

Hilbert space Fragmentation (HSF) and constrained dynamics

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- Overview :
- 1 Recap : Eigenstate Thermalization Hypothesis
 - 2 What is HSF?
 - 3 Examples: Dipole conserving models
Pair flip models

Reading:

Review by Moudgalya et al.

<https://arxiv.org/abs/2109.00548>

Sala et al.

<https://arxiv.org/abs/1904.04266>

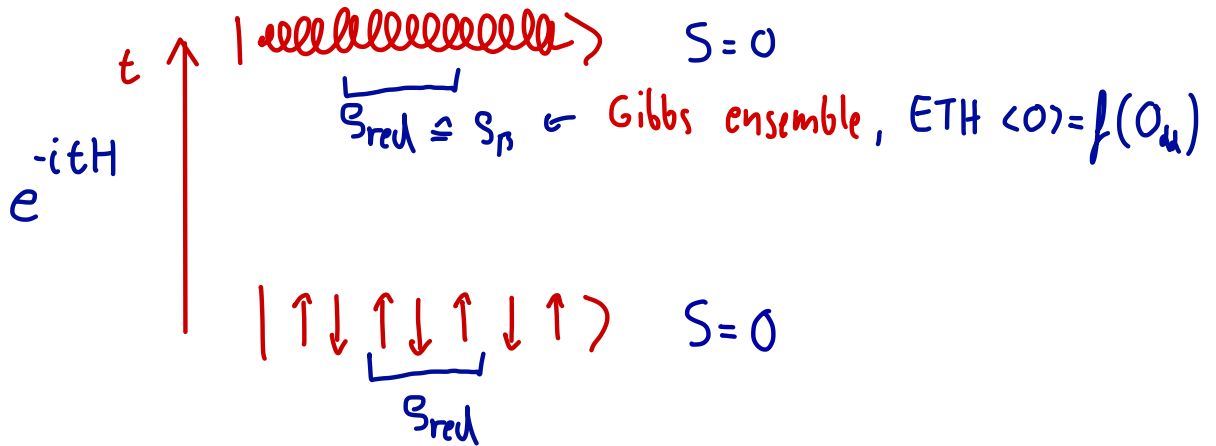
Khemani et al.

<https://arxiv.org/abs/1910.01137>

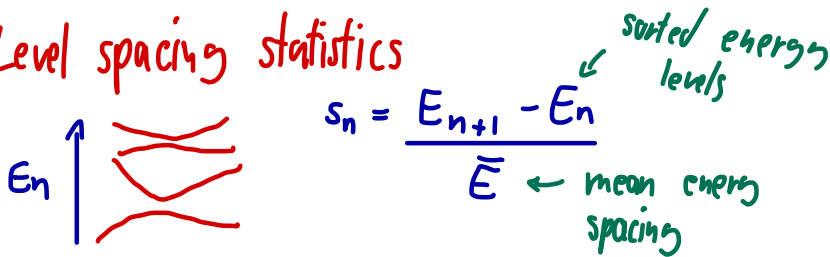
1 Recap: Eigenstate Thermalization Hypothesis

Characterizing the dynamics of quantum many-body systems:

i) Eigenstate thermalization hypothesis (ETH)



ii) Level spacing statistics



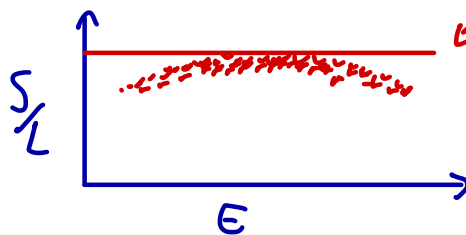
\leadsto Ergodic: S_n follows Wigner Dyson dist.

\leadsto non-Ergodic: S_n follows Poisson dist.

iii) Entanglement of eigenstates (at finite energy density)

Ergodic systems show volume law scaling!

$$\begin{matrix} L/2 & L/2 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{matrix}$$



Page: $S = \frac{L}{2} \log 2 - \frac{1}{2}$
 For $S = \frac{1}{2}$.

Sub-volume law entanglement is a sign of (weak) ergodicity breaking.

