

# Neutron and x-ray scattering studies of superconductors

## **lecture 2: unconventional superconductors**

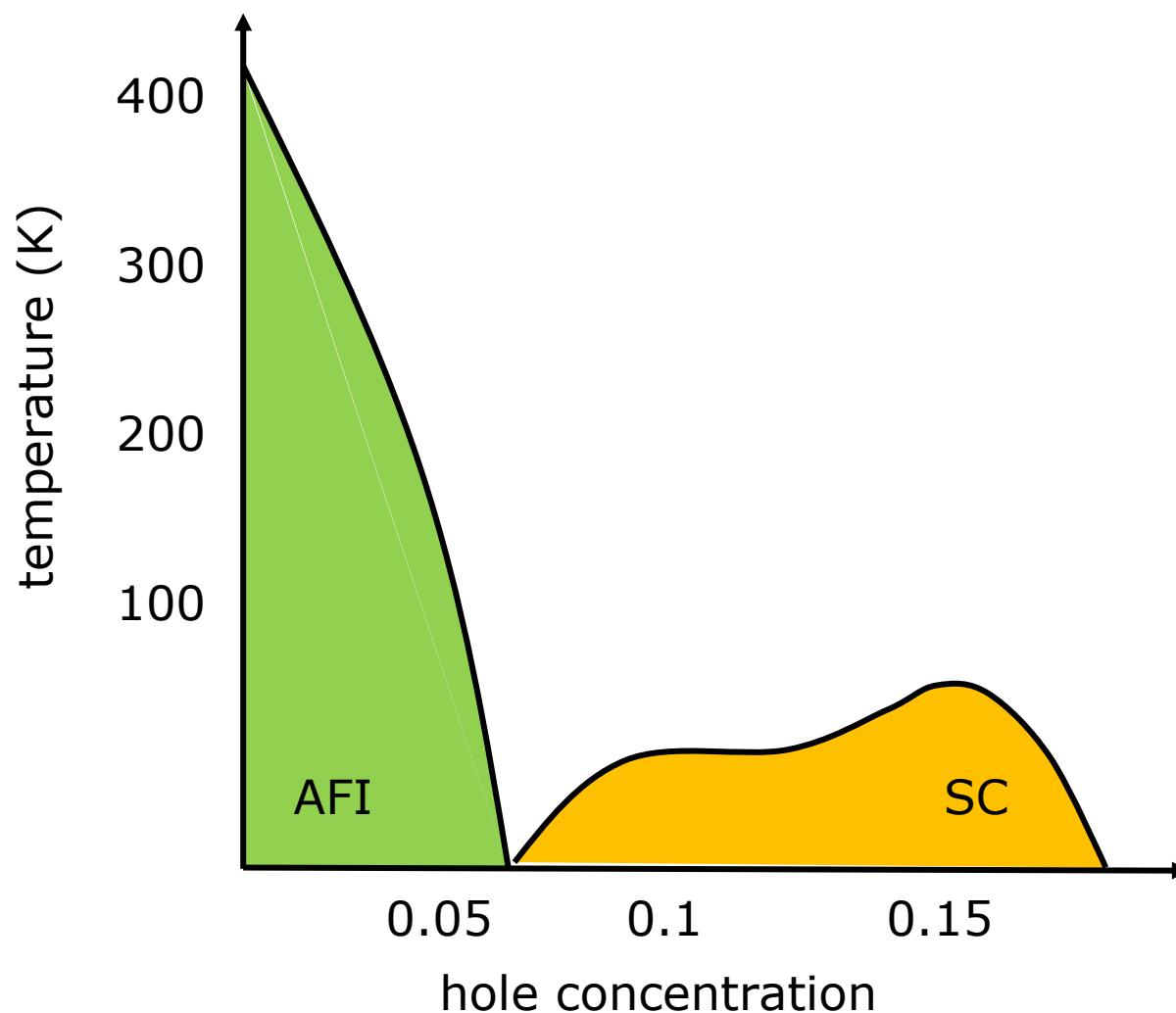
- magnetic neutron scattering continued
- resonant inelastic x-ray scattering from magnons and paramagnons
- resonant elastic x-ray scattering from charge density waves



## **lecture 3: cuprate and nickelate superlattices**

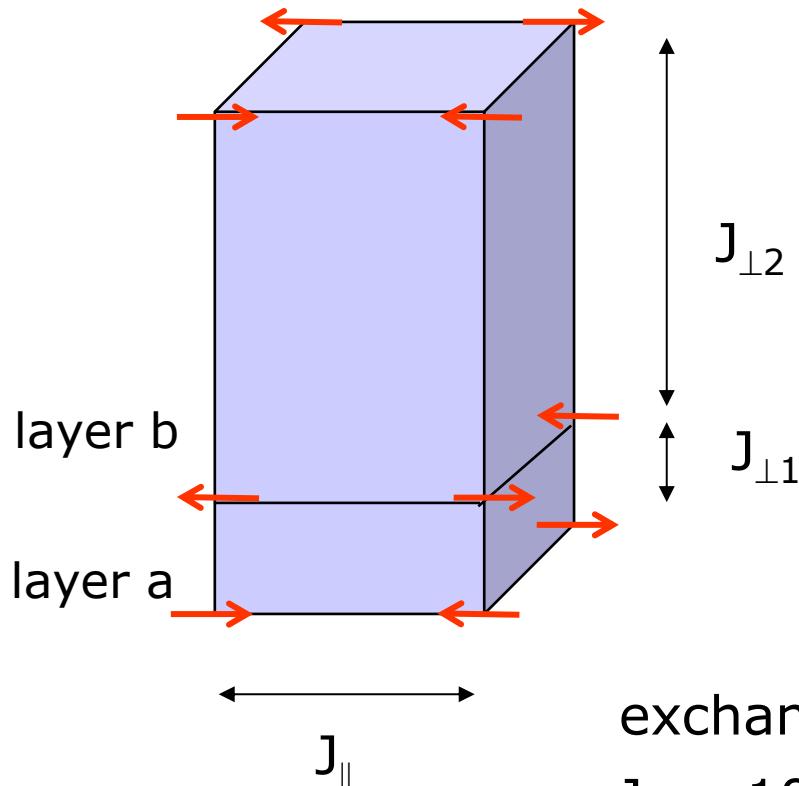
- orbital occupation
- magnetic order
- charge density waves

# Phase diagram of $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$

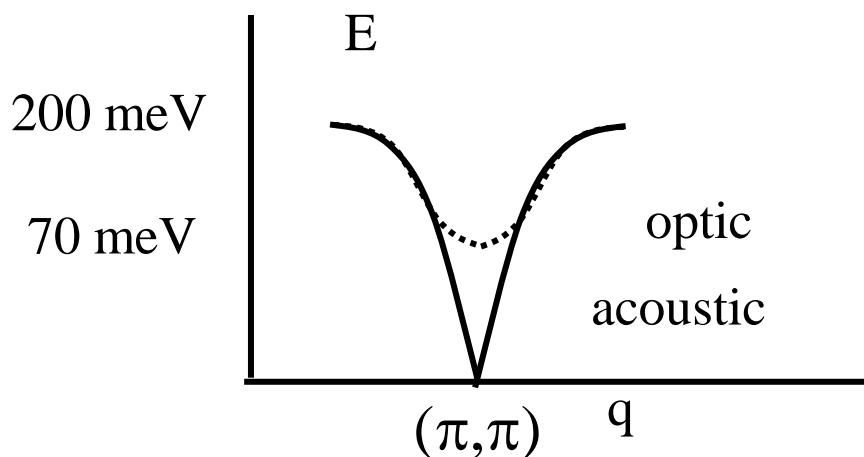


# $\text{YBa}_2\text{Cu}_3\text{O}_6$ magnons

$$H = \sum_{ij} (J_{||} S_i^{(a,b)} \bullet S_j^{(a,b)}) + \sum_i (J_{\perp 1} S_i^{(a)} \bullet S_i^{(b)} + J_{\perp 2} S_i^{(b)} \bullet S_i^{(a)})$$

