

# Neutron and x-ray scattering studies of superconductors

**B. Keimer**

Max-Planck-Institute for Solid State Research

## lecture 1

- **conventional superconductors**

inelastic nuclear neutron scattering from phonons

- **unconventional superconductors**

magnetic structure determination by elastic magnetic neutron scattering

inelastic magnetic neutron scattering from magnons and paramagnons



# Neutron and x-ray scattering studies of superconductors

## lecture 2: unconventional superconductors

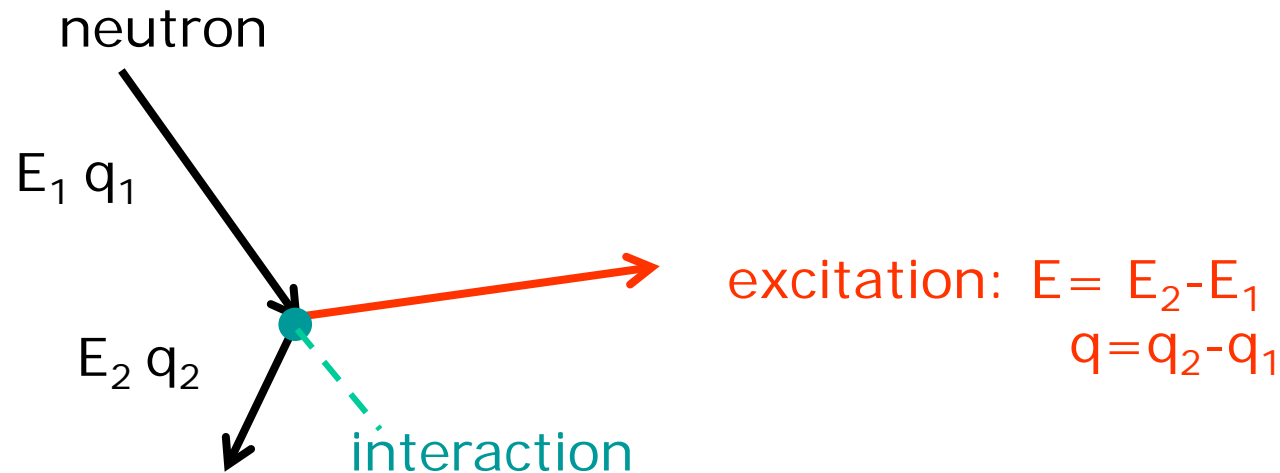
- magnetic neutron scattering continued
- resonant inelastic x-ray scattering from magnons and paramagnons
- resonant elastic x-ray scattering from charge density waves

## lecture 3: cuprate and nickelate superlattices

- orbital occupation
- magnetic order
- charge density waves



# Neutron scattering



strong (nuclear) interaction

elastic

lattice structure

inelastic

lattice dynamics

magnetic (dipole-dipole) interaction

elastic

magnetic structure

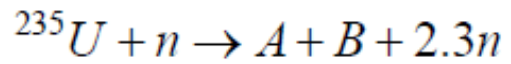
inelastic

magnetic excitations



# Neutron sources

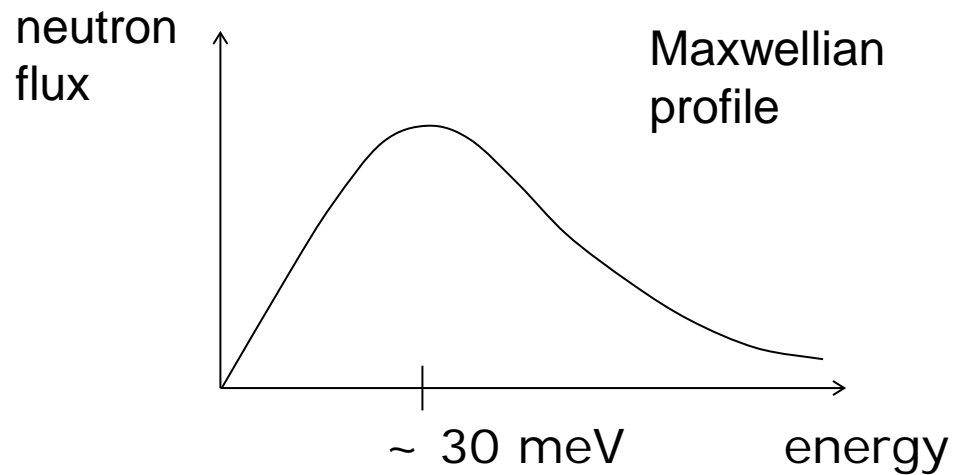
## research reactor



FRM-II  
Garching, Germany

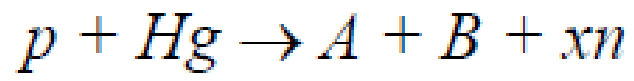


## spectrum



# Neutron sources

spallation source



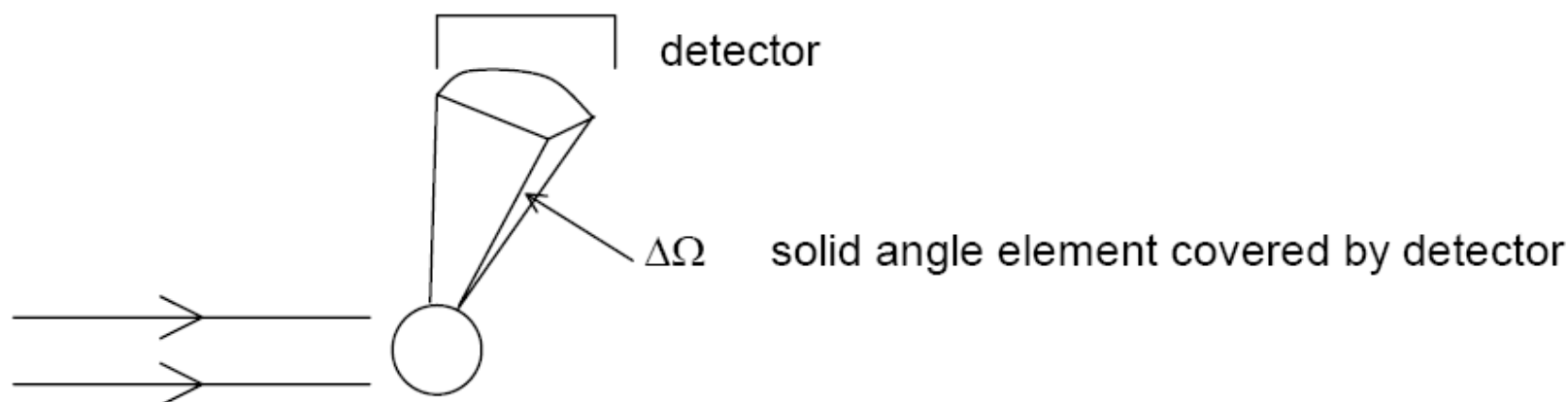
SNS  
Oak Ridge, TN



1. Source
2. Linac
3. Beamlines
4. Accumulator ring
5. Target area

# Elastic neutron scattering

basic quantity: differential cross-section  $\frac{d\sigma}{d\Omega}$



incident neutron beam, flux  $\phi = \frac{\text{\# of neutrons}}{\text{area} \cdot \text{time}}$

$\frac{d\sigma}{d\Omega} = \frac{\text{\# of neutrons scattered into solid angle element } d\Omega \text{ per unit time}}{\text{normalized to incident flux.}}$

dimensions:  $\left[ \frac{d\sigma}{d\Omega} \right] = \frac{1}{[\Delta\Omega][t][\phi]} = \text{area}$

↑  
dimensionless

# Elastic neutron scattering

calculation of  $\frac{d\sigma}{d\Omega}$  through Fermi's Golden Rule:

transition rate (# of transitions per unit time):  $W = \frac{2\pi}{\hbar} \left| \langle \vec{k}_f | V | \vec{k}_i \rangle \right|^2 \underbrace{\rho_f(E)}_{\text{Density of final states}}$

$$\left. \begin{aligned} |k_i\rangle &= \frac{1}{\sqrt{L^3}} e^{i\vec{k}_i \cdot \vec{r}} \\ |k_f\rangle &= \frac{1}{\sqrt{L^3}} e^{i\vec{k}_f \cdot \vec{r}} \end{aligned} \right\} \text{plane waves}$$

incident neutron flux:  $\frac{\text{velocity}}{L^3} = \frac{\hbar k_i}{m_n L^3}$

$k_i = k_f$  for elastic scattering

$$\rho_f(E) = \underbrace{\left( \frac{L}{2\pi} \right)^3}_{\text{density of states in } k\text{-space}} \frac{d\vec{k}_f}{dE}$$

$$d\vec{k}_f = k_f^2 dk_f d\Omega$$

$$\rho_f(E) = \left( \frac{L}{2\pi} \right)^3 k_f^2 \frac{dk_f}{dE} d\Omega = \left( \frac{L}{2\pi} \right)^3 \frac{m_n k_f^3}{\hbar^2} d\Omega$$

with  $\frac{dE}{dk_f} = \frac{\hbar^2 k_f}{m_n}$

$$\Rightarrow \frac{d\sigma}{d\Omega} = \frac{W}{\text{incident flux}} = \left( \frac{m_n}{2\pi\hbar^2} \right)^2 \left| \int V e^{i(\vec{k}_i - \vec{k}_f) \cdot \vec{r}} d\vec{r} \right|^2$$

$$= \left( \frac{m_n}{2\pi\hbar^2} \right)^2 \left| \int V(\vec{r}) e^{-i\vec{Q} \cdot \vec{r}} d\vec{r} \right|^2$$

"Born approximation"



# Elastic nuclear neutron scattering

For short range strong force, use approximate interaction potential

$$V(\vec{r}) = \frac{2\pi\hbar^2}{m_n} b \delta(\vec{r} - \vec{R})$$

↑ "scattering length"
↑ position of nucleus

scattering length  $b \sim$  size of nucleus  $\sim 10^{-15}$  m

for single nucleus:  $\frac{d\sigma}{d\Omega} = |b|^2$

total cross section:  $\sigma = \int \frac{d\sigma}{d\Omega} d\Omega = 4\pi b^2$

lattice of nuclei:  $V(\vec{r}) = \frac{2\pi\hbar^2}{m_n} \sum_{\vec{R}} b_{\vec{R}} \delta(\vec{r} - \vec{R})$   $b_{\vec{R}}$ : scattering length of nucleus at lattice site  $\vec{R}$

$$\frac{d\sigma}{d\Omega} = \left| \int d\vec{r} \sum_{\vec{R}} b_{\vec{R}} \delta(\vec{r} - \vec{R}) e^{i\vec{Q}\cdot\vec{r}} \right|^2 = \left| \sum_{\vec{R}} b_{\vec{R}} e^{i\vec{Q}\cdot\vec{R}} \right|^2 = b^2 \frac{N(2\pi)^3}{v_0} \sum_{\vec{K}} \delta(\vec{Q} - \vec{K})$$

Bragg peaks  
at reciprocal lattice vectors  $\vec{K}$

for unit cell with several atoms, basis vector  $\vec{d}$

$$\frac{d\sigma}{d\Omega} = N \frac{(2\pi)^3}{v_0} \sum_{\vec{K}} \delta(\vec{Q} - \vec{K}) |F_N(\vec{K})|^2$$

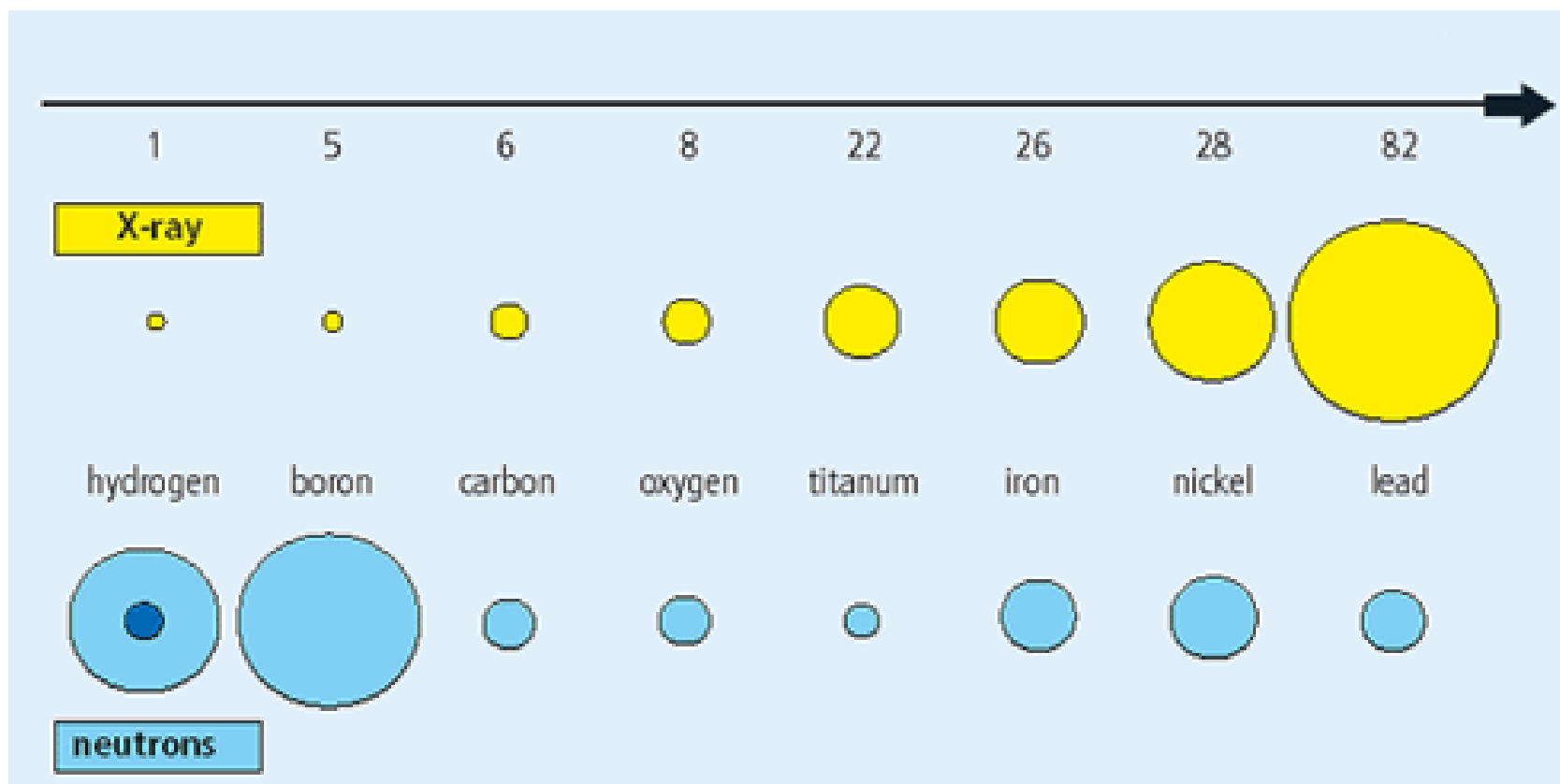
$$F_N(\vec{K}) = \sum_{\vec{d}} e^{i\vec{Q}\cdot\vec{d}} b_{\vec{d}}$$

"nuclear structure factor"





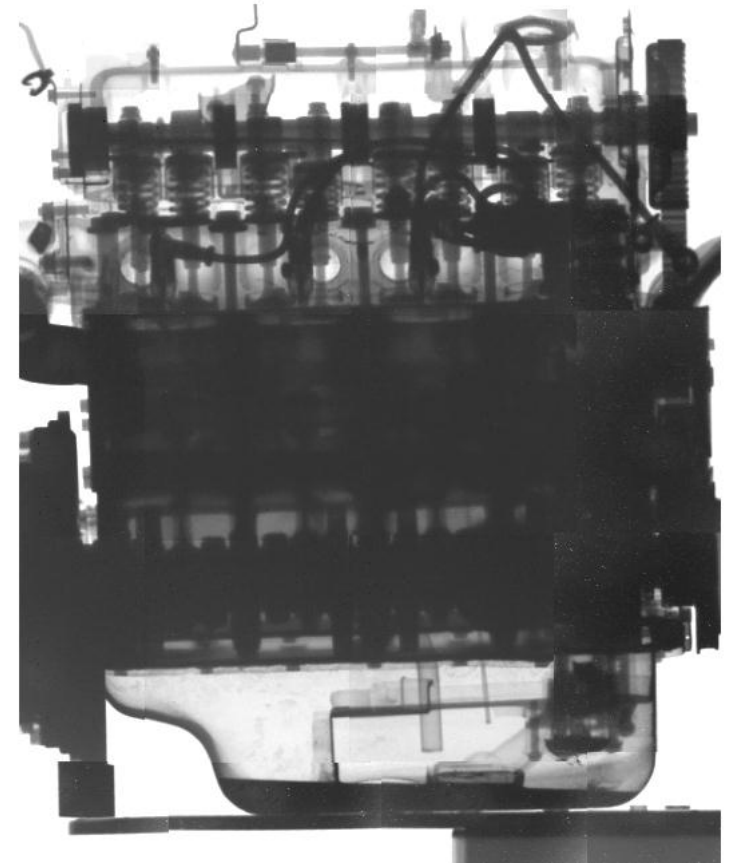
# Neutron scattering lengths



# Neutron radiography



Courtesy L. Greim, GKSS, Forschungszentrum Geesthacht, Germany



# Inelastic neutron scattering

elastic cross section  $\frac{d\sigma}{d\Omega} = \frac{\# \text{ of neutrons scattered into } d\Omega}{(\text{unit time}) \cdot (\text{incident flux})}$

inelastic cross section  $\frac{d^2\sigma}{dEd\Omega} = \frac{\# \text{ of neutrons scattered into } d\Omega}{(\text{unit time}) \cdot (\text{incident flux}) \cdot (\text{energy})}$

