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(this version is scanned after all lectures were given).

- topics: • <sup>Unitary</sup> Many-body quantum dynamics (closed systems)
- Thermalization, emergence of dissipation in unitary dynamics.
  - Many-body localization (MBL).

Focus on unitary dynamics.

Systems of  $N$  quantum degrees of freedom

$1 \ll N \ll \infty$  spins, qubits, trapped atoms, ions, molecules, etc.

In a model, or in the lab. [Many such systems are built and studied. These are interesting new physical systems. They are not simulations.]  
[Perhaps they can be used as quantum simulators. <sup>they are realizations.</sup>]

Many-body state of system is a density matrix  $\rho(t)$ .  
[ $\rho(t)$  is a conditional probability distribution.]

Conditional on the procedures used to prepare and select this state, which is done many times repeatedly in any useful experiment. [Thus: "frequentist" probabilities.]

$[\rho(t)$  gives the probabilities of the outcomes of any observations (measurements) that could be made.]

A useful idealization is a pure state:

$$\rho(t) = |\Psi(t)\rangle\langle\Psi(t)|$$

Pure states are very useful theoretically and conceptually.

Experimentally, one can try to make  $\rho(t)$  as close to pure as one can, but it will always have some small (or large) impurity (infidelity),

We consider unitary dynamics.

Due to a Hamiltonian  $H(t)$ ,

Or due to a unitary  $U(t)$  produced by a quantum circuit. (gates)



$$i \frac{d\rho(t)}{dt} = [H(t), \rho(t)] \quad \text{or} \quad \rho(t+1) = U(t)\rho(t)U^\dagger(t)$$

$H(t)$ : continuous time,

for pure state:

circuit: discrete time

$$i \frac{d|\Psi(t)\rangle}{dt} = H(t)|\Psi(t)\rangle \quad \text{or} \quad |\Psi(t+1)\rangle = U(t)|\Psi(t)\rangle$$

If there are <sup>important</sup> effects due to a quantum "environment", include part of that "environment" as part of your system.

Special case:  $H(t) = H$ . System is fully isolated from any external dynamics. Has eigenstates that are stationary. Has an extensive conserved energy <sup>that can be transported.</sup> Could have other extensive conserved quantities setting its thermodynamics, and being transported.

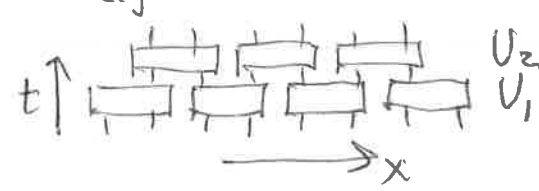
More generally system is driven, drive is autonomous and classical producing  $H(t)$  or  $U(t)$  (Really, drive is a large quantum system in a coherent state in the classical + autonomous limit.)  
 general  $H(t)$  or  $U(t)$  does not have stationary pure eigenstates. ( $\rho = \mathbb{1}/Z$  is <sup>always</sup> stationary.)

Another special case:  
 Floquet system or Floquet unitary

$H(t) = H(t + \tau)$  is periodic  
 $U_F = T e^{-i \int_0^\tau dt H(t)}$   
 "advances time" by  $\tau$ , one period.

$U_F$  has stroboscopically stationary eigenstates. These eigenstates have within-period "micromotion" dynamics.

for circuits:  
 $U(t+1) = U(t) = U_F$   $\tau = 1$   
 same unitary every step.  
 $U_F$  might have substructure within <sup>one</sup> period  
 $U_F = U_n \dots U_2 U_1$   
 e.g.:



The diagram shows a grid of gates. The vertical axis is time  $t$  and the horizontal axis is space  $x$ . A sequence of gates  $U_1, U_2, \dots, U_n$  is applied across the system. The gates are arranged in a staggered pattern, with  $U_1$  at the bottom and  $U_n$  at the top.

# Unitary dynamics

General  $H(t), U(t)$   
 random unitary circuit  
 gives "simplest" dynamics.

with additional  
 extensive conserved  
 quantities:  
 add a conserved charge,  
 "simplest" models of  
 transport due to unitary  
 dynamics (random circuits)

restrict:  $H(t) = H(t+\tau)$   
 $U(t+\tau) = U(t)$

Floquet.  $H(t)$  or  $U_{\text{circuit}}$

Floquet with  
 transport

Has eigenstates  
 Can have MBL

restrict:  $H(t) = H$   
 (no circuits)

Has energy  
 transport,  
 a temperature if it thermalizes.

Has "thermopower",  
 "cross-transport",  
 (time-independent  $H$ , with  
 other extensive conserved  
 quantity(s) to transport)

Other "axes": locality in  $d$  dimensions.

or nonlocal  $H(t), U(t)$ , involving  
few-body interactions or fully many-body nonlocal interactions,

Fully nonlocal limit:  $H(t), U(t)$  are random matrices,

## Thermalization:

Does system with unitary  $H(t)$  or  $U(t)$  act as a "bath" for itself and bring (at long time) all of its small subsystems to thermal equilibrium with each other?

If "yes", this is thermalization.

For this question to have sharp yes/no answer, need to take limits  $N \rightarrow \infty$ ,  $t \rightarrow \infty$  (like for phase transitions).

But some small systems can thermalize very well

(e.g., Jensen + Shankar PRL (1985) 7-spin chain),

and strong changes in thermalization can be seen in

small systems and/or at moderate time scales. So we <sup>can</sup> ask:

How well does our finite system thermalize? (in finite time)

Thermal equilibrium: maximizing thermodynamic entropy, given a few (or zero) extensive conserved quantities.

Most interacting many-body systems do thermalize.

Exceptions: Integrability } instead an extensive number  
 Many-body localization } of conserved quantities  
 Many-body "scars" } (later this week)

Certain Other constrained systems ...

Consider  $N$  spin- $1/2$ 's (qubits), Hilbert space dimension  $2^N$ .

Number of linearly independent operators  $\approx 4^N$

(Pauli  $I, X, Y, Z$  for each spin.)

Consider a local operator  $A = A(0)$ .

e.g.  $Z_n \otimes$  (identity on all other spins)

$$\begin{aligned} \langle A \rangle_{t=0} &= \text{Trace} \{ \rho(0) A(0) \} \\ &= \text{Trace} \{ U(t) \rho(0) U^\dagger(t) U(t) A(0) U^\dagger(t) \} \\ &= \text{Trace} \{ \rho(t) A(t) \} = \langle A(t) \rangle_t \end{aligned}$$

time-evolve in  
Schrodinger picture:  
 $U(t)$  evolves from  
 $t=0$  to  $t$

time-evolved operator  $A(t) = U(t) A(0) U^\dagger(t)$

expectation value  $\langle A(t) \rangle_t$  is  $t$ -independent (by definition of  $A(t)$ ).

If  $\rho(0)$  is thermal equilibrium, so is  $\rho(t)$ , so

$$\langle A(t) \rangle_t = \langle A(t) \rangle_{\text{eq'm}} \text{ is time-independent.}$$

If  $\rho(0)$  and  $\langle A \rangle_{t=0}$  are not at thermal equilibrium,

then  $\langle A(t) \rangle_t$  remains nonthermal at all times.

dynamics is unitary, so no features of  $\rho(0)$  are lost, only "re-arranged".  
So, if we consider all operators to be observables, then  
there is no thermalization. (no "forgetting" of any properties of initial state)

Lychkovskiy, PRA (2013). To define thermalization  
we need to consider only some operators to be our  
observables, and not consider  $\langle A(t) \rangle_t$  to remain  
observable for all time, as  $A(t)$  becomes a very complex

nonlocal operator, at late times for large  $N$ . unitary dynamics "hides"  
nonthermal properties of  $\rho$ .