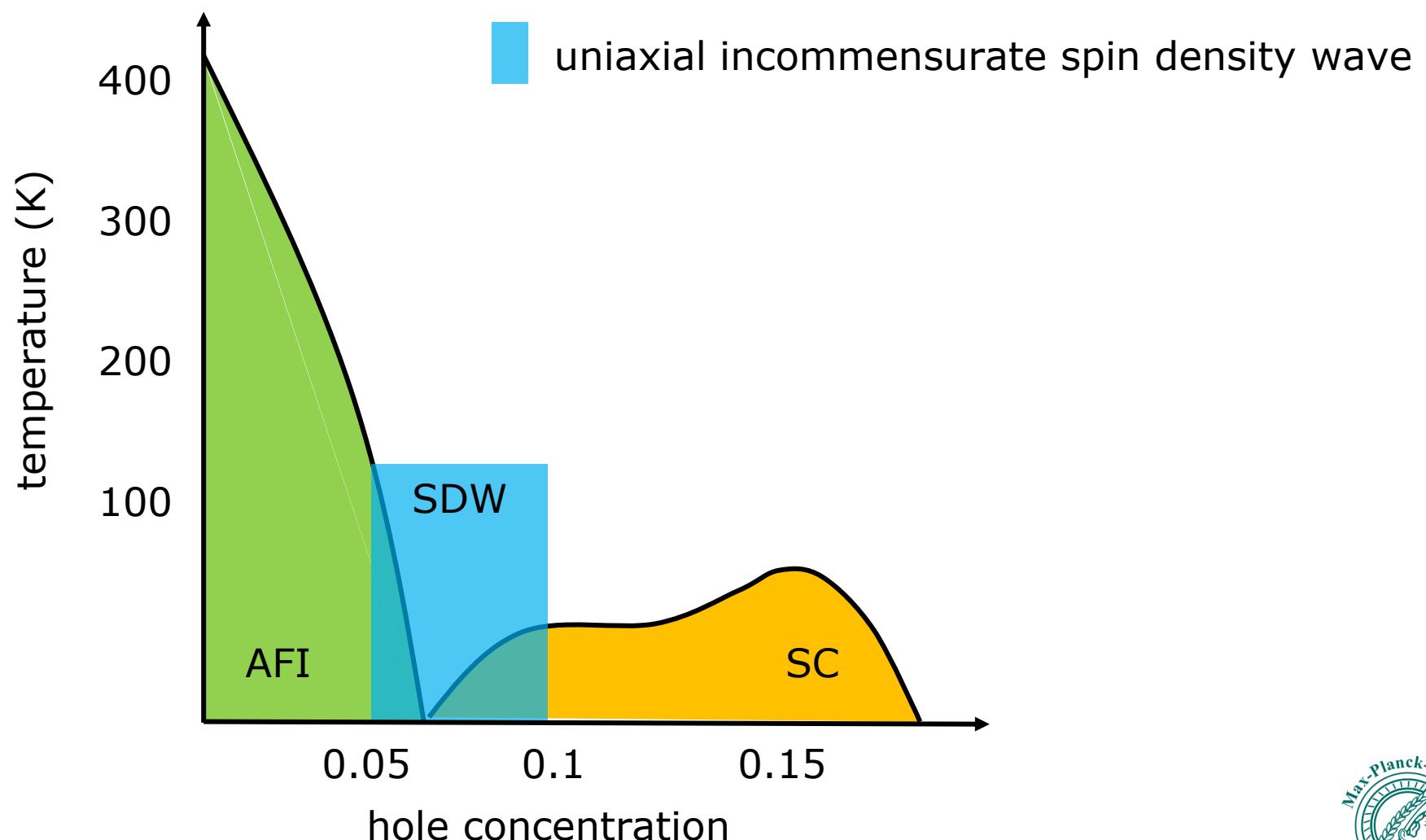


exchange parameters from magnon dispersions  
 $J_{||} \sim 100 \text{ meV}$   
 $J_{\perp 1} \sim 10 \text{ meV}$   
 $J_{\perp 2} \sim 0.01 \text{ meV}$



*Tranquada et al., PRB 1989*  
*Reznik et al., PRB 1996*

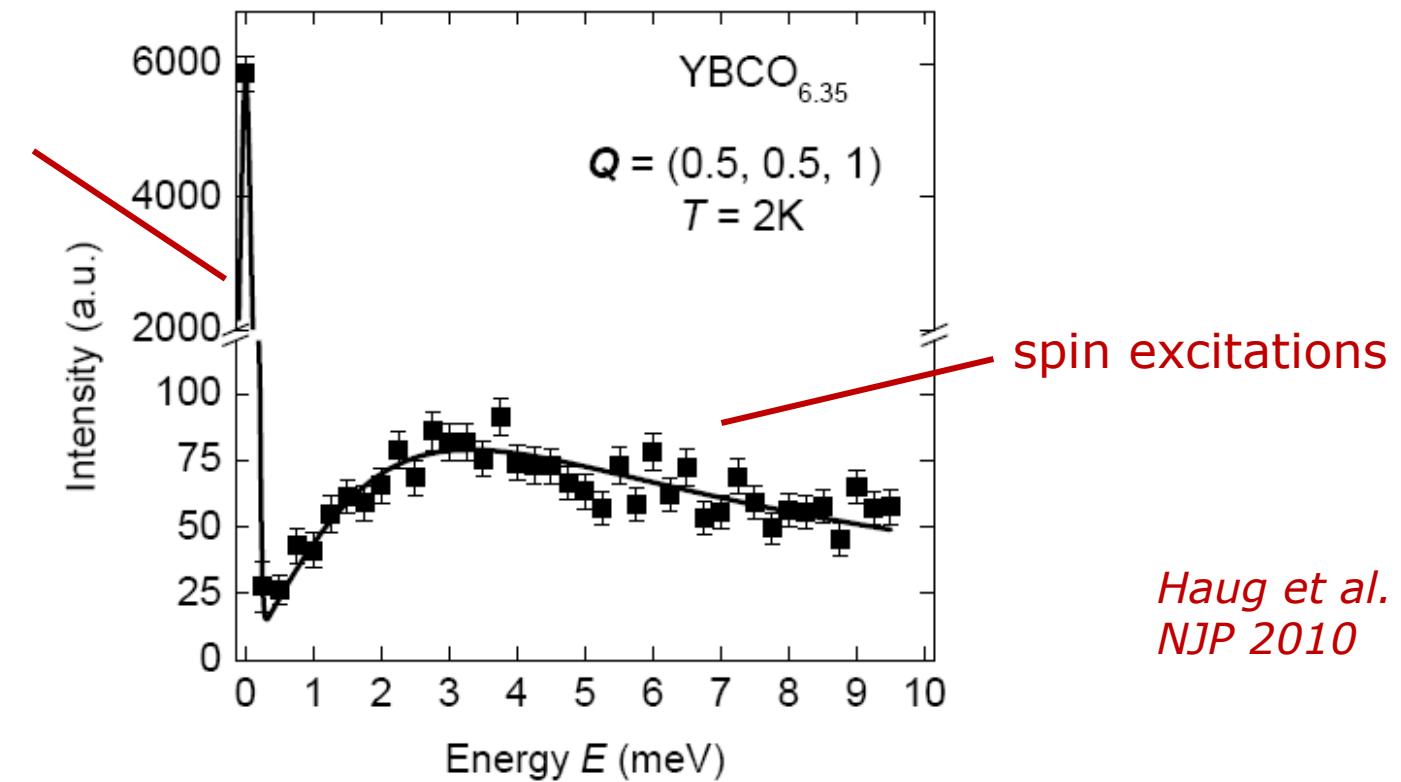
# Competing order in $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$



# Lightly doped YBCO

**YBCO<sub>6.35</sub>**    **T<sub>c</sub> = 10 K**    **p ~ 0.06**

quasielastic peak



analogous to Bragg peak, spin waves of antiferromagnetic Mott insulator  
but centered on incommensurate wave vector

