

**Talk 1. Quasi-particle charge and heat currents  
in *d*-wave superconductor**

**Talk 2. The Nernst effect in vortex liquid state of cuprates**

**Talk 3. Magnetization of vortex liquid state**

**N. P. Ong, Princeton University**

<http://www.princeton.edu/~npo/>

Talk 1

**Quasi-particle charge and heat currents  
in *d*-wave superconductor**

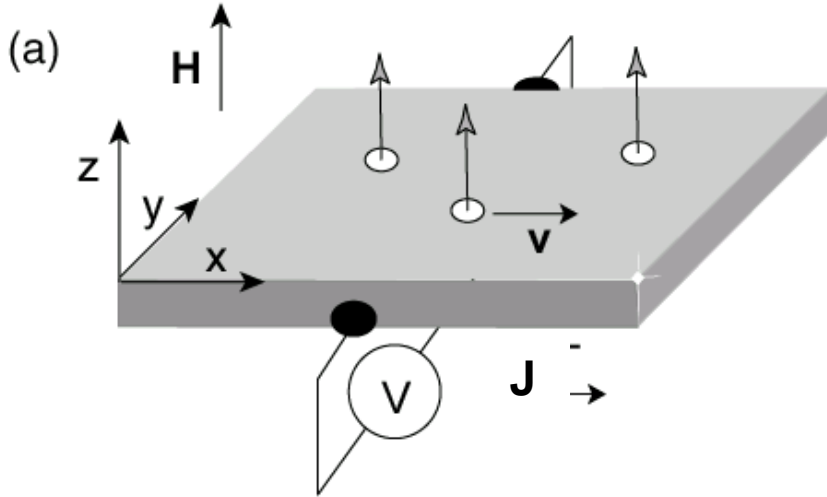
- 1. Introduction : Charge and heat currents  
Hall effect, Nernst effect, Thermal Hall effect**
- 2. The Hall effect in cuprates**
- 3. Quasiparticles and Thermal Hall conductivity**

N. P. Ong, Princeton University

*Collaborators:*

Lu Li, Joe Checkelsky, Yayu Wang, Kapeel Krishana, Wei Li Lee,  
Yuxing Zhang, Jeff M. Harris, Y.F. Yan, P.W. Anderson

## The Hall effect

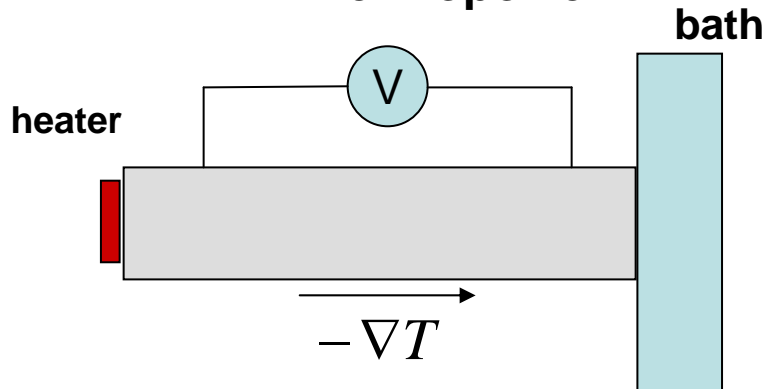


$$J_x = \sigma_{xx} E_x + \sigma_{xy} E_y$$

$$J_y = \sigma_{yx} E_x + \sigma_{yy} E_y$$

$$\mathbf{E} = \vec{\rho} \cdot \mathbf{J}$$

## Thermopower



$$\mathbf{J} = \sigma \mathbf{E} + \alpha (-\partial_x T)$$

$$S = \left( \frac{E}{\nabla T} \right)_{J=0} = \frac{\alpha}{\sigma} \quad \text{Seebeck coef.}$$

# Boltzmann-equation expressions for currents

$$\frac{\partial f_{\mathbf{k}}}{\partial \mathbf{k}} \cdot \dot{\mathbf{k}} + \frac{\partial f_{\mathbf{k}}}{\partial \mathbf{x}} \cdot \mathbf{v}_{\mathbf{k}} = -\frac{g_{\mathbf{k}}}{\tau}$$

$$f_{\mathbf{k}} - f_{\mathbf{k}}^0 = g_{\mathbf{k}}$$

charge  $\mathbf{J} = 2e \sum_k g_{\mathbf{k}} \mathbf{v}_{\mathbf{k}}$

heat  $\mathbf{J}^h = 2 \sum_k (\varepsilon_{\mathbf{k}} - \mu) g_{\mathbf{k}} \mathbf{v}_{\mathbf{k}}$

Charge current  $\mathbf{J} = \sigma \mathbf{E}$

$$g_{\mathbf{k}} = -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} e \mathbf{E} \cdot \vec{\ell}_{\mathbf{k}}$$

$$\frac{\partial f_{\mathbf{k}}}{\partial \mathbf{x}} = \frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \hbar \mathbf{v}_{\mathbf{k}}$$

conductivity

$$\sigma = 2e^2 \sum_k \left( -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \right) v_{\mathbf{k}} \ell_{\mathbf{k}} \cos^2 \vartheta_{\mathbf{k}}$$

Presence of temp. gradient

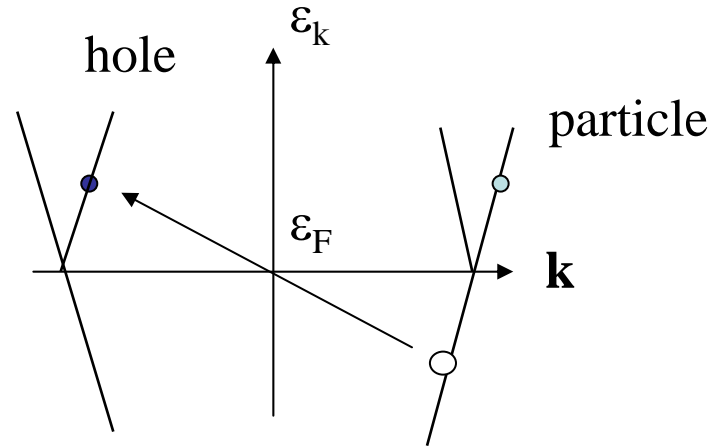
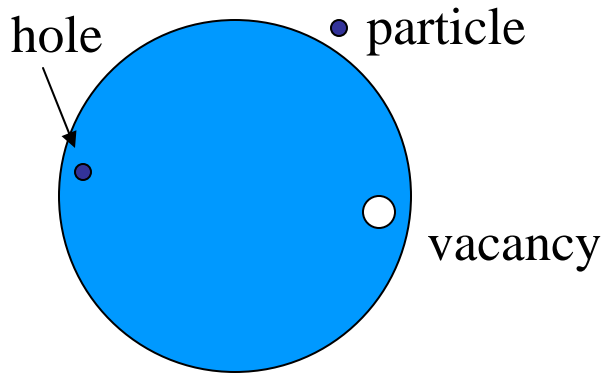
Thermoelectric current  $\mathbf{J} = \alpha (-\nabla T)$

$$g_{\mathbf{k}} = -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \frac{(\varepsilon_{\mathbf{k}} - \mu)}{T} \vec{\ell}_{\mathbf{k}} \cdot (-\nabla T)$$

Thermoelectric cond.

$$\alpha = 2e \sum_k \left( -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \right) \frac{(\varepsilon_{\mathbf{k}} - \mu)}{T} v_{\mathbf{k}} \ell_{\mathbf{k}} \cos^2 \vartheta_{\mathbf{k}}$$

# Quasi-particle excitations in normal state of Fermi liquid

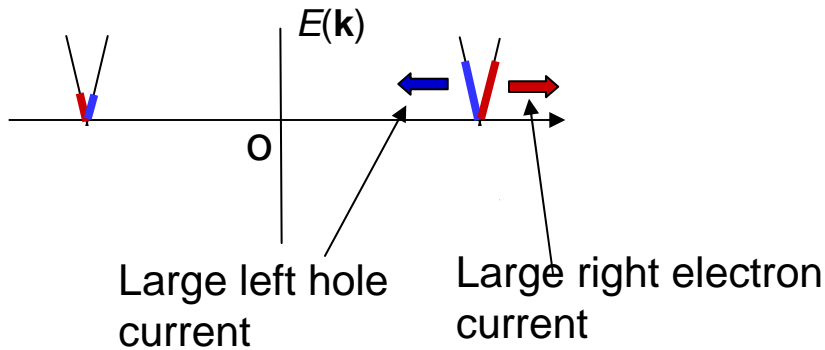
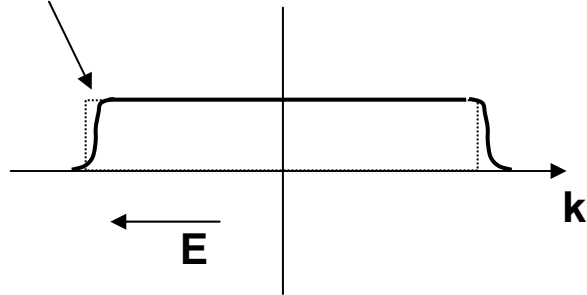


$$h_{k\uparrow}^+ = c_{-k\downarrow}$$

**A spin-down vacancy at  $-k$  translates to a spin-up hole excitation at  $k$**

# Charge and heat currents in the “excitation” representation

Large vacancy population



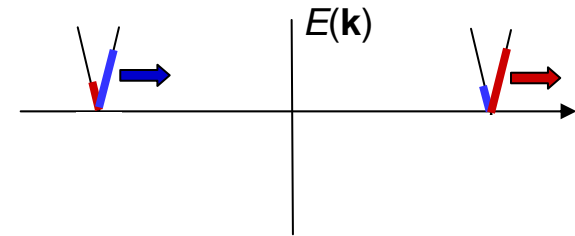
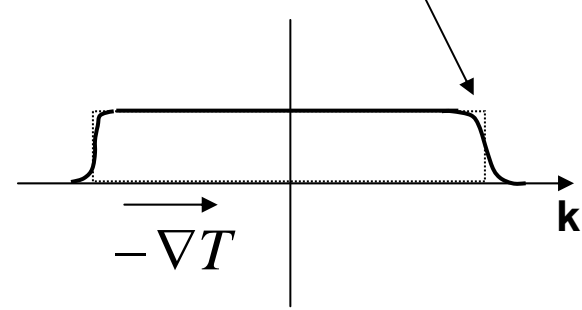
Charge currents add

$$\mathbf{J} = \sigma \mathbf{E}$$

Mass currents nearly cancel.  
Difference is the Peltier heat current

$$\mathbf{J}^h = \tilde{\alpha} \mathbf{E}$$

Large vacancy population



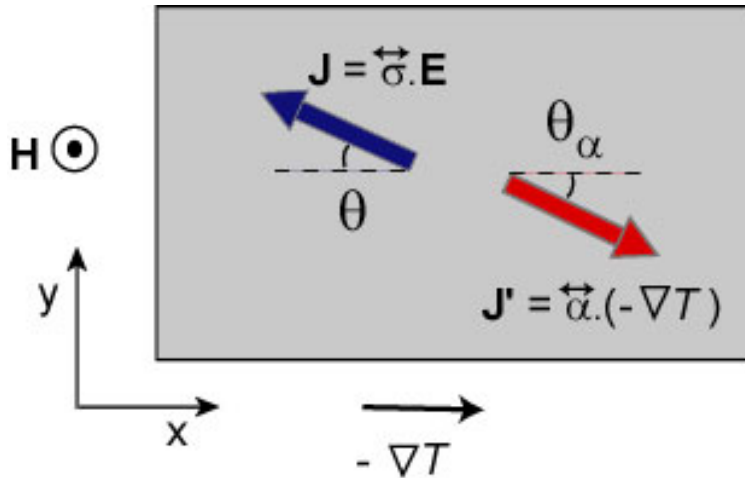
Both mass (entropy) currents flow to right

Mass currents add

$$\mathbf{J}^h = \kappa_e (-\nabla T)$$

Charge currents nearly cancel.  
Difference is the Peltier charge current

$$\mathbf{J} = \alpha (-\nabla T)$$



$$\mathbf{J} = \vec{\sigma} \cdot \mathbf{E} + \vec{\alpha} \cdot (-\nabla T)$$

Open boundaries, so set  $\mathbf{J} = 0$ .

$$\mathbf{E} = -\vec{\rho} \cdot \vec{\alpha} \cdot (-\nabla T)$$

$$E_y = -(\rho \alpha_{yx} + \rho_{yx} \alpha)(-\partial_x T)$$

Off-diag. Peltier cond.

$$\alpha_{xy} = 2e^2 \sum_{\mathbf{k}} \left( -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \right) \frac{\varepsilon_{\mathbf{k}} - \mu}{T} \ell_y \mathbf{v} \times \mathbf{B} \cdot \frac{\partial}{\partial \mathbf{k}} (\ell_x)$$

Measured Nernst signal

$$e_N \equiv \frac{E_y}{|\nabla T|} = \frac{\pi^2 k_B^2 T}{3 e} \frac{\partial \theta}{\partial \varepsilon}$$

Generally, very small because of cancellation between  $\alpha_{xy}$  and  $\sigma_{xy}$

## The 2D Hall conductivity $\sigma_{xy}$

$$\frac{\partial f_{\mathbf{k}}}{\partial \mathbf{k}} \cdot \dot{\mathbf{k}} = -\frac{g_{\mathbf{k}}}{\tau} \quad f_{\mathbf{k}} - f_{\mathbf{k}}^0 = g_{\mathbf{k}} \quad \text{Boltzmann Eq.}$$

$$g_{\mathbf{k}} = -\tau \frac{\partial f_{\mathbf{k}}}{\partial \mathbf{k}} \cdot (e \mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad \text{Eq. of motion}$$

$$J_y = e \sum_{\mathbf{k}} \left( -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \right) e \mathbf{v} \times \mathbf{B} \cdot \frac{\partial}{\partial \mathbf{k}} (e E \cdot \vec{\ell}) v_y \tau \quad \text{Hall current in 2nd order}$$

$$\sigma_{xy} = e^3 B \sum_{\mathbf{k}} \left( -\frac{\partial f_{\mathbf{k}}^0}{\partial \varepsilon} \right) \hat{\mathbf{t}} \cdot \nabla_{\mathbf{k}} (\ell_x) \ell_y \quad \text{Gauss mapping to ...}$$

$$\sigma_{xy} = e^3 B \frac{1}{2} \oint d\vec{\ell} \times \vec{\ell} \quad \text{Area swept out in } e\ell\text{-space!}$$