In the limit of large  $N$ , it is true that only a zero fraction of all  $4^N$  operators are observable.

For small  $N$ , to examine thermalization, we need to specify which operators we will consider as observables (e.g. one-spin + two-spin operators).

In the limit of large  $N$ , almost <sup>all</sup> operators are not observables.

for any state  $\rho(t)$ , almost <sup>all</sup> operators ~~have~~  $A$  have  $\langle A \rangle_\rho = \langle A \rangle_{\text{eq. im}}$   
so are thermal.

But there are observable operators, and ~~they~~ states  $\rho_{\text{prep}}$  exist  
(and are readily prepared)

where most observables have nonthermal  $\langle A_{\text{obs}} \rangle_{\rho_{\text{prep}}} \neq \langle A_{\text{obs}} \rangle_{\text{eq. im}}$ .

How does thermalization (dissipation) happen? : (in one perspective)

Initially observable, <sup>nonconserved</sup> and non-thermal  $\langle A(0) \rangle_{t=0}$  are all "moved" by  $U(t)$  to  $\langle A(t) \rangle_t$  with  $A(t)$  no longer observable at long time (this "hidden").

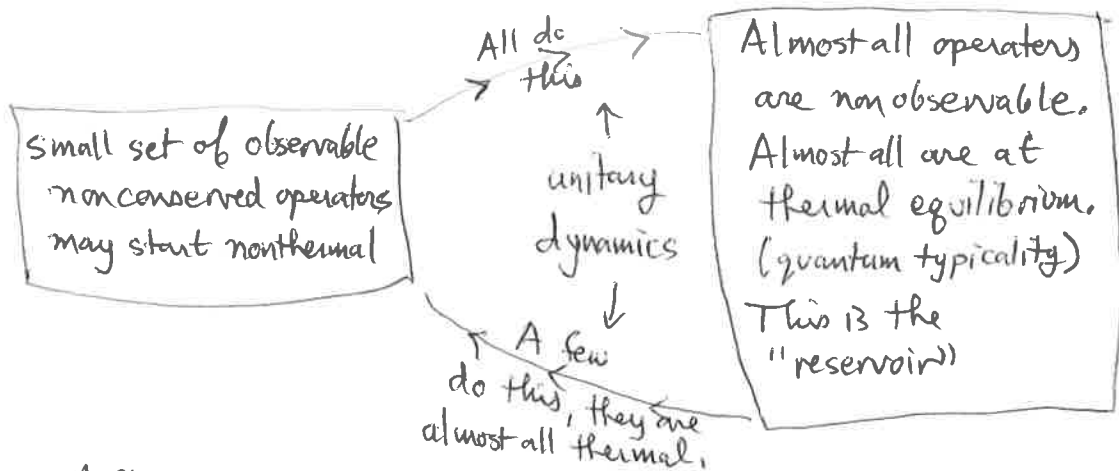
~~Example~~ E.g.  $n$ -spin operators are observable for

$n \leq k$  for some finite  $k$ .

are "non-observable" for  $n > k$ .

For a thermalizing system with large  $N \gg k$ , any nonconserved  $A(0)$  with spread to complex nonlocal  $A(t)$ , which at long times is <sup>almost</sup> all  $n > k$ , so non-observable, Choice of  $k$  sets time scale when this happens.

# Thermalization + Dissipation in a thermalizing system: for large $N$ :



unitary  
 Is a "flow" of operators between "simple" observables and complex, nonlocal, non-observables. The initial nonthermal observables get moved to non-observables, so the "nonthermality" is "hidden".

Some aspects of

The spreading of operators can be exactly calculated for random unitary circuits in 1+1 dimensions,

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 precise  $S(t)$  does depend on choice of "observables"

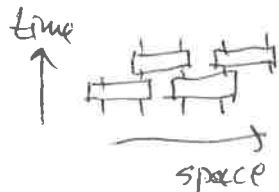
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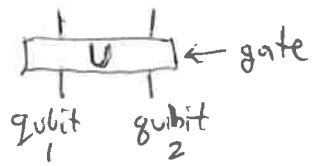


Refs: von Keyserlingk, et al PRX 8, 021013 (2018); Nahum et al 021014  
 Khemani et al ~~PRX~~, 031057

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Random unitary circuits ~~are~~ allow analytic study of operator dynamics (operator spreading) ~~scrambling~~.

Qubits in 1D.  "brickwork" circuit



Each qubit has 4 operators (Pauli)  
 $I, X, Y, Z$   ~~$A = \text{all}$~~   
 $N = \text{non-identity}$

For 2 qubits there are 16 operators:  $A_{12} = A_1 A_2$

One is special:  $I_{12} = I_1 I_2 = U I_1 I_2 U^\dagger$  remains invariant.

15 non-identity <sup>(traceless)</sup> operators  $A_{12}$ , trace  $A_{12} = 0$ , so  $A_{12}$  does not contain identity

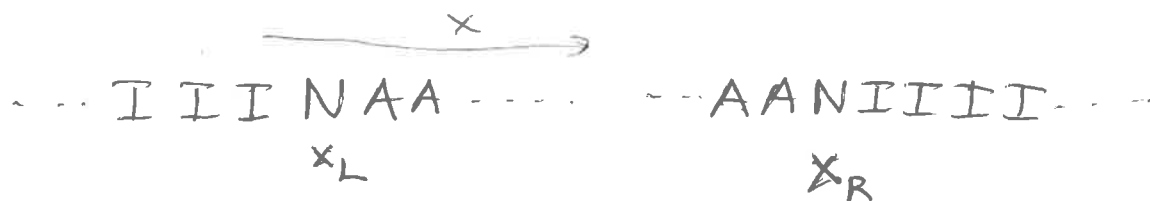
A ~~random~~ "Haar-random" unitary gate  $U$  (has probability distribution that is invariant under any other unitary operation  $V$ )  
 $P(U) = P(VU)$  for any unitary  $V$

Any Non-identity traceless  $A_{12}$  is a linear combination of the 15 <sup>non-identity</sup> Pauli operators  $I_1 X_2, \dots, I_1 Z_2, X_1 I_2, \dots, Z_1 Z_2$ .  $P_{12}$   
 Of these, 3 are one-site operators on site 1, 3 on site 2, and 9 are two-site operators.  
 Haar-Random unitary  $U$  acting on one of these 2-qubit