~) In presence of global symmetries (e.g., spin conservation), we find different sectors labeled by quantum numbers (e.g., S^2_{total} for S^2 eigenstates)

$$H = \begin{pmatrix} \square & & & \\ & \square & & \\ & & \square & \\ & & & \square \end{pmatrix} \quad \# \text{ sectors} = \text{poly}(L)$$

$\dim \sim \text{poly}(L) \cdot d^L$

Definition of HSF:

Starting from "simple" $|\psi_i\rangle$ (e.g. product states), we find exponentially many disconnected Krylov sectors. These sectors are not labeled by any obvious local symmetry of the Hamiltonian.

"Weak HSF": $H = \begin{pmatrix} \dots & \square & & \\ & \dots & \square & \\ & & \dots & \square \\ & & & \dots & \square \end{pmatrix} \quad \# \text{ sectors} = \exp(L)$

$\dim \sim \text{poly}(L) \cdot d^L$

~) Largest sector thermalizes!

"Strong HSF": $H = \begin{pmatrix} \dots & \square & & \\ & \dots & \square & \\ & & \dots & \square \\ & & & \dots & \square \end{pmatrix} \quad \# \text{ sectors} = \exp(L)$

$\dim \sim \tilde{d}^L$ with $\tilde{d} < d$

~) None of the sectors thermalizes!

HST arises in several models with constrained dynamics and exhibits a number of interesting physical phenomena:

- ~> Initial state dependent thermalization
- ~> Dynamical phase transitions
- ~> Protected edge modes
- ~> Subdiffusive transport

In the following, we will discuss **dipole conserving** and **pair flip models** as concrete examples that exhibit HST.

(see slides)

Hilbert Space Fragmentation: Dipole conserving models

Charge and dipole conservation in spin-1 chains

Conservation of a U(1) charge Q and its associated dipole moment P in a spin-1 chain:

$$Q = \sum_n S_n^z \qquad P = \sum_n (n - n_0) S_n^z$$

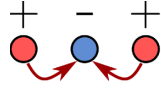
- ▶ Combination of Q and P symmetry puts constraints on the mobility of excitations: “**fractons**”: $|\pm\rangle = S^\pm |0\rangle$ [Pretko '18]

0	+	-	0	+	0	0	
0	0	+	-	+	0	0	
0	0	0	+	0	0	0	
-3	-2	-1	0	1	+2	+3	$Q = 1 \quad P = 0$

- ▶ Certain random local unitary dynamics with such symmetries fail to thermalize: **How robust is this phenomenon?**
[Pai et al '18]

Charge and dipole conservation in spin-1 chains

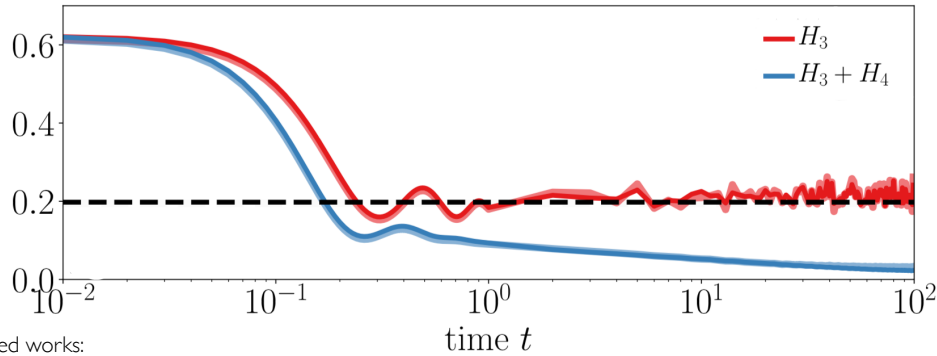
Q and P conserving spin-1 Hamiltonians



$$H_3 = - \sum_n \left[S_n^+ (S_{n+1}^-)^2 S_{n+2}^+ + \text{H.c.} \right]$$

$$H_4 = - \sum_n \left[S_n^+ S_{n+1}^- S_{n+2}^- S_{n+3}^+ + \text{H.c.} \right]$$

Autocorrelation function at $T = \infty$: $C(t) = \langle S_0^z(t) S_0^z(0) \rangle - 2/3N$