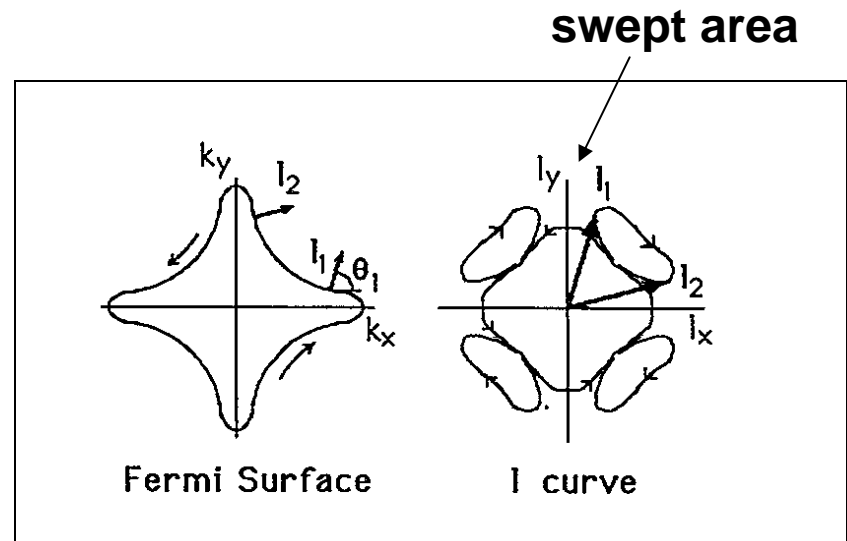
# The 2D Hall conductivity $\sigma_{xy}$

$$\sigma_{xy} = 2(e^3/\hbar)B \sum_{\mathbf{k}} \left[ \frac{-\partial f_{\mathbf{k}}}{\partial \epsilon} \right] (v_y \tau_{\mathbf{k}}) \left[ v_y \left[ \frac{\partial}{\partial k_x} \right] - v_x \left[ \frac{\partial}{\partial k_y} \right] \right] (v_x \tau_{\mathbf{k}}),$$

$$\sigma_{xy} = (e^3/2\pi^2\hbar) \int dk_t |\mathbf{v}|^{-1} [v_y \tau_{\mathbf{k}} (\mathbf{v} \times \mathbf{B}) \cdot \nabla (v_x \tau_{\mathbf{k}})],$$

$$\vec{A} = \frac{1}{2} \oint d\vec{\ell} \times \vec{\ell}$$

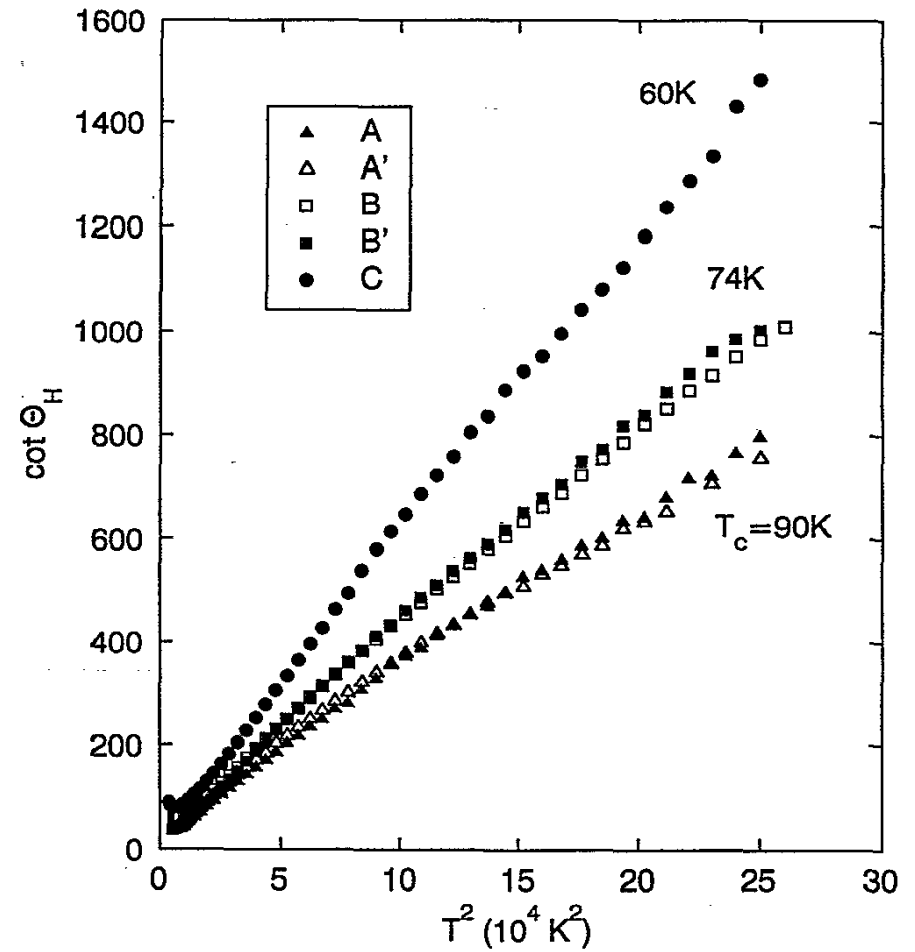
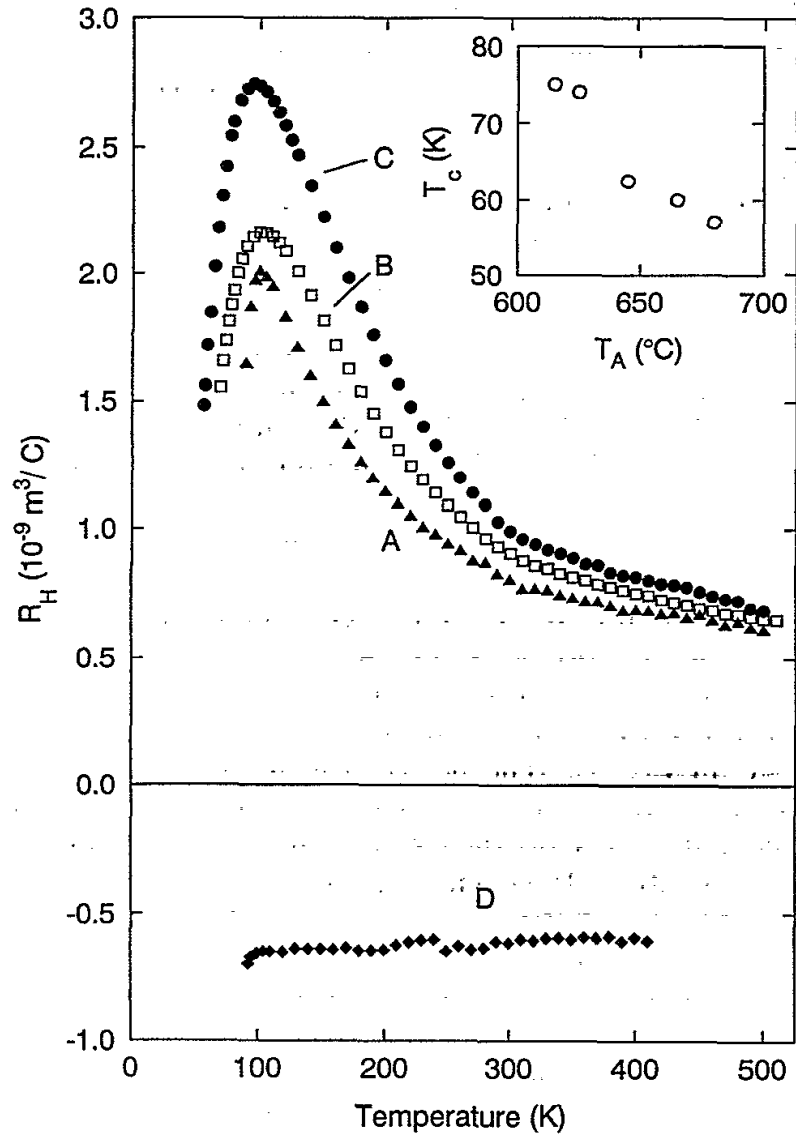
$$\sigma_{xy} = 2(e^2/h) \frac{\mathbf{B} \cdot \mathbf{A}}{\phi_0}$$



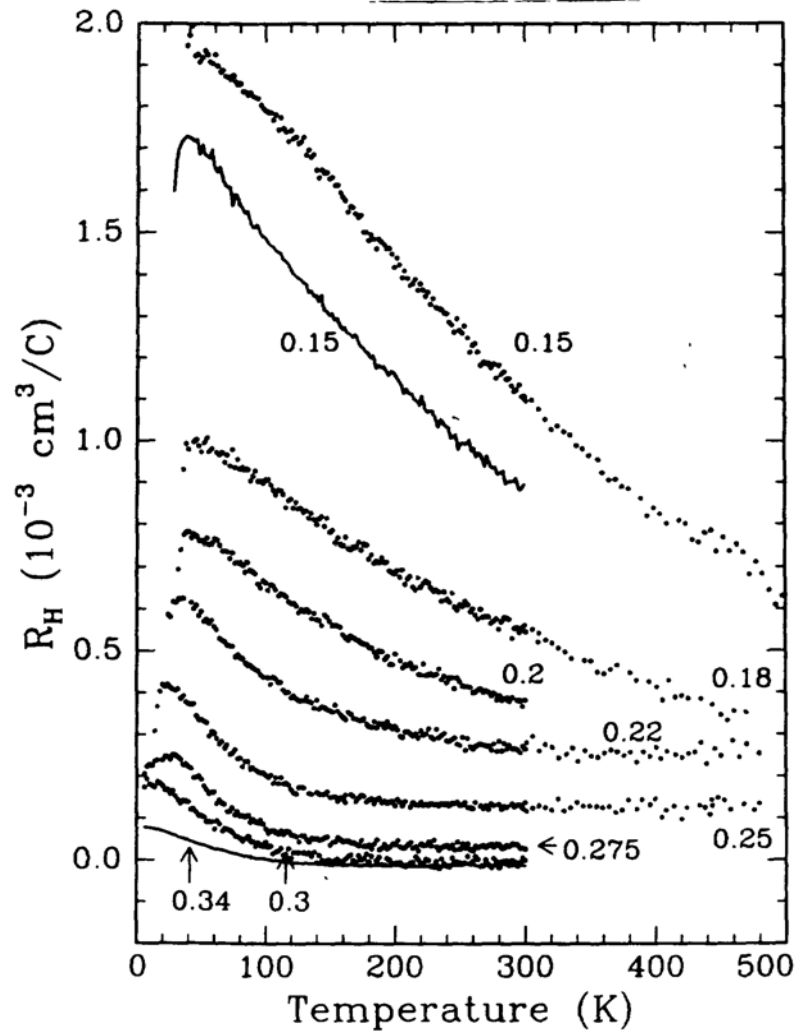
$\sigma_{xy}$  is the area swept out by mfp (for *arb.* anisotropy)

# Temp. dependences of Hall coef. and Hall angle in YBCO

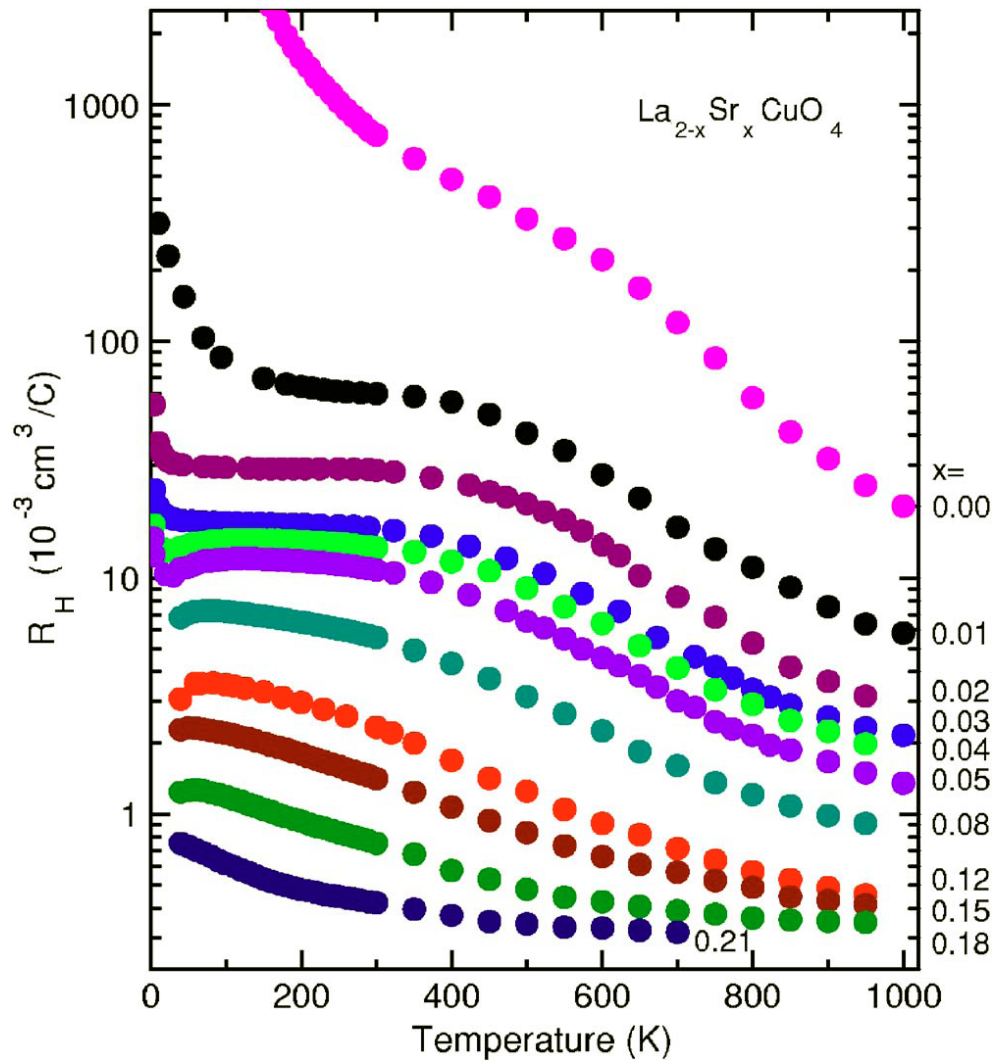
Harris, Yan, NPO, PRB '92



# Similar T dependence of $R_H$ seen in LaSrCuO



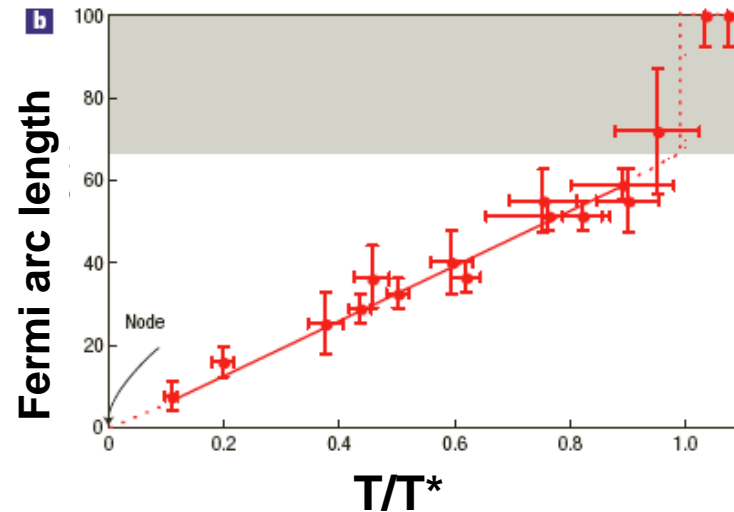
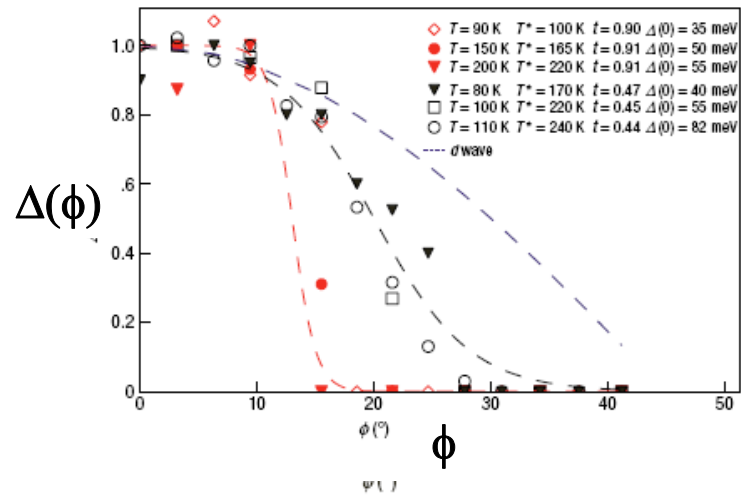
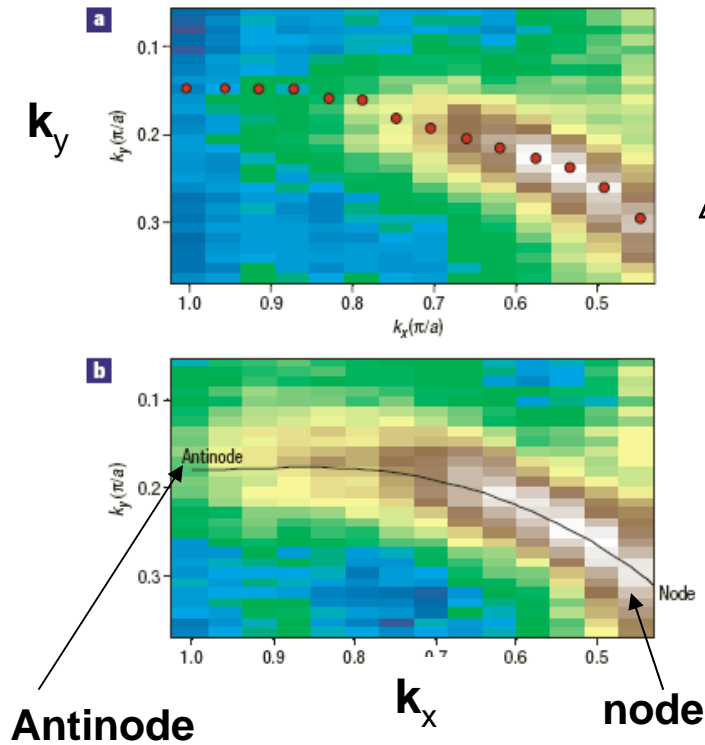
Hwang Batlogg et al., PRL '94