## inelastic nuclear neutron scattering

$$\frac{d^2\sigma}{d\Omega dE} = \frac{k_f}{k_i} \frac{1}{2\pi\hbar} \sum_{jj'} b_{j'} b_j \int_{-\infty}^{\infty} \sum_{\lambda_i} p_{\lambda_i} \langle \lambda_i | e^{-i\mathbf{Q}\mathbf{R}_{j'}(0)} e^{i\mathbf{Q}\mathbf{R}_j(t)} | \lambda_i \rangle e^{-i\omega t} dt$$

$|\lambda_i\rangle$   $|\lambda_f\rangle$  initial, final state of sample

$$\hbar\omega = \frac{\hbar^2 k_i^2}{2m_n} - \frac{\hbar^2 k_f^2}{2m_n} = E_{\lambda_i} - E_{\lambda_f} \quad \text{energy of excitation created by neutron in sample}$$

$$p_{\lambda_i} = \exp(-E_{\lambda_i}\beta)/Z \quad Z = \sum_{\lambda_i} \exp(-E_{\lambda_i}\beta) \quad \text{partition function}$$



# Inelastic nuclear neutron scattering

$$\frac{d^2\sigma}{d\Omega dE} = \frac{k_f}{k_i} \frac{1}{2\pi\hbar} \frac{\sigma_{coh}}{4\pi} \sum_{jj'} \int_{-\infty}^{\infty} \langle e^{-i\mathbf{Q}\mathbf{R}_{j'}(0)} e^{i\mathbf{Q}\mathbf{R}_j(t)} \rangle \exp(-i\omega t) dt$$

$$\langle e^{\dots} e^{\dots} \rangle = \sum p_{\lambda_i} \langle \lambda_i | e^{\dots} e^{\dots} | \lambda_i \rangle \quad \text{thermal average} \quad \sigma_{coh} = 4\pi(\bar{b})^2$$

$|\lambda\rangle$  characterized by population  $n_s$  of phonons of energy  $\hbar\omega_s(\vec{k})$  in branch  $s$

Debye-Waller factor due to thermal lattice vibrations

$$\frac{d^2\sigma}{d\Omega dE} = \frac{\sigma_{coh}}{4\pi} \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \frac{1}{2M} e^{-2W} \sum_s \sum_{\eta} \frac{(\mathbf{Q} \cdot \mathbf{e}_s)^2}{\omega_s} \times$$

$$\{ \langle n_s + 1 \rangle \delta(\omega - \omega_s) \delta(\mathbf{Q} - \mathbf{q} - \mathbf{K}) + \langle n_s \rangle \delta(\omega + \omega_s) \delta(\mathbf{Q} + \mathbf{q} - \mathbf{K}) \}$$

phonon creation  
neutron energy loss

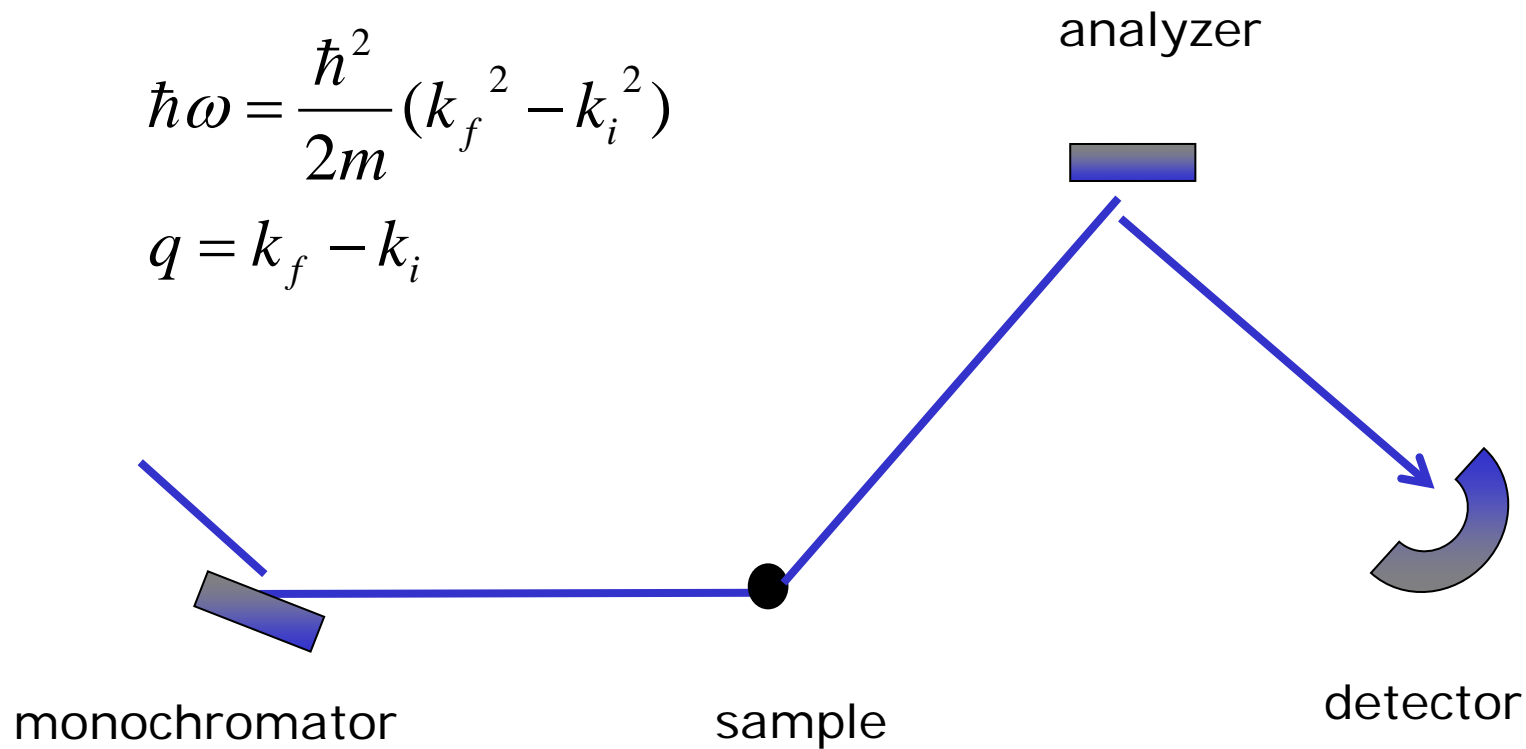
phonon annihilation  
neutron energy gain



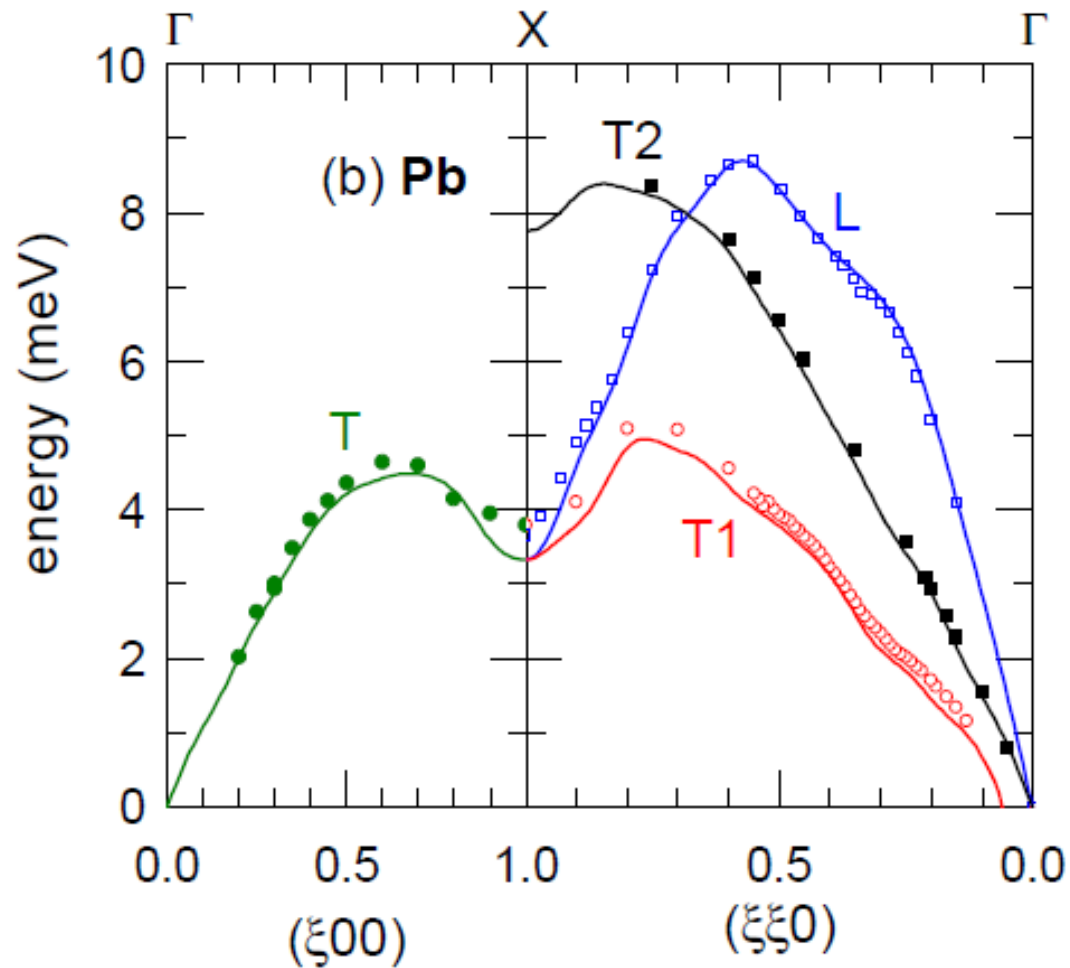
# Triple-axis spectrometer

$$\hbar\omega = \frac{\hbar^2}{2m}(k_f^2 - k_i^2)$$

$$q = k_f - k_i$$



# Phonon dispersions in Pb



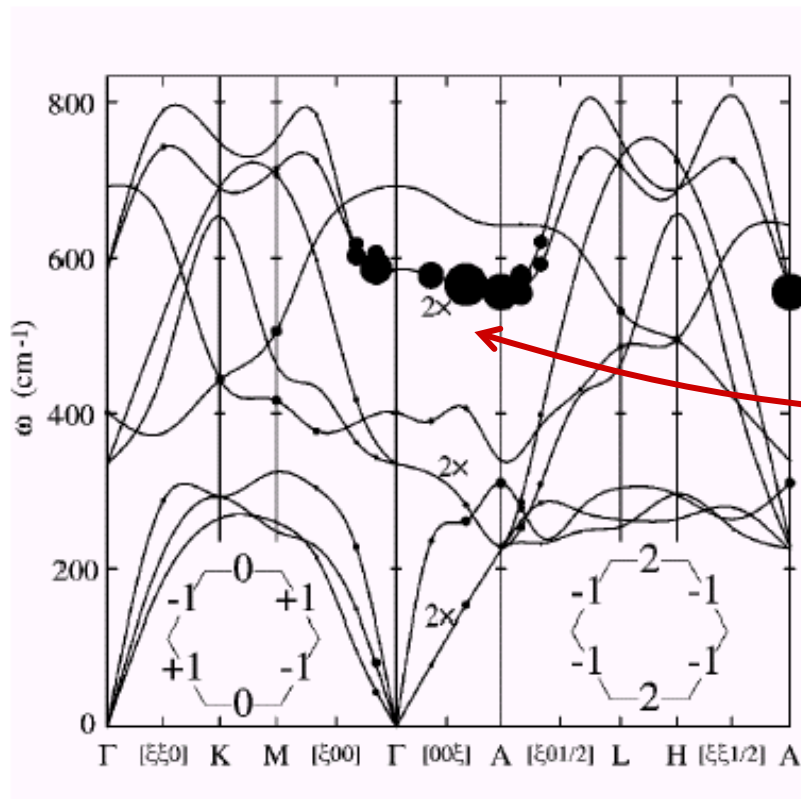
excellent agreement with  
ab-initio lattice dynamics

*Munnikes, Boeri et al.*

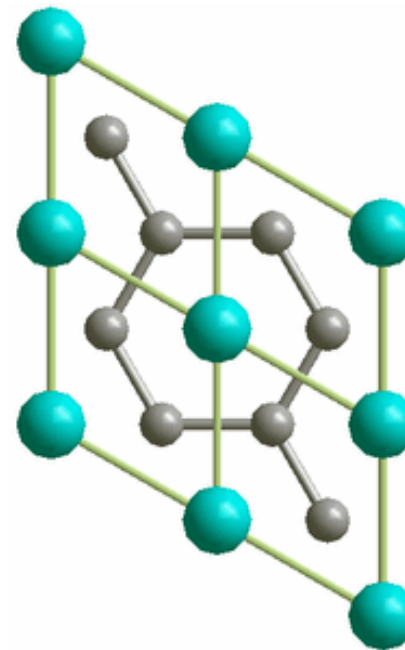
# Electron-phonon interaction

electron-phonon interaction in simple metals predicted by ab-initio LDA

example  $\text{MgB}_2$



*Kong et al., PRB 2001*

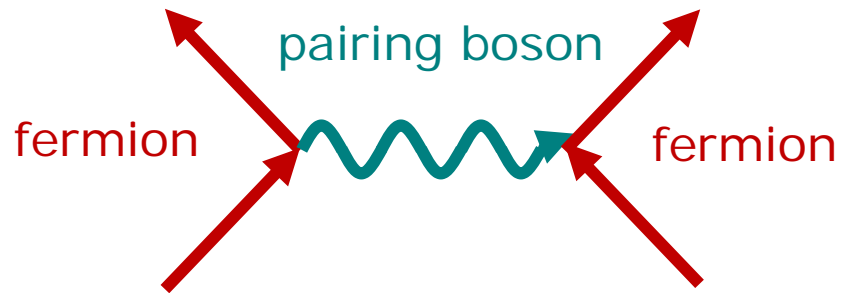


strong coupling  
short phonon lifetime

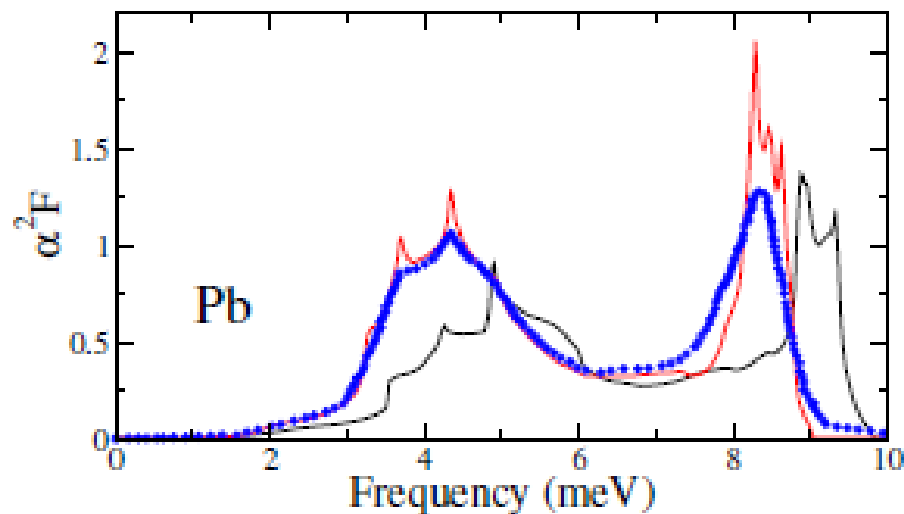
typical phonon linewidth: 1-100  $\mu\text{eV}$