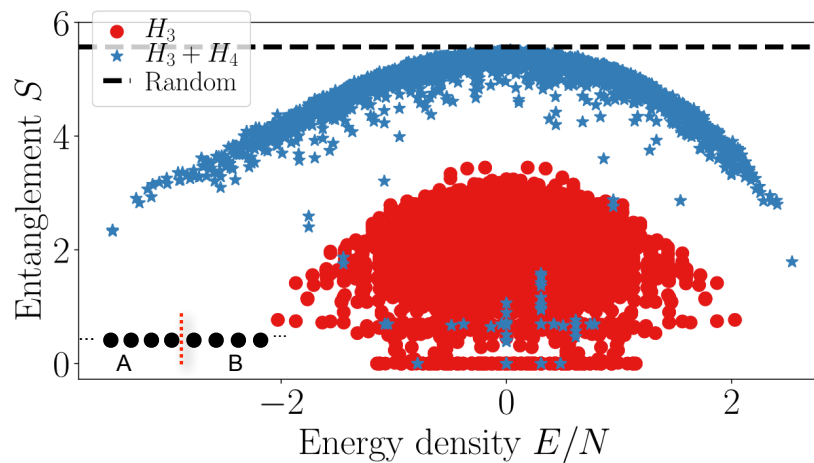


Related works:
Khemani, Hermele, and Nandkishore PRB '20
Moudgalya et al. arXiv:1910.14048

[Sala, Rakovszky, Verresen, Knap, FP, PRX **10**, 011047 (2020)]

Charge and dipole conservation in spin-1 chains

Half chain entanglement entropy of the eigenstates



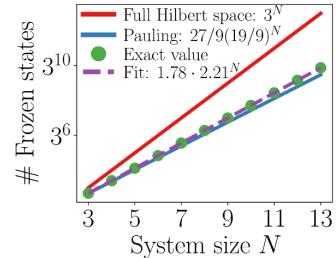
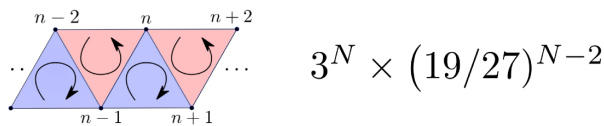
► None of the eigenstates of H_3 are thermal

[Sala, Rakovszky, Verresen, Knap, FP, PRX **10**, 011047 (2020)]

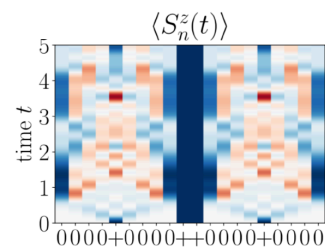
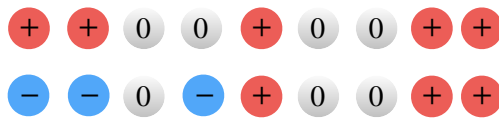
Hilbert space fragmentation

Breakdown of thermalization of H_3 due to fragmentation of the sectors defined by (Q,P)

- ▶ Exponentially many frozen states:
Pauling estimate



- ▶ Sign of the right and left most charges in any region of space is conserved

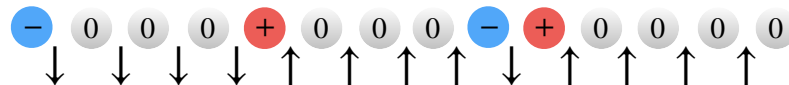


[Sala, Rakovszky, Verresen, Knap, FP, PRX **10**, 011047 (2020)]

Hilbert space fragmentation

Largest connected sector of H_3 maps to spin-1/2 XY model

- ▶ Configurations where subsequent charges have opposite signs (arbitrary number of zeros): Spin-1/2 on bonds



- ▶ $N-1$ free spins $\rightarrow 2^{N-1}$ states ($\times 4$)
- ▶ Hamiltonian $S_{n-1}^+ (S_n^-)^2 S_{n+1}^+ + \text{h.c.} \rightarrow \sigma_{n-1,n}^+ \sigma_{n,n+1}^- + \text{h.c.}$

Largest connected sector has dimension $D = \binom{N-1}{(N-1)/2}$

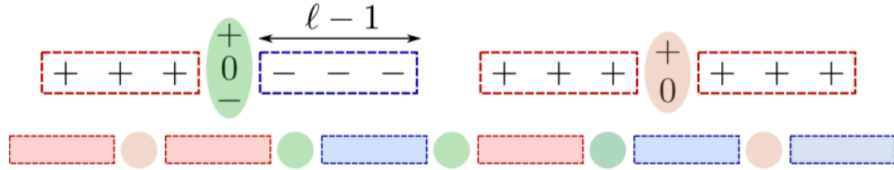
Vanishingly small fraction of states: “Strong Fragmentation”