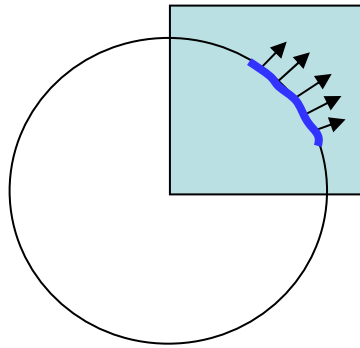


Ono, Komiya, Ando, PRB '07

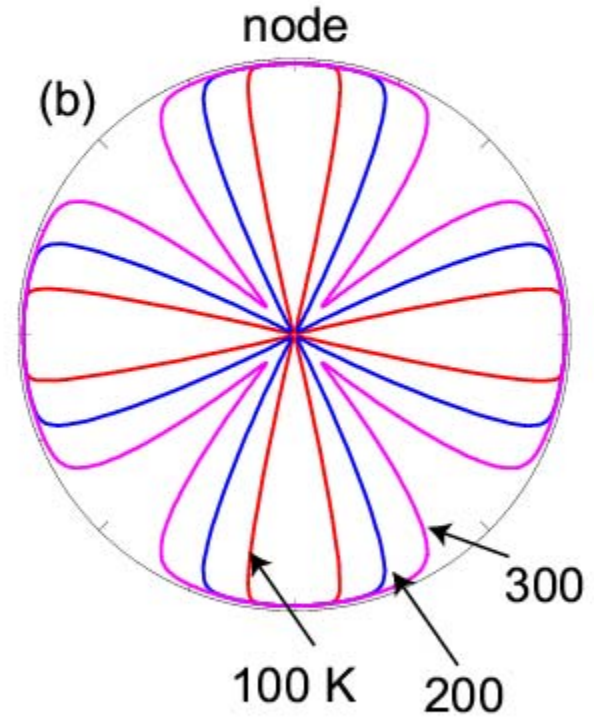
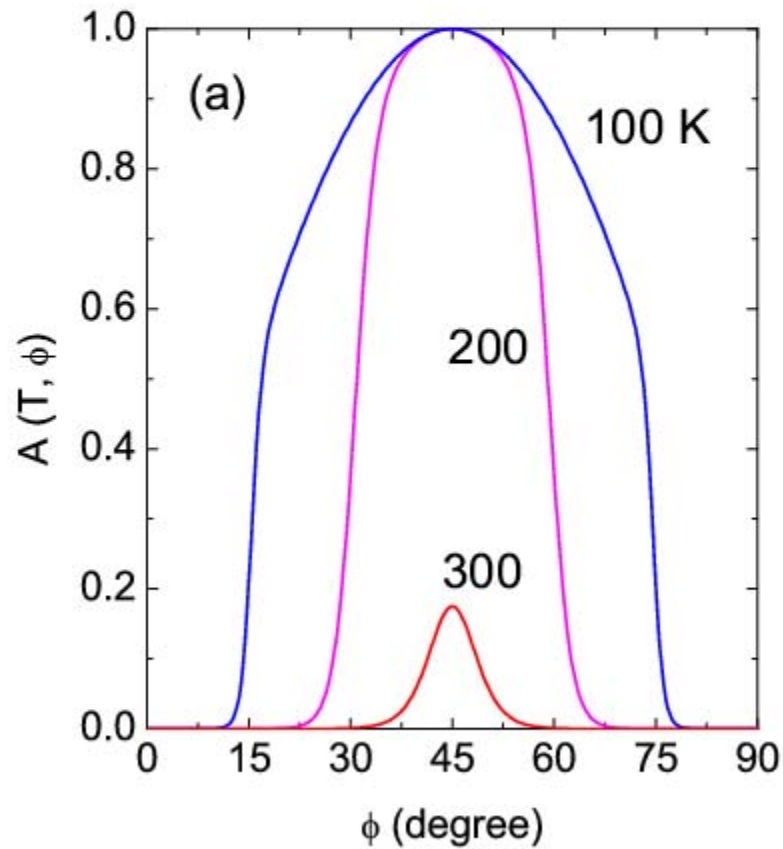
# Evolution of the pseudogap from Fermi arcs to the nodal liquid

Kanigel, Campuzano et al. Nature Phys. 2007



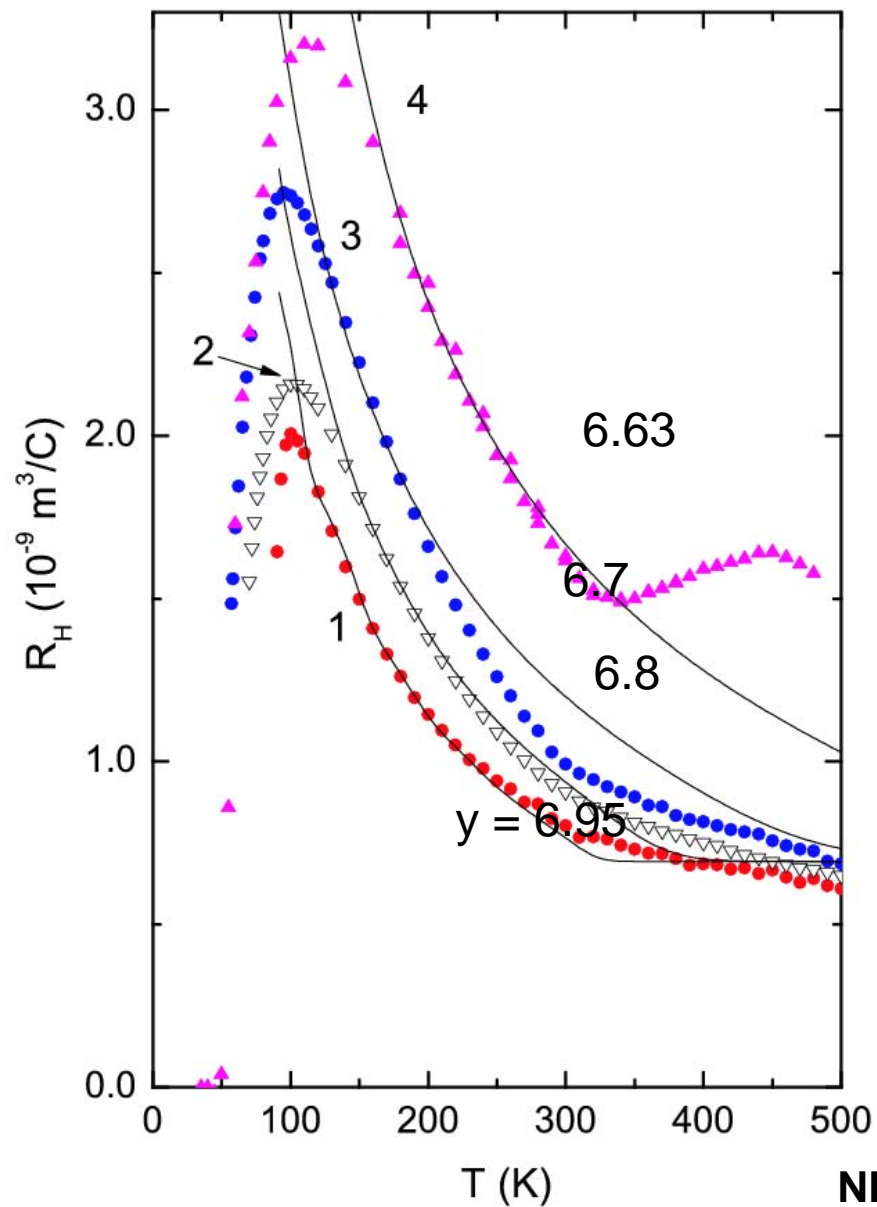


**Model: Only states on Fermi arc have long mean free path**



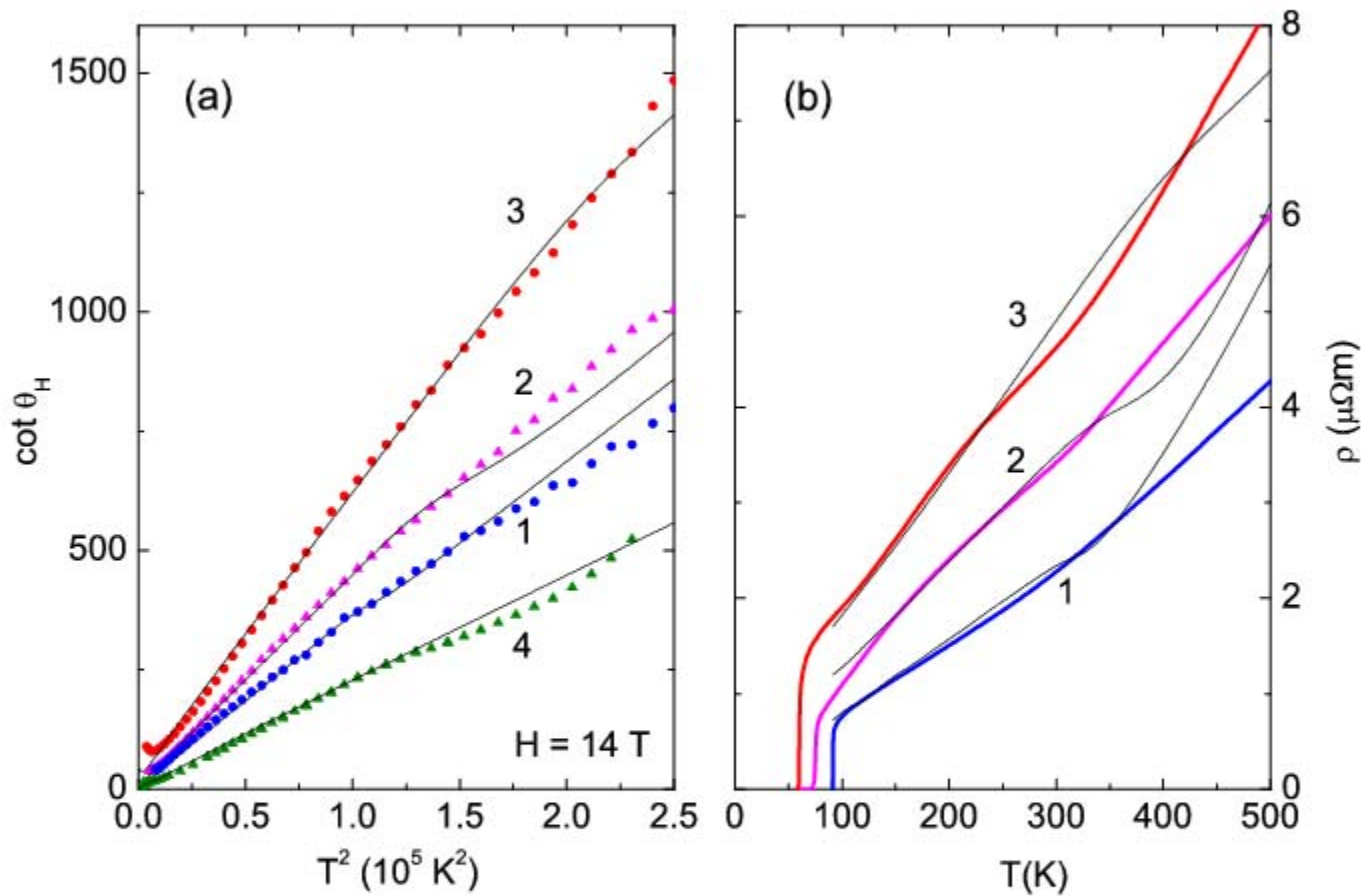
**NPO and Wang unpubl.**

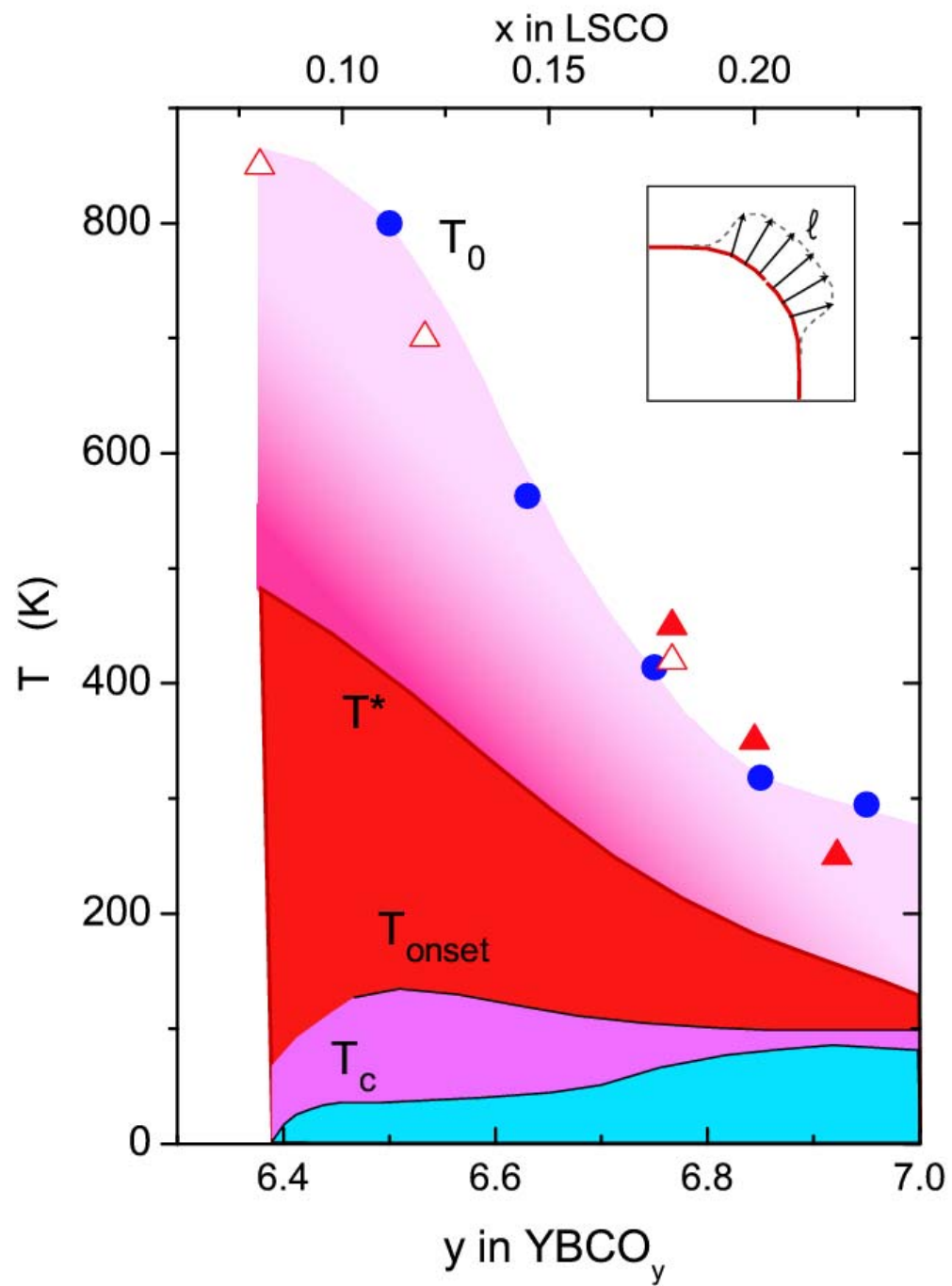
# Fits to T dependence of $R_H$ in YBCO



NPO and Wang unpubl.

