: The "best" exponent *n* for different gate voltages. The dashed line is a guide to the eye.



FIG. 2. (a) Fluctuations in the conductance logarithm with the gate voltage at T = 330 mK. (b) Histograms of the distribution of the conductance logarithm for 0.40 V $\leq V_g \leq 0.42$ V at two temperatures.