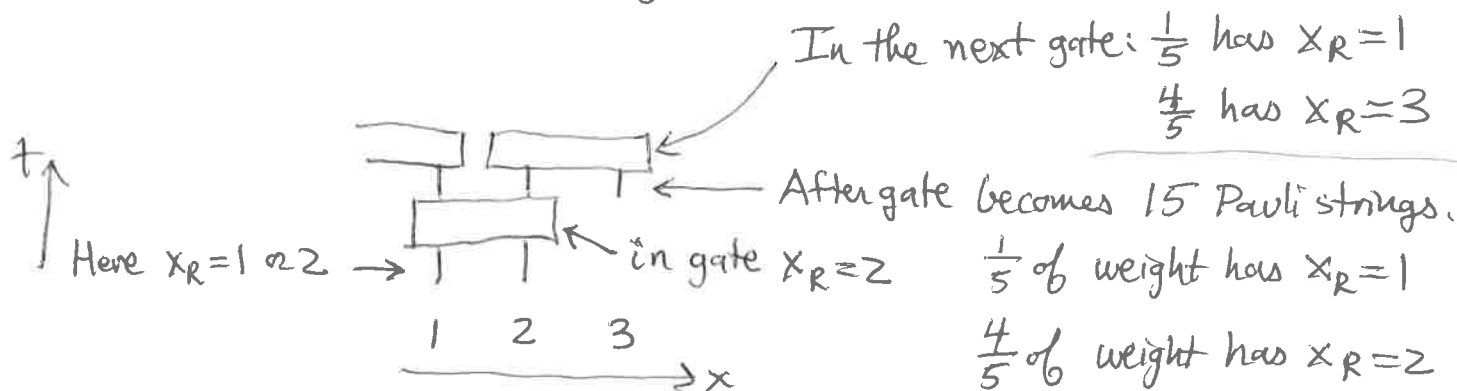
non-identity Paulis:  $U P_{12} U^\dagger$  produces a linear combination of all 15 (with equal weights on average). This is, by weight,

on average  $\frac{1}{5}$  a one-site operator on site 1  $N_1 I_2$   
 $\frac{1}{5}$  " " " " " site 2  $I_1 N_2$  in the Pauli basis.  
 $\frac{3}{5}$  a two-site operator  $N_1 N_2$

Say  $A(t)$  is a single-qubit operator:  
 after time  $t$ ,  
 $A(t)$  between gates is a sum of many "Pauli strings"  
 that have ends at  $x_L, x_R$ : (last non-identity in string)



The ends  $x_L, x_R$  do biased random walks:  
 Let's follow  $x_R$  for one string!



So from one layer of gates to the next:

String shortens by 1 with weight  $1/5$   
 " lengthens " " " "  $4/5$ .

Random walk has mean drift speed ("butterfly speed")  $3/5$ .  $\frac{\text{sites}}{\text{layer of gates}}$

Typical operator lengthens at both ends, spreads.  $\rightarrow$  "nonobservable"

Also, gets entangled: becomes a sum of many different Pauli strings.  
 (Clifford gates produce spreading, but no operator entanglement.)

Very Special operators do get shorter: these are the rare operators  
 that time evolve non-observable  $\rightarrow$  observable.

An example of:

SKIP

Emergence of dissipation (e.g. <sup>in</sup> energy transport due to a <sup>weak</sup> temperature gradient):

Example:

Time independent (generic H)

$$H = \sum_{\vec{r}} h(\vec{r})$$

(so no longer a unitary circuit)

↑ local terms in energy

Consider initial state

$$\rho = Z^{-1} e^{-\beta H} \left( 1 - \sum_{\vec{r}} \delta\beta(\vec{r}) h(\vec{r}) \right)$$

average inverse temperature

very small smooth <sup>gradual</sup> temperature gradient

$$\vec{\nabla} \delta\beta(\vec{r}) \text{ very small. } \int \delta\beta(\vec{r}) d\vec{r} = 0.$$

$$i \frac{d\rho(t)}{dt} = [H, \rho(t)] \text{ is nonzero only due to } \vec{\nabla} \delta\beta(\vec{r}) \text{ so is very small}$$

→ produces <sup>small</sup> energy current, and other operators in  $\rho$

$$\vec{\nabla} \delta\beta \rightarrow \vec{J}_E \rightarrow \dots$$

→ nonobservables

That energy current operator also does not commute with H

→ produces <sup>small</sup> time derivatives of  $\delta\beta(\vec{r})$  and of the energy current, etc. (a flow of operators from simple to complex)

Initial <sup>"simple"</sup> operator  $\sum_{\vec{r}} \delta\beta(\vec{r}) h(\vec{r})$  <sup>(also energy current)</sup> spreads and "scrambles" and

eventually "hides" as a non-observable operator. ( $\delta\beta(\vec{r})$  relaxes by diffusion equation)

→ dissipation has happened, the entropy has been increased, all with unitary reversible dynamics.

What is <sup>the</sup> entropy of  $\rho(t)$ ? : Find the  $\rho_{\Lambda}$  that has the

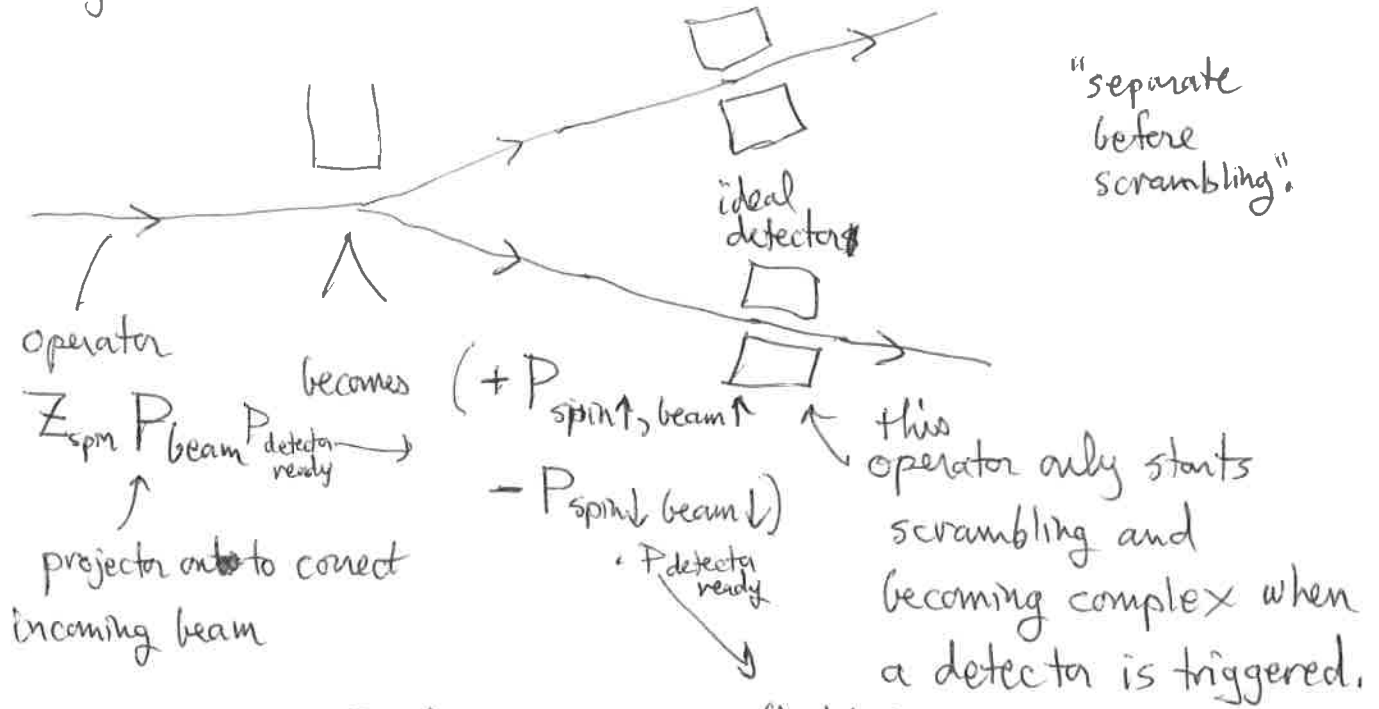
same  $\langle A \rangle$  for all "observables" but has the maximum von

Neumann entropy  $S = \text{Trace}(-\rho \log \rho)$ . This S is the entropy

of  $\rho(t)$ .  $\text{Trace}(-\rho(t) \log \rho(t))$  might be much smaller, or even zero if  $\rho$  is pure.