# Conventional superconductors

understanding based on **quasiparticles**



**fermionic spectrum** from tunneling



experimental tunnel spectrum

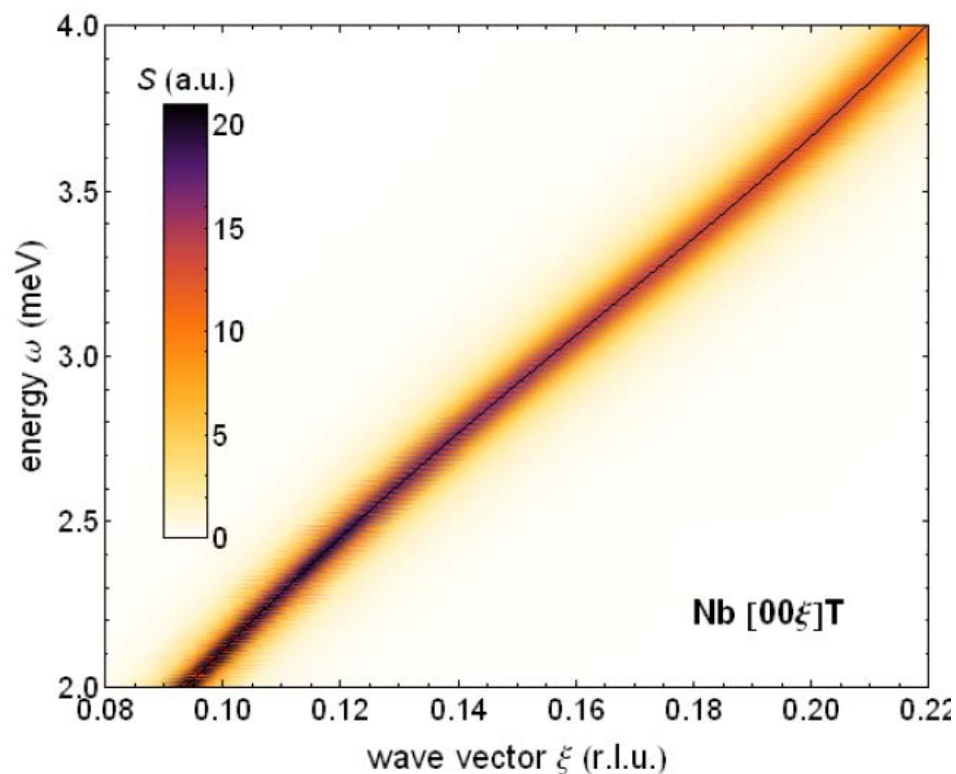
calculated spectrum based on  
phonon dispersions from neutrons



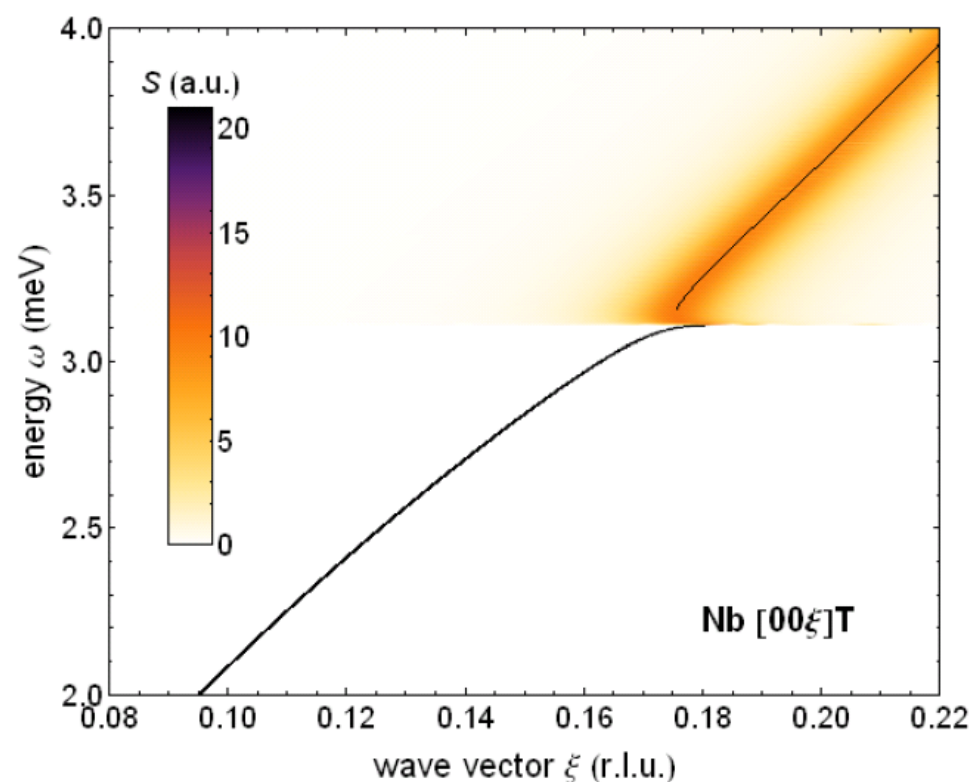
# Resonant mode in conventional superconductors

## phonon dispersion

$T > T_c$



$T < T_c$



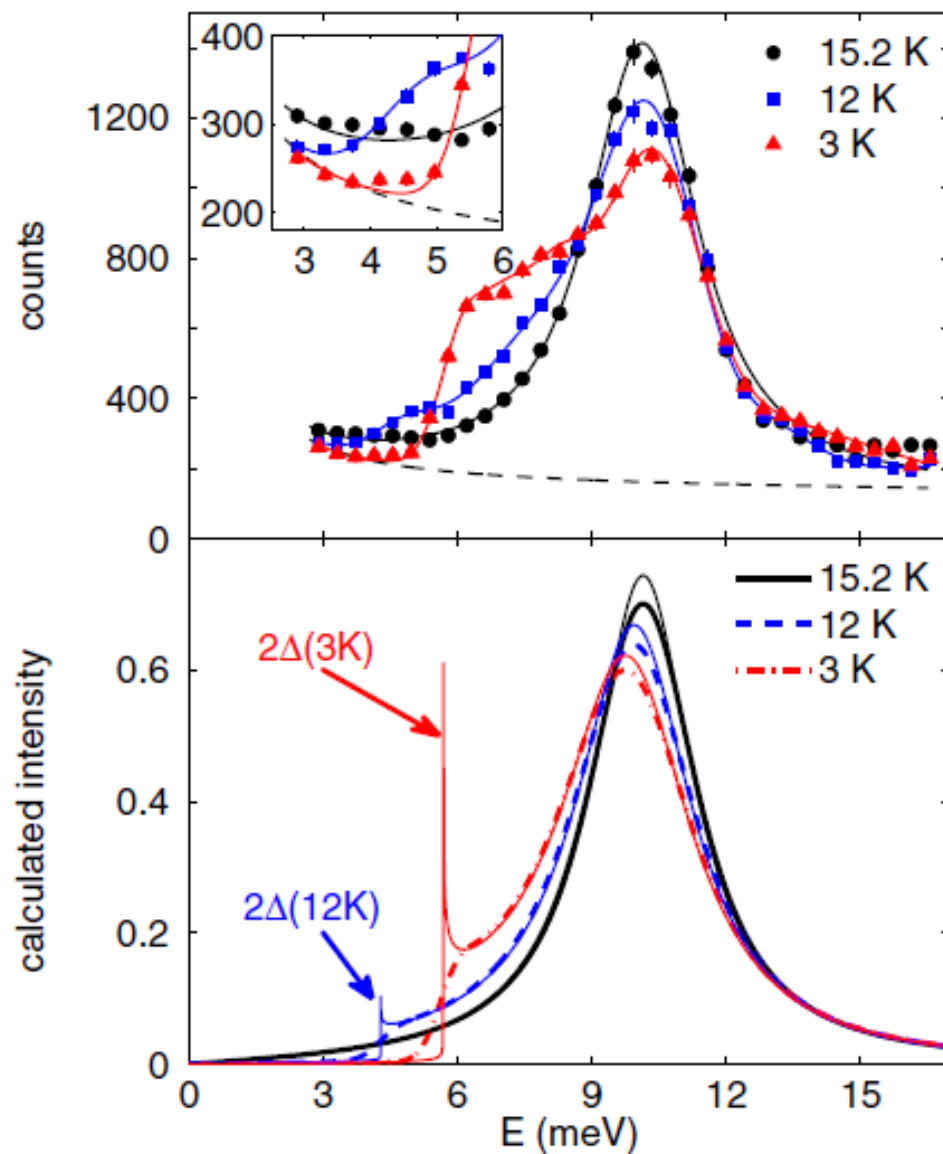
*N. Munnikes after Allen et al., PRB 1997*

feedback of pairing interaction on intermediate boson



# Resonant mode in conventional superconductors

first observed in borocarbides



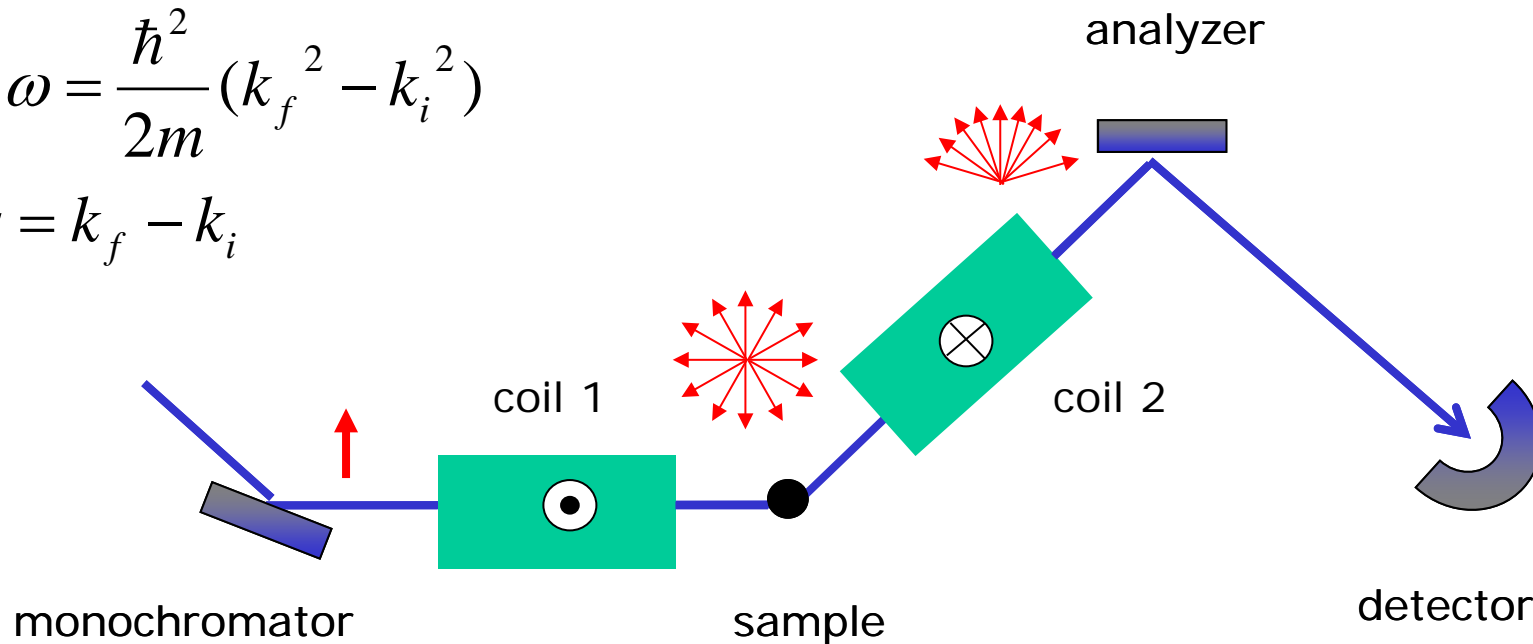
*Stassis et al., PRB 1997*

*Weber et al., PRL 2008*

# Neutron spin echo spectroscopy

$$\hbar\omega = \frac{\hbar^2}{2m}(k_f^2 - k_i^2)$$

$$q = k_f - k_i$$



triple axis spectrometer:

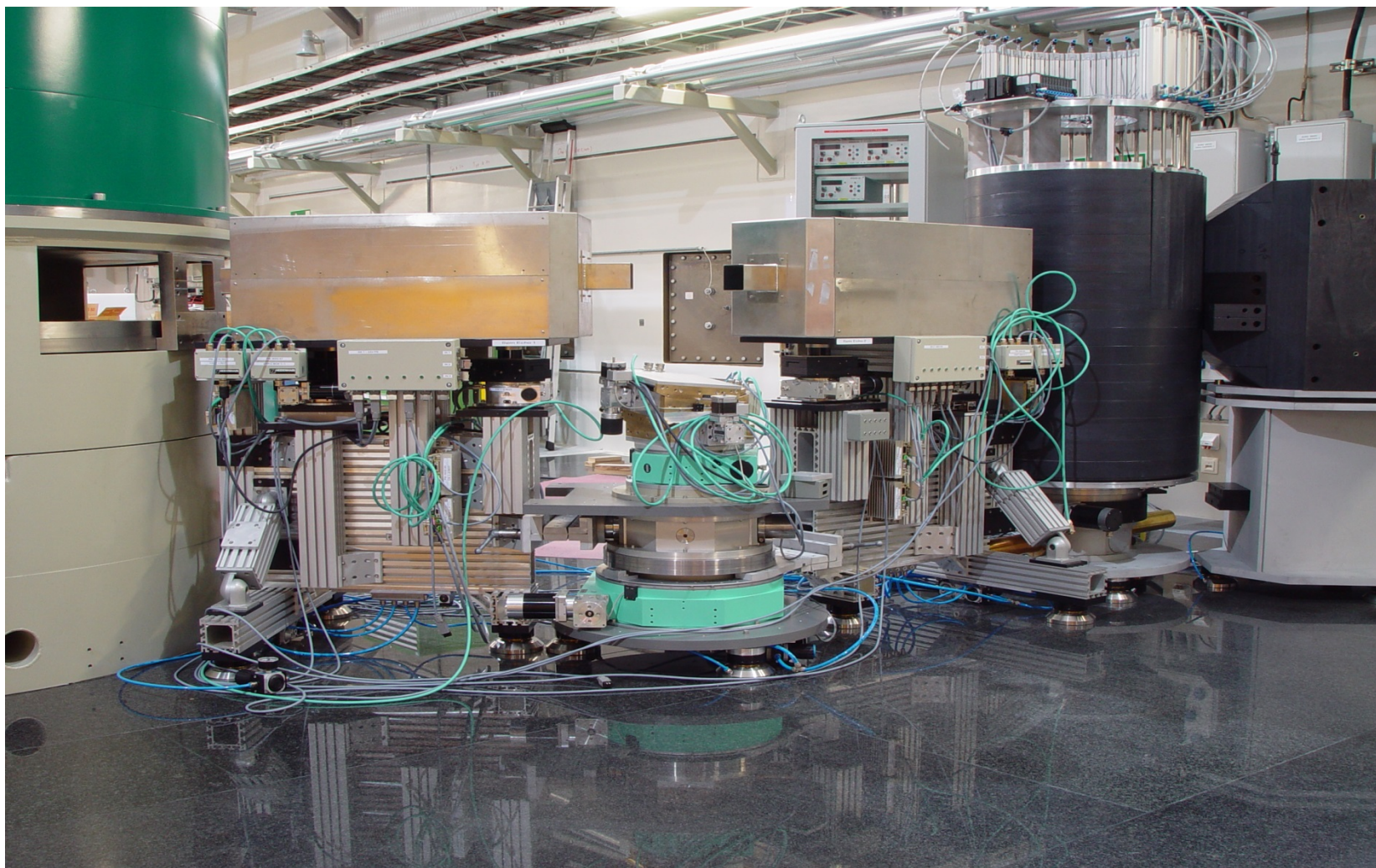
excitation energy ~ 1-100 meV  
energy resolution ~ 0.1-10 meV

triple axis – spin echo spectrometer:

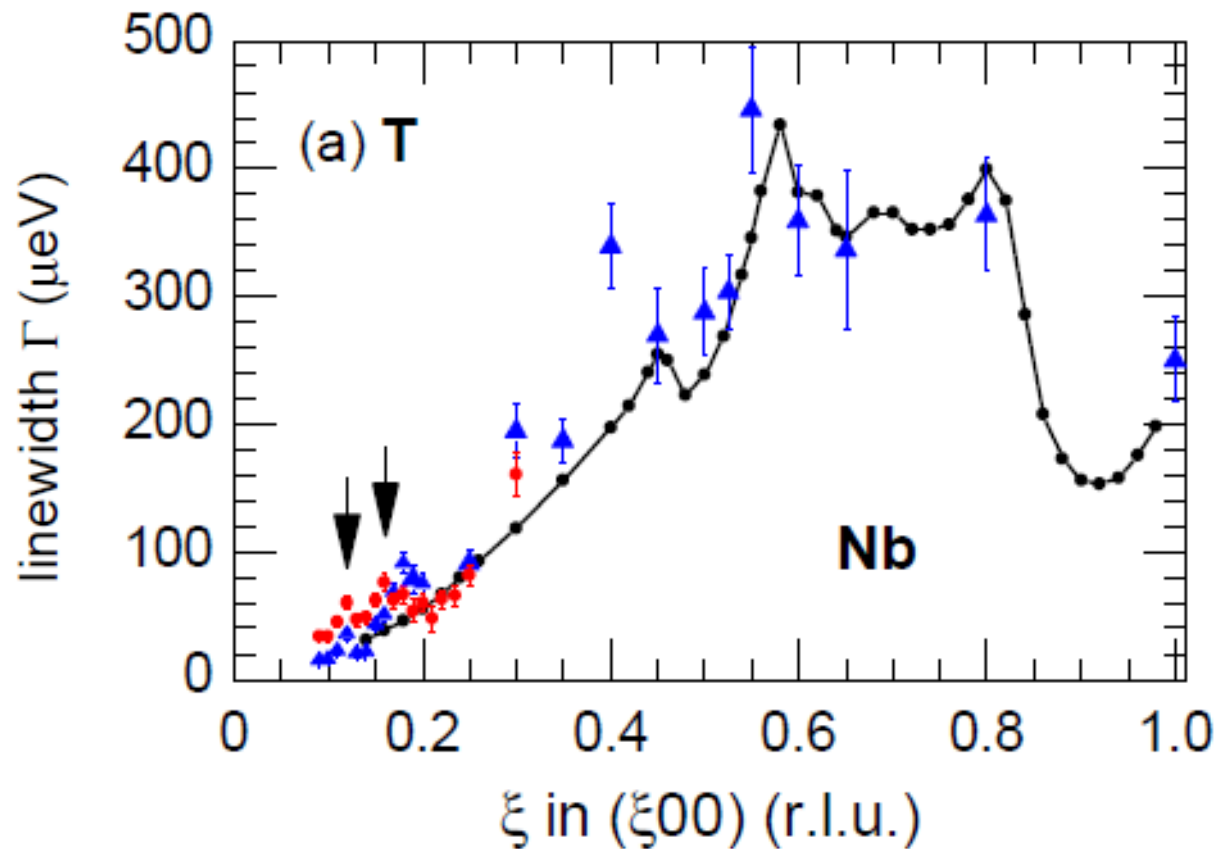
excitation energy ~ 1-100 meV  
energy resolution ~ 1 – 100  $\mu$ eV