# Low-energy spin fluctuations

**neutron scattering**

YBCO<sub>6.45</sub>

T<sub>c</sub> = 35 K

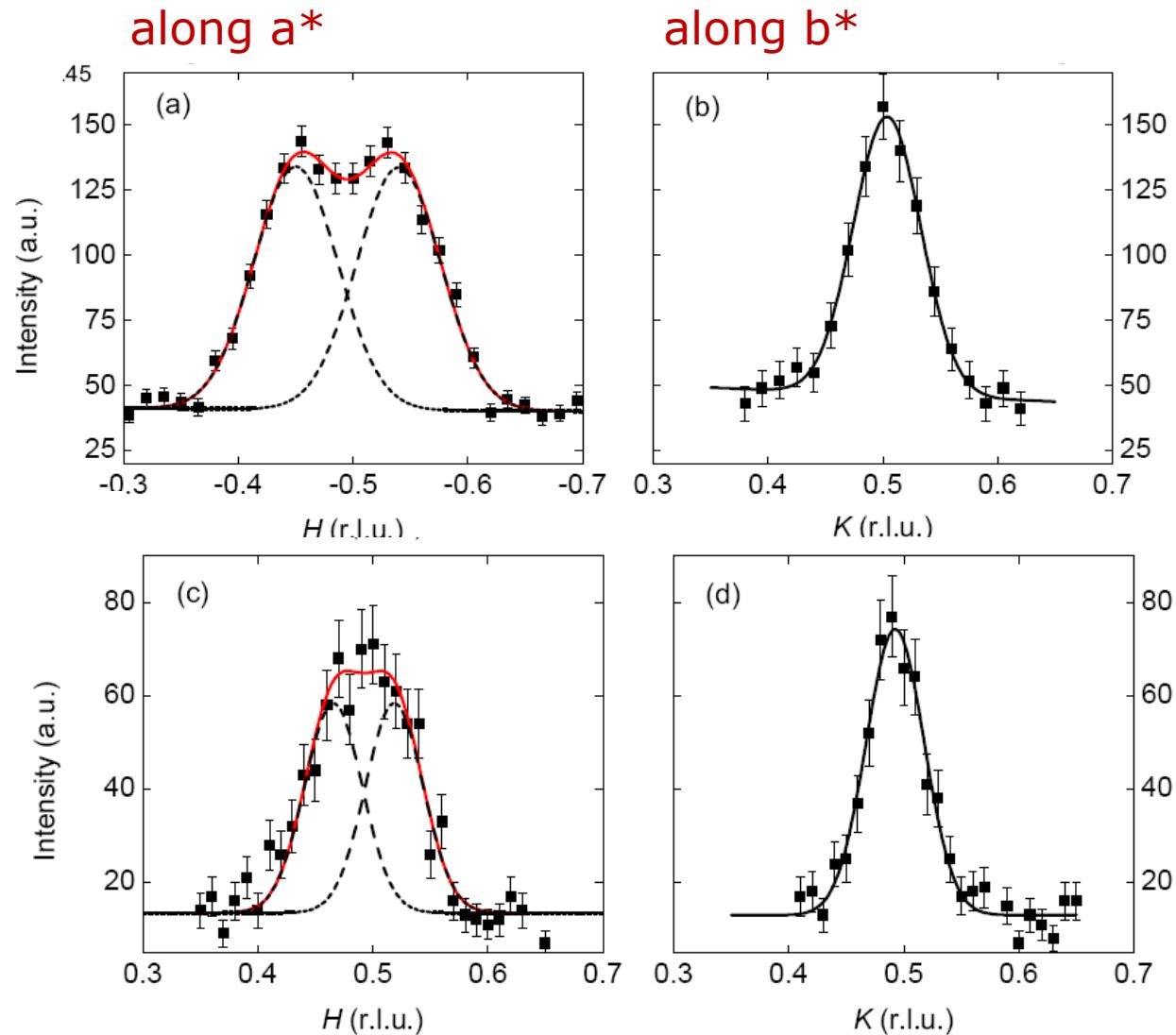
YBCO<sub>6.35</sub>

T<sub>c</sub> = 10 K

Haug et al.  
NJP 2010

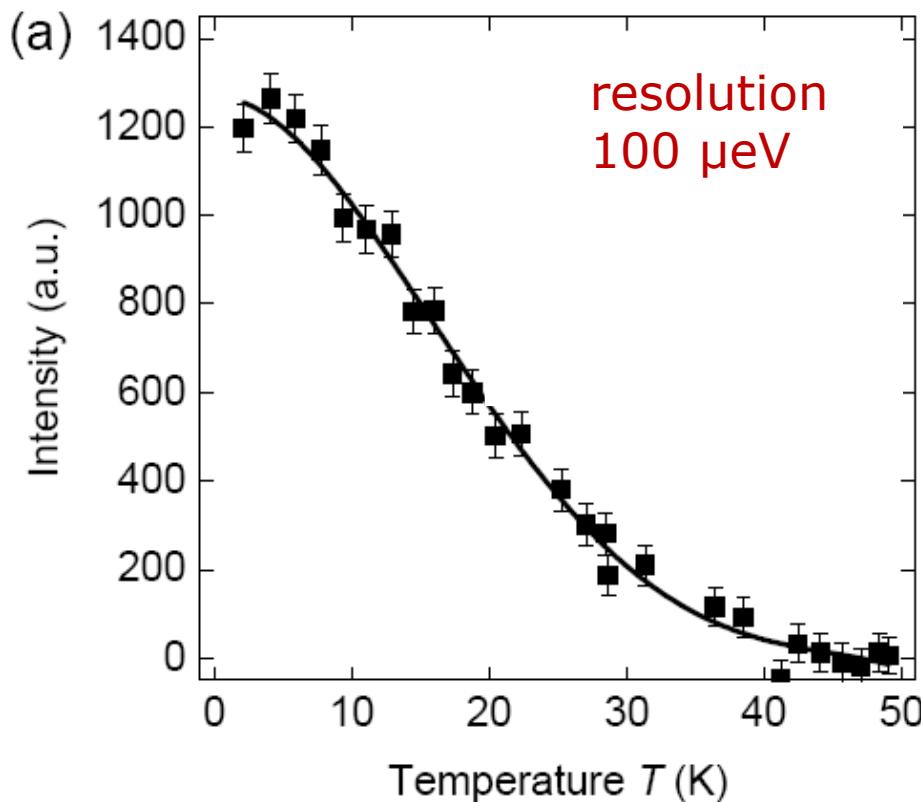
**uniaxial incommensurate magnetic order**

in lightly doped, weakly metallic YBCO → “spin density wave”



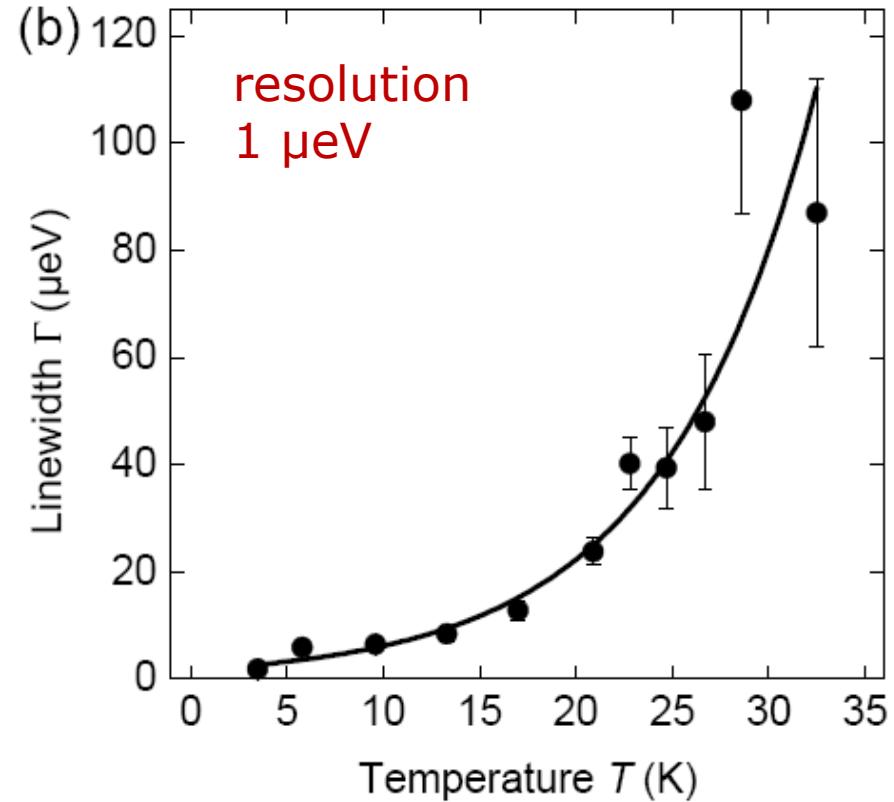
# Temperature dependence

## conventional triple-axis quasielastic neutron scattering



## neutron spin-echo spectroscopy

→ energy width  $\Gamma$  of quasielastic peak



energy width  $\Gamma$  of quasielastic peak narrows continuously as  $T \rightarrow 0$

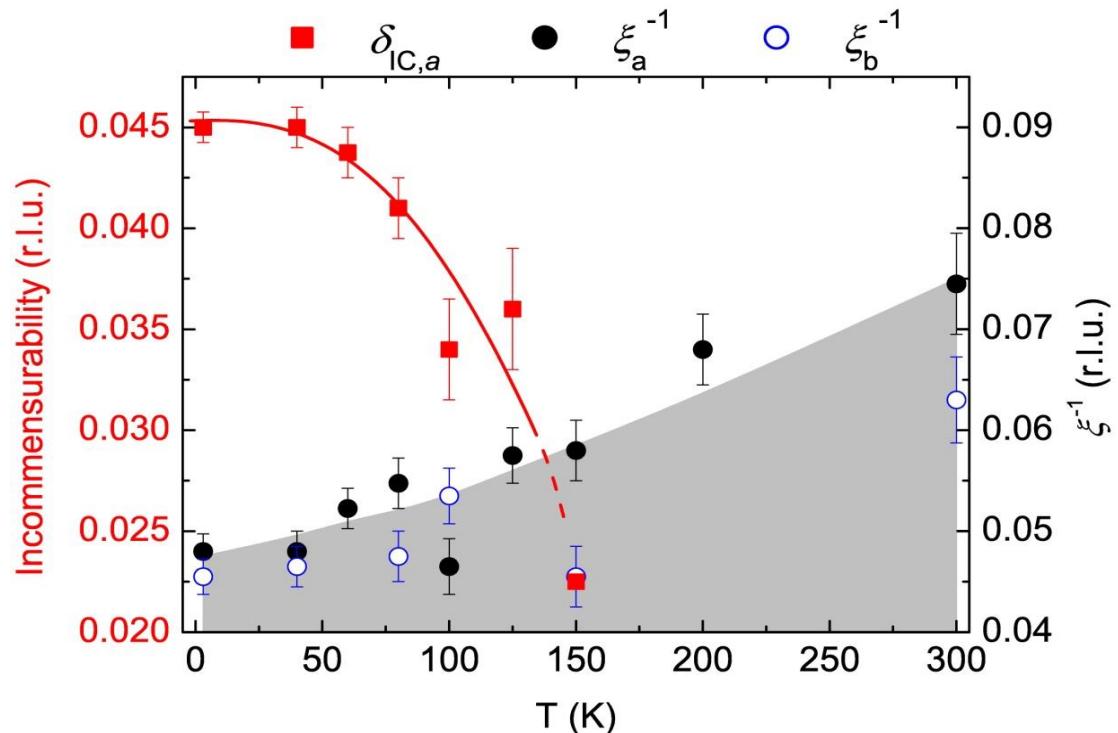
generic behavior in 2D Heisenberg systems

disorder also contributes → “cluster spin glass”