In some special situations, some simple local operators do not fully "scramble" and hide, but they remain observable to long times and even become more easily observable. This is what happens in a measurement:

E.g. Stern-Gerlach:



"separate before scrambling"

operator  $Z_{spm} P_{beam} P_{detector ready}$   
 projector onto to correct incoming beam

becomes  $(+ P_{spin↑, beam↑} - P_{spin↓, beam↓}) P_{detector ready}$

this operator only starts scrambling and becoming complex when a detector is triggered.

(which is a conditional probability distribution)

State  $\rho_k$  becomes a linear combination of the two results (say, spin was initially along X)

after detector becomes  
 $+ P_{spin↑, beam↑, ↑ detector triggered}$   
 $- P_{spin↓, beam↓, ↓ detector triggered}$   
 so it becomes readily observable whether spin is ↑ or ↓. (Not other components of spin)

Then we can choose to post-select on one of the two outcomes and thus "collapse" the state.

(add another condition on the conditional probability distribution that defines  $\rho$ )

Thermalization:

Is a dynamical question: Does initially non-thermal state become thermal at almost all long times?

For Floquet system, or time-independent  $H$  can also ask: Are eigenstates of  $U_F, H$  thermal?

These questions are sharply defined only in  $N \rightarrow \infty$  limit (and  $t \rightarrow \infty$  for dynamics).

To thermalize to  $T$ , need initial distribution of  $E$  to be narrow, so distribution of  $\frac{E}{N} \rightarrow$  a <sup>Dirac</sup>  $\delta$  function for  $N \rightarrow \infty$ .

A thermal state for  $N \rightarrow \infty$  is the limit of  $\rho_N$  states <sup>a sequence of</sup> so that for each observable  $\langle A \rangle_{\rho} \rightarrow \langle A \rangle_T$  in the limit.

(e.g. consider all  $k$ -spin operators to be observables, take limit  $N \rightarrow \infty$  with  $k/N \rightarrow 0$  in limit)

Almost all states with  $\langle H \rangle_{\rho} = \langle H \rangle_T$  are thermal (quantum typicality)

Eigenstate Thermalization Hypothesis (ETH):

Eigenstates are thermal. Appears to be true for most systems that thermalize, with <sup>all states thermal</sup> scars: <sup>almost all states thermal</sup> made

When ETH is true: Microcanonical ensemble  <sub>$N$</sub>  of a single eigenstate is thermal.

and almost all states are thermal, then

If ETH is true, how do we make non-thermal states?  
at ~~temperature~~ <sup>energy  $E$</sup>  ~~if~~!

In basis of eigenstates of  $H$   $H|n\rangle = E_n|n\rangle$

$$\rho(t) = \underbrace{\sum_n \rho_{nn}|n\rangle\langle n|}_{\text{this is thermal weight in } \rho_{nn} \text{ concentrated near } E} + \underbrace{\sum_{m \neq n} \rho_{mn}(0) e^{i(E_n - E_m)t} |m\rangle\langle n|}_{\text{to be non-thermal these need to add up coherently to make } \langle A \rangle_{\rho(t)} \neq \langle A \rangle_T \text{ for some } A \text{ (very special states)}}$$

this is thermal weight in  $\rho_{nn}$  concentrated near  $E$

to be non-thermal these need to add up coherently to make  $\langle A \rangle_{\rho(t)} \neq \langle A \rangle_T$  for some  $A$  (very special states)

But at other times (much later) these will dephase and  $\rho(t)$  becomes thermal:

Thermal equilibration is dephasing (in the basis of the eigenstates of  $H$  or  $U_F$ ), ~~when~~ <sup>for systems for which</sup> ETH is true.

Diagonal part of ETH:

$\langle n|A|n\rangle \rightarrow \langle A \rangle_{T(E_n)}$  in limit  $\epsilon$  for all  $A$  <sup>observables</sup>  
(generically for all  $n$ , but can have exceptions like "scars")

Off-diagonal part of ETH:

informally:  $\langle n|A|m\rangle$  are small enough and pseudorandom enough so system does thermalize, <sup>large enough so we can make non-thermal states and</sup> <sup>from all nonthermal initial states, (with narrow dist of  $E$ )</sup> (not fully understood) <sup>unclear</sup>

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Srednicki PRE 50, 888 (1994) ignored locality. Foini + Kurchan PRE 99, 042139 (2019)

Chan, DeLuca, Chalker PRL 112, 220601 (2019) showed <sup>how</sup> locality constrains off-diagonal ETH. But minimal criteria needed for  $\{\langle n|A|m\rangle\}$  is not known.

<sup>PRE</sup> Srednicki 1994 Off-diagonal part of ETH:

$$\langle m | A | n \rangle = e^{-S((E_m + E_n)/2)} f(|E_m - E_n|) R_{mn}$$

eigenstates  $\swarrow$   $\searrow$   
 $\uparrow$  observable  $\uparrow$  entropy  $\uparrow$  nonuniversal function  $\uparrow$  order-one pseudorandom numbers,

Foini + Kurchan <sup>PRE</sup> } 2019 locality requires certain correlations  
 Chau, De Luca, Chalker <sup>PRL</sup> } among the  $\{R_{mn}\}$ .

More work in progress about this by Chalker + collaborators.  
 to explore what is universal in off-diagonal ETH

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"Thermalization" of Floquet systems.

Bukov et al PRB 2016,  
 Morningstar, H, Khemani 2210.13444

System driven with period  $\tau$ , frequency  $\omega = \frac{2\pi}{\tau}$

System ~~may~~ exchanges energy quanta  $\pm \omega$  with drive at rate  $\Gamma$ .

~~if~~ If  $\Gamma$  is small enough we have

$$U_F \approx e^{-iH_{\text{eff}}\tau}$$

$\uparrow$   
 any difference here is the processes that cause

$$E_{\text{eff}} \leftrightarrow E_{\text{eff}} \pm \omega$$

$H_{\text{eff}}$  is the quasi-local effective H that gives best (quasi-local) such approximation to  $U_F$

"Prethermal" early or intermediate time regime:

$\Gamma$  is small enough so <sup>that</sup> in this time regime system thermalizes to  $H_{\text{eff}}$  (with a slowly increasing temperature if  $\Gamma > 0$ ).

Regimes of long-time or eigenstate Floquet thermalization.