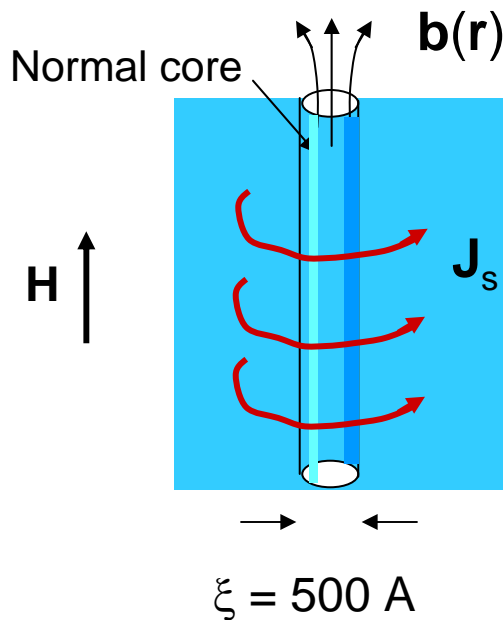
# Fits to $\cot\theta$ and resistivity $\rho$



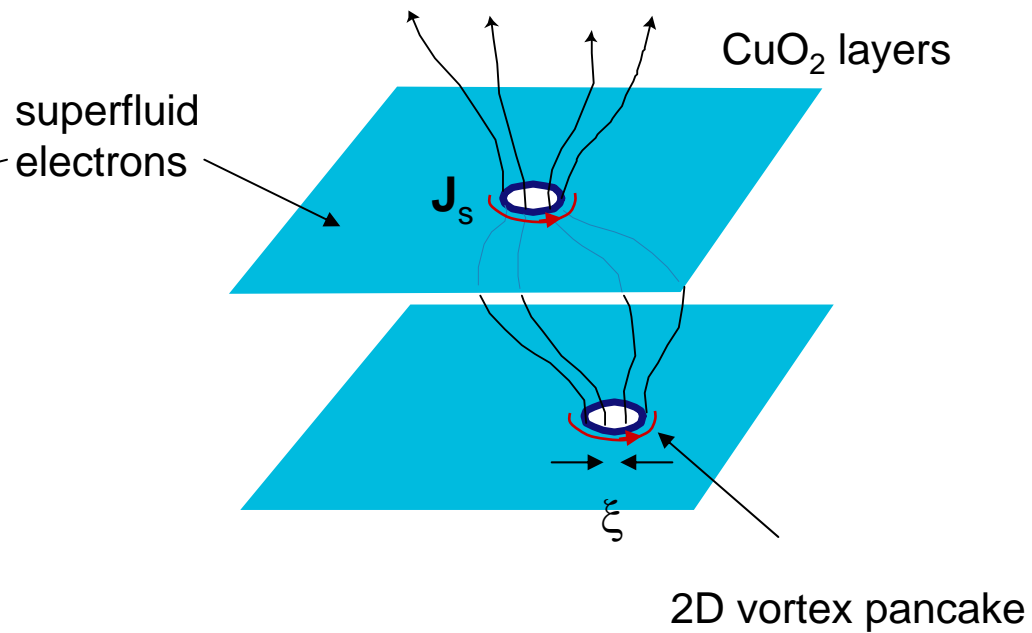




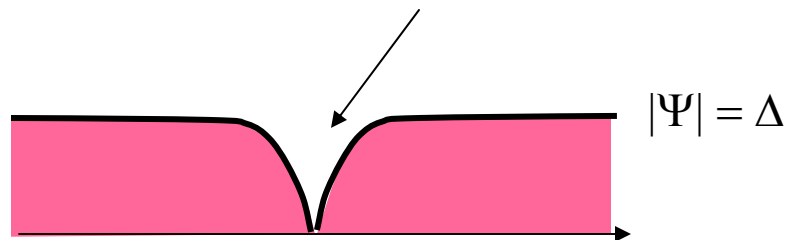
## Vortex in Niobium



## Vortex in cuprates

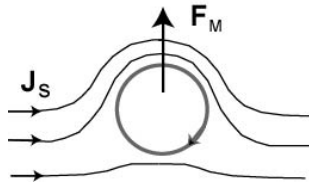


Gap  $\Delta(\mathbf{r})$  vanishes in core



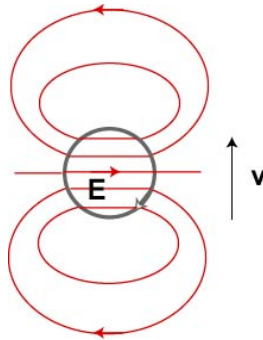
# Vortex motion in type II superconductor

(Bardeen Stephen, Nozieres Vinen)

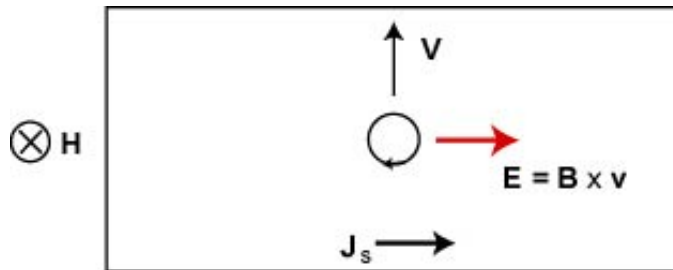


Applied supercurrent  $J_s$  exerts Magnus force on vortex core

$$\mathbf{F}_M = \mathbf{J}_s \times \vec{\Phi}_0$$



Velocity gives *induced E-field* in core (Faraday effect)  
Current enters core and dissipates (damping viscosity)

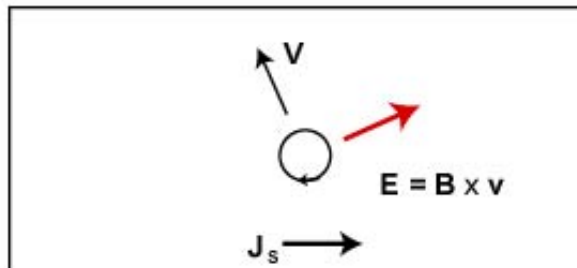


Motion of vortices generates *observed E-field*

$$\mathbf{E} = \mathbf{B} \times \mathbf{v}$$

$$\rho_{xx} = \rho_N \frac{H}{H_{c2}} = B\Phi_0 / \eta$$

Consequence of Josephson equation



Tilt angle of velocity gives negative vortex Hall effect

In clean limit, vortex  $v$  is  $\parallel -J_s$

## Vortex Hall current

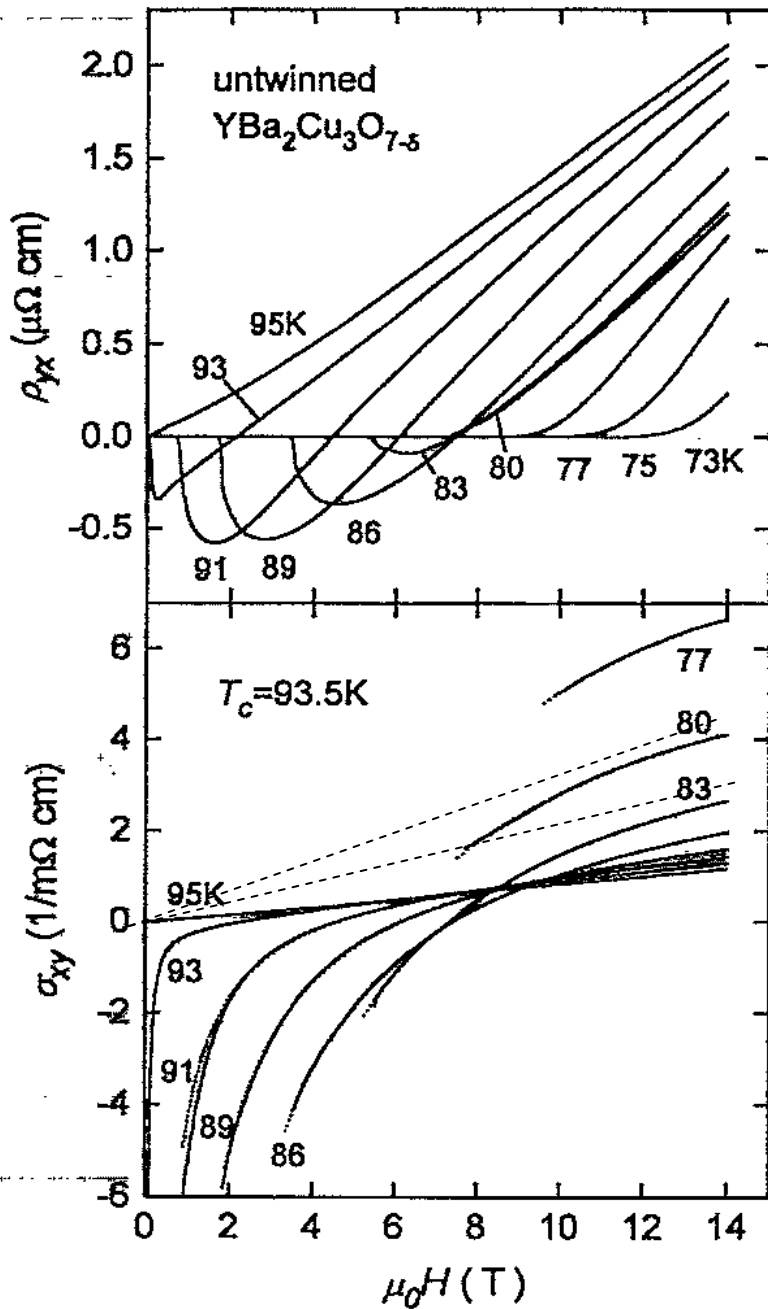
Vortex Hall  $\sigma_{xy}$  is *negative*.  
Appearance is abrupt

Invert matrix

$$\sigma_{xy} = \frac{\rho_{yx}}{\rho_{xx}^2 + \rho_{yx}^2}$$

Quasiparticle and vortex Hall  
conductivities are *additive*

$$\sigma_{xy} = \underbrace{\sigma_{xy}^N}_{\sim H} + \underbrace{\sigma_{xy}^S}_{\sim -1/H}$$

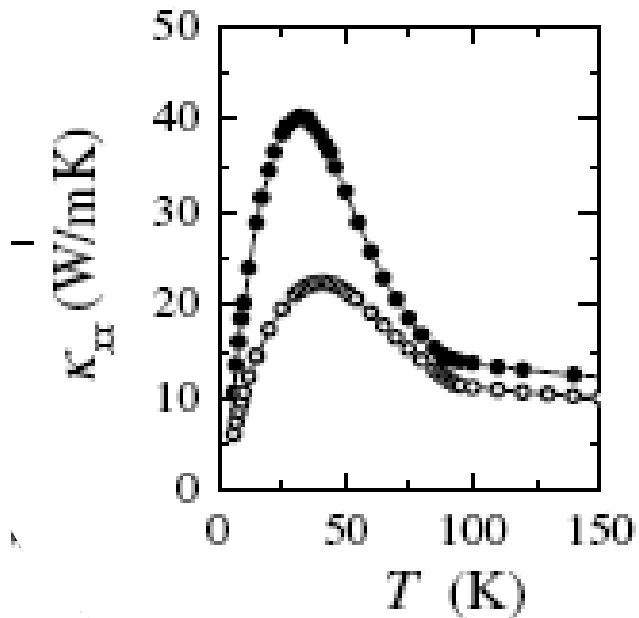


# Thermal Hall conductivity of quasi-particles in cuprates

K. Krishana, Yuexing Zhang, J. M. Harris, NPO

*Problem:* Separate the QP current from vortex currents?

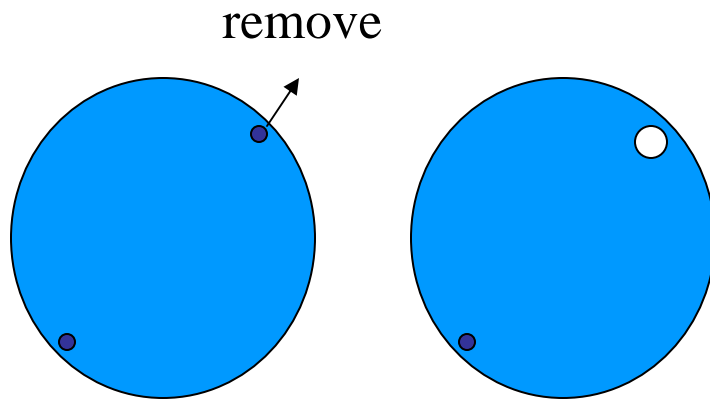
**Monitor thermal currents.**



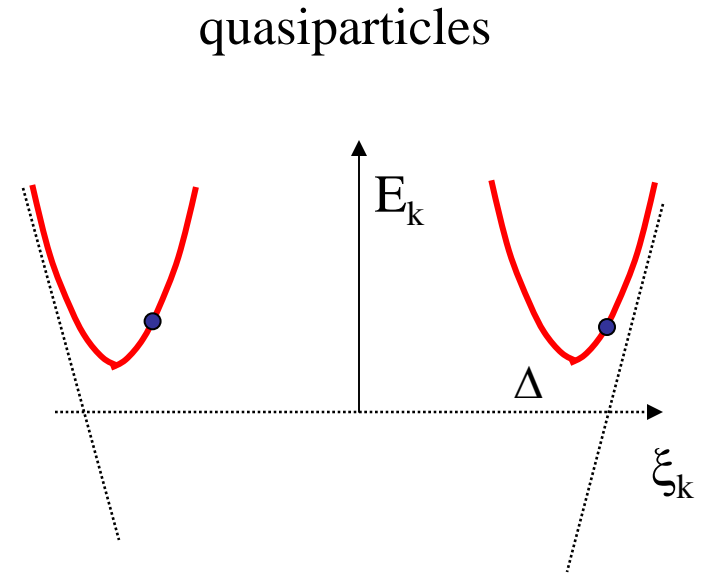
$\kappa_{xx}$  vs.  $T$  in 90-K YBCO  
(twinned and untwinned)

Is peak from QP or phonons?

# Excitations of an $s$ -wave superconductor



Energy cost  $E_{\mathbf{k}} = [ \xi_{\mathbf{k}}^2 + \Delta^2 ]^{1/2}$



$$\gamma_{\mathbf{k}}^{\dagger} = u_{\mathbf{k}} c_{\mathbf{k}\uparrow}^{\dagger} + v_{\mathbf{k}} c_{-\mathbf{k}\downarrow}$$

QP's cost energy, but *increase* entropy  $S$  (lower free energy  $F$ )

# Heat transport in low- $T_c$ superconductors

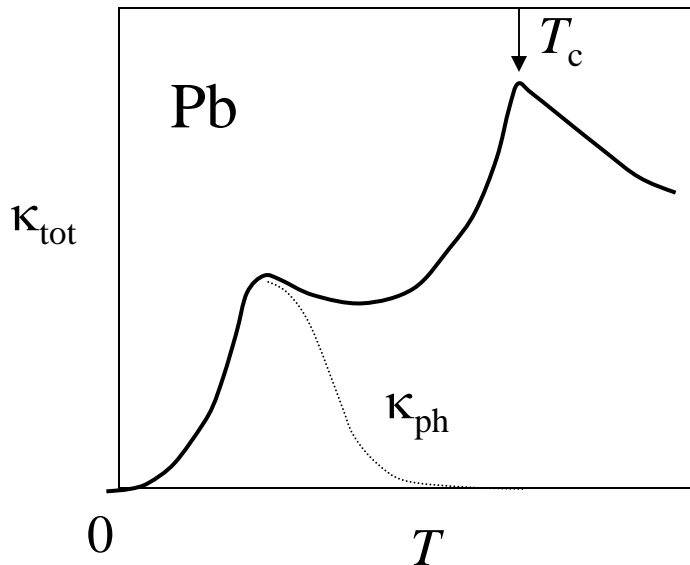
$$\mathbf{J}_Q = \kappa_{\text{tot}} (-\nabla T)$$

Heat-current density

$$\kappa_{\text{tot}} = \kappa_{\text{el}} + \kappa_{\text{ph}}$$

↑                      ↑  
electrons              phonons

**Condensate does not carry heat (zero entropy)**



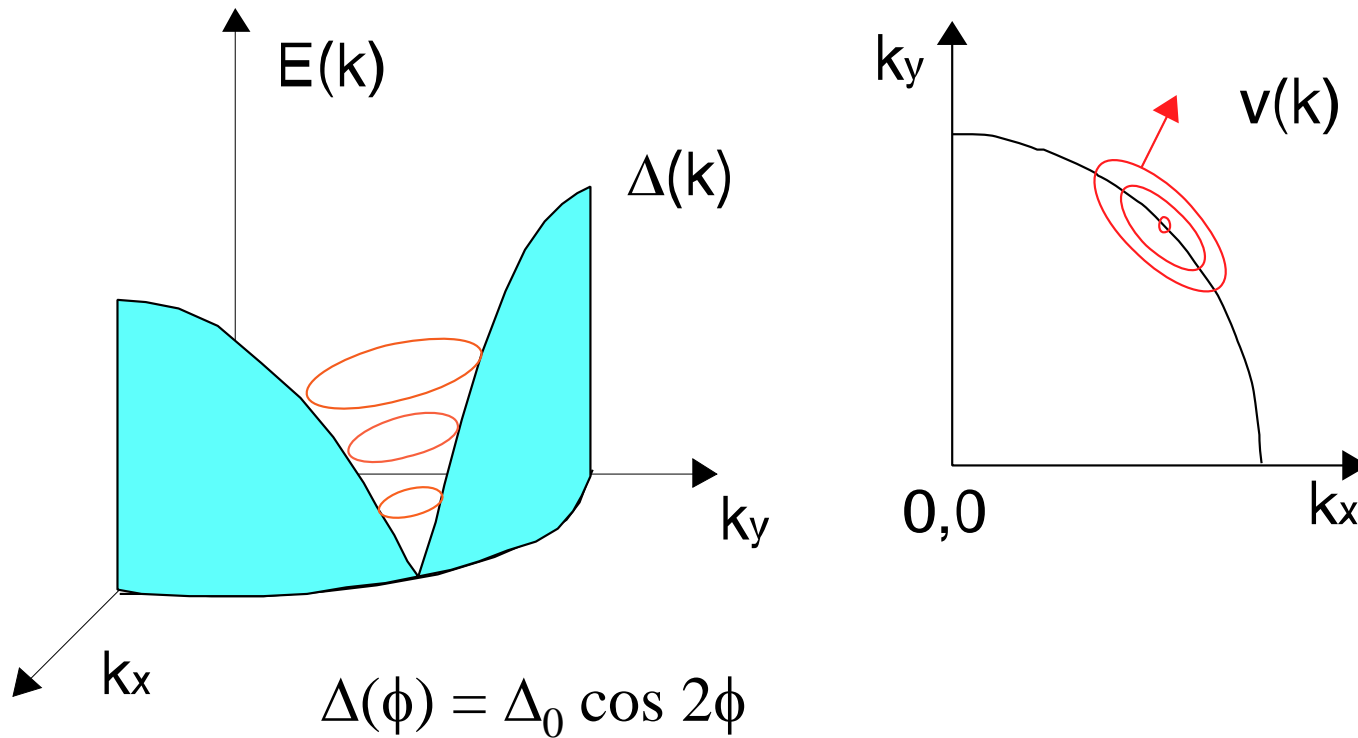
$T > T_c$ ,  $\kappa_{\text{tot}}$  mostly electronic