[Sala, Rakovszky, Verresen, Knap, FP, PRX **10**, 011047 (2020)]

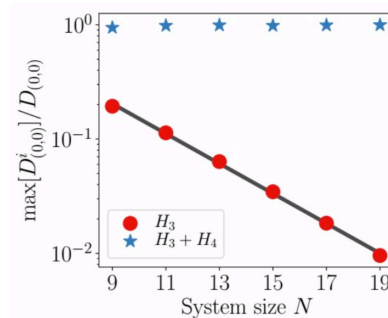
Hilbert space fragmentation

How is the Hamiltonian $H_3 + H_4$ different?

- ▶ Still exponentially many frozen states



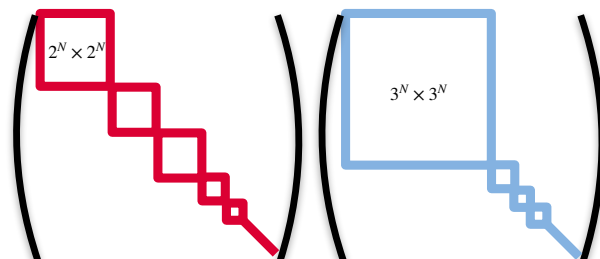
- ▶ Largest connected sector has almost all states in it:
“Weak Fragmentation”



[Sala, Rakovszky, Verresen, Knap, FP, PRX **10**, 011047 (2020)]

Hilbert space fragmentation

	H_3	$H_3 + H_4$
Fragmentation	Strong	Weak
# of sectors	$\sim \exp[N]$	$\sim \exp[N]$
Size of largest	$\sim 2^N \times 2^N$	$\sim 3^N \times 3^N$

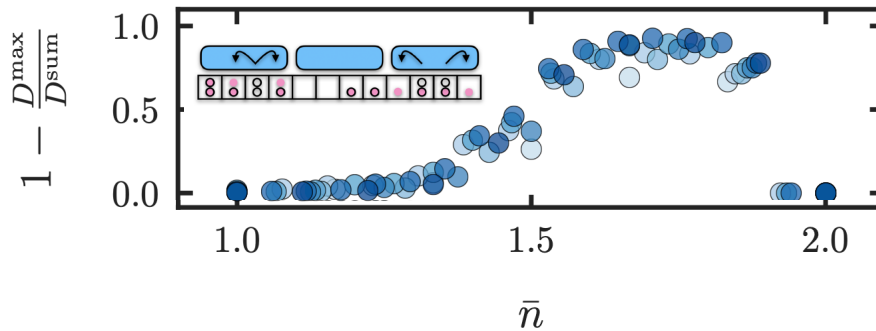


[Sala, Rakovszky, Verresen, Knap, FP, PRX **10**, 011047 (2020)]

Hilbert space fragmentation

Fragmentation transition as function of the density

[Morningstar, Khemani, Huse PRB '20]



- ▶ As the charge density is tuned away from half filling there is a phase transition to a frozen phase

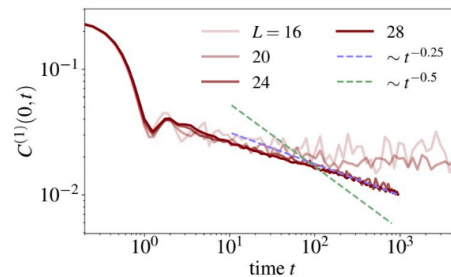
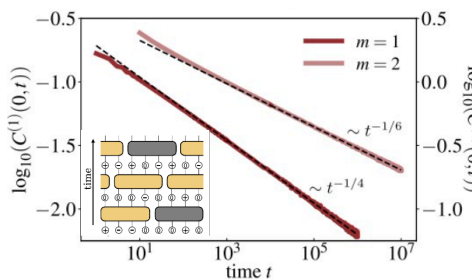
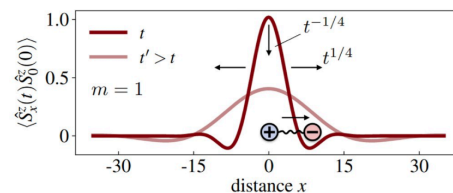
Hydrodynamics with higher-moment conservation

Universal late-time features at infinite temperature:

Conservation of m 'th moment $Q^{(m)} = \sum_x x^m S_x^z$

$$\partial_t \langle \hat{S}_x^z \rangle = -D(-1)^{m+1} \partial_x^{2(m+1)} \langle \hat{S}_x^z \rangle$$

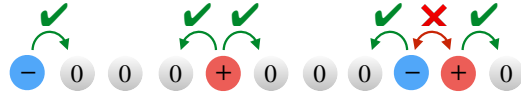
$$C_0(t) \sim t^{-1/2(m+1)}$$



cf. [Gromov et al. 2020], [Zhang 2020] [Feldmeier, Sala, De Tomasi, FP, Knap, PRL **125**, 245303, 2020]

Statistically localized integrals of motions (SLIOMs)

Illustrative example of SLIOMS
(tJ_z -model)



Spin of the k -th particle from the left $\hat{q}_k \equiv \sum_{i=1}^L \mathcal{O}_i^k = \sum_{i=1}^L \hat{P}_i^k S_i^z$

For $i > k$ and averaged over the Haar ensemble:

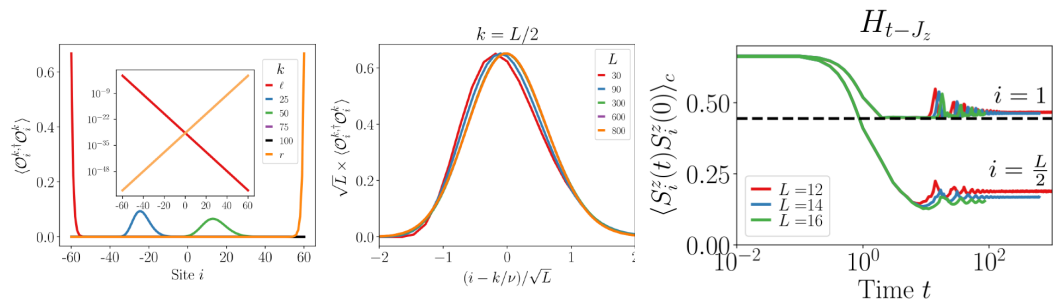
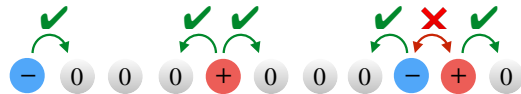
$$p_{\text{Haar}}(i; k) \equiv \mathbb{E}_{\text{Haar}}[\langle \psi | \mathcal{O}_i^k \dagger \mathcal{O}_i^k | \psi \rangle] = \nu^k (1-\nu)^{i-k} \binom{i-1}{k-1}$$

- ▶ Peaked around the position $k\nu$
- ▶ Left most charge ($k=1$) decays exponentially
- ▶ Standard deviation in the bulk is $\sim \sqrt{L}$

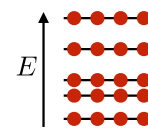
[Rakovszky, Sala, Verresen, Knap, FP, PRB **101**, 125126 (2020)]

Statistically localized integrals of motions (SLIOMs)

Illustrative example of SLIOMS



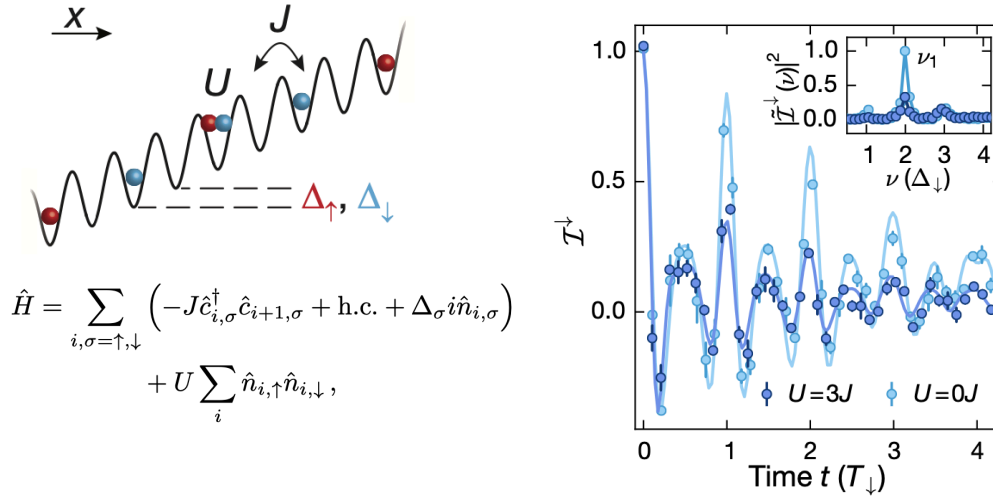
Exponentially localized edge spins yield **strong zero modes** in the presence of additional symmetries (e.g., $R_x = \prod_j e^{i\pi S_j^x}$) [Fendley '16]