$N$  and  $w$  are large. To make these regimes sharp, can take limit  $N \rightarrow \infty$ ,  $w \rightarrow \infty$  together in various ways. But can also consider finite  $N$ , finite  $w$

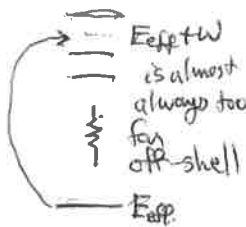
regime 1  
(highest  $w$ )

No heating:  $U_F = e^{-iH_{\text{eff}}\tau}$

This is prethermal regime extending to  $t \rightarrow \infty$  (no longer "pre")

Exchange of energy with drive is negligible.

$U_F$  and  $H_{\text{eff}}$  have same eigenstates.



An extensive conserved energy, in spite of drive.

Processes that change  $E_{\text{eff}} \rightarrow E_{\text{eff}} \pm w$  have matrix element  $\ll$  many-body level spacing, so do not happen

regime 3:  
(lowest  $w$ )

Heats to  $\infty$  temperature with  $\Gamma \gg w$

(note: time to heat to  $T = \infty \sim N/\Gamma$  so can still be slow in this regime when  $N$  is large)

No conserved energy, eigenstates of  $U_F$  and  $H_{\text{eff}}$  ~~have~~ are very different. Eigenstates of  $U_F$  are linear combos of all eigenstates of  $H_{\text{eff}}$ .



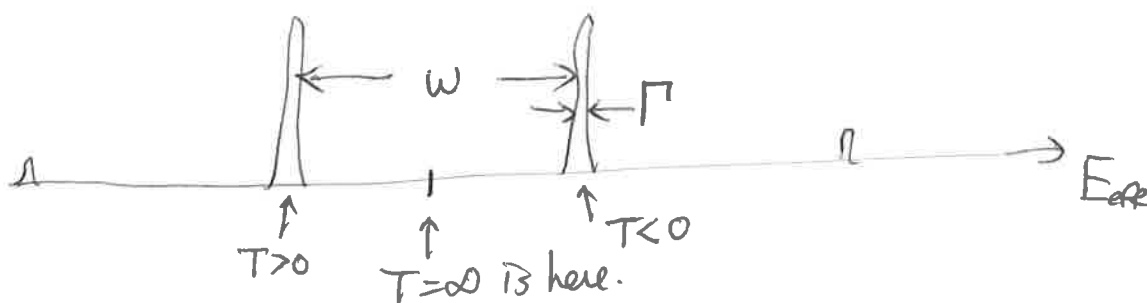
regime 2 intermediate  $w$  has

$$\delta = \text{many-body level spacing} \ll \Gamma \ll w$$

$E_{\text{eff}}$  is conserved modulo  $w$  to precision  $\Gamma$

Eigenstates of  $U_F$  have  $E_{\text{eff}}$  probabilities:

e.g.



If initial state has uncertainty in  $E_{\text{eff}}$  that is  $< w$ ,

~~the~~ system will thermalize to this

"ladder ensemble" with  $E_{\text{eff}}$

conserved modulo  $w$  to a precision  $\Gamma$

A "new" type of thermal equilibrium ensemble, with multiple temperatures present.

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Many-body localization (MBL)  
 "avalanche" instability  
 many-body resonances, ~~avalanches~~

MBL is Anderson localization with many interacting degrees of freedom, in highly-excited states that at thermal equilibrium would have non-zero entropy density.  
 Anderson 1958, Altshuler et al 1997, Basko, Aleiner, Altshuler 2005, then many,

As in Anderson 1958, let's consider a spin model.

~~As in 2020's, let it be a Floquet model with no extensive conservation laws, energy conserved only modulo  $w = 2\pi$  ( $\tau=1$ )~~

Trivial limit of MBL:  $N$  non-interacting spins:  $H_0 = \sum_n h_n S_{nz}$

~~$U_{F0} = e^{iH_0 \tau}$~~

~~$H_{F0} = \sum_n h_n Z_n \pmod{2\pi}$~~

(every  $Z_n$  is conserved, so  $\langle Z_n \rangle$  will not relax to thermal equilibrium, which is  $\langle Z_n \rangle = 0$ )

$h_n$  uniform in  ~~$[-W, W]$~~   $[-W, W]$   
 $h_n$  may be random, or in a deterministic pattern such as quasiperiodic, but without degeneracies under low-order spin flip processes.

Eigenstates of  $U_F$ : any product state of  $\uparrow$ 's and  $\downarrow$ 's.

$2^N$  eigenstates, ~~energies uniform~~  ~~$[-W, W]$~~ : typical many-body level spacing  $\sim 2^{-N}$ : gapless

These eigenstates are not thermal (thermal with ~~no conservation laws~~ is  $\langle S_{nz} \rangle = 0$ )

Now add weak 2-spin interaction:

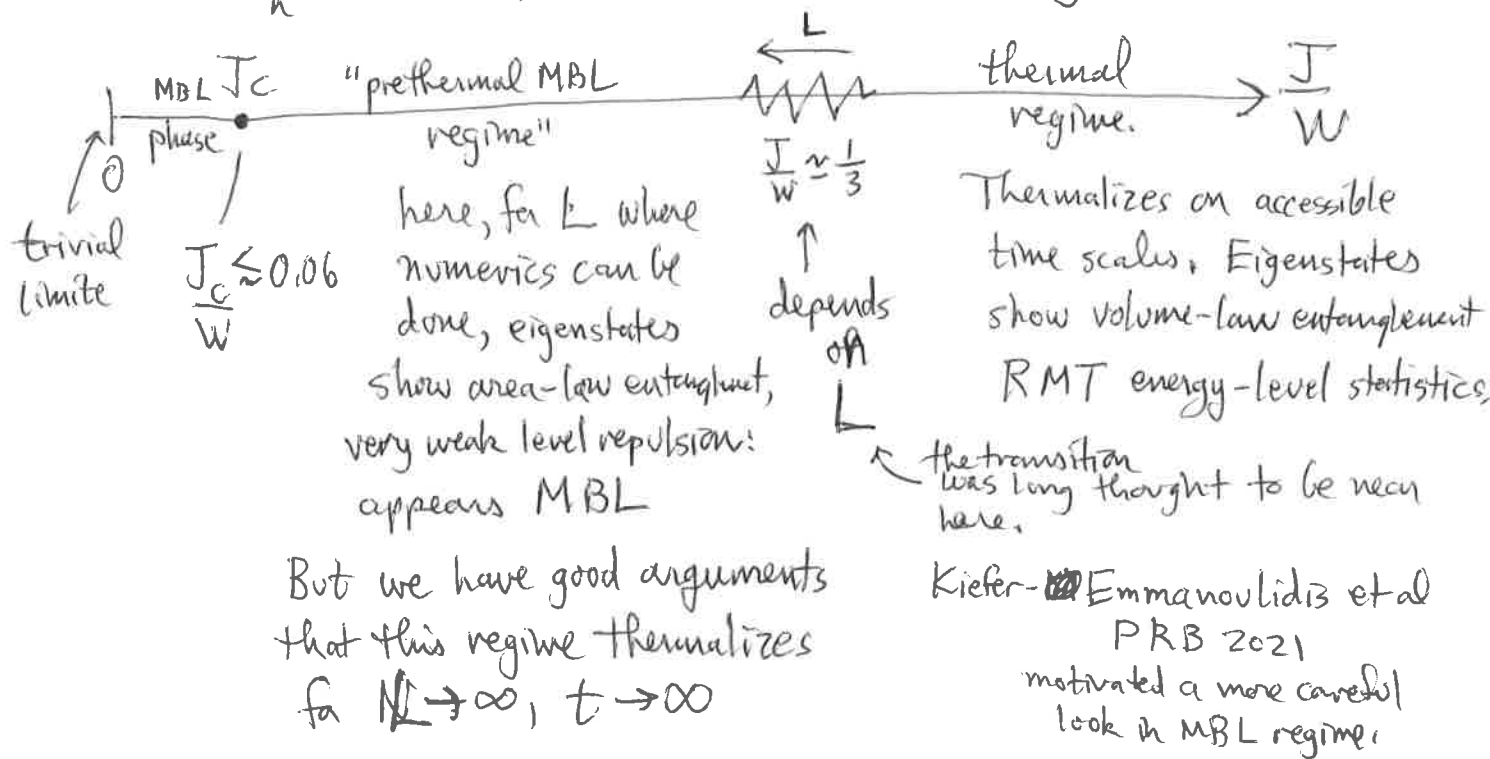
$$H = \sum_{n=1}^L h_n S_{nz} + J \sum_{n=1}^{L-1} \vec{S}_n \cdot \vec{S}_{n+1} \quad h_n \text{ random in } [-W, W]$$