**3 orders of magnitude gain in energy resolution**  
**→ possible to resolve excitation lifetimes in solids**

# TRISP Spectrometer at FRM-II



# Electron-phonon interaction

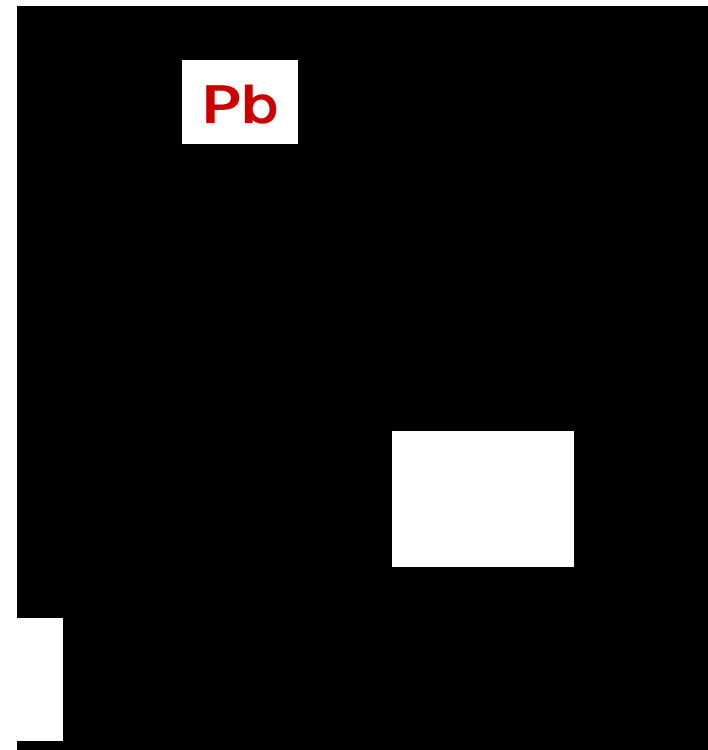
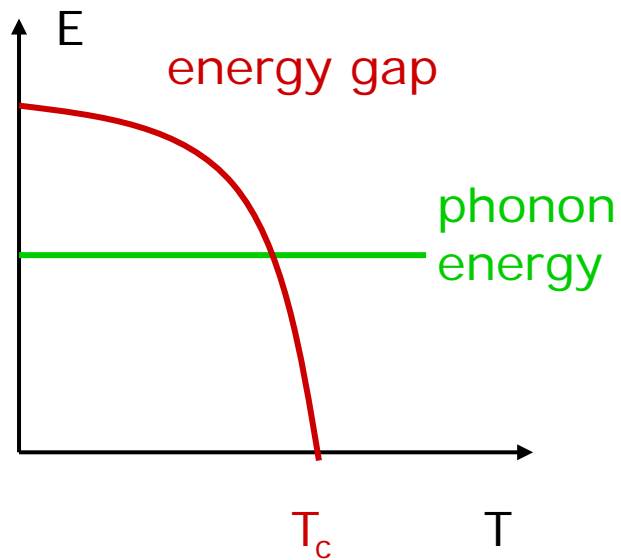


electron-phonon linewidths  
in good agreement with  
ab-initio lattice dynamics

*Munnikes, Boeri et al.*

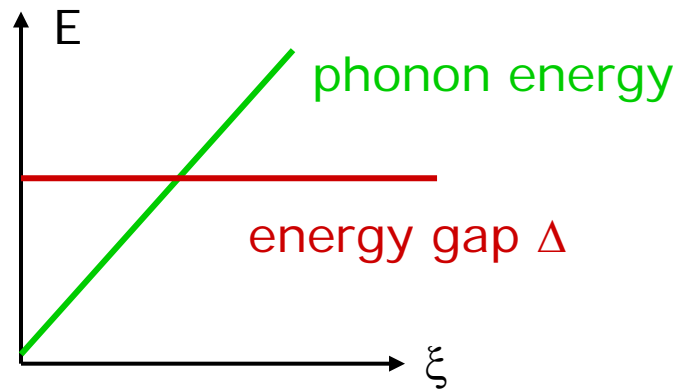
# Electron-phonon interaction in Pb

lifetime renormalization below superconducting  $T_c = 7.2$  K

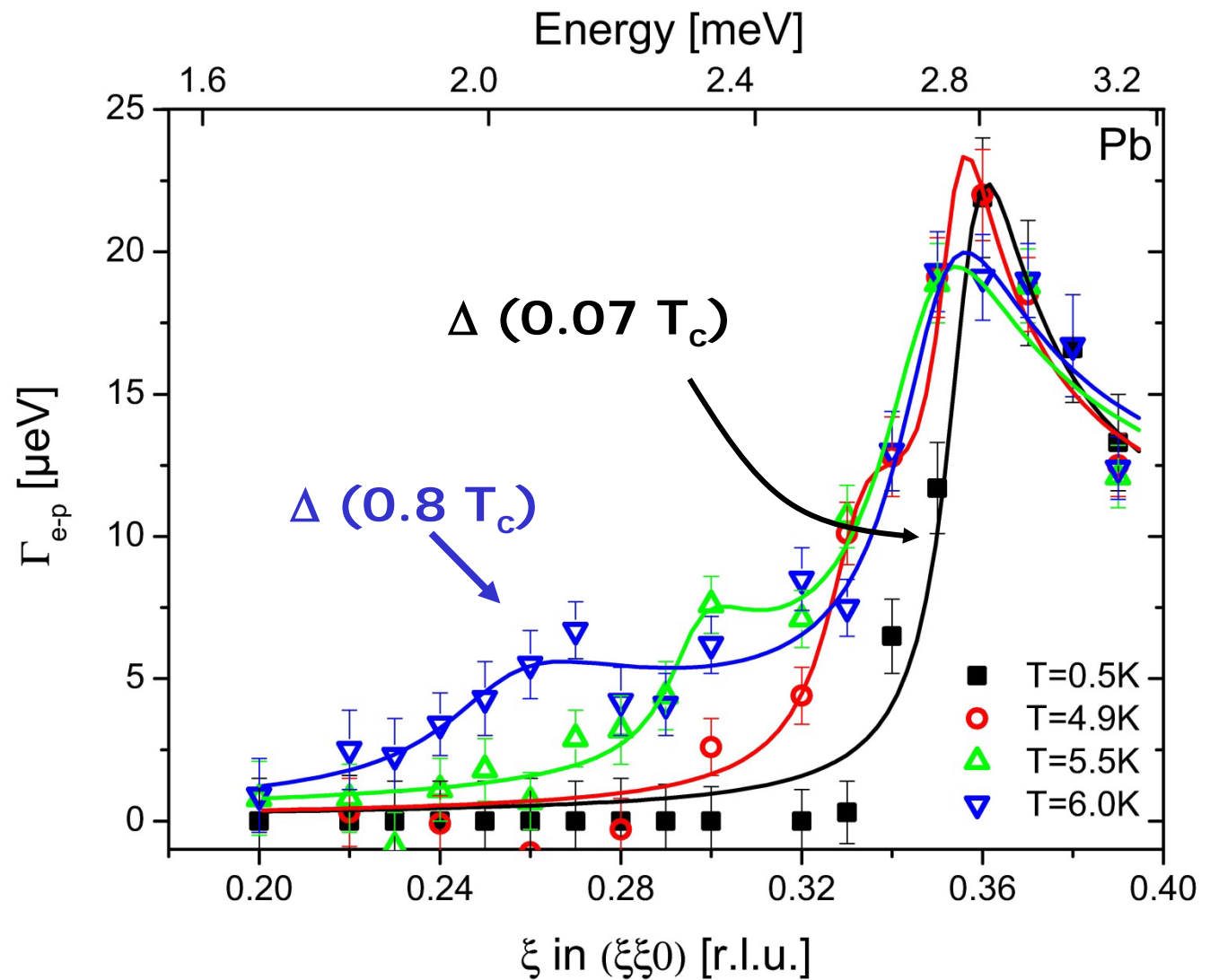


*Keller et al., PRL 2006*

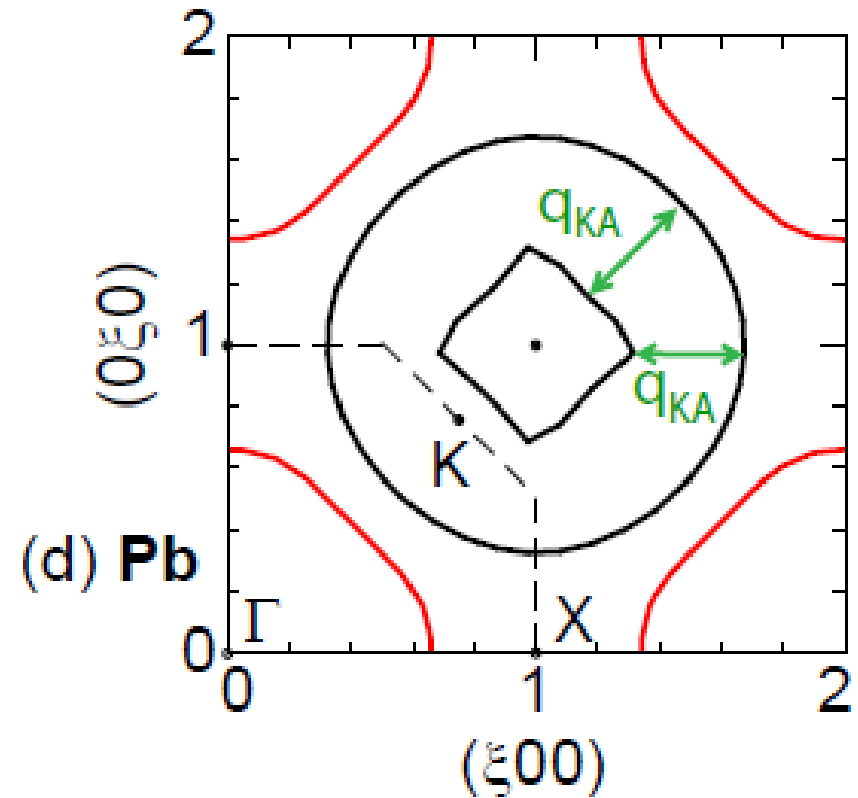
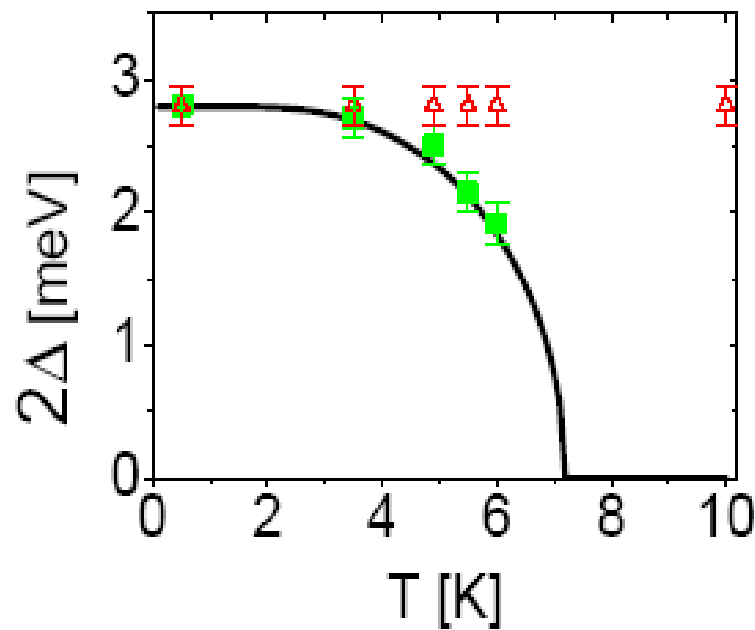
# Electron-phonon interaction in Pb