Below  $T_c$ ,  $QP$ s carry heat

$T \ll T_c$ ,  $QP$  population  $\rightarrow 0$

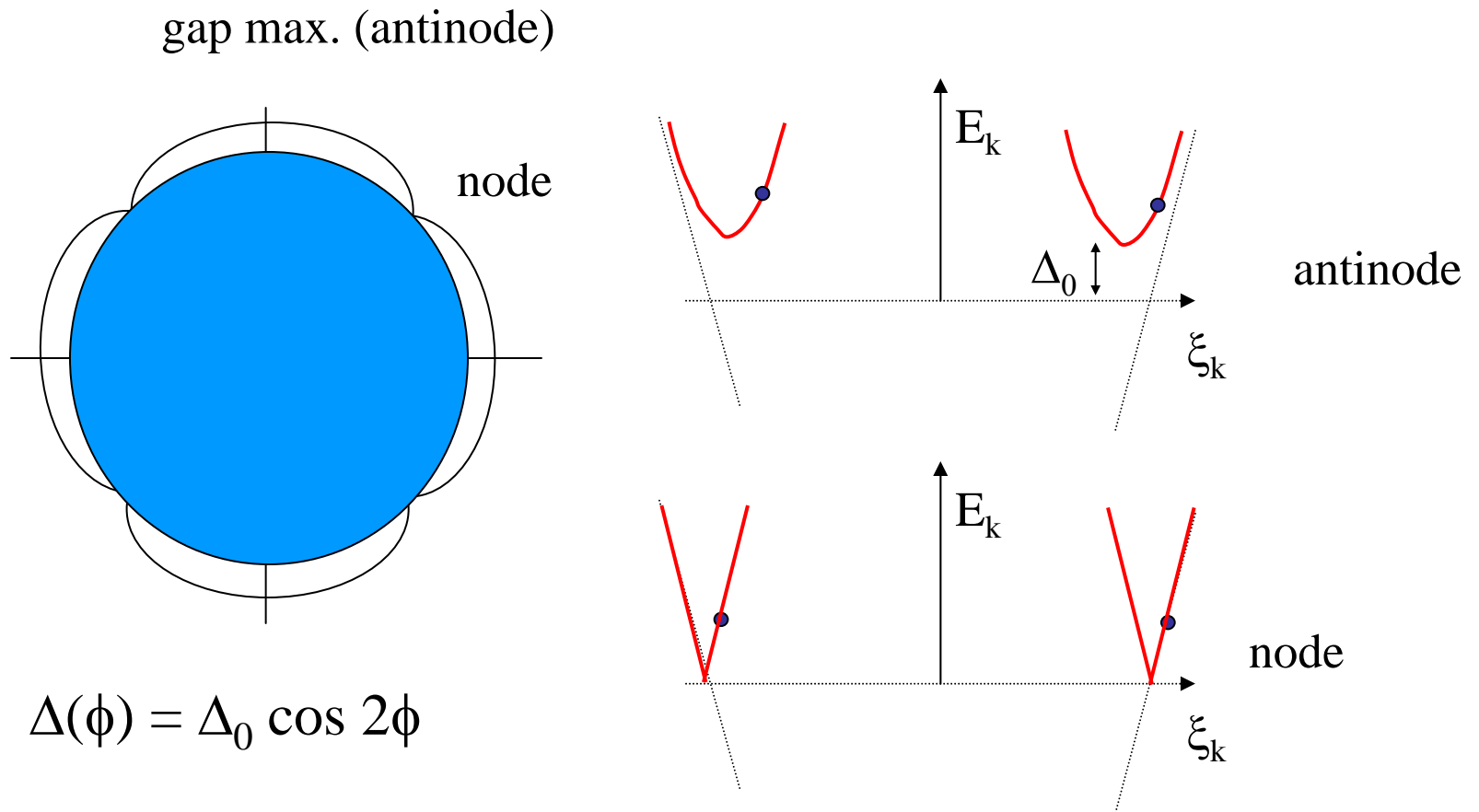
Phonons are long-lived

## Dirac-like spectrum of QP at nodes



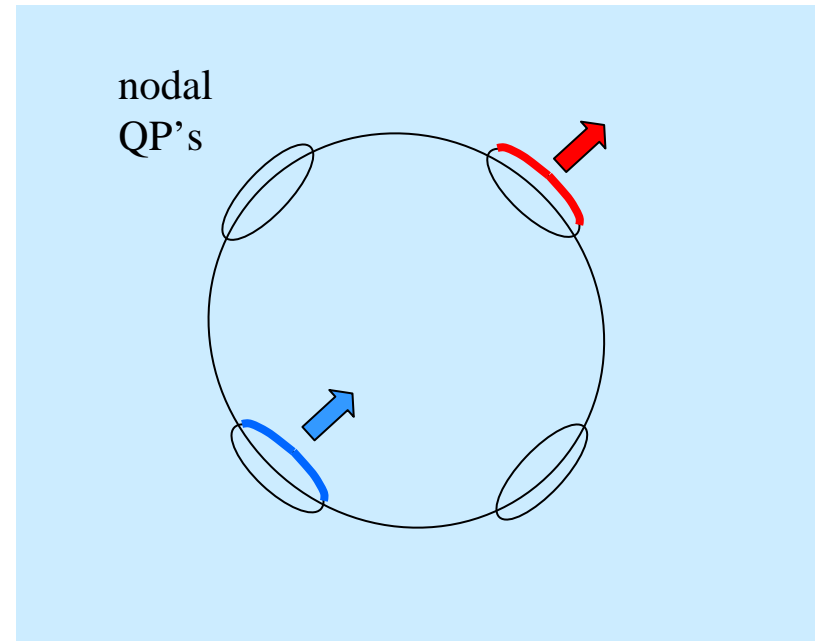
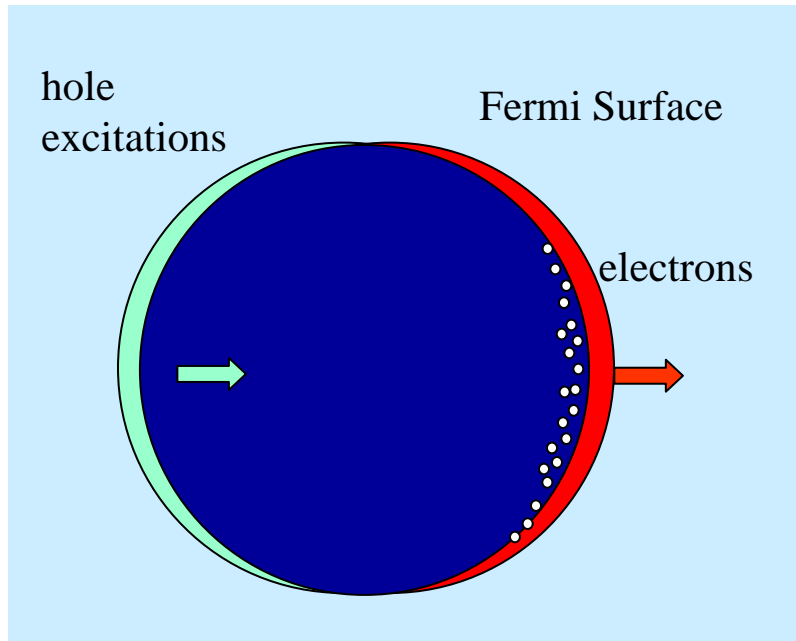
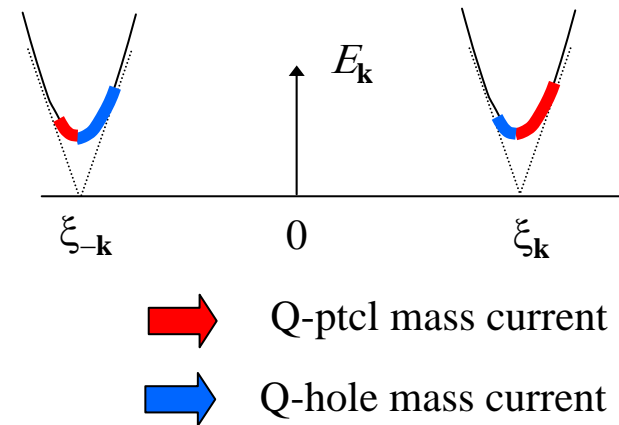
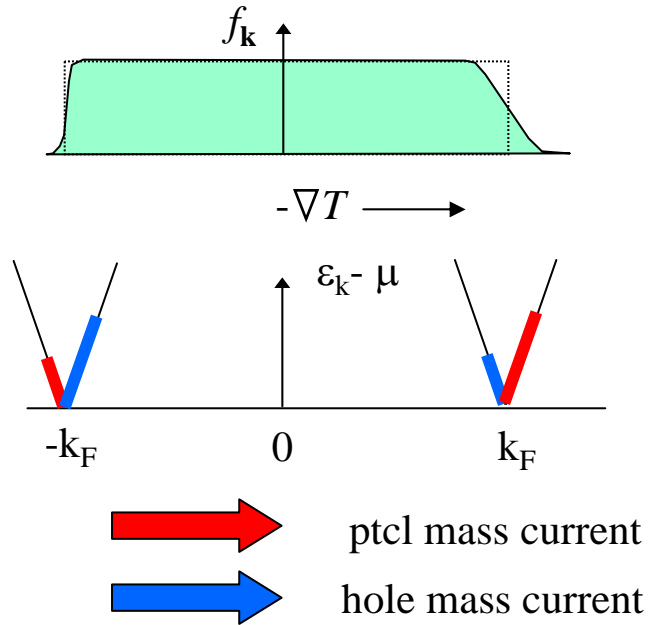
**Quasiparticle dispersion  $E$  vs.  $k$  is linear.**

# Quasiparticles in a $d$ -wave superconductor



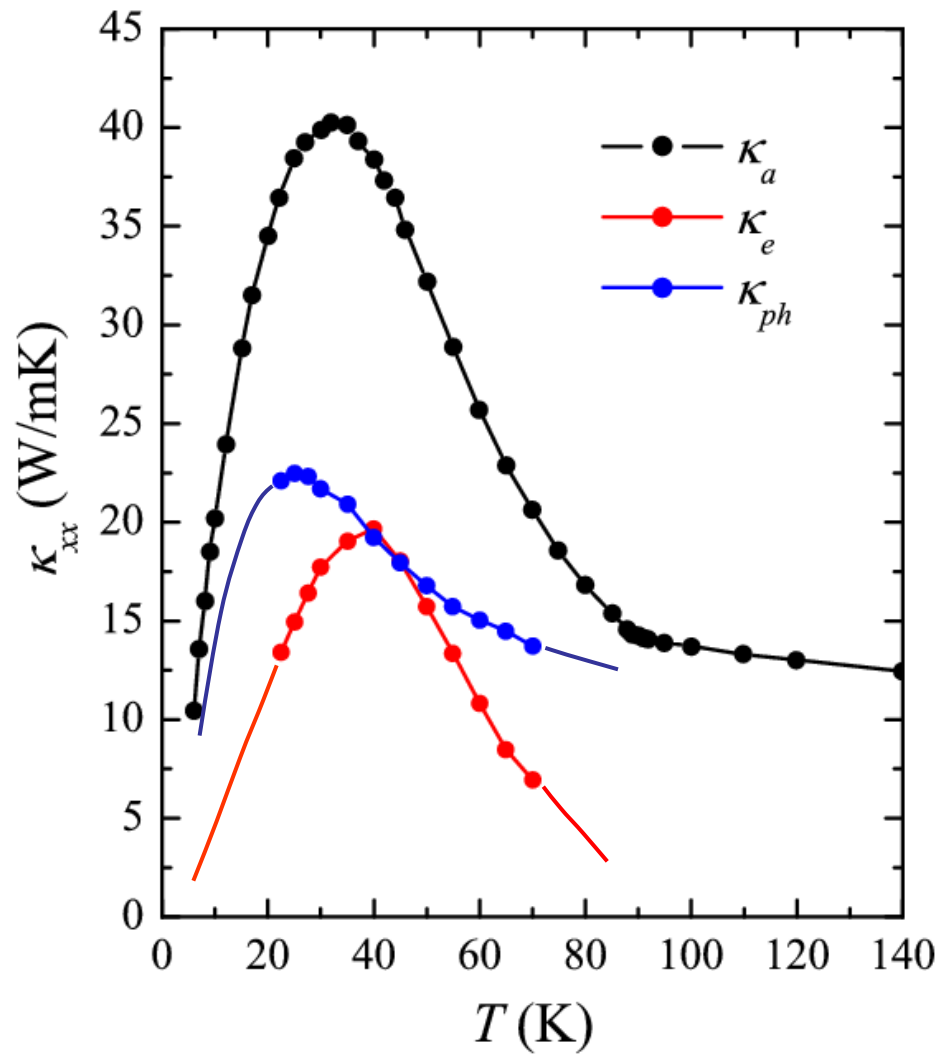
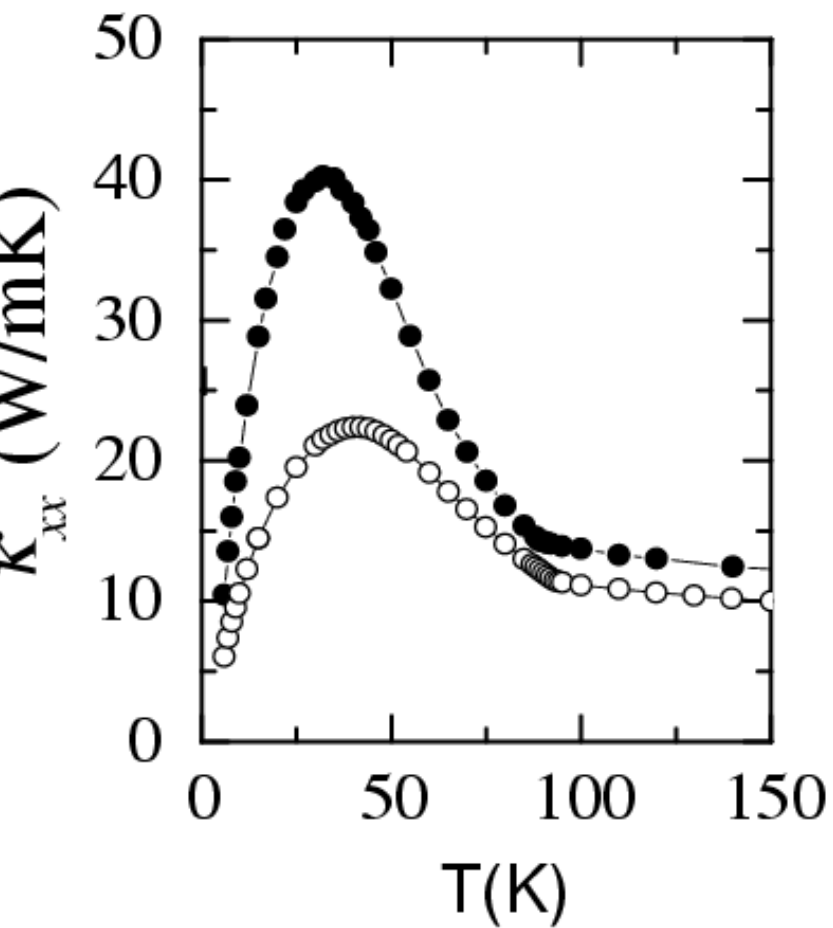