this is the "standard model" of MBL.

I discuss it here because it is familiar to many.

I now prefer Floquet MBL model with no conservation laws.

Fix  $\sum_n S_{nz} = 0$ ,  $E \cong 0$ . Phase diagram:



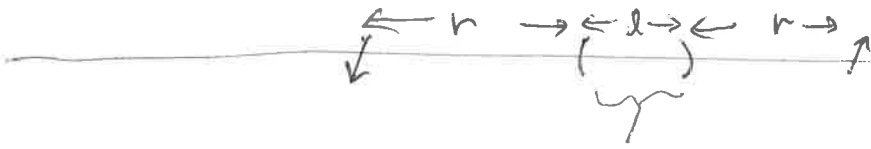
Morningstar, Colmenarez, Khemani, Luitz, H PRB 2022 (also D Sels, PRB 2022)

we moved this "bound" on  $J_c/W$  down by a lot.

Based on "avalanche" instability of MBL phase:

The "avalanche"; De Roeck + Huveneers PRB 2017.

Consider the case of  $h_n$  random, 1D, large systems, small  $J/W$



thermal rare region,  
here, by chance, all spins have  
same  $h_n$  within  $J$ , so locally  
thermalized ~~is a~~  
a local "bath"

there  
Are other ways to  
make a small locally  
thermalizing region?

spin at distance  $r$  spins  
from "bath" relaxes  
at rate  $\Gamma \sim k^{-r}$   
 $\Gamma(r)$   
(if bath is big enough to  
have many-body level  
spacing  $\ll \Gamma$  so it can  
do this) naively,  
 $k \sim \# \frac{W}{J}$

"Avalanche": "bath" has thermalized and thus  
"recruited" spins out to distance  $r$  spins.

Now many-body level spacing of "bath" is  $\delta \sim 2^{-l-2r} \sim 2^{-l} 4^{-r}$

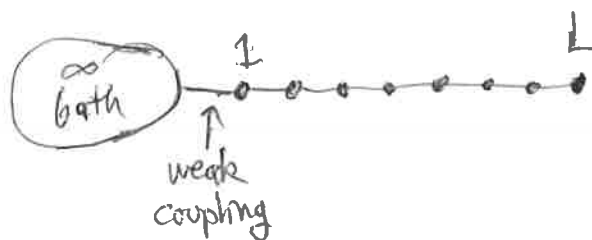
This "avalanche" will stop if it reaches  $\delta(r) = \Gamma(r)$ .

Starts with  $\delta \ll \Gamma$  (due to  $2^{-l}$  factor).

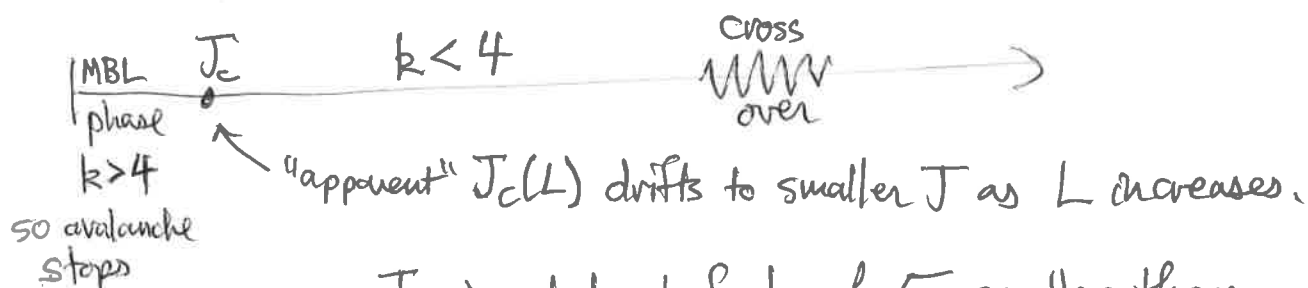
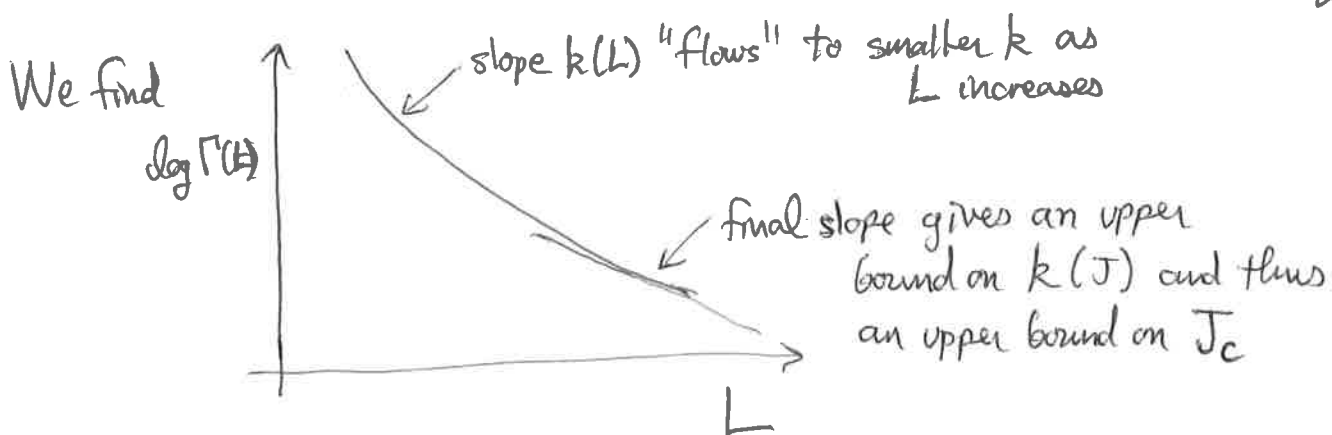
If  $k < 4$  it never stops. So transition is at  $k_c = 4$ .

To explore this numerically, we do open system;

more than 1D  
avalanche never  
stops once started.  
Transition is  
instead when  
avalanche starts  
(Gopalakrishnan + H  
PRB 2019)



get relaxation rate  $\Gamma(L)$  of  
furthest (slowest) spin  
(gap in spectrum of  
open-system super-operator)



$J_c$  is at least factor of 5 smaller than  $J$  at crossover.