# Electronic nematic state in YBCO

inelastic neutron scattering

Hinkov et al.  
Science 2008



spontaneous onset of incommensurability upon cooling below  $\sim 150$  K

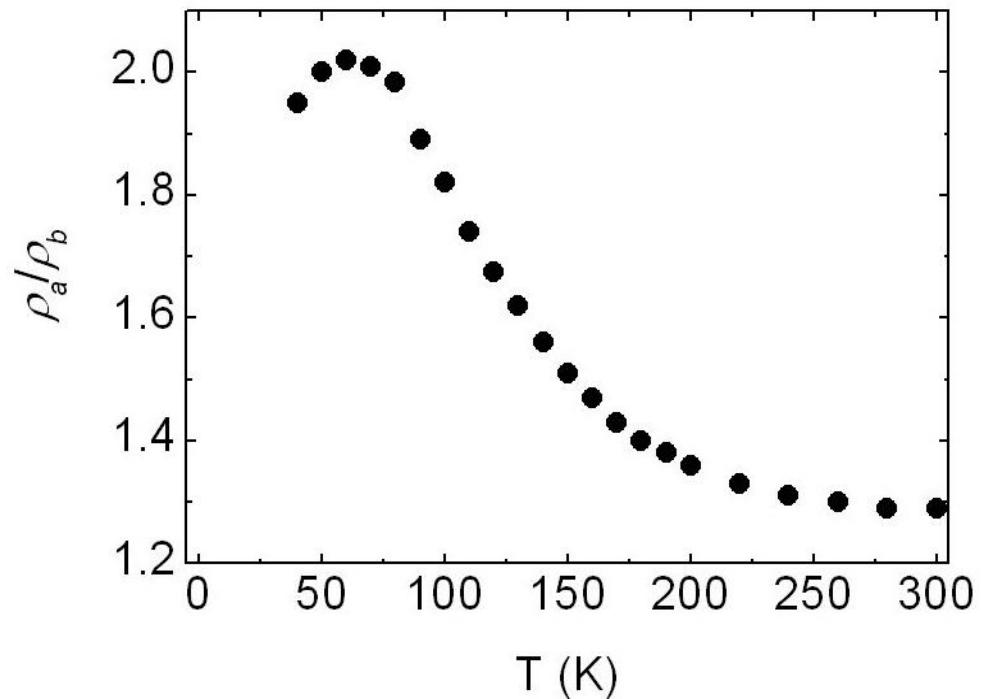
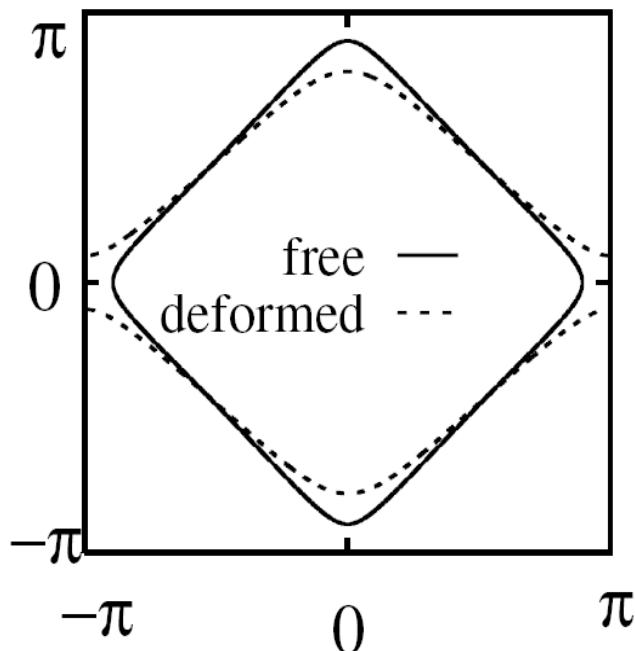
→ orientational symmetry broken

but no static magnetic order → translational symmetry unbroken

electronic analog of nematic liquid crystal

Fradkin, Kivelson et al.  
Yamase, Metzner et al.  
Ando et al.  
McKenzie et al. ...

# Electronic nematic state in YBCO



possible route towards nematicity:  
**Pomeranchuk instability**

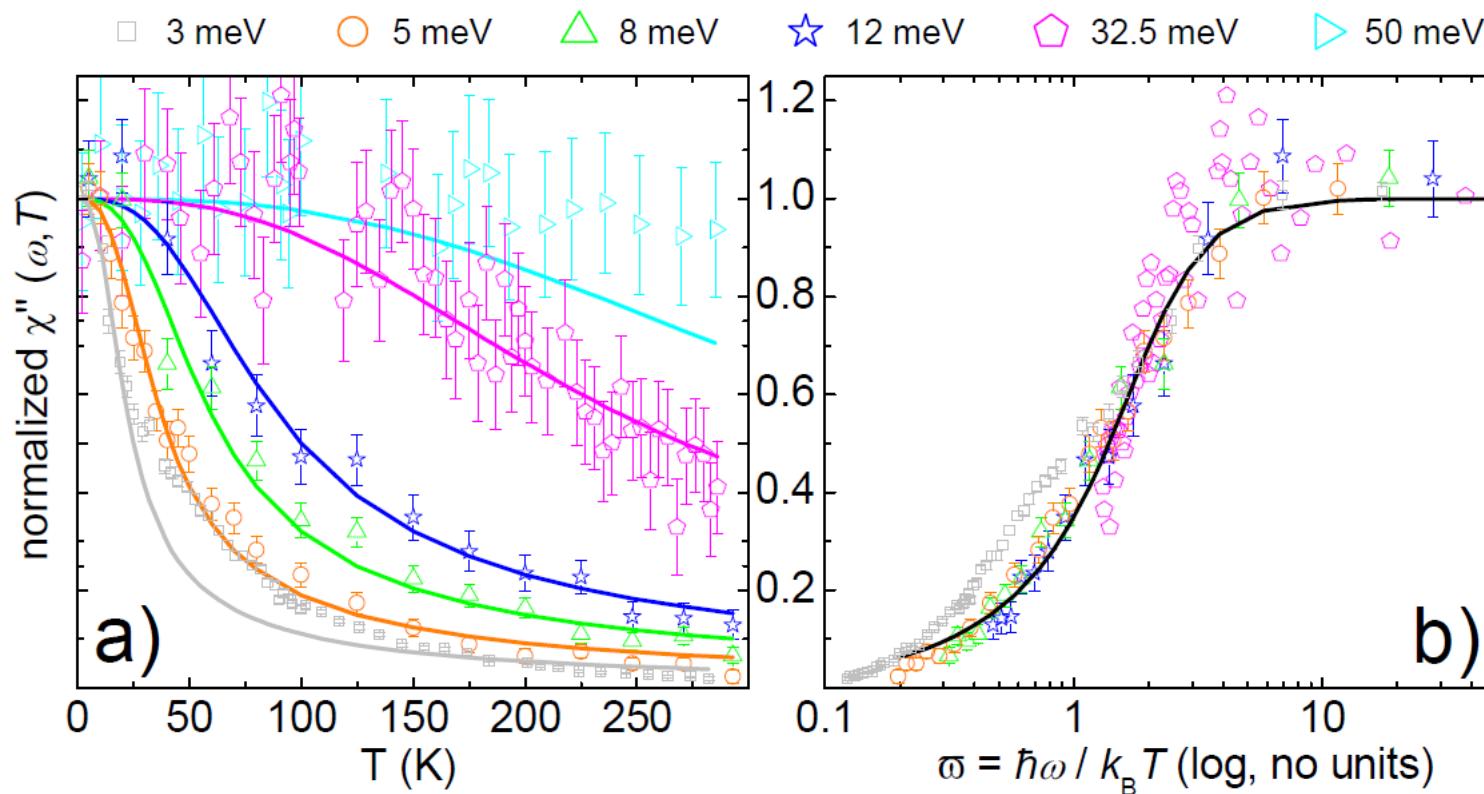
*Halboth & Metzner, PRL 2000  
Yamase & Kohno, JPSJ 2000*