# Heat current in normal and superconducting states

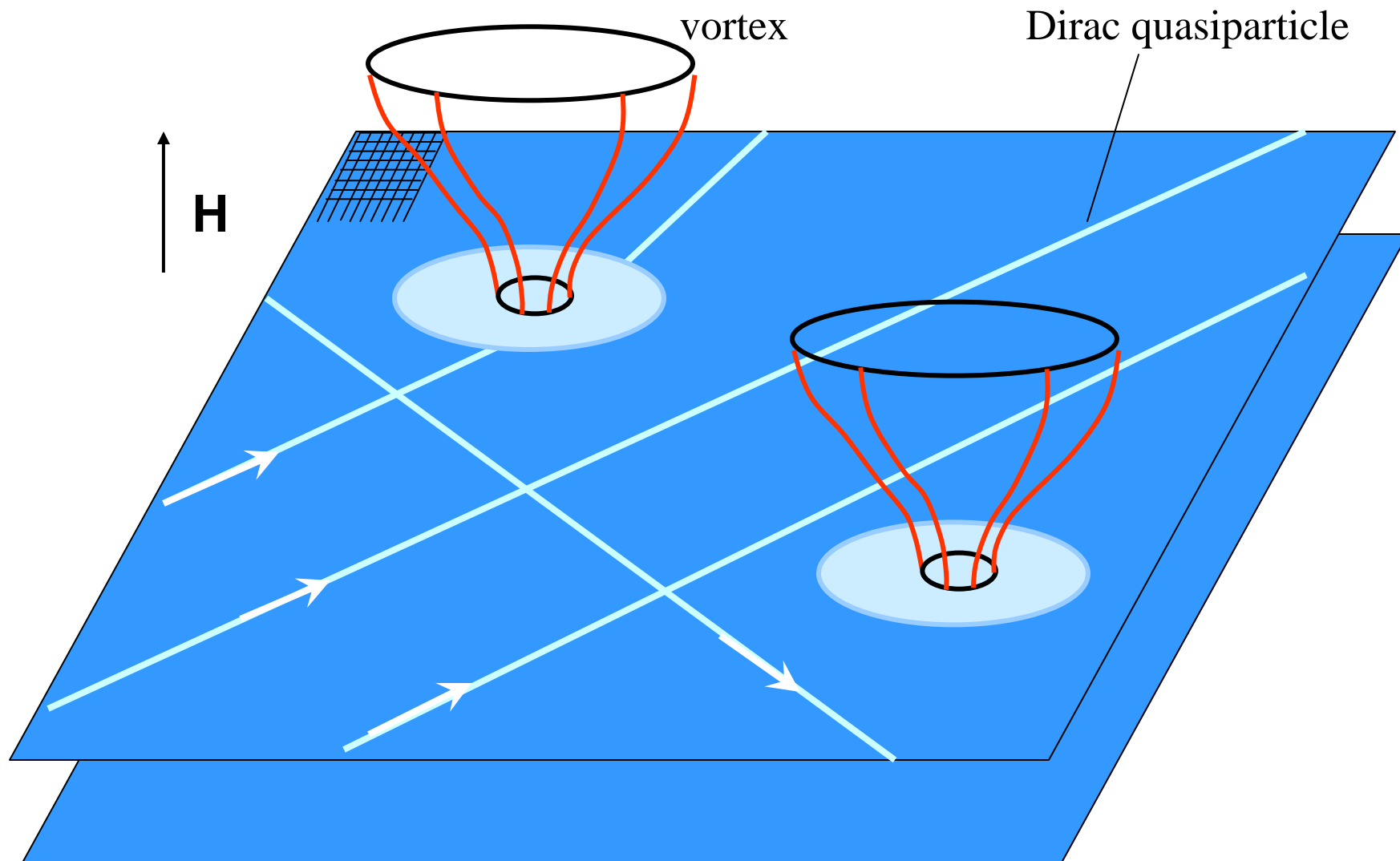


# Separating electronic and phonon $\kappa$ in 93-K $\text{YBa}_2\text{Cu}_3\text{O}_7$

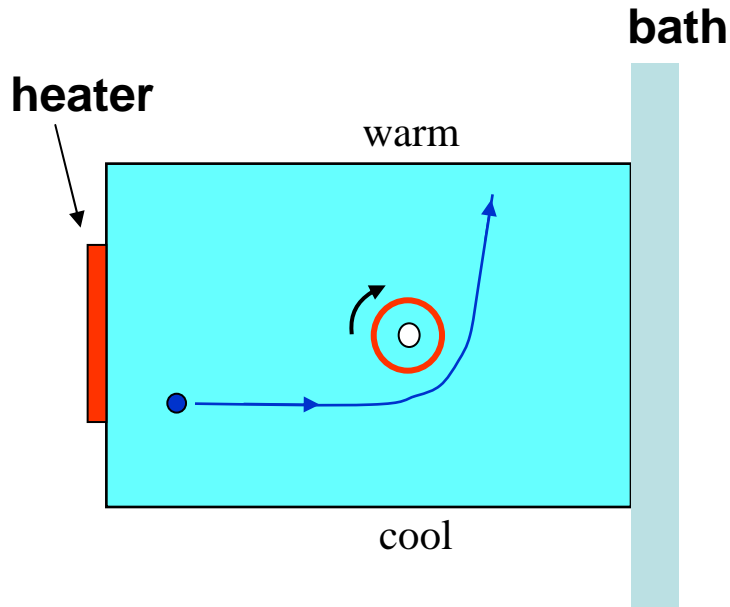
$$\kappa_a = \kappa_e + \kappa_{ph}$$



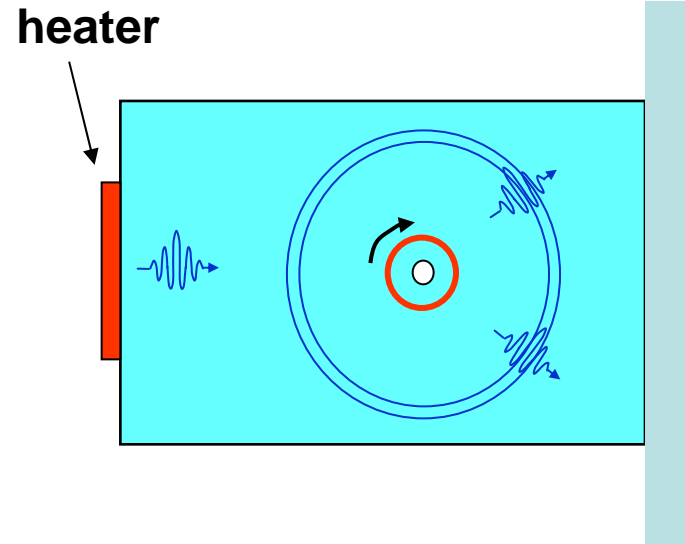
# Quasiparticles in the $\text{CuO}_2$ plane



# Hall thermal current from asymmetric scattering of QP by vortex



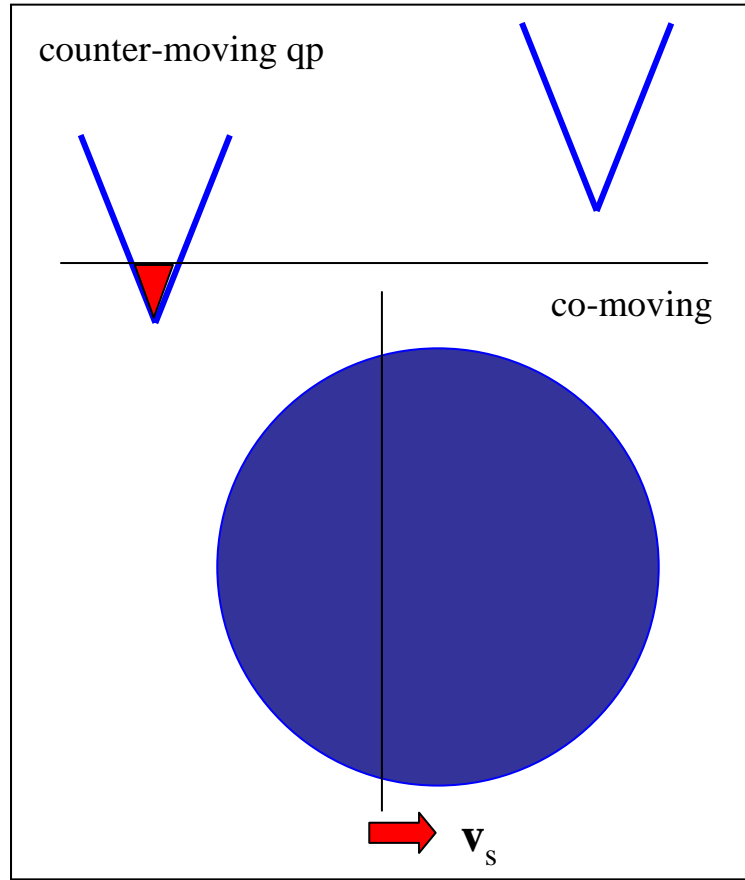
**asymmetric scattering  
of QP by vortex**



**scattering of phonons:  
no asymmetry**

# Doppler shift

## QP excitations

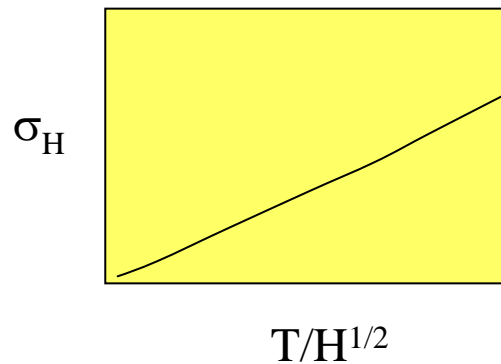
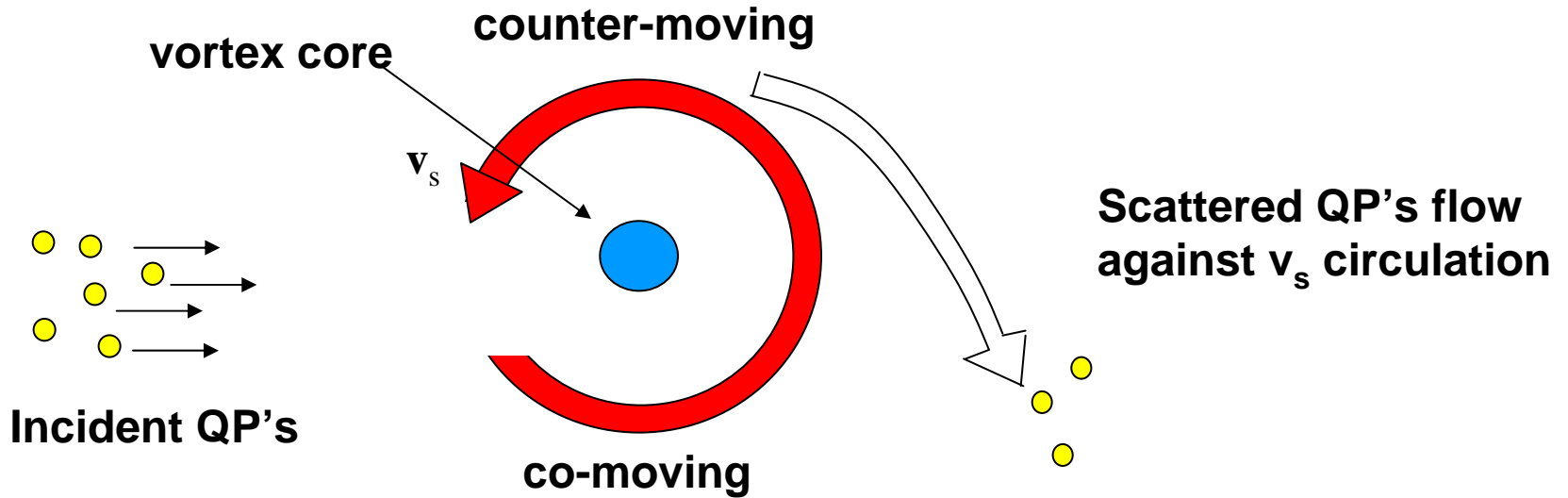


$$\mathbf{J} = \mathbf{J}_s + \mathbf{J}_{qp} \quad (\mathbf{J}_s \parallel -\mathbf{J}_{qp})$$

In a supercurrent  $\mathbf{v}_s$ , energy of **counter-moving** QP's lowered.

# Origin of QP Hall current

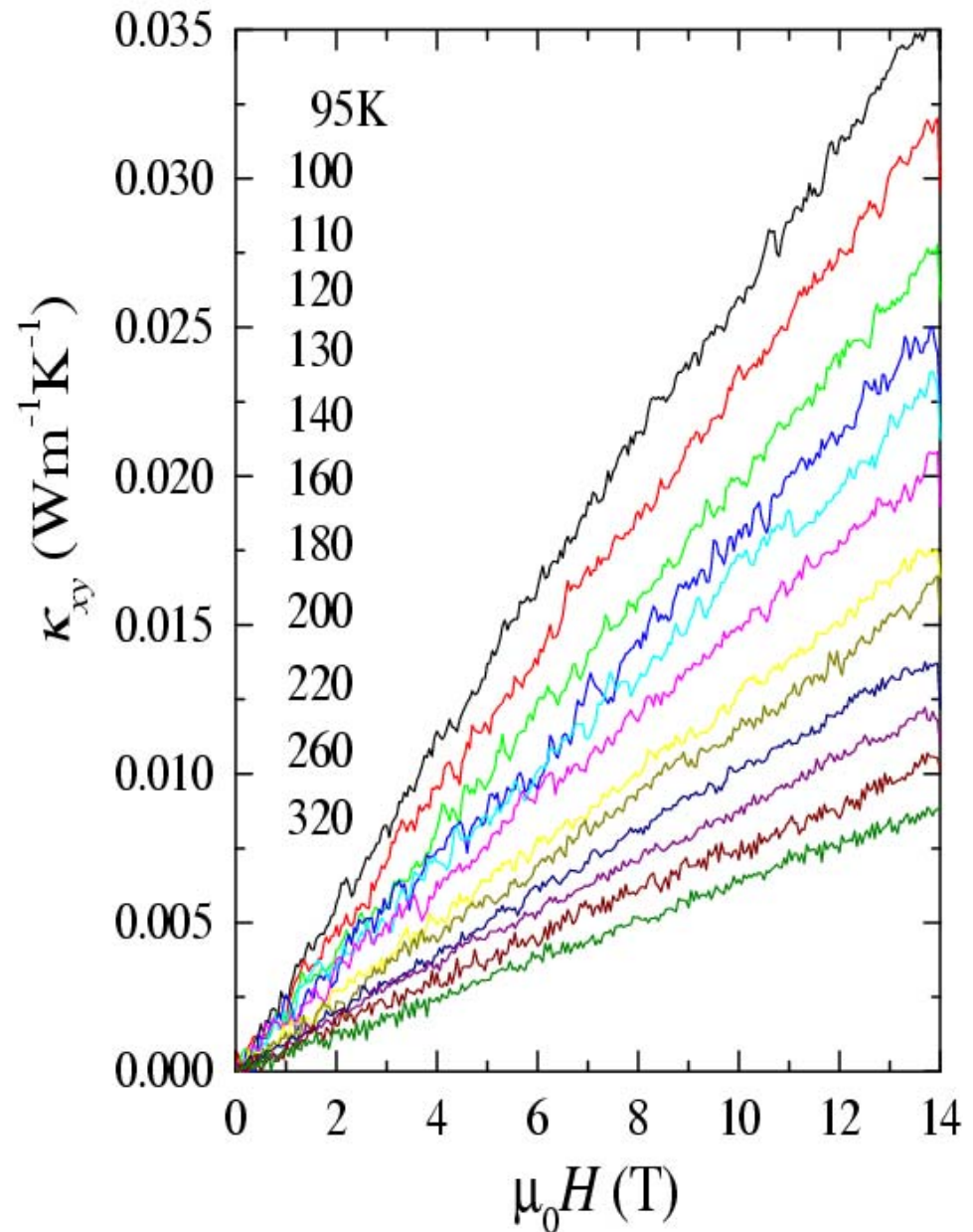
Doppler shift lowers energy of counter-moving QPs