So we have this large intermediate prethermal MBL regime, that is MBL-like for accessible system sizes and time, but thermalizes for  $L \rightarrow \infty$  and  $t \rightarrow \infty$

Now add some weak 2-spin interactions, strength  $J$   
 short-range in 1, 2 or 3-d.

$$U_F = U_{F0} e^{-iJH_2}$$

small  $J$ , small system,  
 eigenstates remain localized,  
 most  $\langle Z_n \rangle \approx 1$ .

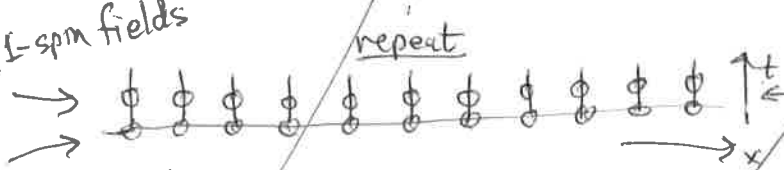
$$H_2 = \sum_{\langle ij \rangle} h_{ij}$$

order-one random matrix  
 on that 2-spin  
 state space, e.g. GUE

In 1D:

Strong 1-spin fields

Weak 2-spin couplings.

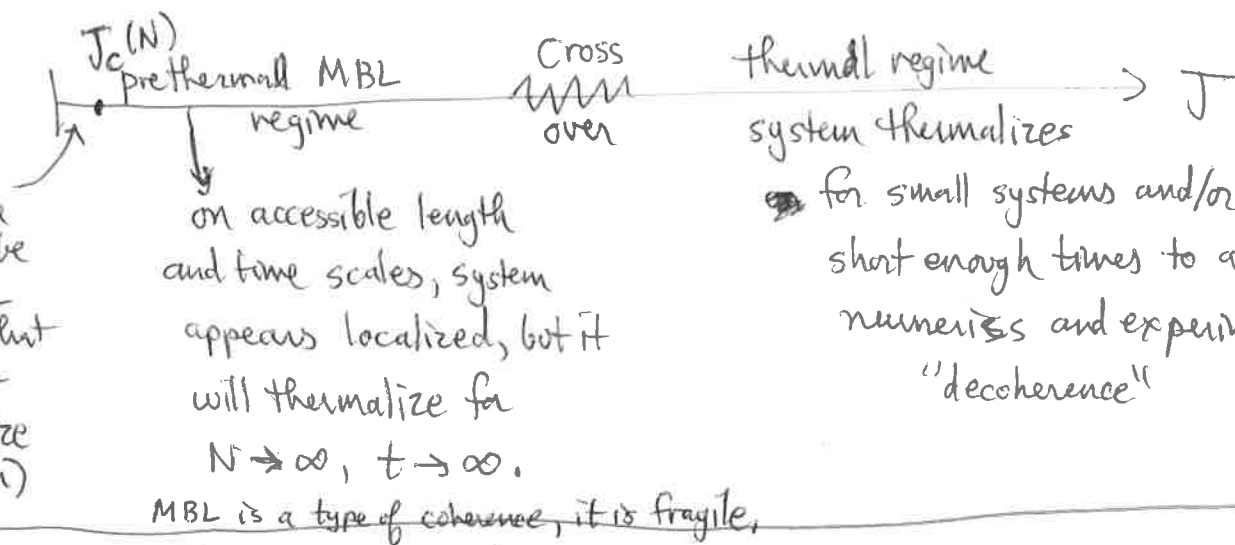


circuit that makes one period.

~~Naive description of many body~~

Phase diagram of this system:

No conservation laws, so  
 thermal state = all states equally  
 likely:  $\langle X_n \rangle = \langle Y_n \rangle = \langle Z_n \rangle = 0$   
 + any few-spin traceless  
 observables have  $\langle A \rangle = 0$ .



there might be a MBL phase that does not thermalize (discuss later)

for small systems and/or short enough times to access  $N$  numerics and experiments. "decoherence"

→ What is physics of prethermal MBL regime, and the crossover to thermal regime? :

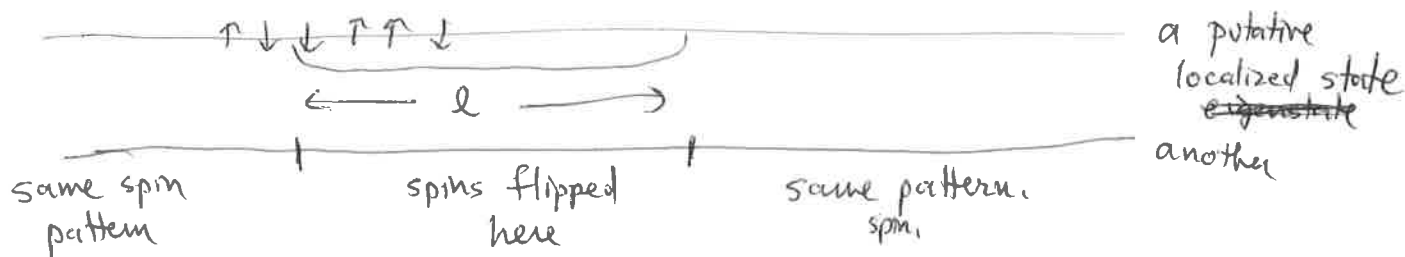
many-body resonances. Villalonga + Clark

Crowley + Chandran, SciPost 2022

Garratt, Roy, Chalker, PRB 2021

Morningsstar, et al PRB 2022

# Naive estimate of many-body resonances (1D)



each state might be resonant at this patch of length  $l$  spins with  $\sim 2^l$  other states. Closest in energy has

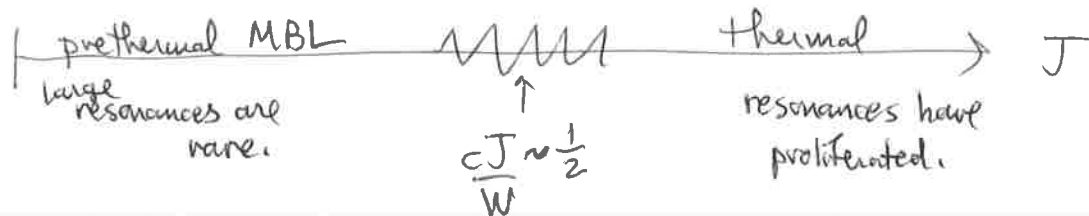
$E \uparrow$   $\frac{\Delta E}{\Delta E} \uparrow$  coupling  $\Delta E \sim 2^{-l}$  but distributed all the way to zero  $= \Delta E$

Thinking about  $J \vec{S}_i \cdot \vec{S}_{i+1}$  perturbatively, the coupling between these two states

$\sim (cJ)^l$  ( $J < 1$ )  $H \sim \begin{pmatrix} 0 & (cJ)^l \\ (cJ)^l & 2^{-l} \end{pmatrix}$   
 $\uparrow$  some constant

So for  $\frac{cJ}{W} < \frac{1}{2}$ , these resonances become rare at large  $l$ , most states are naively stable to such large many-body resonances at most locations.