**resistivity, Nernst effect anisotropies**  
turn up at similar temperature

*Ando et al., PRL 2002  
Daou et al., Nature 2010*

# Quantum critical point

**YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.45</sub>** T = 2K (in IC-SDW state)

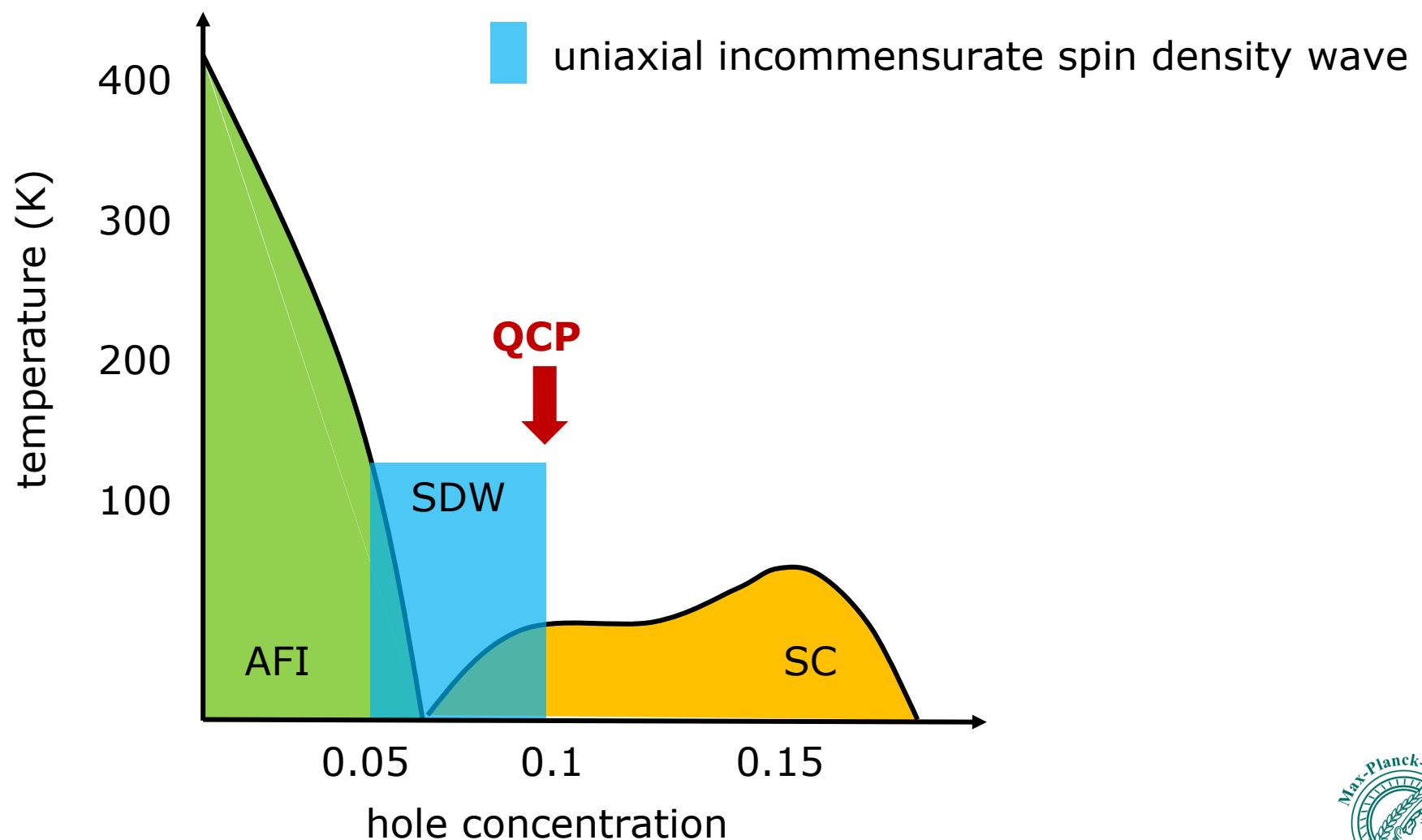


Hinkov et al.

$\omega/T$  scaling of  $\chi''$

evidence of **quantum critical point** where magnetic order disappears

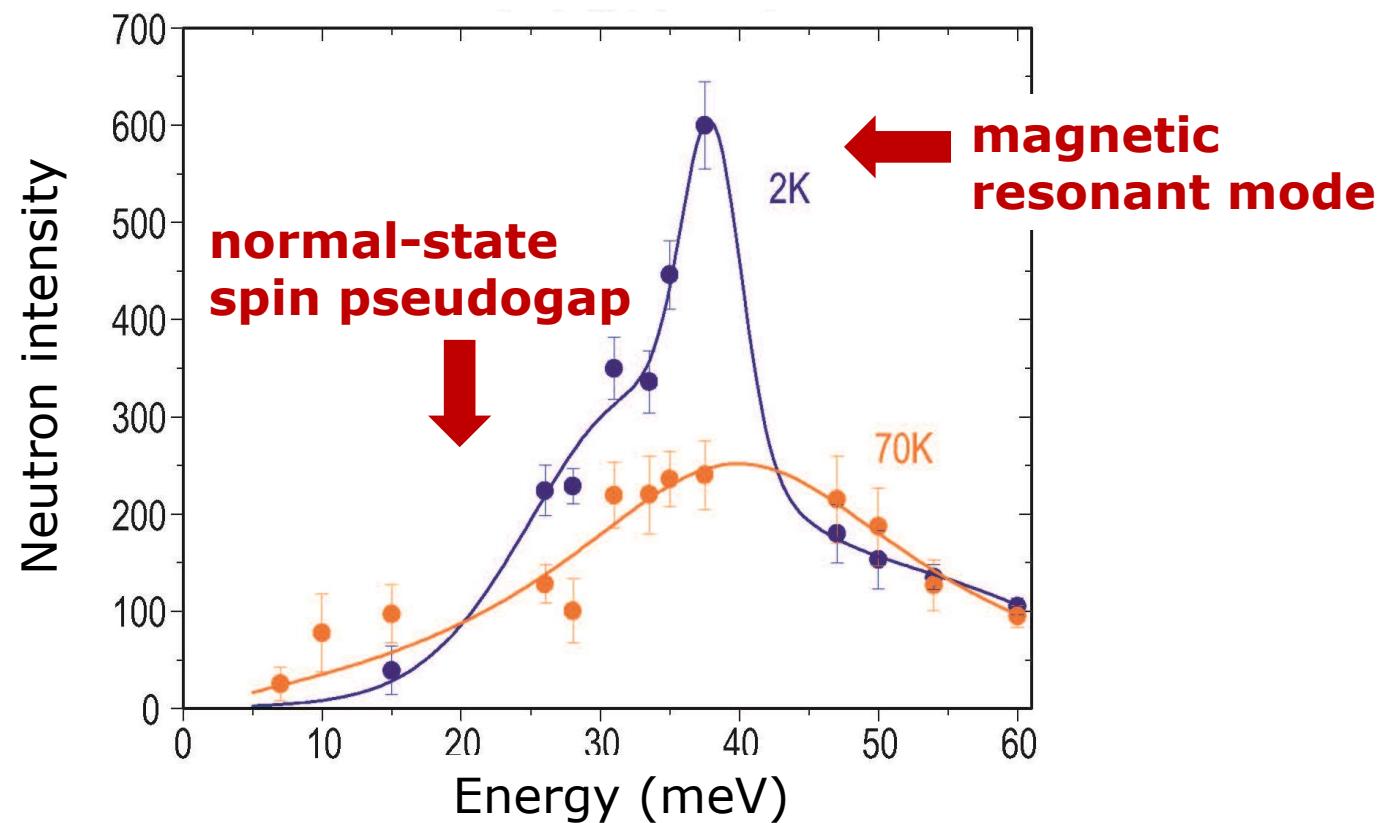
# Competing order in $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$