*Aynajian et al.*  
*Science 2008*



# Electron-phonon interaction in Pb



superconducting energy gap

merges with second linewidth

maximum at low  $T$

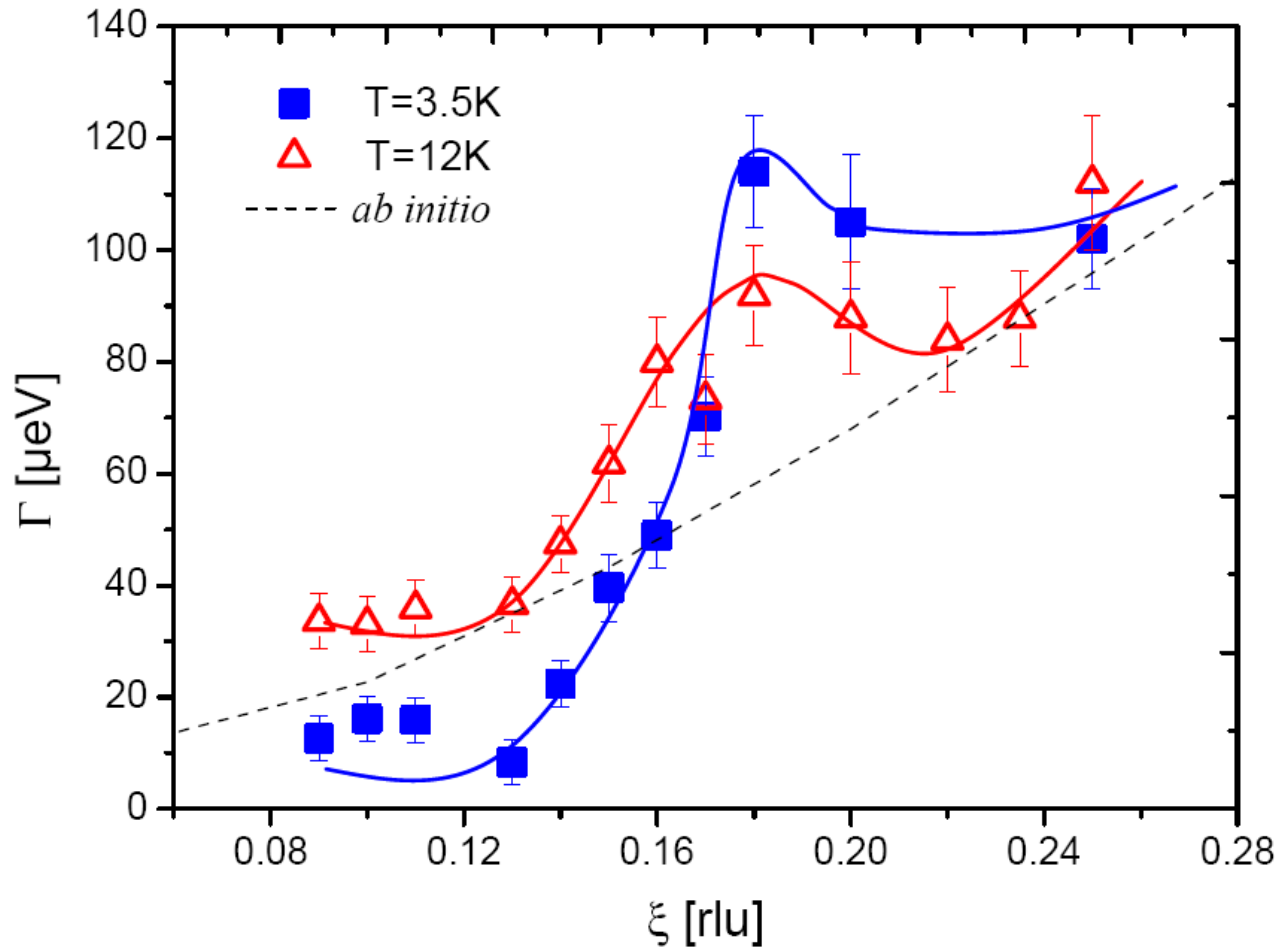
origin: Kohn anomaly

due to Fermi surface nesting



# Accident ?

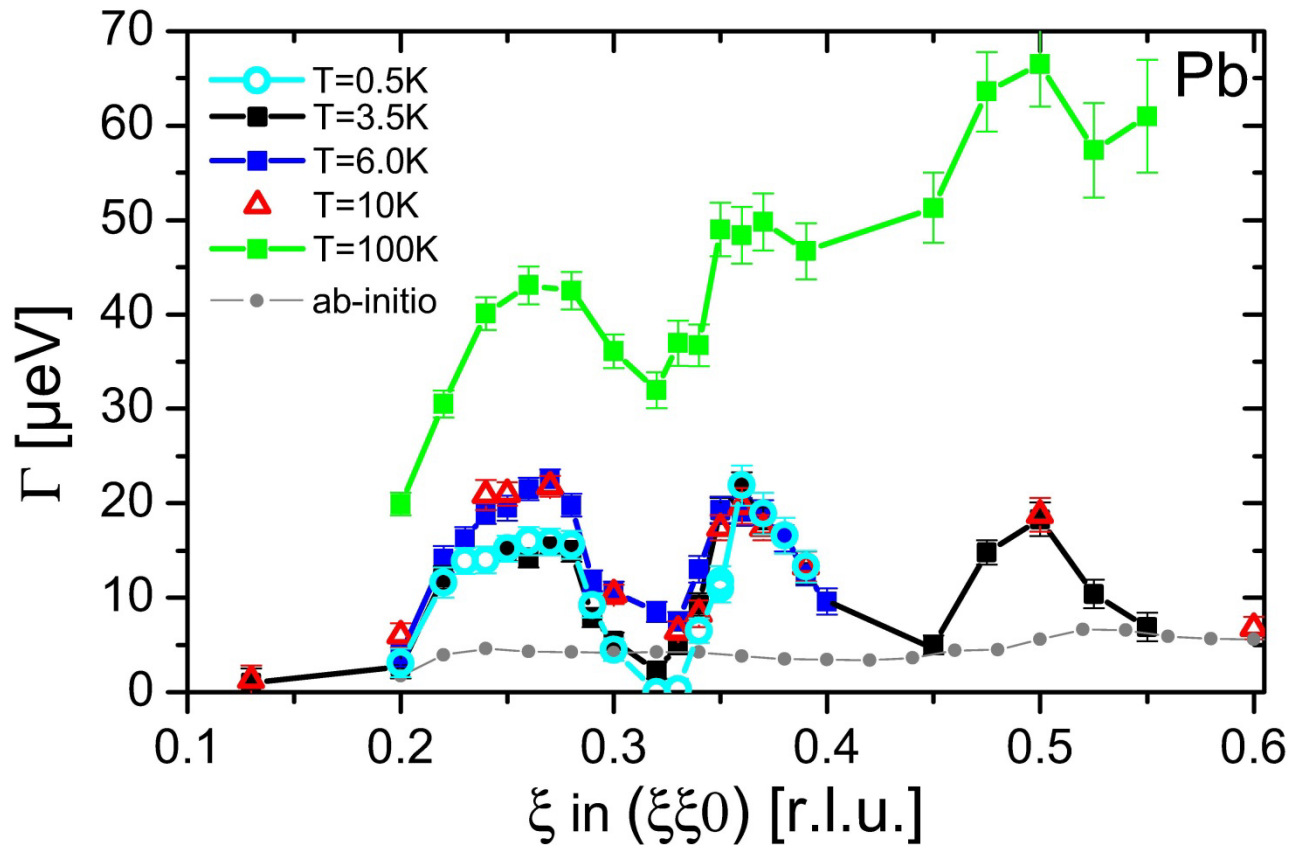
niobium



*Aynajian et al.*  
*Science 2008*

**no!** same effect observed in Nb

# Electron-phonon interaction in Pb



*Aynajian et al.  
Science 2008*

Kohn anomalies not predicted in TA branch by ab-initio LDA calculations  
→ many-body correlations beyond LDA

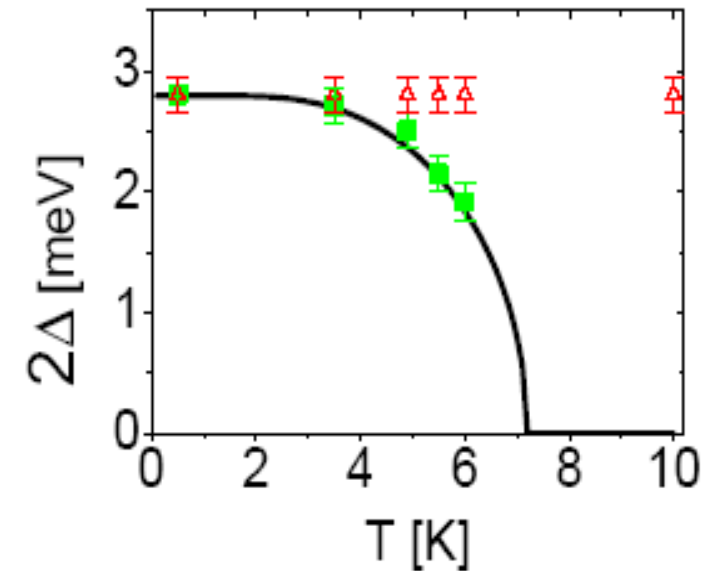
**charge density wave fluctuations?**



# Electron-phonon interaction in Pb and Nb

## scenario

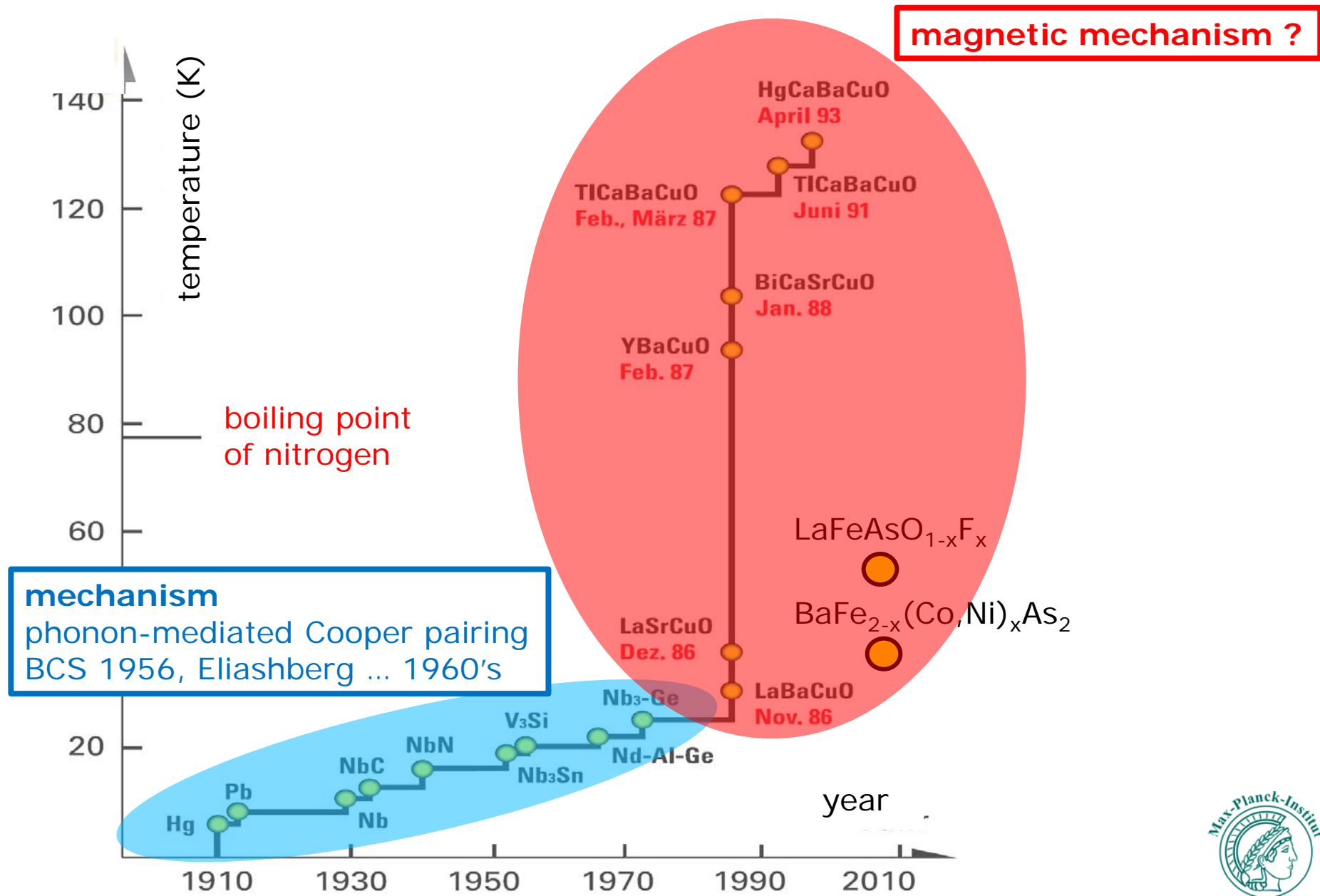
- many-body effects beyond LDA:  
charge density wave fluctuations
- dynamical nesting → Kohn anomalies
- interference between CDW and superconducting fluctuations  
limits growth of superconducting energy gap
- not explain by BCS/Eliashberg theory



*Johnston et al., PRB 2011*

remains open problem

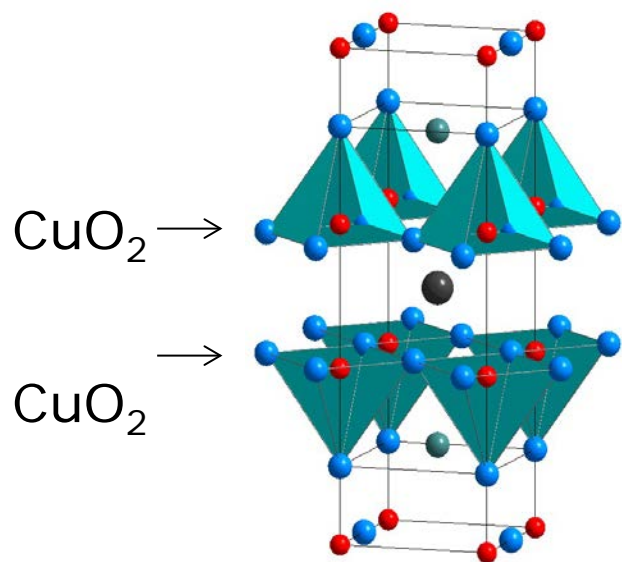
# High temperature superconductivity



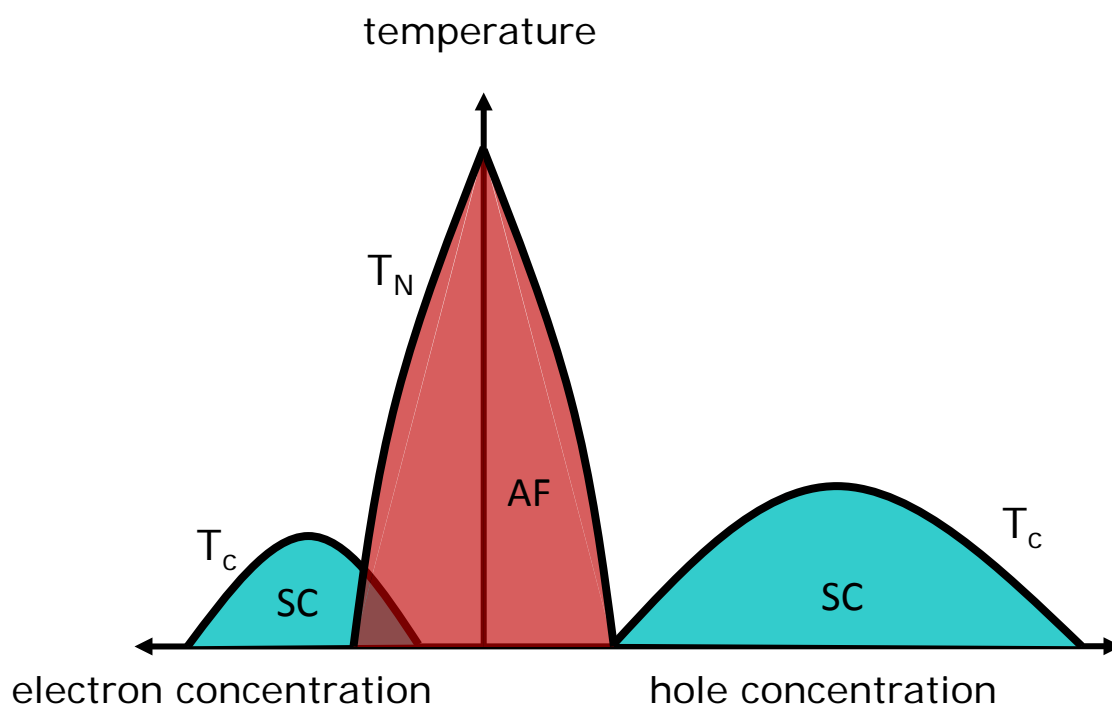
# Copper oxide superconductors

## lattice structure

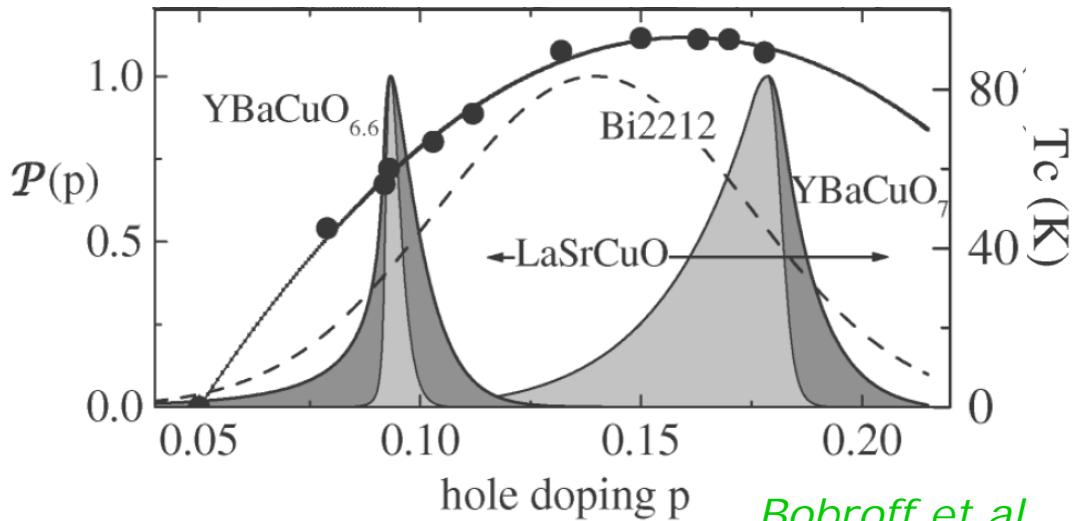
e.g.  $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$   $T_c \leq 93 \text{ K}$