[Rakovszky, Sala, Verresen, Knap, FP, PRB **101**, 125126 (2020)]

Kinetic constraints in tilted Fermi-Hubbard chains

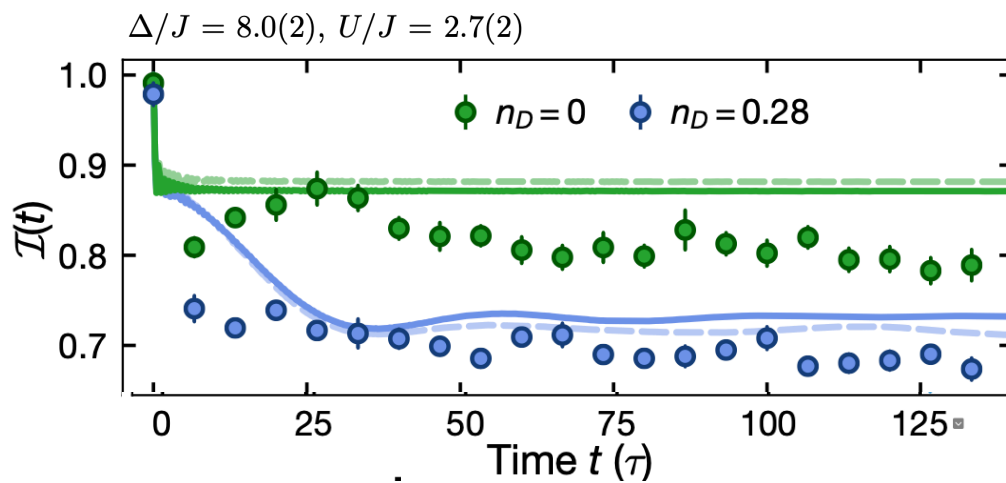
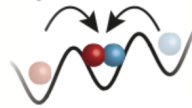
Experimental setup and short time dynamics



[Scherg et al., Nat. comm. **12**, 4490 (2021)]

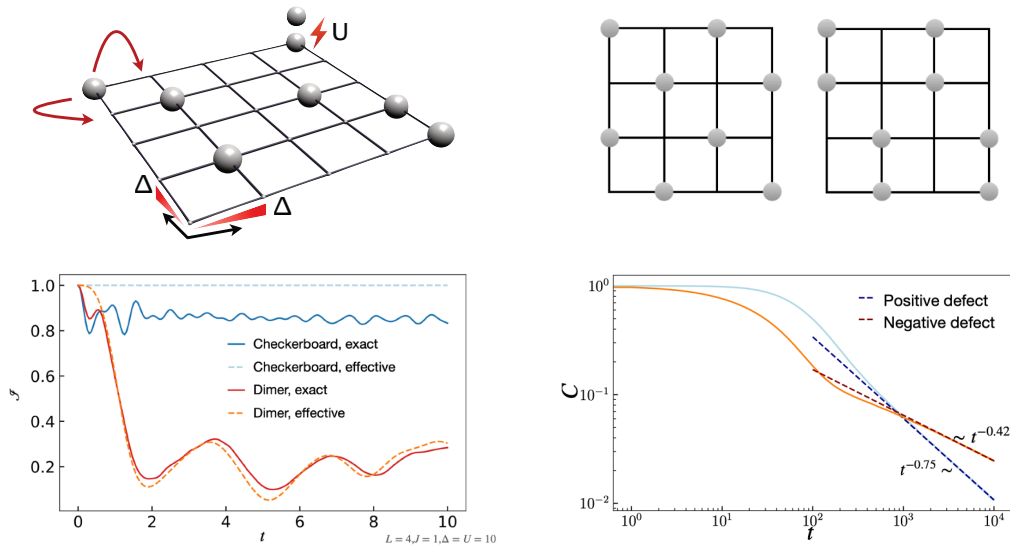
Kinetic constraints in tilted Fermi-Hubbard chains