Skew scattg cross-section  
(Durst, Vishwanath, Lee, PRL '03)

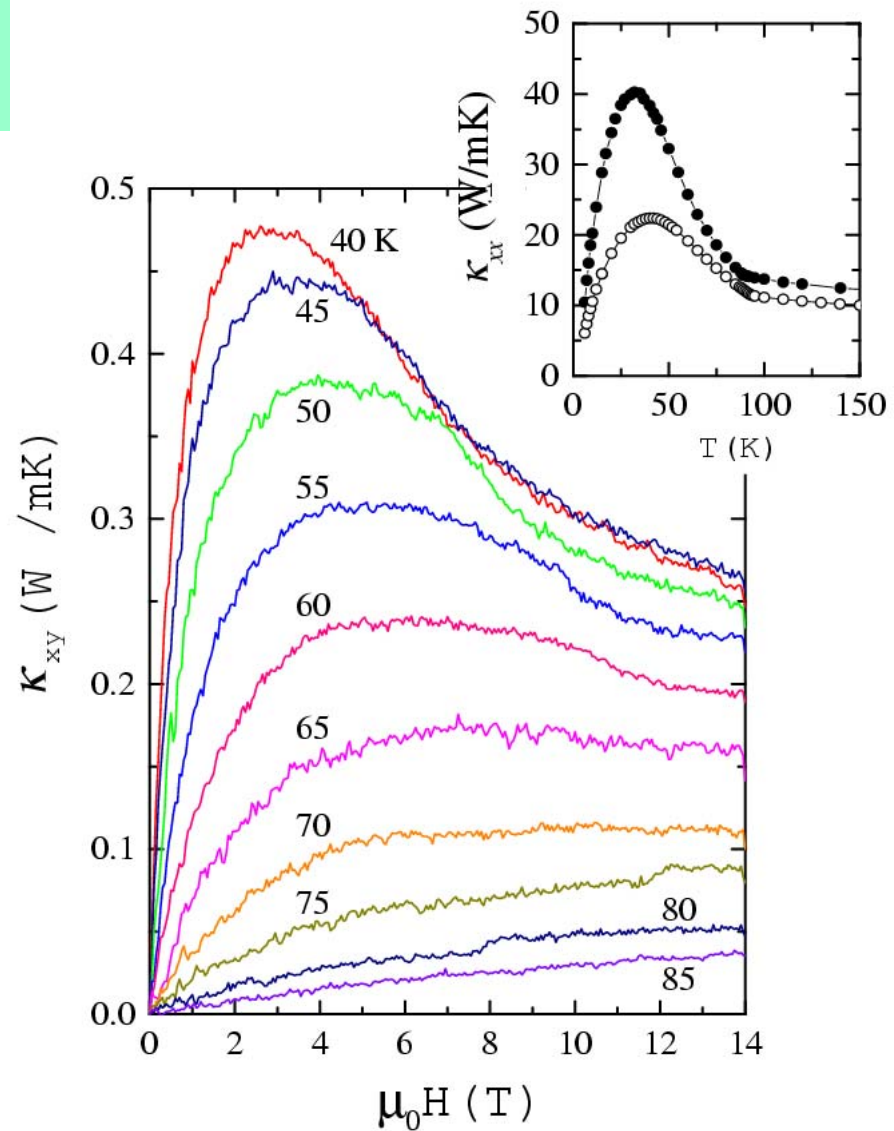
$$\sigma_H \sim T / H^{1/2}$$

Thermal Hall Conductivity  
 $\kappa_{xy}$  in high-purity YBCO<sub>7</sub>  
(normal state)



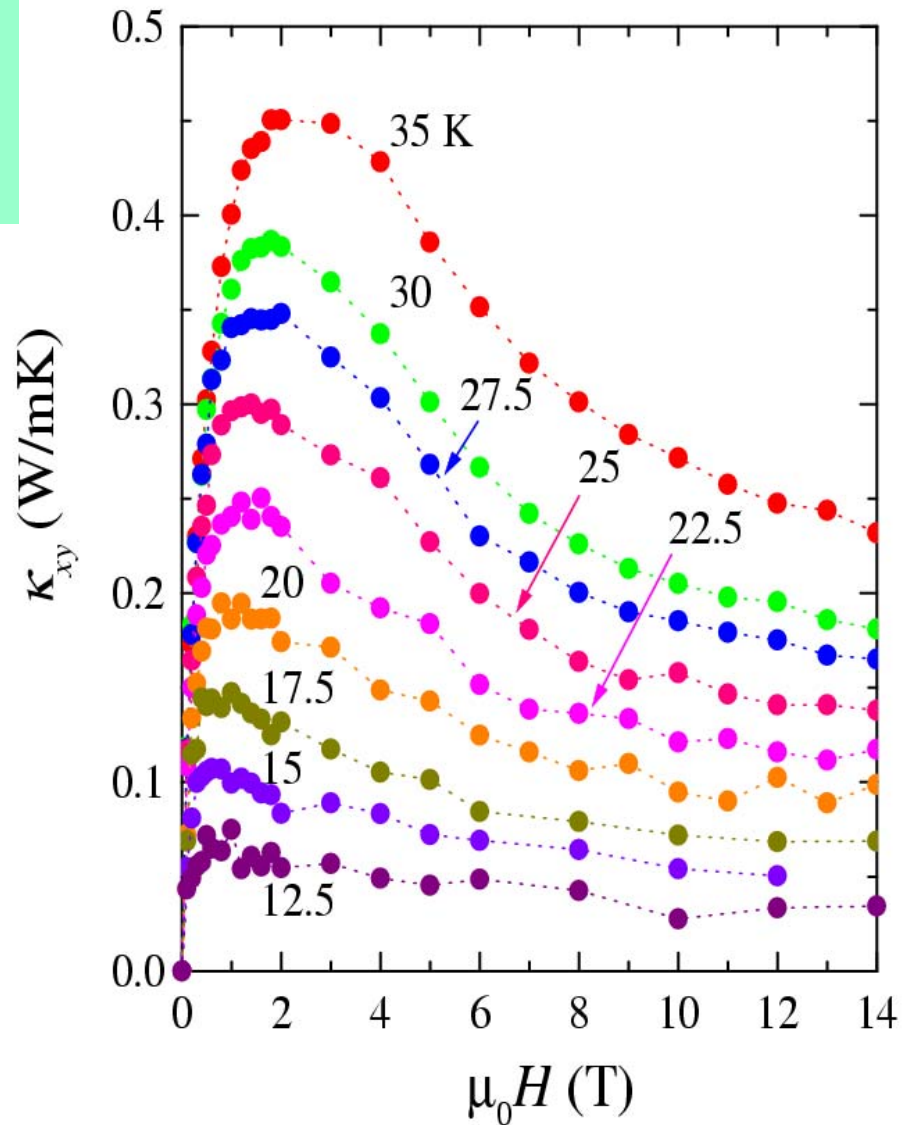
# Thermal Hall Conductivity $\kappa_{xy}$ In high-purity YBCO<sub>7</sub>

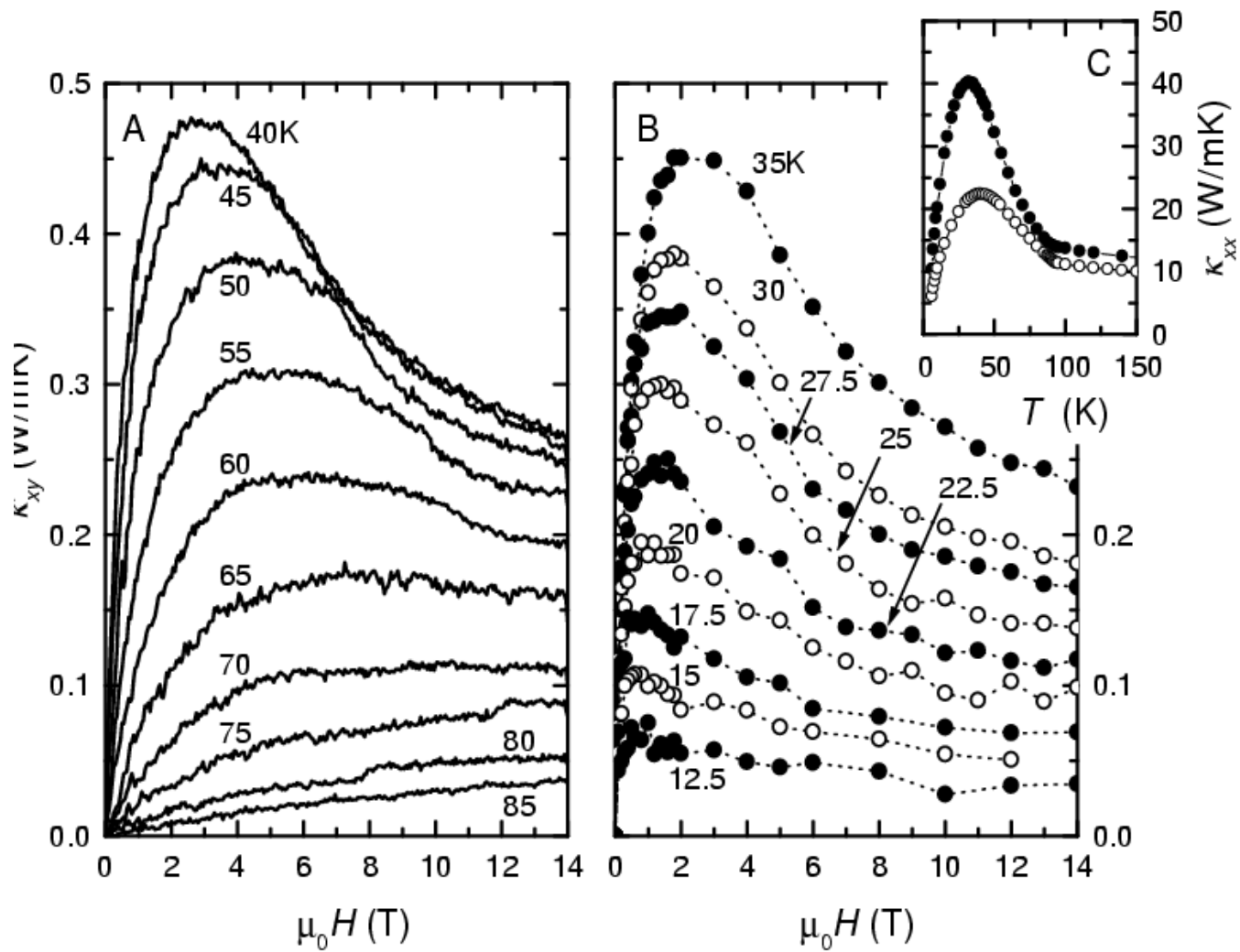
- 1) Hall signal much larger below T<sub>c</sub>
- 2) Giant increase in initial slope 85 to 40 K
- 3) Strongly non-linear in H





Thermal Hall  
Conductivity  $\kappa_{xy}$  in high-  
purity YBCO<sub>7</sub>  
(12.5 to 35 K)

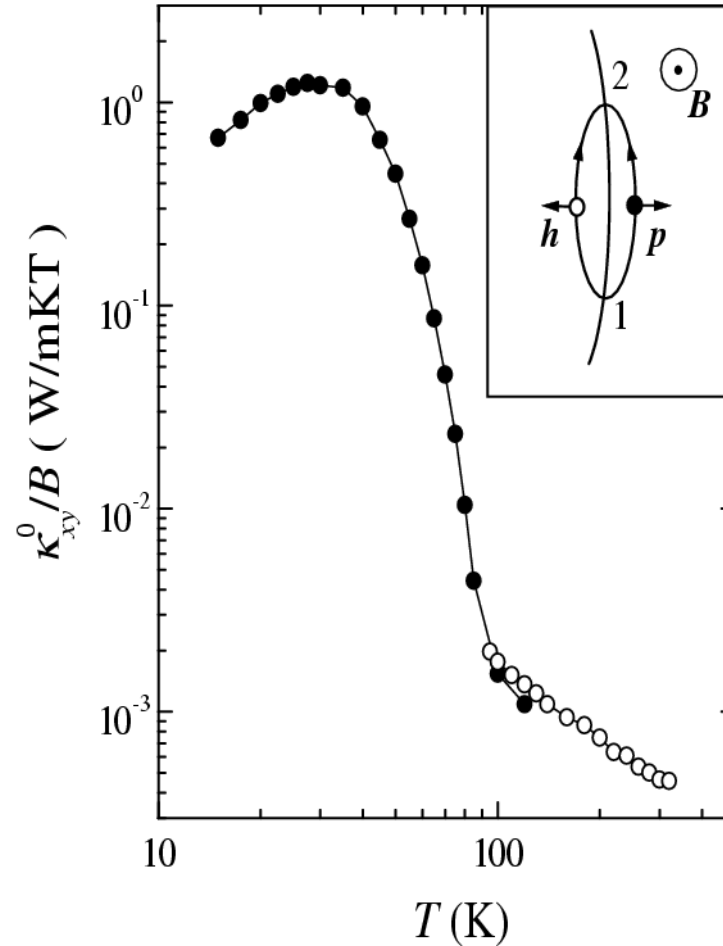




Plot initial slope  $\lim_{B \rightarrow 0} \kappa_{xy}/B$   
vs.  $T$ .

Initial slope increases  
by 1000 between 85  
and 30 K

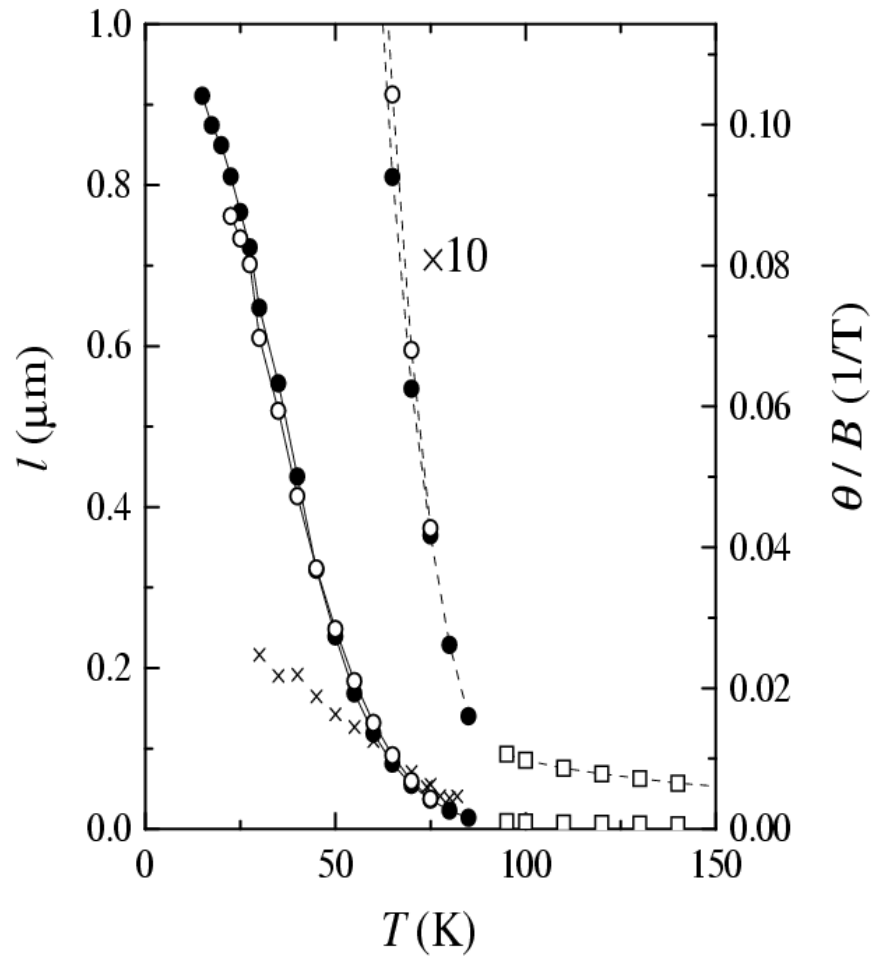
Steep increase in QP  
mean-free-path  $\sim 120$



QP mean-free-path  $l$   
derived from Hall angle

Increases by 120 from  
85 to 30 K

Abrupt increase at  $T_c$   
(coherence effect?)