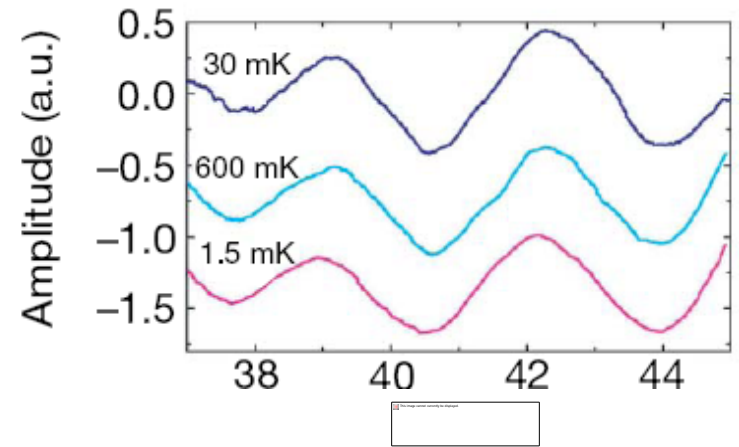
## phase diagram



# YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+x</sub>



*Bobroff et al.*  
*PRL 2002*

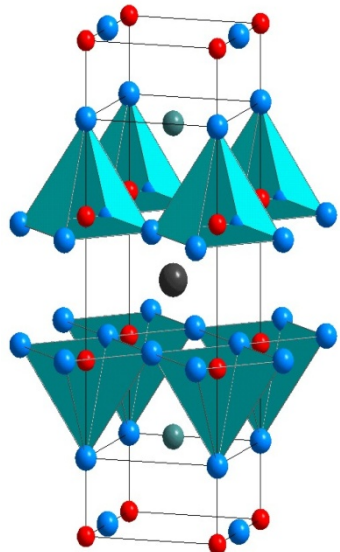


*Doiron-Leyraud et al.*  
*Nature 2007*

*Sebastian et al.*  
*Nature 2008*

## NMR

→ high homogeneity, low disorder



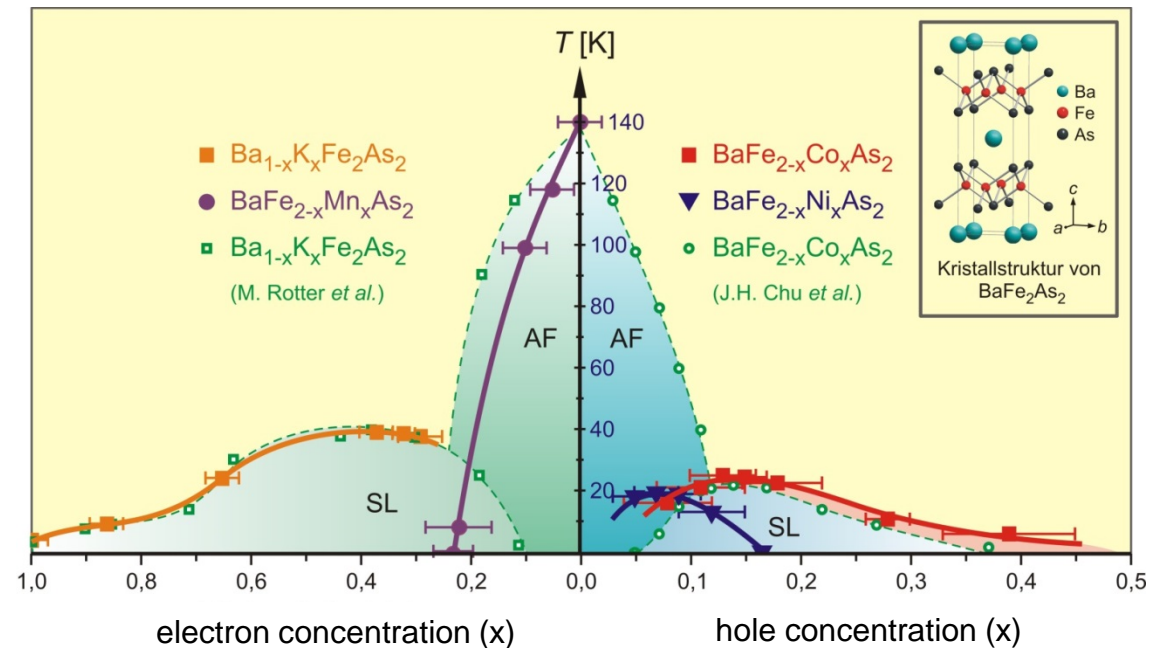
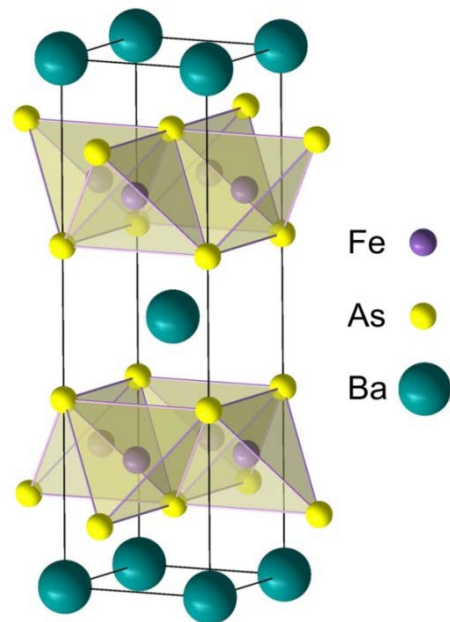
## untwinned crystals

scattering & transport probes can discriminate between uniaxial and biaxial modulations

## quantum oscillations

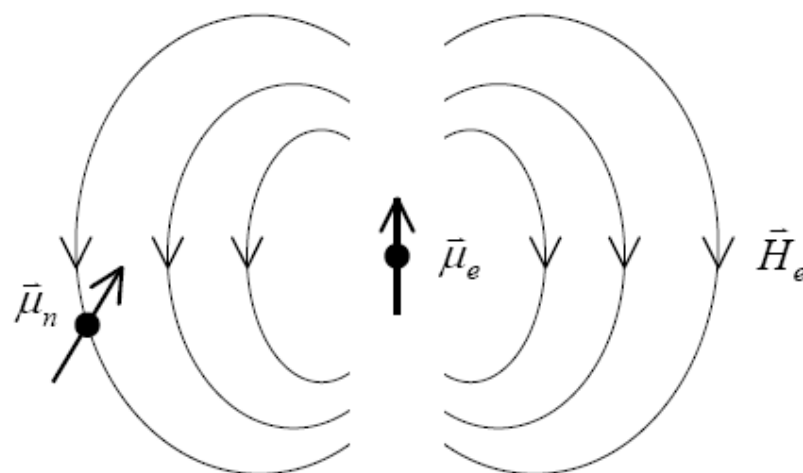
→ fermionic quasiparticles

# Iron pnictide superconductors



- lattice structure different from cuprates
- phase diagram similar to cuprates
- focus on **magnetic mechanisms** of Cooper pairing

# Elastic magnetic neutron scattering



$$\bar{\mu}_e = -2\mu_B\bar{s}_e \text{ with } \mu_B = \frac{e\hbar}{2m_e}$$

$$\bar{\mu}_n = -g_n\mu_N\bar{s}_n \equiv -\gamma\mu_N\bar{\sigma} \text{ with } \mu_N = \frac{e\hbar}{2m_n} \text{ and } \gamma = \frac{g_n}{2} = 1.913$$

$$\frac{d\sigma}{d\Omega} = \left( \frac{m_n}{2\pi\hbar^2} \right)^2 \left| \langle \bar{k}_f m_f | H_{\text{int}} | \bar{k}_i m_i \rangle \right|^2 \text{ with } H_{\text{int}} = -\bar{\mu}_n \cdot \bar{H}_e$$



# Elastic magnetic neutron scattering

$$\vec{A}_e = \frac{\mu_0}{4\pi} \frac{\vec{\mu}_e \times \vec{r}}{|\vec{r}|^3} = \frac{\mu_0}{4\pi} \vec{\mu}_e \times \vec{\nabla} \frac{1}{|\vec{r}|}$$

$$\vec{H}_e = \vec{\nabla} \times \vec{A}_e = \frac{\mu_0}{4\pi} \vec{\nabla} \times \left( \vec{\mu}_e \times \nabla \frac{1}{|\vec{r}|} \right)$$

collect all prefactors:

$$\left( \frac{m_n}{2\pi\hbar^2} \right)^2 (2\gamma\mu_N\mu_B)^2 \left( \frac{\mu_0}{4\pi} \right)^2 (4\pi)^2 = (\gamma r_0)^2$$

$$\frac{d\sigma}{d\Omega} = \left( \frac{m_n}{2\pi\hbar^2} \right)^2 (2\gamma\mu_N\mu_B)^2 \left| \langle \vec{k}_f, m_f | \vec{\sigma}_n \cdot \vec{\nabla} \times \left( \vec{s}_e \times \nabla \frac{1}{|\vec{r}|} \right) | \vec{k}_i, m_i \rangle \right|^2$$

$$\int \frac{d\vec{p}}{|\vec{p}|^2} e^{i\vec{p}\cdot\vec{r}} = 2\pi \int_0^\infty d|\vec{p}| \int_{-1}^1 e^{i|\vec{p}||\vec{r}|\cos\Theta} d(\cos\Theta) = 2\pi \int_0^\infty d|\vec{p}| \frac{\sin|\vec{p}||\vec{r}|}{|\vec{p}||\vec{r}|} = \frac{2\pi^2}{|\vec{r}|}$$

$\vec{p}$  auxiliary variable

$$\nabla \times \left( \vec{s}_e \times \nabla \frac{1}{|\vec{r}|} \right) = \frac{1}{2\pi^2} \int \frac{d\vec{p}}{|\vec{p}|^2} \vec{\nabla} \times (\vec{s}_e \times \vec{\nabla}) e^{i\vec{p}\cdot\vec{r}}$$

$$= \frac{1}{2\pi^2} \int \hat{p} \times (\vec{s}_e \times \hat{p}) e^{i\vec{p}\cdot\vec{r}} d\vec{p}$$

$$\langle \vec{k}_f | \nabla \times \left( \vec{s}_e \times \nabla \frac{1}{|\vec{r}|} \right) | \vec{k}_i \rangle = \frac{1}{2\pi^2} \int d\vec{r} e^{-i\vec{Q}\cdot\vec{r}} \int d\vec{p} \hat{p} \times (\vec{s}_e \times \hat{p}) e^{i\vec{p}\cdot\vec{r}}$$

$$= 4\pi \underbrace{\hat{Q} \times (\vec{s}_e \times \hat{Q})}_{\equiv \vec{s}_{e\perp}}$$



# Elastic magnetic neutron scattering

## one electron

$$\frac{d\sigma}{d\Omega} = (\gamma r_0)^2 \left| \langle m_f | \vec{\sigma} \cdot \vec{s}_{e\perp} | m_i \rangle \right|^2 \quad r_0 (= 2.8 \times 10^{-5} \text{ \AA}) \quad \text{“classical electron radius”}$$

$$\langle m_f | \vec{\sigma} \cdot \vec{s}_{e\perp} | m_i \rangle = s_{e\perp} \langle m_f | \sigma_z | m_i \rangle = \begin{cases} s_{e\perp} & \text{if } m_f = m_i \\ 0 & \text{otherwise} \end{cases} \quad \text{non-spin-flip} \quad \left. \begin{array}{l} \\ \\ \end{array} \right\} \begin{array}{l} \text{average for} \\ \text{unpolarized beam} \end{array}$$

$$\sigma_z \rightarrow \sigma_x, \sigma_y \quad \text{spin-flip (not possible for nuclear scattering)}$$

$$\frac{d\sigma}{d\Omega} = (\gamma r_0)^2 |\vec{s}_{e\perp}|^2 \quad \vec{s}_{e\perp} = 4\pi \hat{Q} \times (\vec{s}_e \times \hat{Q}) \quad \text{projection of the electron spin perpendicular to } \vec{Q}$$

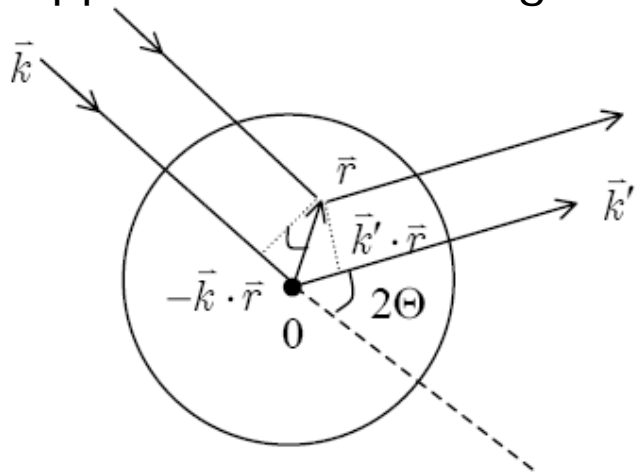
separate nuclear and magnetic neutron scattering by **spin polarization analysis**



# Elastic magnetic neutron scattering

## one atom

approximated as magnetized sphere, magnetization density  $M(r)$



elastic scattering:  $|\vec{k}| = |\vec{k}'|$

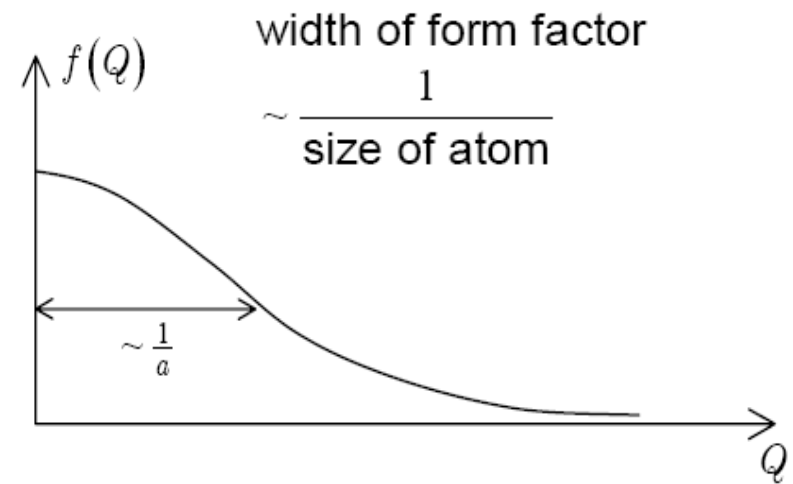
$|\vec{r}| \ll |\vec{R}|$

phase difference between wave scattered at 0 and at  $\vec{r}$ :  $(\vec{k} - \vec{k}') \cdot \vec{r} \equiv \vec{Q} \cdot \vec{r}$

$$\frac{d\sigma}{d\Omega} = (\gamma r_0)^2 \left[ 1 - (\hat{\eta} \cdot \hat{Q})^2 \right] |f(\vec{Q})|^2$$

$$f(\vec{Q}) = \frac{1}{2\mu_B} \int \mathcal{M}(\vec{r}) e^{-i\vec{Q} \cdot \vec{r}} \quad \text{magnetic form factor}$$

$$\vec{\mathcal{M}}(\vec{r}) = \mathcal{M}(\vec{r}) \hat{\eta} \quad \text{magnetic dipole moment density}$$



# Elastic magnetic neutron scattering

## generalization for collinear magnets

$$\frac{d\sigma}{d\Omega} = (\gamma r_0)^2 \left[ 1 - (\hat{\eta} \cdot \hat{Q})^2 \right] \left| \sum_{\vec{R}} (\pm) f_{\vec{R}}(\vec{Q}) e^{i\vec{Q} \cdot \vec{R}} \right|^2$$

$$= (\gamma r_0)^2 \left[ 1 - (\hat{\eta} \cdot \hat{Q})^2 \right] N \frac{(2\pi)^3}{V_0} \sum_{\vec{K}_M} |F_M(\vec{K}_M)|^2 \delta(\vec{Q} - \vec{K}_M)$$

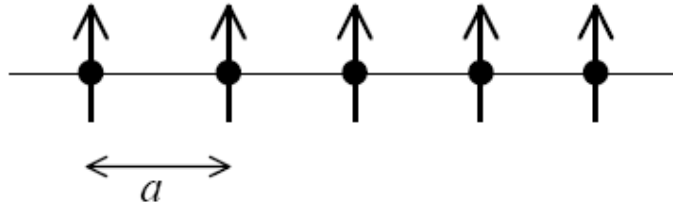
↑ polarization factor

↑  $F_M(\vec{Q}) = \sum_{\vec{d}} (\pm) f_{\vec{d}}(\vec{Q}) e^{i\vec{Q} \cdot \vec{d}}$  magnetic structure factor

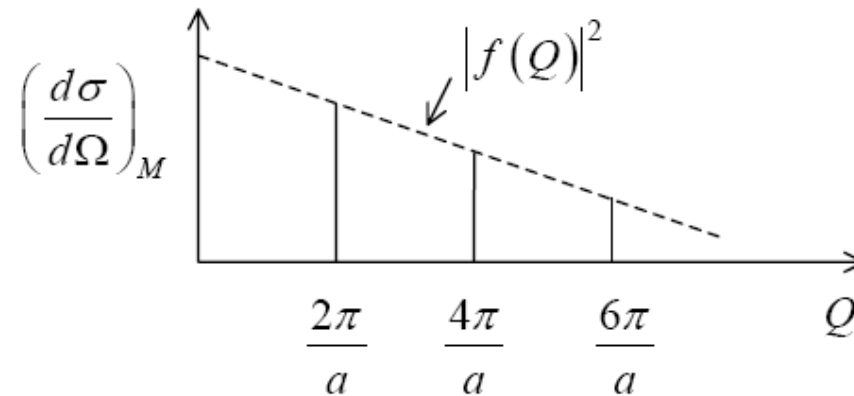
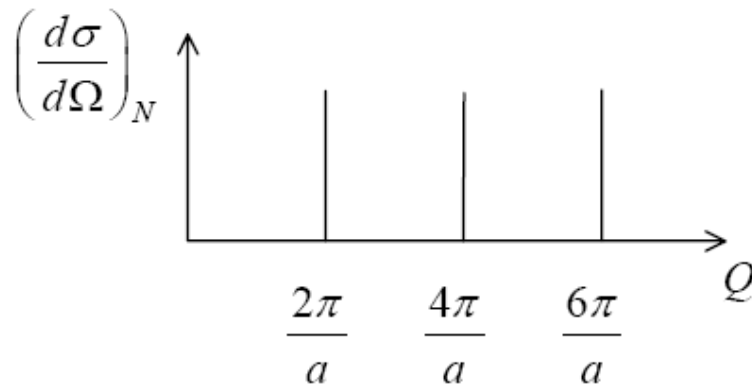
↑ Bragg peaks