Effective Hamiltonian dynamics for $|U| \ll \Delta, J$



[Kohlert et al., PRL **130**, 010201 (2023)]

HSF Fragmentation in a 2D Bose Hubbard model



[👉 Poster by Melissa Will]

Hilbert Space Fragmentation: Pair-flip models

From Classical to Quantum Fragmentation

Fragmentation: Spin-1 Pair-flip model [Moudgalya & Motrunich '22]

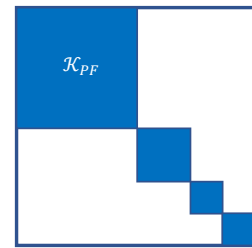
$$H_{\text{PF}} = \sum_{j=1}^{L-1} \sum_{\alpha, \beta=1}^3 [g_{j,j+1}^{\alpha\beta} (|\alpha\alpha\rangle\langle\beta\beta|)_{j,j+1} + \text{h.c.}]$$

$$| \overset{\bullet}{+} \text{---} \overset{\bullet}{+} \rangle = | ++ \rangle$$

$$| \overset{\bullet}{+} \text{---} \overset{\bullet}{+} \rangle \langle \overset{\bullet}{-} \text{---} \overset{\bullet}{-} |$$

Fragmentation in product state basis:
"Classical Fragmentation"

$$| \overset{\bullet}{+} \text{---} \overset{\bullet}{0} \text{---} \overset{\bullet}{0} \text{---} \overset{\bullet}{+} \text{---} \overset{\bullet}{-} \text{---} \overset{\bullet}{0} \text{---} \overset{\bullet}{0} \text{---} \overset{\bullet}{+} \rangle$$



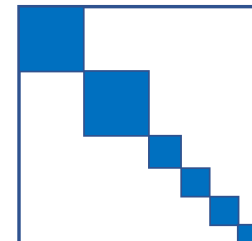
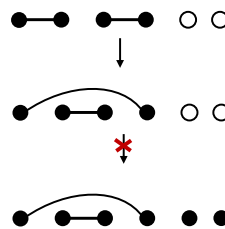
From Classical to Quantum Fragmentation

Fragmentation: Spin-1 Temperley-Lieb model [Moudgalya & Motrunich '22]

$$H_{\text{TL}} = \sum_j \sum_{\alpha, \beta=1}^3 J_j (|\alpha\alpha\rangle\langle\beta\beta|)_{j,j+1}$$

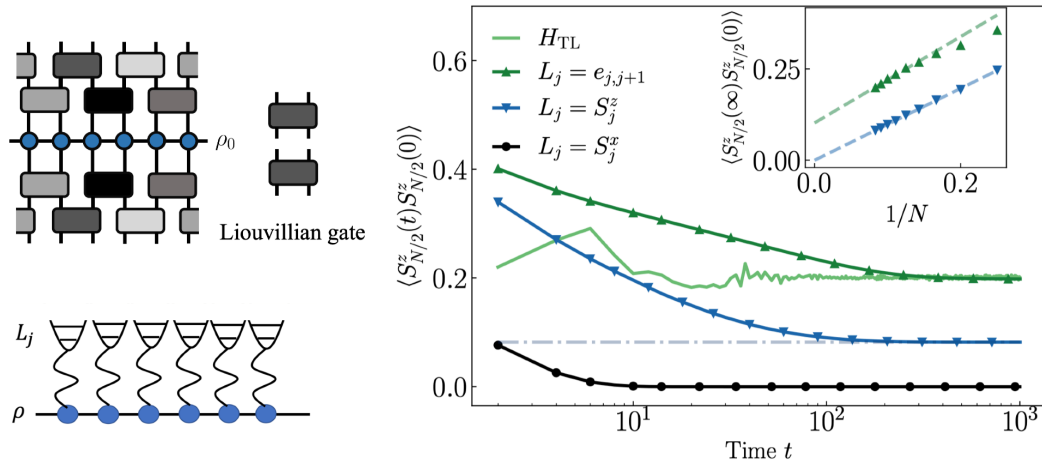
$$| \bullet \text{---} \bullet \rangle = | ++ \rangle + | 00 \rangle + | -- \rangle \quad | \bullet \text{---} \bullet \rangle \langle \bullet \text{---} \bullet |$$