This is the naive estimate of the prethermal MBL - thermal crossover:



In more than 1D  $l$  is the number of spins in a patch where there might be a resonance. So this rough picture is not special to 1D. Boulder Weds

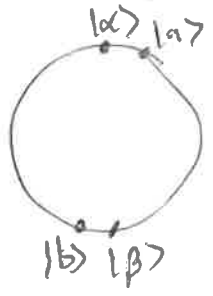
Our survey of many-body resonances



look at pairs of eigenstates adjacent in energy,  $|\alpha\rangle, |\beta\rangle$  with end-to-end difference in spin orientations.

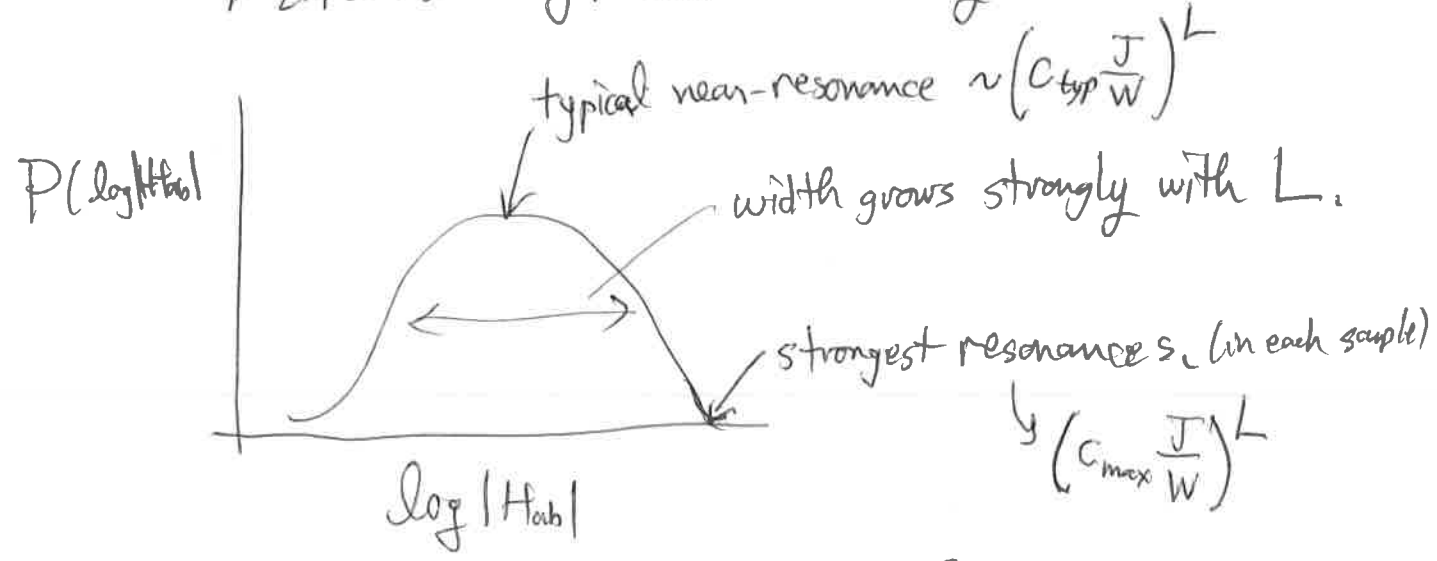
In prethermal MBL regime:  $H = J \sum_n \vec{S}_n \cdot \vec{S}_{n+1} + \sum_n h_n S_{nz}$   
 $h_n \in [-w, w]$

find most localized  $|\alpha\rangle, |\beta\rangle$  on this Bloch sphere



$$H = \begin{pmatrix} H_{aa} & H_{ab} \\ H_{ab}^* & H_{bb} \end{pmatrix}$$

Find  ~~$H_{ab}$~~   $\log |H_{ab}|$  is broadly distributed:



appears that  $C_{max} > C_{typ}$



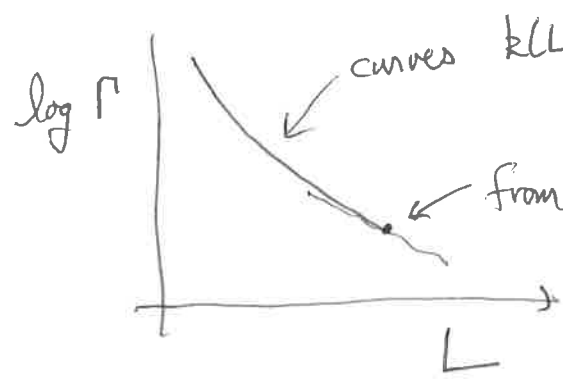
A next question (not answered yet):

do  $C_{\text{typ}}(L)$ ,  $C_{\text{max}}(L)$ , "flow" with  $L$ ?

If so, what is the physical mechanism?

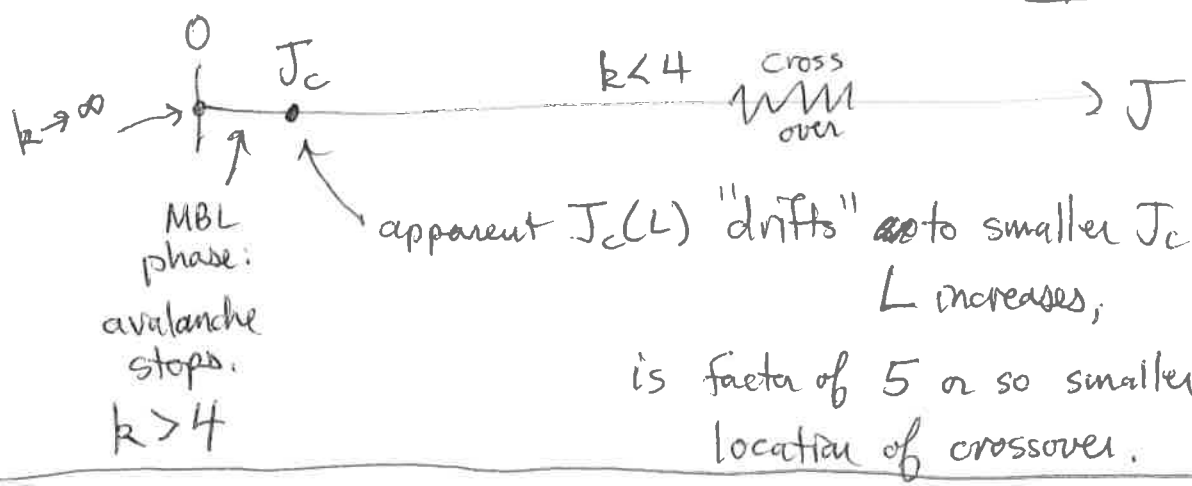
(Possibly: large resonances are built out of smaller ones.)

We find



we get an upper bound on  $k(J)$  so upper bound on  $J_c$

SKIP



Ha, Manningstar, H 2301.04658.

We looked in detail at the slowest mode of the open system (weakly coupled at one end to the bath) in terms of the eigenstates of the closed system:



spin  $L$  relaxes primarily via one (or a few) many-body near-resonances, so the avalanche spreads using some very special rare eigenstates. This is a key reason  $J_c$  is so small: the avalanche instability uses resonances that are exponentially more coupled compared to the typical couplings that set the prethermal-thermal crossover.