$\vec{K}_M$  magnetic reciprocal lattice vectors

# Example one-dimensional ferromagnet



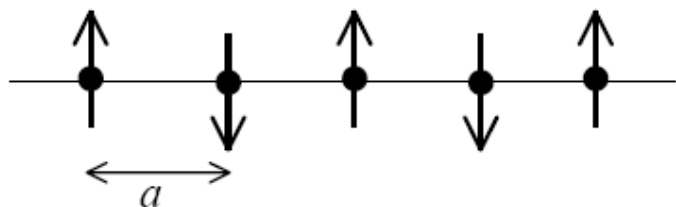
nuclear and magnetic unit cells identical  $\Rightarrow K_M = K_N = \frac{2\pi}{a}n$ ,  $n$  integer.



use **interference** between nuclear and magnetic scattering  
to create spin-polarized neutrons

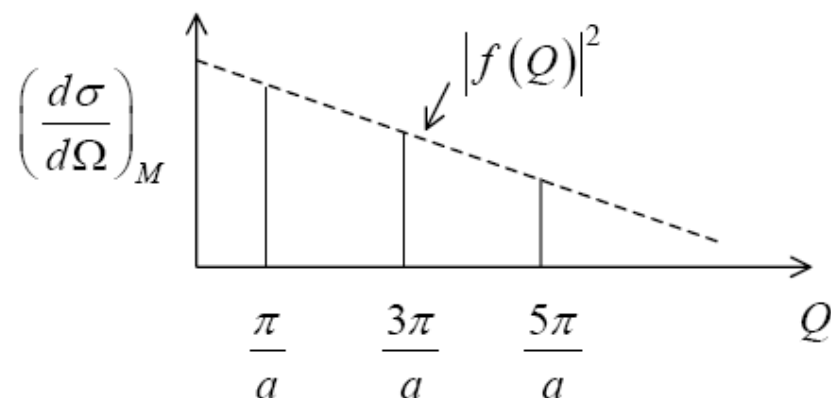
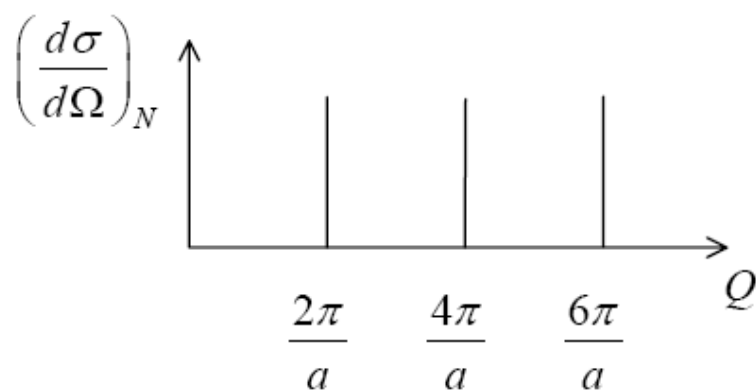
$$\frac{d\sigma}{d\Omega} \sim |b|^2 + |\hat{\eta}|^2 + b\hat{\eta} \quad (\text{up to prefactors})$$

# Example one-dimensional antiferromagnet



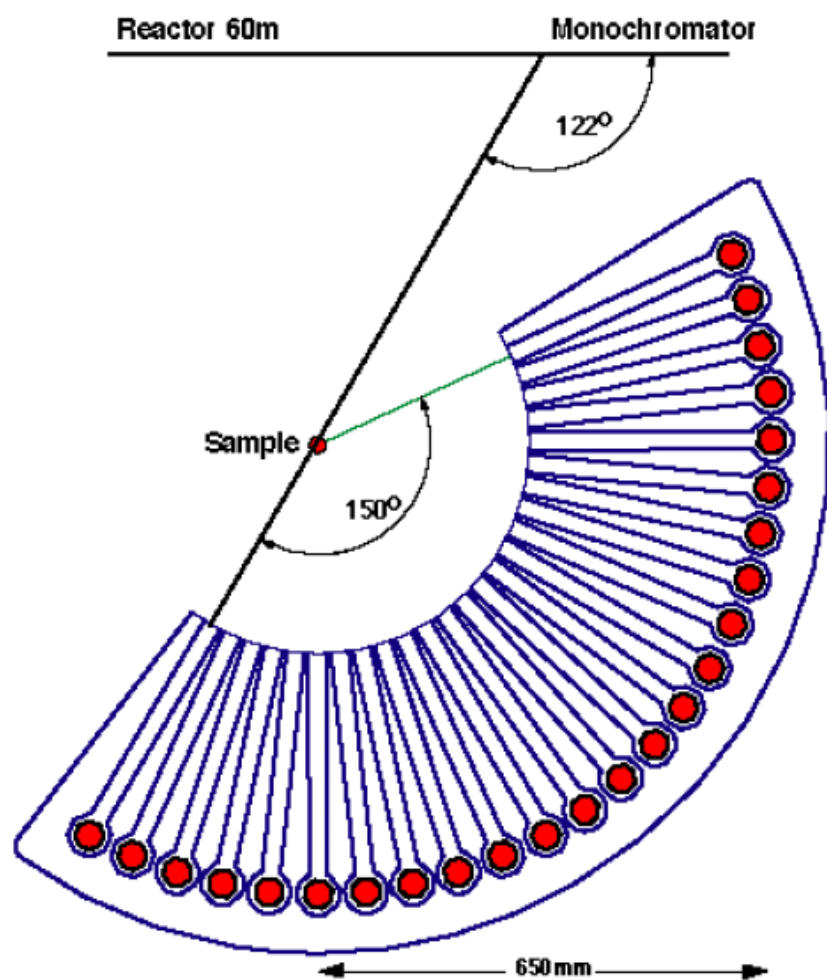
magnetic unit cell twice as large as nuclear unit cell  $\Rightarrow K_M = \frac{\pi}{a}n \neq K_N = \frac{2\pi}{a}n$

$$|F_M|^2 = |f(Q)|^2 |1 - e^{iQa}|^2 = 4|f(Q)|^2 \sin^2 \frac{Qa}{2} = \begin{cases} 4|f(Q)|^2 & \text{for } n \text{ odd} \\ 0 & \text{for } n \text{ even} \end{cases}$$

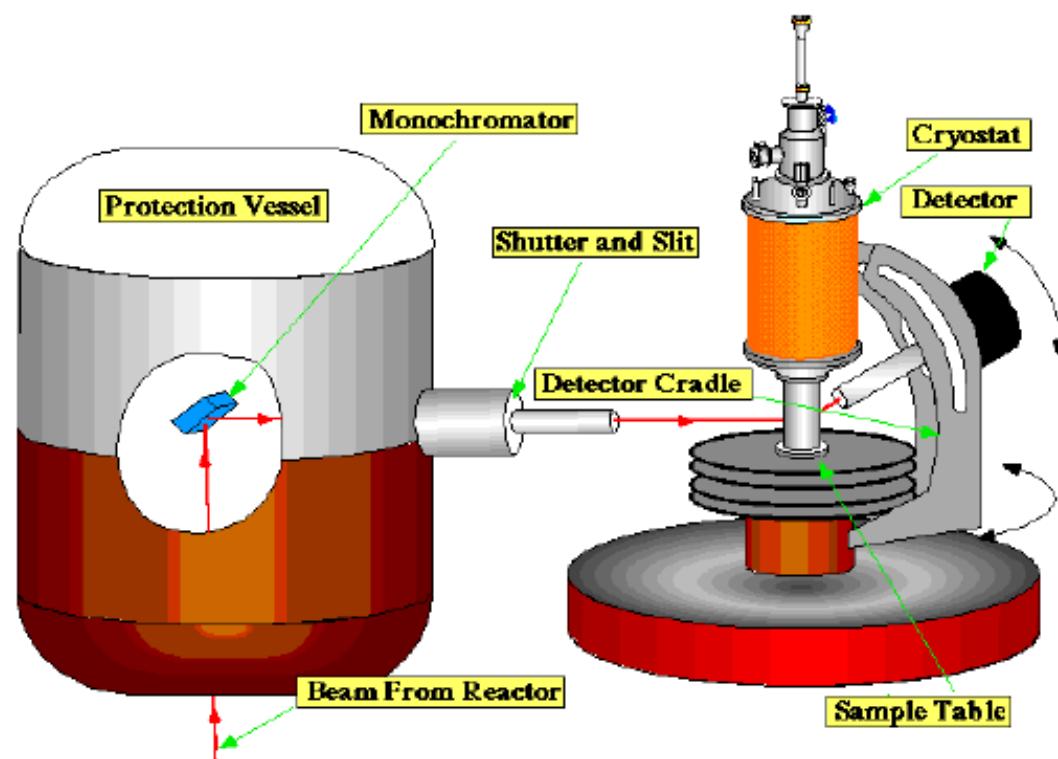


# Neutron diffractometers

powder



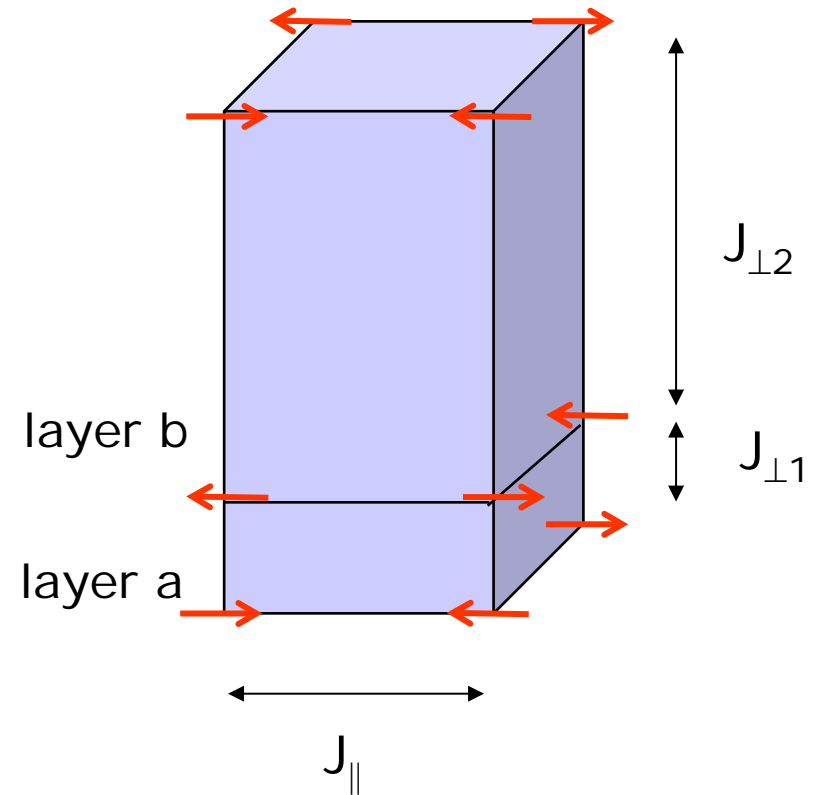
single crystal



# YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> spin structure

spin orientation extracted from  
magnetic Bragg reflections

*Tranquada et al., PRB 1989*

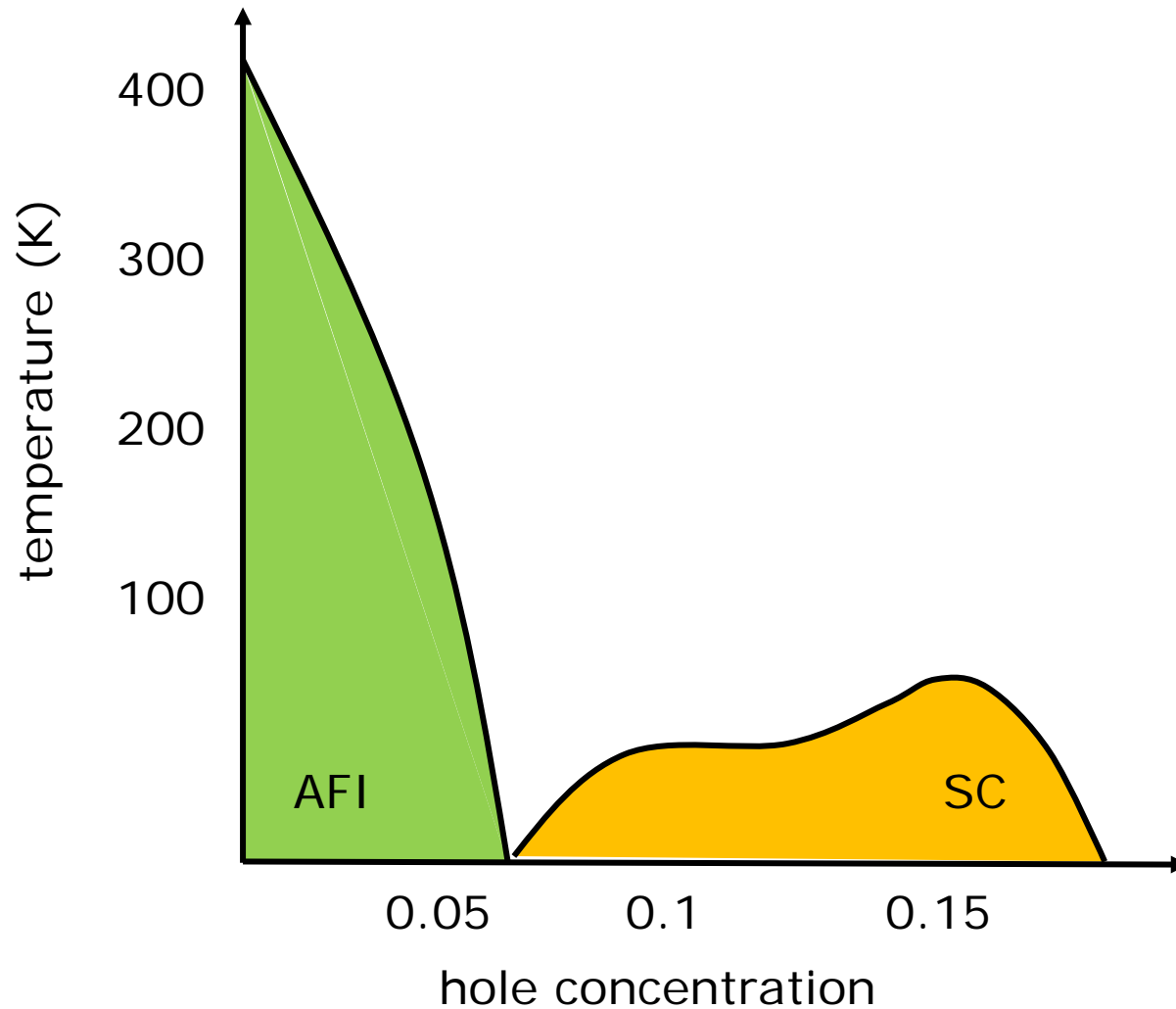


$$H = \sum_{ij} (J_{\parallel} S_i^{(a,b)} \cdot S_j^{(a,b)}) + \sum_i (J_{\perp 1} S_i^{(a)} \cdot S_i^{(b)} + J_{\perp 2} S_i^{(b)} \cdot S_i^{(a)})$$

Sign, but not strength of exchange parameters  
determined by elastic neutron scattering