Fragmentation in entangled state basis:
"Quantum Fragmentation"



Open System: Temperly-Lieb model

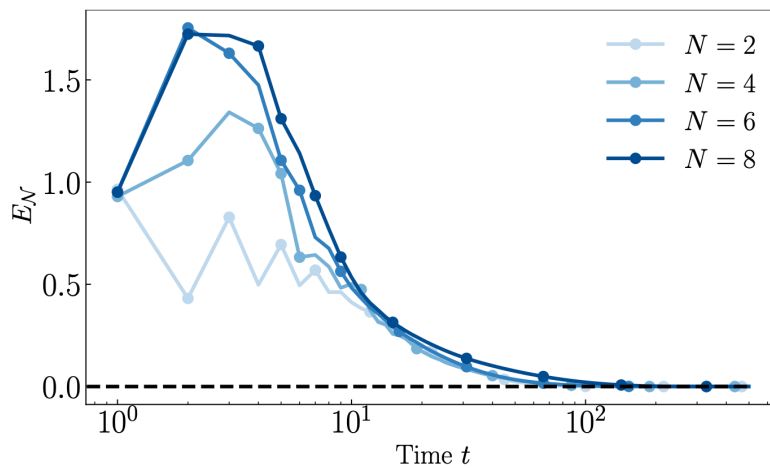
Dephasing noise (Z_j) versus structure preserving noise (e_j)



[Li, Sala, FP, arxiv:2305.06918]

Open System: Temperly-Lieb model

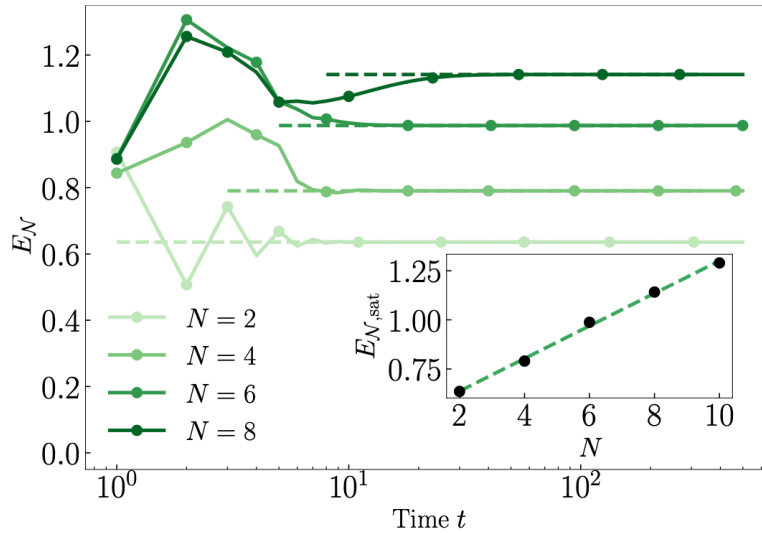
Logarithmic negativities of with dephasing noise
 → Separable ($T \rightarrow \infty$) steady state!



[Li, Sala, FP, arxiv:2305.06918]

Open System: Temperly-Lieb model

Logarithmic negativities of with structure preserving noise
 → Entangled steady state! Volume law?



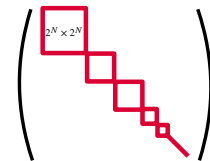
[Li, Sala, FP, arxiv:2305.06918]

Summary

Constrained Dynamics and Hilbert space fragmentation

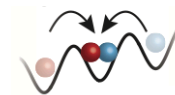
- ▶ Fragmentation in dipole conserving models

[Sala, Rakovszky, Verresen, Knap, FP, Phys. Rev. X **10**, 011047 (2020)]
 [Rakovszky, Sala, Verresen, Knap, FP, PRB **101**, 125126 (2020)]



- ▶ Experimental realization: Fermi-Hubbard chains

[Scherg et al., Nat Commun **12**, 4490 (2021)]
 [Kohlert et al., PRL **130**, 010201 (2023)]



- ▶ Quantum HSF in open systems

[Sala, Li, FP (in progress)]



T. Rakovszky



P. Sala



R. Verresen



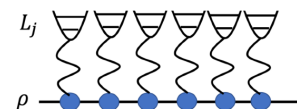
M. Knap



Y. Li



P. Sala



Thank You!