# Beyond the QCP

**YBCO<sub>6.6</sub>**     $T_c = 61 \text{ K}$      $p \sim 0.11$

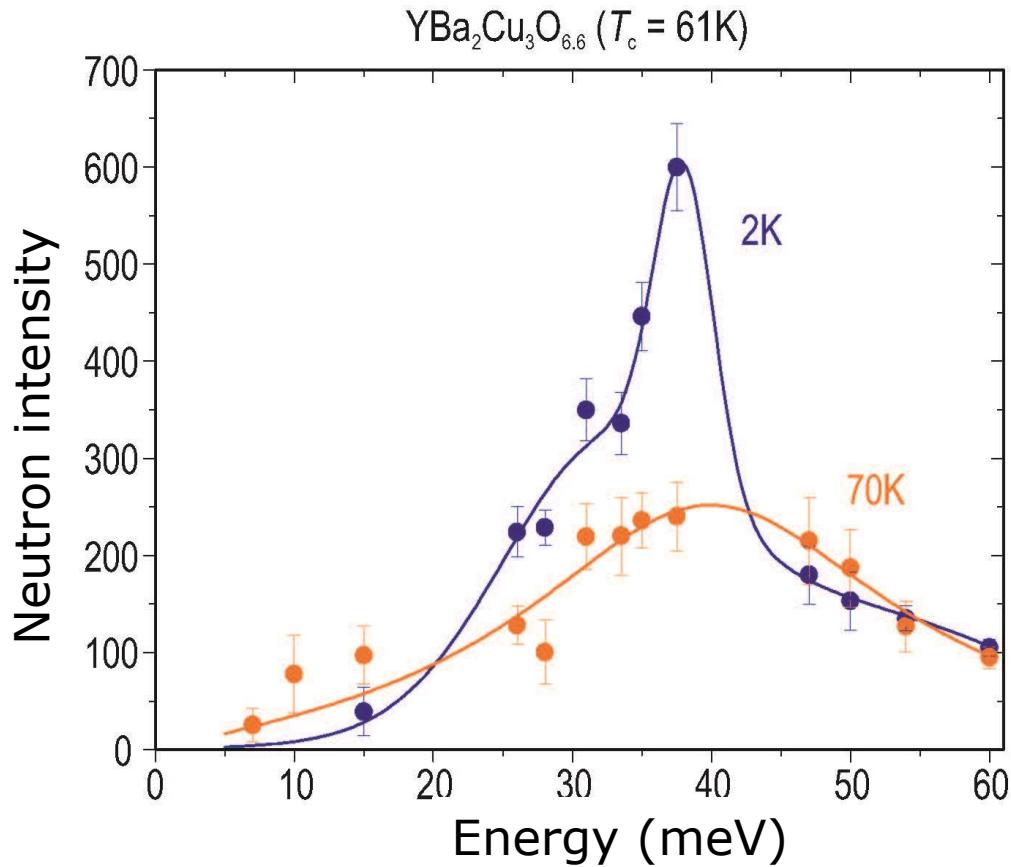
**no static magnetic order**



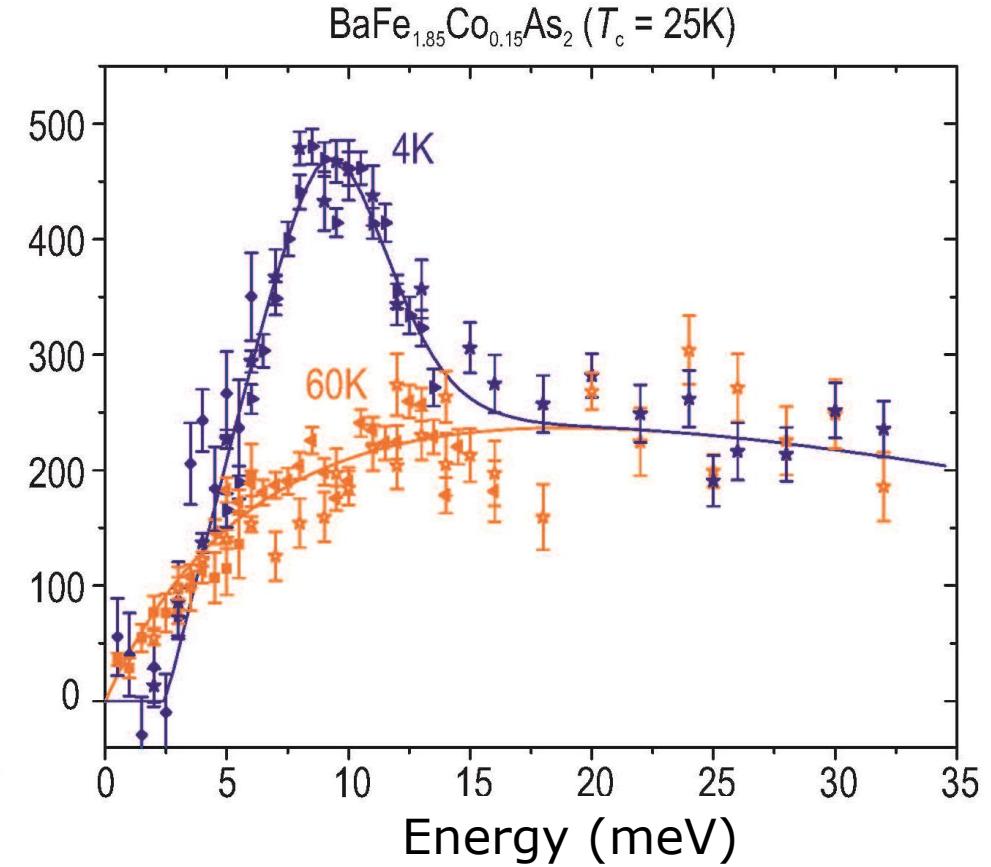
Suchaneck et al., PRL 2010

see also Fong et al., PRB 2000; Dai et al., PRB 2000

# Magnetic resonant mode



*Suchaneck et al., PRL 2010*



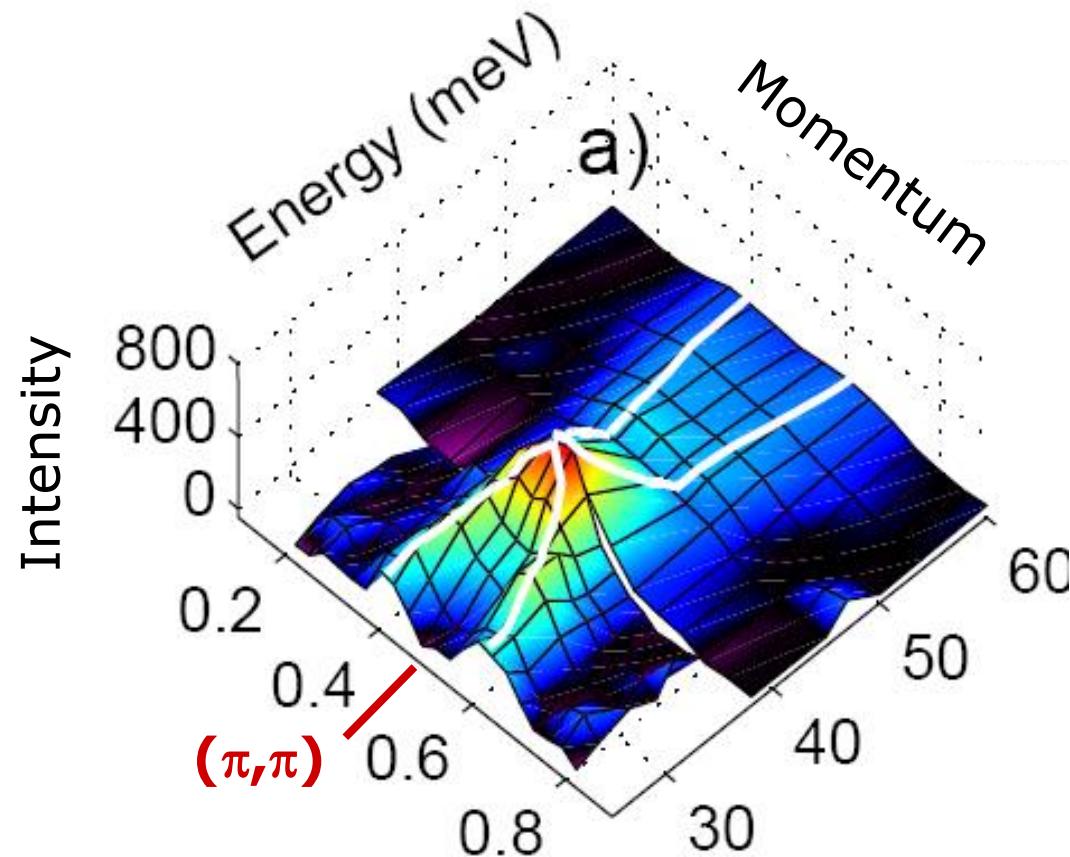
*Inosov et al., Nature Phys. 2010*  
*Christianson et al., Lumsden et al ...*

**strong feedback effect of the pairing interaction on bosonic spectrum**

**similar amplitude,  $T$ -dependence in two families of high- $T_c$  superconductors**

# Magnetic resonant mode

**YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.6</sub>**  
**T<sub>c</sub> = 62 K**



*Hinkov et al.*  
*Nature 2004*  
*Nature Phys. 2007*

## hour-glass dispersion

- magnon-like dispersion at high energies
- “inverted” dispersion at low energies

*Mook et al.*  
*Dai et al.* ...