# Phase diagram of $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$



# Spin-polarized neutrons

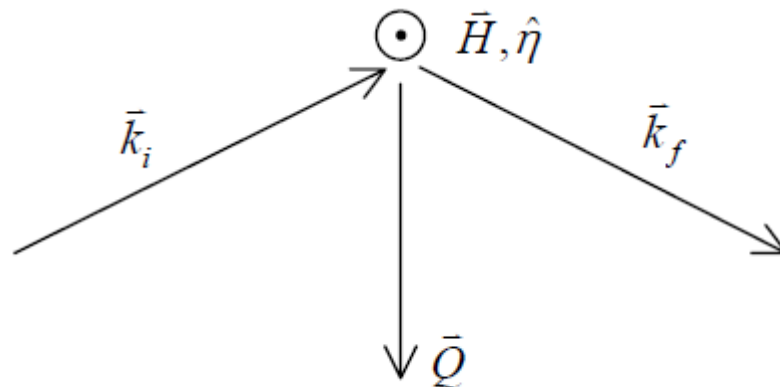
neutron spin operator

$$\left(\frac{d\sigma}{d\Omega}\right)_M = (\gamma r_0)^2 \left| \langle m_f | \vec{\sigma} \cdot \hat{\eta}_\perp | m_i \rangle \right|^2 \sum_{\vec{K}_M} |F_M(\vec{Q})|^2 \delta(\vec{Q} - \vec{K}_M)$$

neutron spin states  
defined by spin polarizers

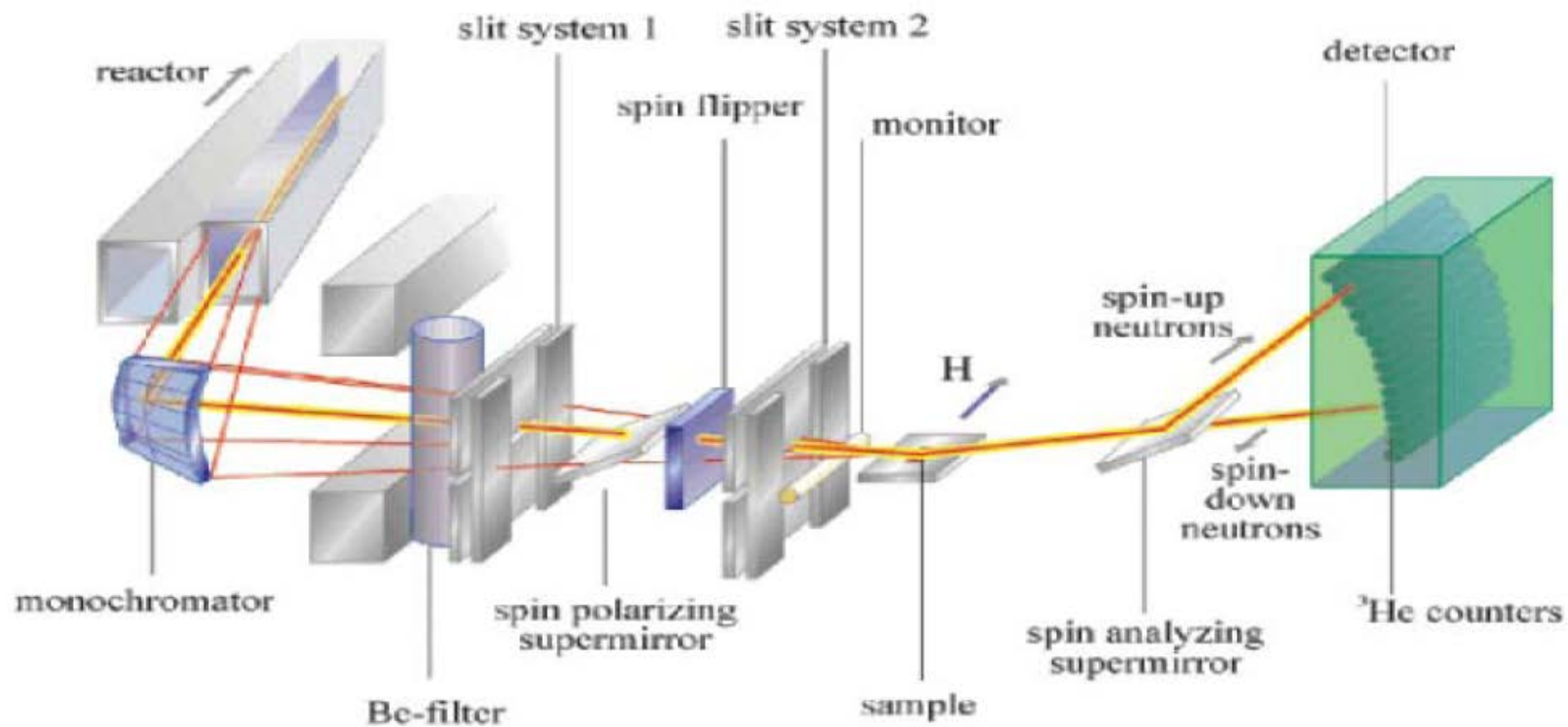
manipulate relative orientation of vectors  $\sigma$ ,  $\eta$ ,  $Q$

→ accurate determination of complex spin structures



# Spin-polarized neutrons

## Polarized neutron spectrometer

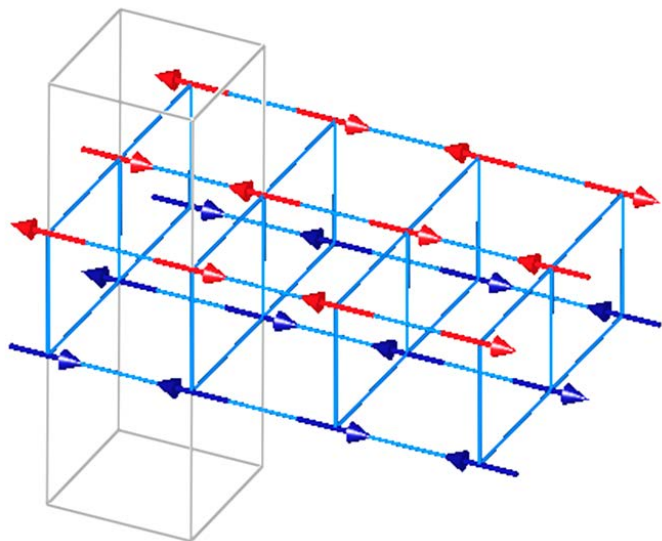


# Spin density wave

## spin structures from spin-polarized neutron scattering

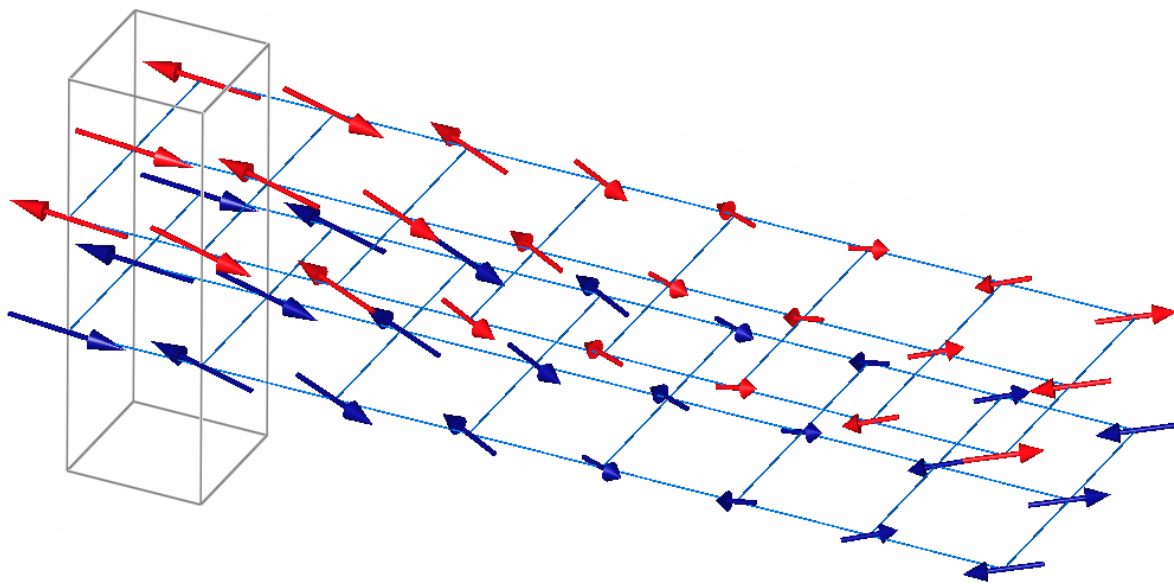
undoped  $\text{YBa}_2\text{Cu}_3\text{O}_6$

commensurate antiferromagnetism



lightly doped  $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$

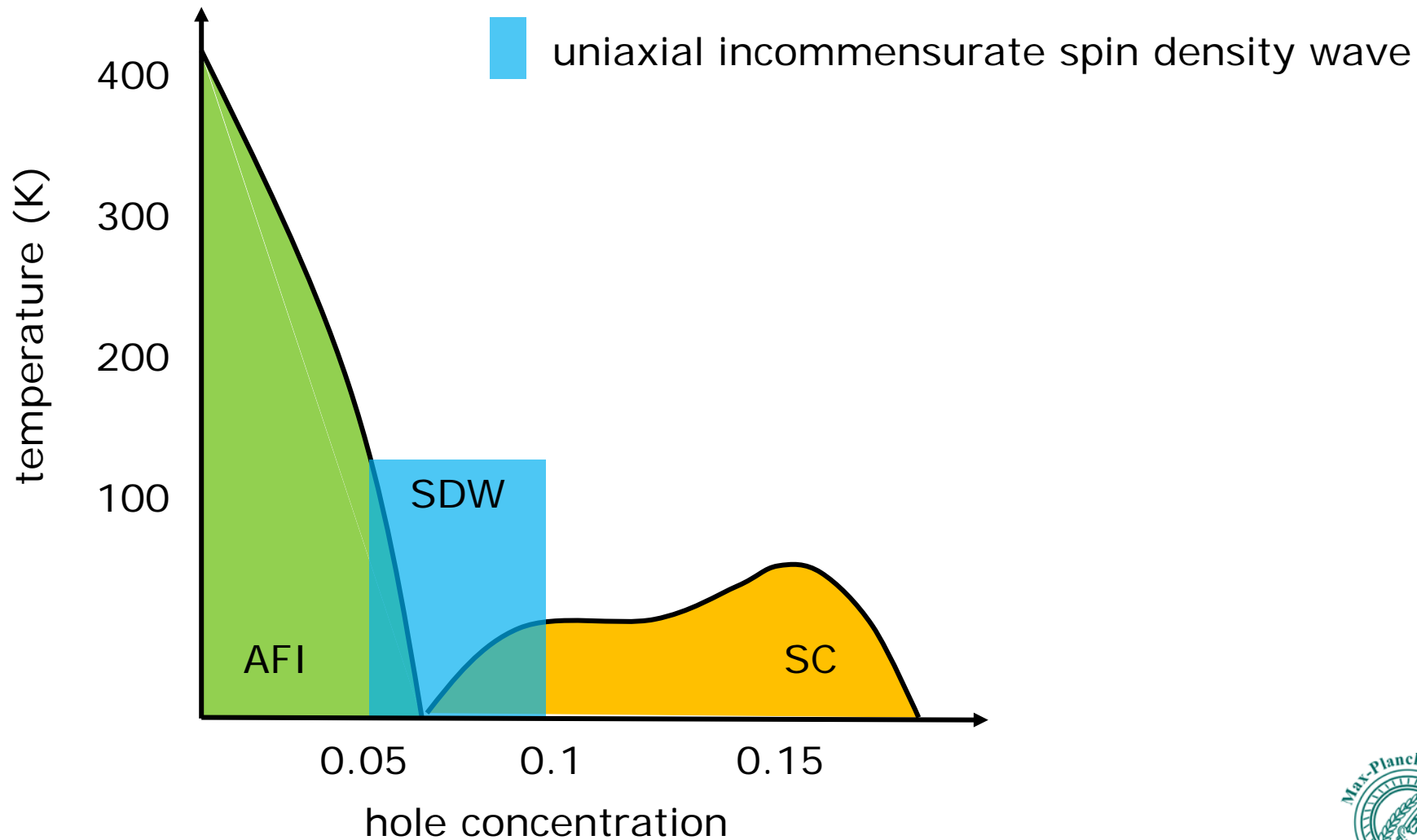
noncollinear incommensurate structure,  
facilitates propagation of doped holes



*Haug et al., PRL 2009, NJP 2012*  
*Porras, Loew et al.*



# Competing order in $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$



# Inelastic magnetic neutron scattering

polarization factor

$$\frac{d^2\sigma}{d\Omega dE} = (\gamma r_0)^2 \frac{k_f}{k_i} N |F(\mathbf{Q})|^2 e^{-2W} \sum_{\alpha\beta} (\delta_{\alpha\beta} - \hat{Q}_\alpha \hat{Q}_\beta) S^{\alpha\beta}(\mathbf{Q}, \omega)$$

$$S^{\alpha\beta}(\mathbf{Q}, \omega) = \frac{1}{2\pi\hbar} \int \sum_l e^{i\mathbf{Q}\cdot\mathbf{r}_l} \langle S_0^\alpha(0) S_l^\beta(t) \rangle e^{-i\omega t} dt$$

spin-spin correlation function

## fluctuation-dissipation theorem

$$S^{\alpha\beta}(\mathbf{Q}, \omega) = \frac{1}{\pi(g\mu_B)^2} \frac{1}{1 - e^{-\hbar\omega\beta}} \chi''_{\alpha\beta}(\mathbf{Q}, \omega)$$

$$\chi''(\mathbf{Q}, \omega) = \text{Tr}[\chi''_{\alpha\beta}(\mathbf{Q}, \omega)]/3$$

dynamical magnetic susceptibility  
response to time- and position-dependent H-field

$$\frac{d^2\sigma}{d\Omega dE} = 2(\gamma r_0)^2 \frac{k_f}{k_i} N |F(\mathbf{Q})|^2 e^{-2W} \frac{1}{\pi(g\mu_B)^2} \frac{1}{1 - e^{-\hbar\omega\beta}} \chi''(\mathbf{Q}, \omega)$$



# Inelastic magnetic neutron scattering

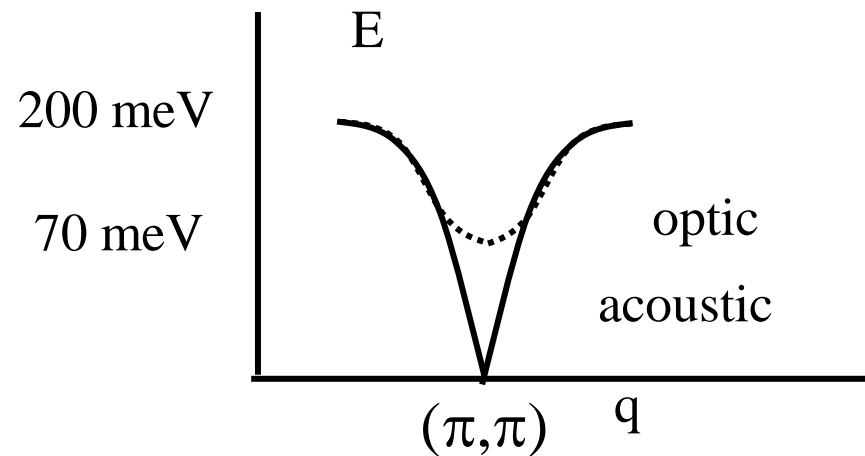
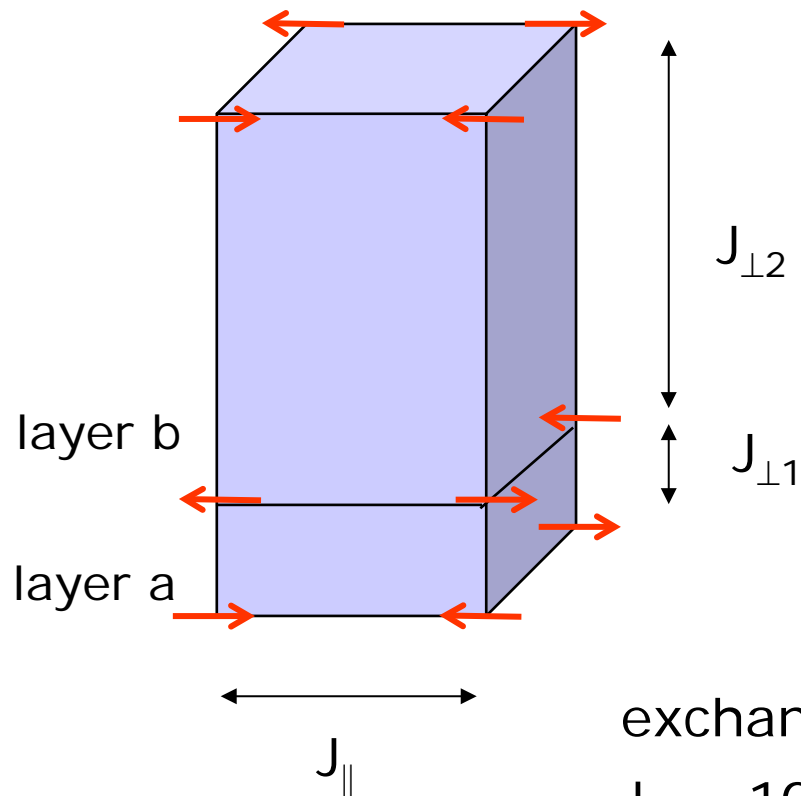
localized electrons → Heisenberg antiferromagnet, magnon creation

$$\frac{d^2\sigma}{d\Omega dE} = (\gamma r_0)^2 \frac{k_f}{k_i} |F(\mathbf{Q})|^2 e^{-2W} \frac{(2\pi)^3}{4Nv_0} \{1 - (\hat{Q}\hat{\eta})^2\} \times$$
$$\sum_{a=0,1} \sum_{q, K_m} \langle n_{q,a} + 1 \rangle \delta(\omega_{q,a} - \omega) \delta(\mathbf{Q} - \mathbf{q} - \mathbf{K}_m)$$

magnon dispersions

# YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> magnons

$$H = \sum_{ij} (J_{\parallel} \mathbf{S}_i^{(a,b)} \cdot \mathbf{S}_j^{(a,b)}) + \sum_i (J_{\perp 1} \mathbf{S}_i^{(a)} \cdot \mathbf{S}_i^{(b)} + J_{\perp 2} \mathbf{S}_i^{(b)} \cdot \mathbf{S}_i^{(a)})$$



exchange parameters from magnon dispersions

$$J_{\parallel} \sim 100 \text{ meV}$$

$$J_{\perp 1} \sim 10 \text{ meV}$$

$$J_{\perp 2} \sim 0.01 \text{ meV}$$

*Tranquada et al., PRB 1989*

*Reznik et al., PRB 1996*