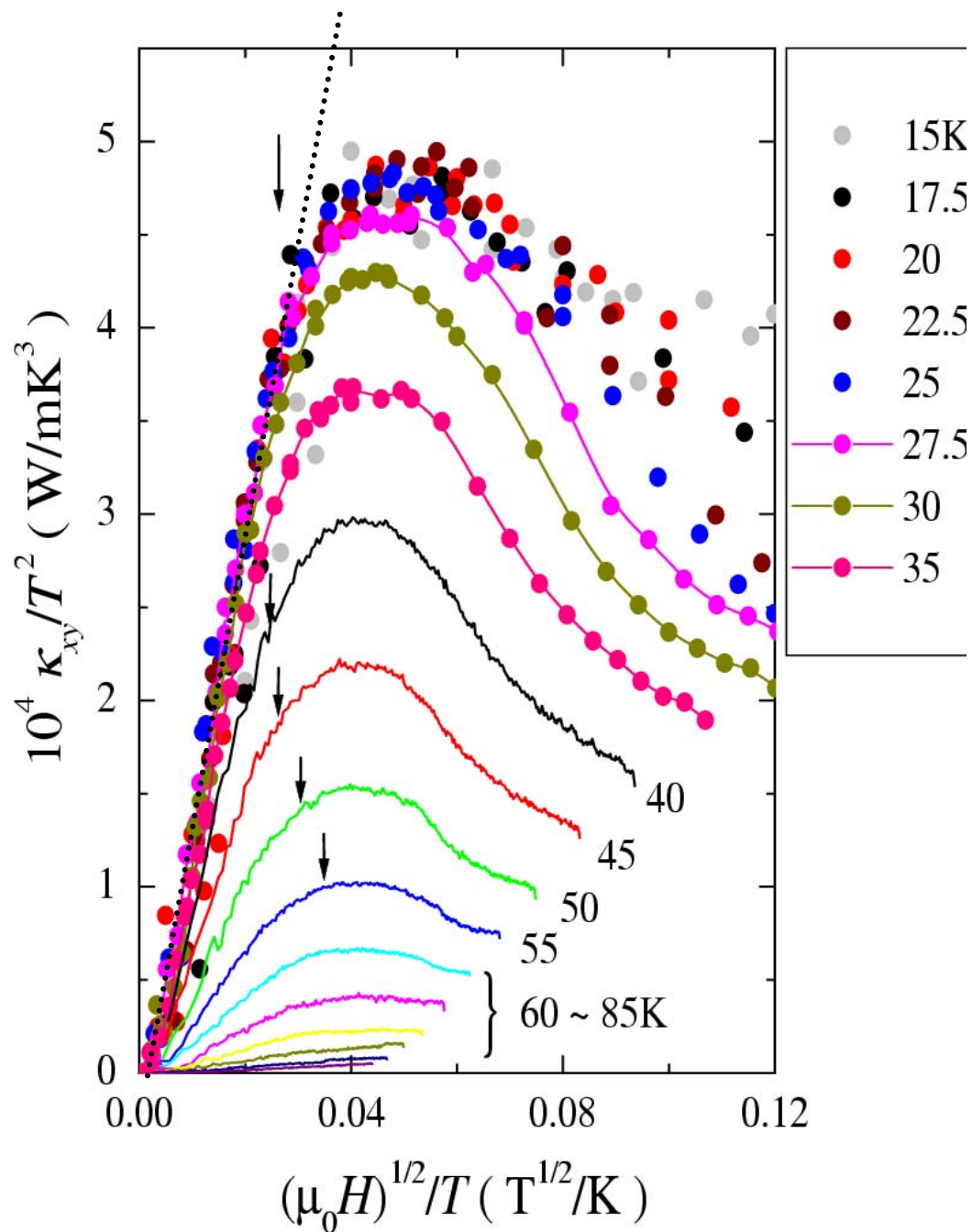


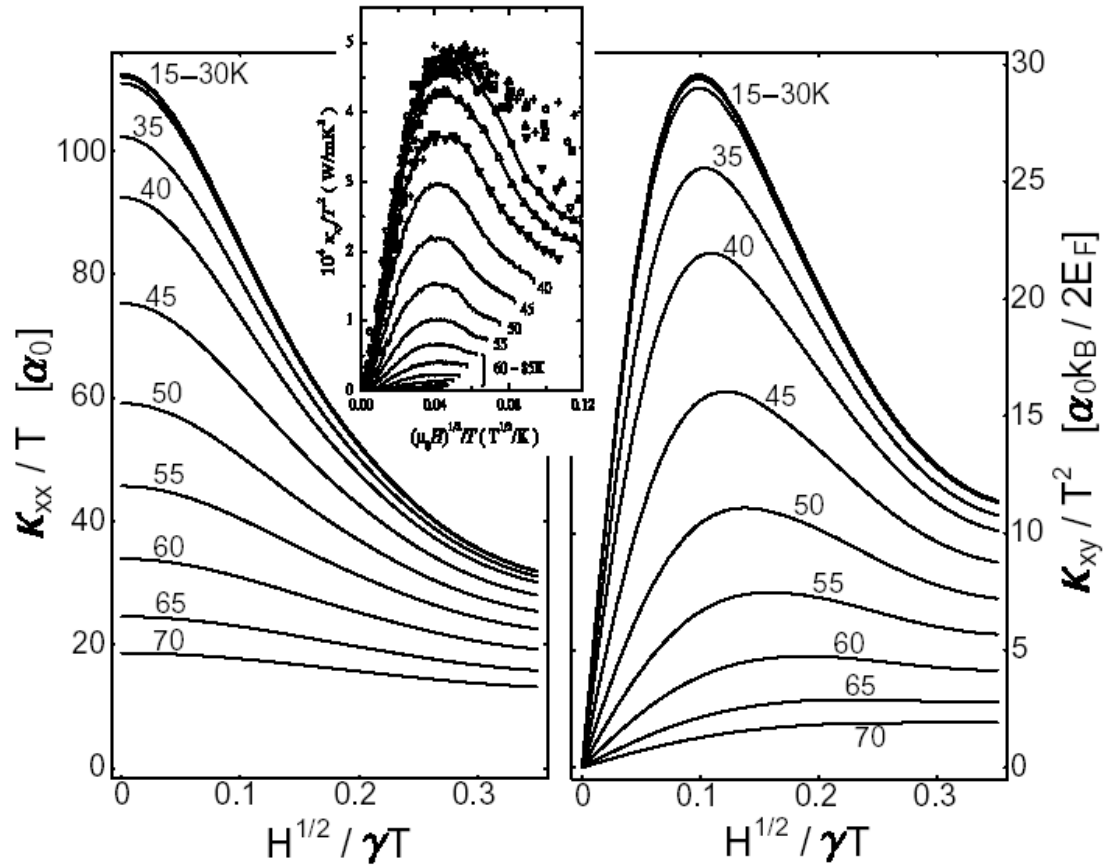
Simon-Lee scaling

$$\kappa_{xy} = T^2 F(H^{1/2}/T)$$

$$\kappa_{xy} = C_0 (TH)^{1/2}$$

$$F(x) \sim x$$





Calculated fits to  $K_{xx}$  and  $K_{xy}$   
 (Adam Durst, Ashvin Vishwanath, P.A. Lee, 2003)

Durst, Vishwanath, Lee

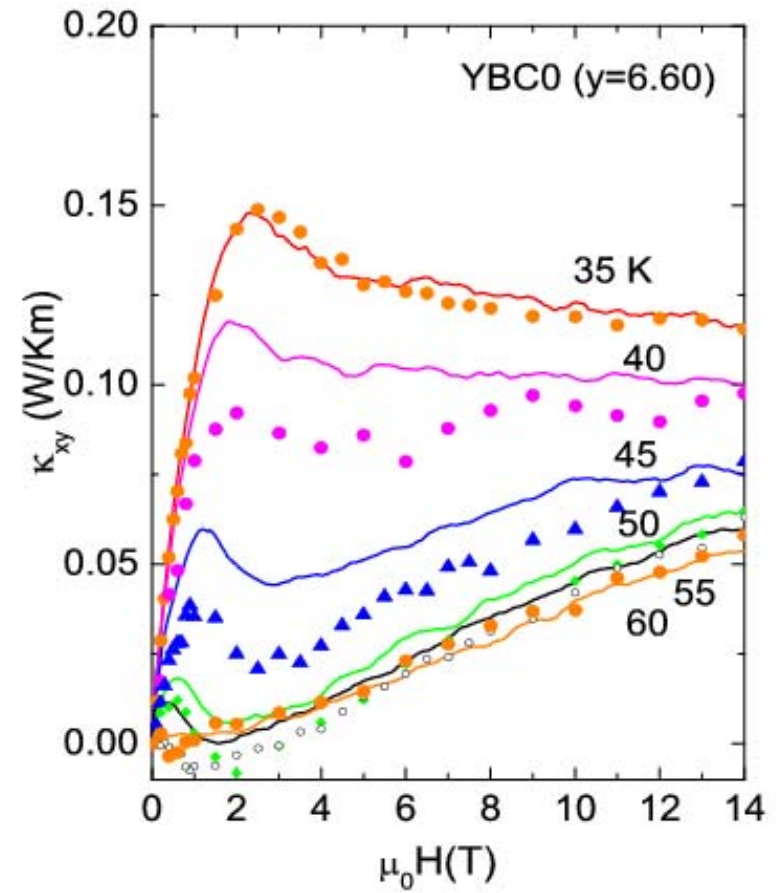
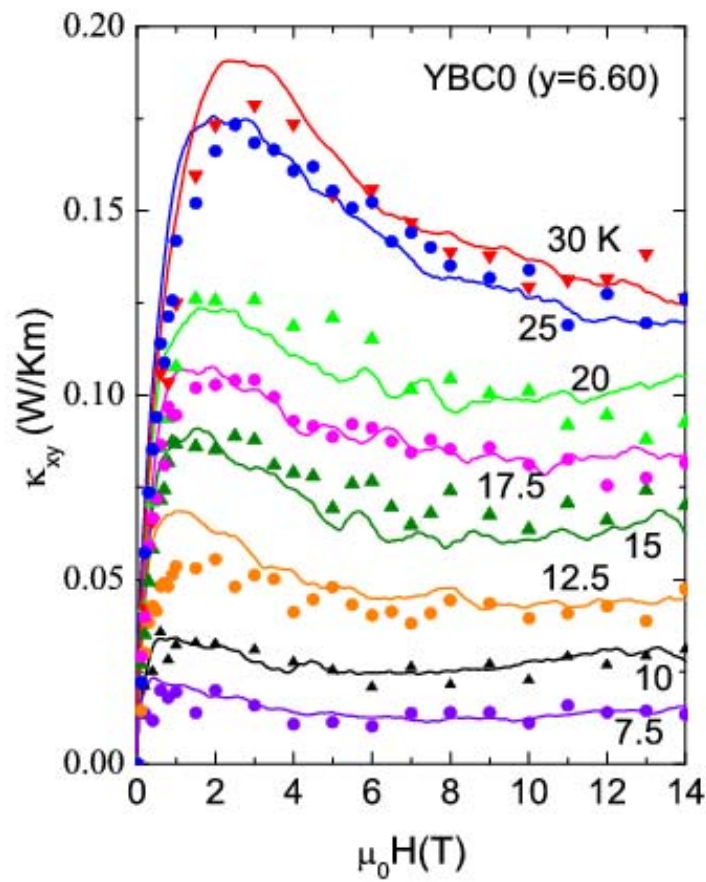
$$\kappa_{xx} = c_e v_F l \sim T^2 T^{-1}$$

$$\begin{aligned} \kappa_{xy} &= \kappa_{xx} \tan \theta = \kappa_{xx} n_V \sigma_H l \\ &\sim T^2 T^{-1} \cdot H \cdot TH^{-1/2} \cdot T^{-1} \sim (TH)^{1/2} \end{aligned}$$

Explains observation

$$\kappa_{xy} = C_0 (TH)^{1/2}$$

# Quasi-particles are *hole* like in UD YBCO $y=6.60$





## Summary

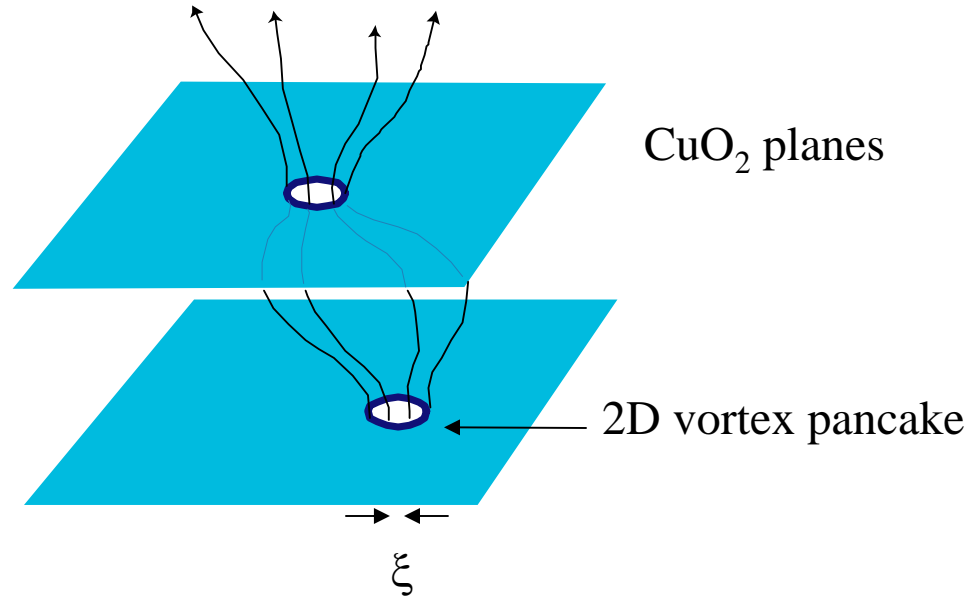
Below  $T_c$ , we observe

- 1000-fold increase in  $\kappa_{xy}$  (weak field)
- 200-fold increase in  $QP$  mfp  $l$ .  
(80 Angstrom to 2 microns)
- Giant anomaly in  $\kappa_{tot}$  is entirely from  $QP$ .
- *Steep* increase in mfp starts just below  $T_c$   
(conflicts with ARPES)
- Intriguing scaling behavior in  $\kappa_{xy}$  (Simon-Lee)
- No evidence (yet) for Landau quantization

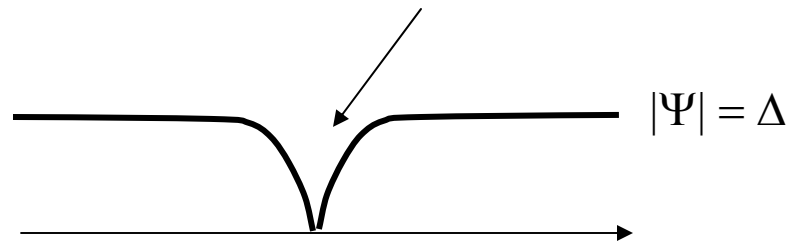
## References for Lect. 1 (website <http://www.princeton.edu/~npo/>)

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8. Adam C. Durst, Ashvin Vishwanath, and Patrick A. Lee, Phys. Rev. Lett. 90, 187002 (2003).

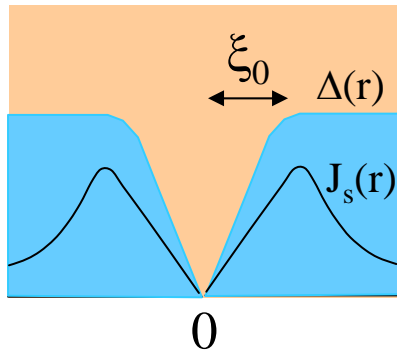
# Vortices in cuprates



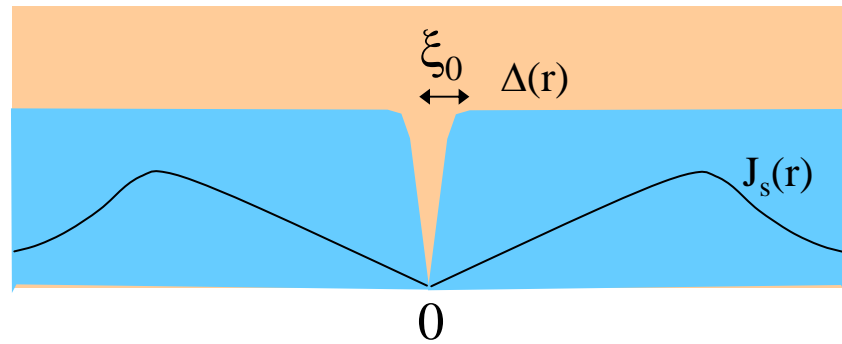
Gap amplitude vanishes in core



A new length scale  $\xi^*$



Cheap, fast vortices



$$H^* = \frac{\phi_0}{2\pi\xi^{*2}}$$

Is  $H^*$  determined by close-packing of fat vortices?