# Inelastic magnetic neutron scattering

$$\frac{d^2\sigma}{d\Omega dE} = 2(\gamma r_0)^2 \frac{k_f}{k_i} N |F(\mathbf{Q})|^2 e^{-2W} \frac{1}{\pi(g\mu_B)^2} \frac{1}{1 - e^{-\hbar\omega\beta}} \chi''(\mathbf{Q}, \omega)$$

**itinerant electrons** → Lindhard function & RPA

$$\chi_0(q, \omega) = \sum_k \frac{f(E_{k+q\uparrow}) - f(E_{k\downarrow})}{\hbar\omega - (E_{k+q} - E_k - \Delta) + i\varepsilon} \quad \text{band dispersions}$$

$$\chi(q, \omega) = \frac{\chi_0(q, \omega)}{1 - J(q)\chi_0(q, \omega)} \quad \begin{aligned} &\text{RPA expression} \\ &J(q) \text{ peaked at } q = (\pi, \pi) \end{aligned}$$

# INS from superconductors

coherence factor

$$\chi(q, \omega) = \sum_k \left\{ \frac{1}{2} \left( 1 + \frac{\varepsilon_k \varepsilon_{k+q} + \Delta_k \Delta_{k+q}}{E_k E_{k+q}} \right) \frac{f(E_{k+q}) - f(E_k)}{\omega - (E_{k+q} - E_k) + i\delta} \right.$$
$$+ \frac{1}{4} \left( 1 - \frac{\varepsilon_k \varepsilon_{k+q} + \Delta_k \Delta_{k+q}}{E_k E_{k+q}} \right) \frac{1 - f(E_{k+q}) - f(E_k)}{\omega + (E_{k+q} + E_k) + i\delta}$$
$$\left. + \frac{1}{4} \left( 1 - \frac{\varepsilon_k \varepsilon_{k+q} + \Delta_k \Delta_{k+q}}{E_k E_{k+q}} \right) \frac{f(E_{k+q}) + f(E_k) - 1}{\omega - (E_{k+q} + E_k) + i\delta} \right\}$$

$$E_k = \sqrt{\varepsilon_k^2 + \Delta_k^2}$$

scattering of  
thermally excited pairs

pair annihilation

pair creation

Fong et al., PRL 1995

Monthoux & Scalapino, PRL 1994

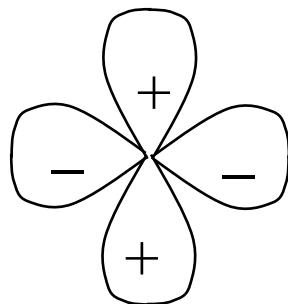
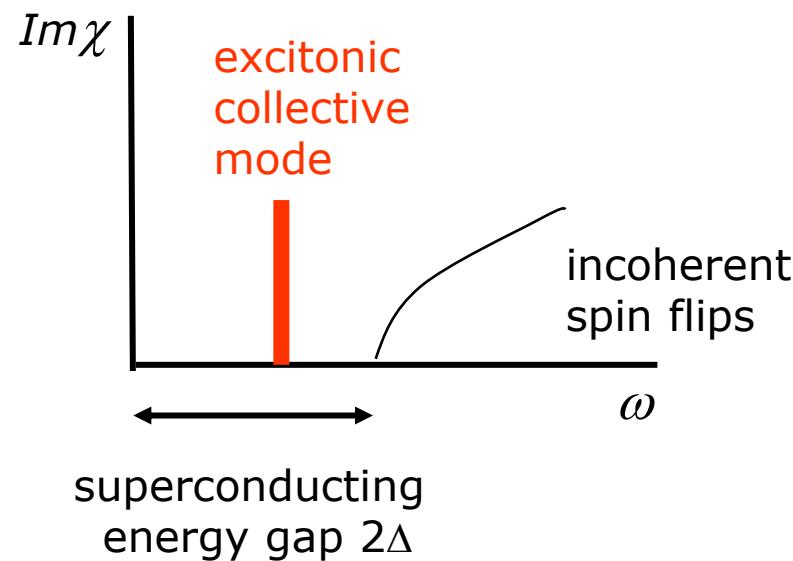
$\chi'' \rightarrow 0$  at  $q = (\pi, \pi)$  in s-wave superconductor

resonant mode implies **sign change** in superconducting gap function

d-wave in cuprates,  $s_{\pm}$  in iron pnictides

# Magnetic resonant mode

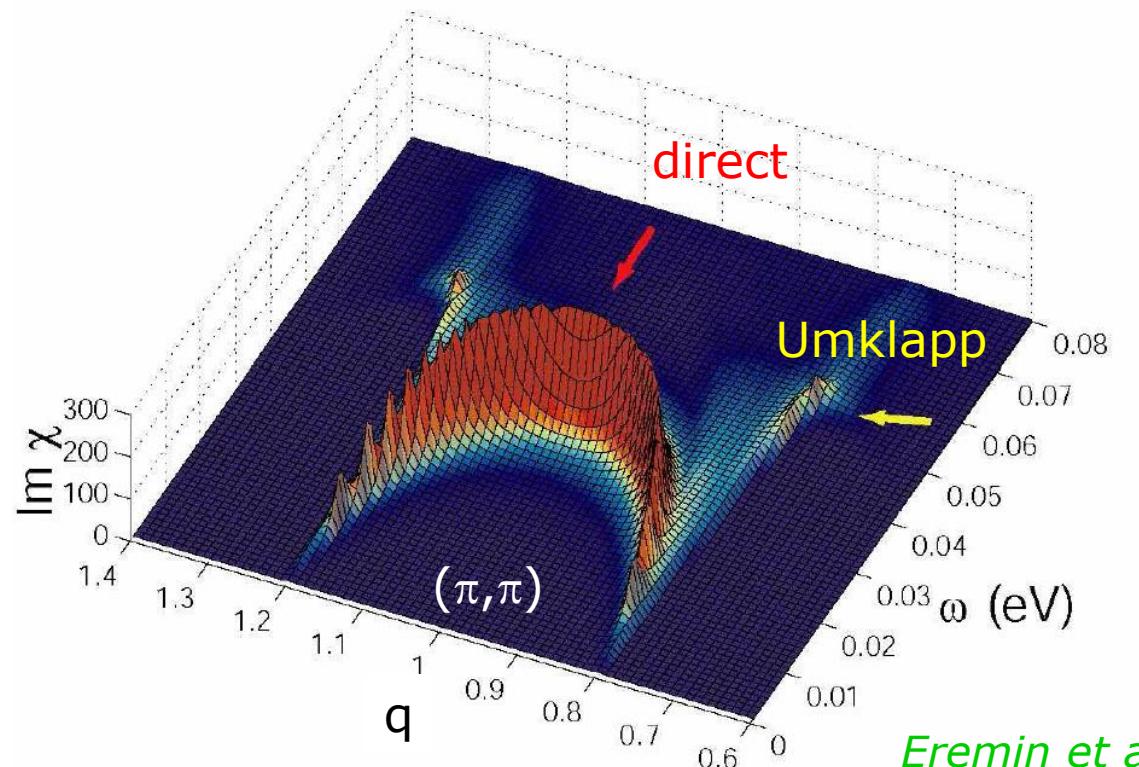
**spin excitations of a  
d-wave superconductor**



**dispersion of resonant mode**

momentum-space signature of Cooper-pair wave function

RPA reproduces lower branch of hour-glass dispersion



Eremin et al.  
PRL 2005

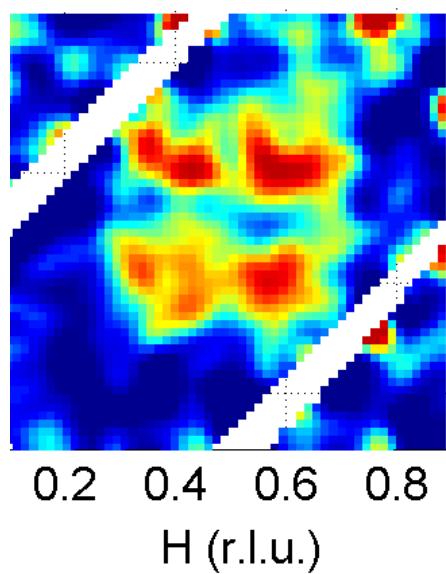
# Universal high-energy spin excitations

## underdoped cuprates

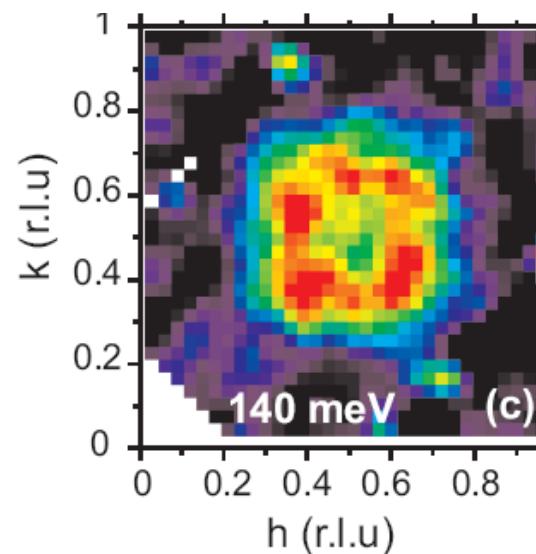
high-energy excitations weakly dependent on temperature, doping, structural details



63 meV



*Hinkov et al.  
Nature Phys. 2007*

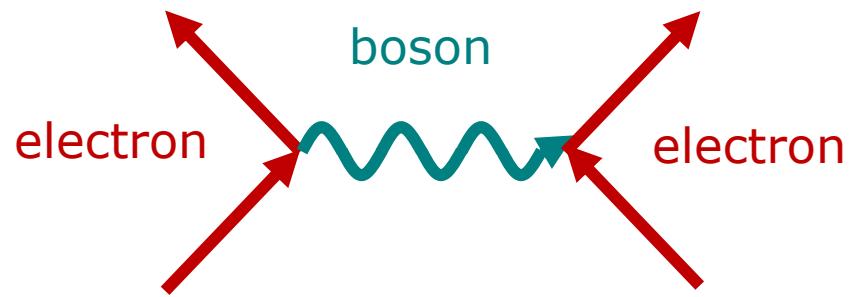


*Lipscombe et al.  
PRL 2009*

# Understanding unconventional superconductors

## experimental observations

- gapped, non-critical spin fluctuations
- feedback effect of superconductivity on spin fluctuation spectra
- fermionic quasiparticles, at least at low temperature



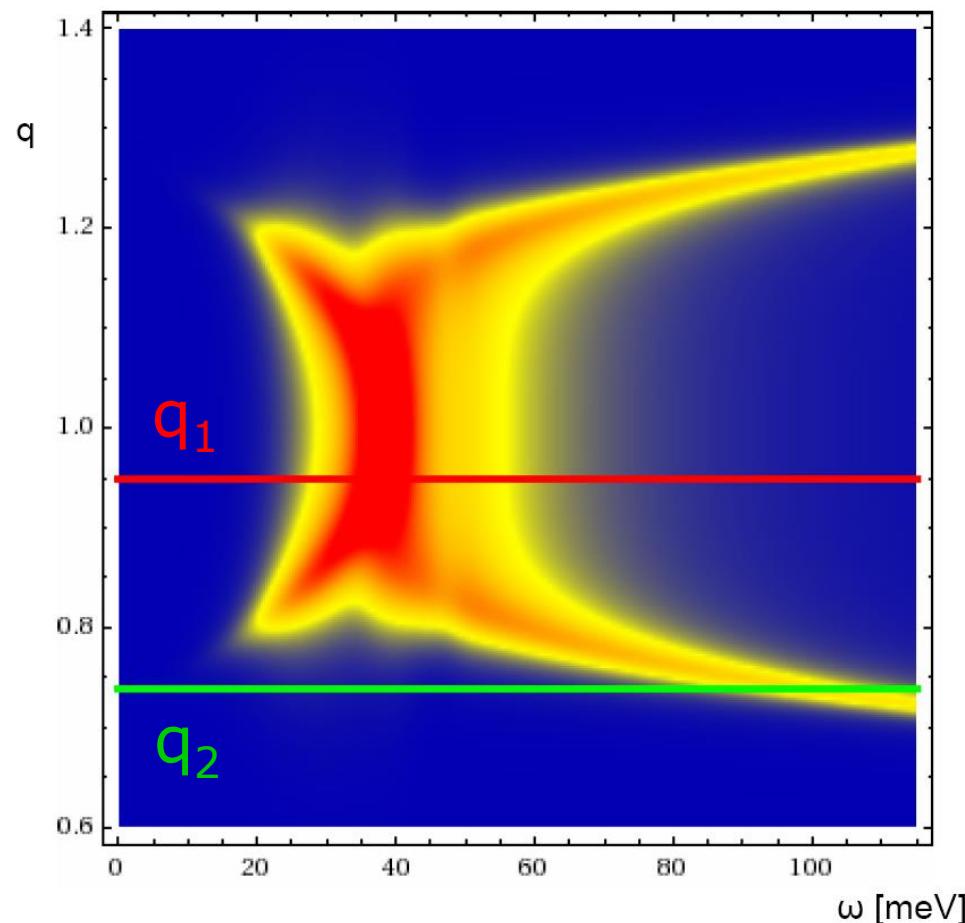
- quantify strength of spin fluctuation mediated pairing interaction
- calculate  $T_c$ , energy gap, ... → guideline for materials design

Eliashberg theory is the only method that is currently available.

# Joint analysis ARPES – INS

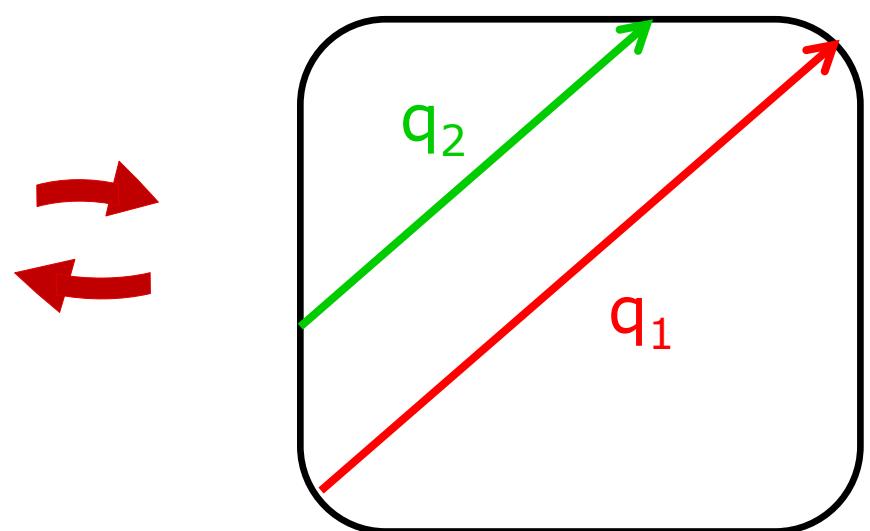
## anomalous spin excitations

from neutron scattering

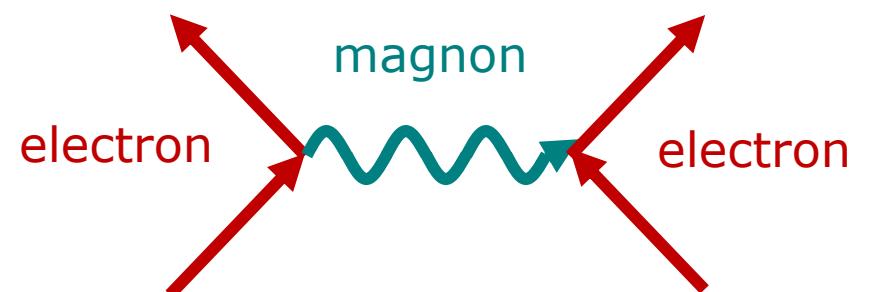


## electronic band dispersions

from photoemission



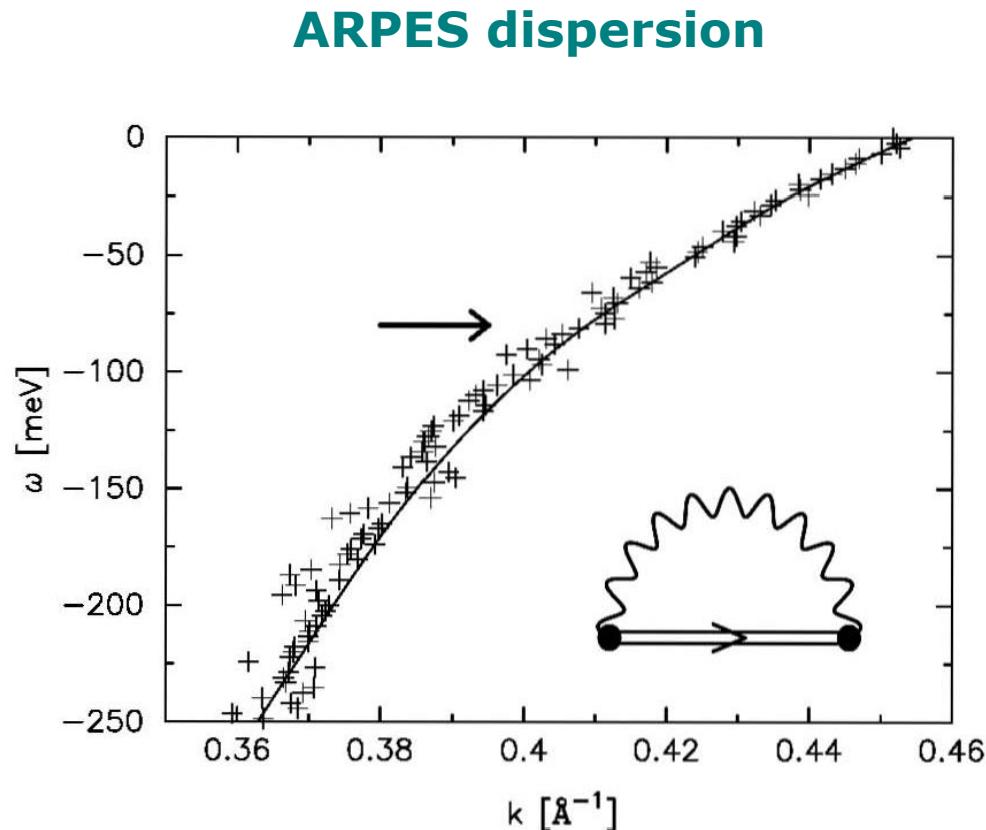
## quantitative cross-correlation



# Eliashberg theory

- compare INS & ARPES spectra  
of underdoped  $\text{YBa}_2\text{Cu}_3\text{O}_{6.6}$  ( $T_c = 62 \text{ K}$ )  
**→ spin-fermion coupling constant**
- mean-field superconducting gap equation  
**→  $T_c \sim 170 \text{ K}$**

Dahm et al., *Nature Phys.* 2009



**spin fluctuations have sufficient strength to mediate  
high-temperature superconductivity**

... but what about optimal doping?