The Physics of Heavy Fermion Superconductivity

Lecture II. BCS meets Kondo: mean-field approach to the Kondo Lattice.



Piers Coleman Center for Materials Theory, Rutgers.

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The Physics of Heavy Fermion Superconductivity

- 1. Introduction: Heavy Fermions and the Kondo Lattice.
- 2. BCS meets Kondo: mean-field approach to the Kondo Lattice.
- 3. Glue vs Fabric: Good, Bad and Ugly Heavy Fermion Superconductors.
- 4. Composite vs AFM induced pairing.



Last Time: Lecture 1 Introduction to Heavy Fermions and the Kondo Lattice.

- 1. Magnetism and SC: a remarkable converegence.
- 2. Electrons on the Brink of Localization.
- 3. Cartoon introduction to Heavy Fermions.
- 4. Lev Landau versus Ken Wilson: Criticality as a driver of Superconductivity.
- 5. Anderson, Kondo and Doniach.



THE KONDO LATTICE (From Lecture I)

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \frac{J}{\mathcal{N}} \sum_{j} \vec{S}_{j} \cdot c_{\mathbf{k}\alpha}^{\dagger} \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}'\beta} e^{i(\mathbf{k}'-\mathbf{k}) \cdot \mathbf{R}_{j}}$$
T. Kasuya (1951)



"Kondo Lattice"

Note: can also write Kondo interaction in the "Cogblin Schrieffer" form

$$H_K = -J \sum_{j,\alpha,\beta} (c_{j\alpha}^{\dagger} f_{j\alpha}) (f_{j\beta}^{\dagger} c_{j\beta})$$

Physics of Heavy Fermion Superconductivity Lecture II:

- 1. The large N approach to the Kondo lattice.
- 2. Heavy Fermion Metals.
- 3. Optical Conductivity of Heavy Fermion Metals
- 4. Kondo Insulators



Gauge Theories and Strong Correlation.

Strong correlation ↔ Constrained Hilbert space ↔ Gauge theories



P.g.
$$\vec{S}_j = f_{j\alpha}^{\dagger} \left(\frac{\vec{\sigma}}{2}\right)_{\alpha\beta} f_{j\beta},$$

 $f_j \rightarrow e^{i\phi_j} f_j, \qquad U(1)_{\text{local}}$

$$H = \sum \epsilon_k c_{k\sigma}^{\dagger} c_{k\sigma} + \frac{J}{N} \sum_j c_{j\alpha}^{\dagger} c_{j\beta} S_{\beta\alpha}(j) + H_g$$

$$S_{\alpha\beta} = f_{\alpha}^{\dagger} f_{\beta} - \delta_{\alpha\beta} n_f / N$$

$$H_g = (\Phi - \mu)c_j^{\dagger}c_j + \lambda_j(f_j^{\dagger}f_j - Q),$$
$$(Q = qN = 1)$$

$$Z = \int \mathcal{D}[\psi] e^{-NS[\psi, \dot{\psi}]}$$

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$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \sum_{j} H_{I}(j)$$
$$H_{I}(j) = -\frac{J}{N} \left(c_{j\beta}^{\dagger} f_{j\beta} \right) \left(f_{j\alpha}^{\dagger} c_{j\alpha} \right)$$

$$c_{j\alpha}^{\dagger} = \frac{1}{\sqrt{N_s}} \sum_{\mathbf{k}} c_{\mathbf{k}\alpha}^{\dagger} e^{-i\mathbf{k}\cdot\vec{R}_j}$$

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Read and Newns '83.

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 $\begin{array}{l} Constraint \ n_f = Q = qN \\ \text{all terms extensive in N} \end{array}$

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$$-gA^{\dagger}A \rightarrow A^{\dagger}V + \bar{V}A + \frac{\bar{V}V}{g}$$

Large N Approach.
Read and Newns '83.

$$\begin{aligned}
H &= \sum_{k\sigma} \epsilon_k c^{\dagger}_{k\sigma} c_{k\sigma} + \sum_j H_I(j) \\
H_I(j) &= -\frac{J}{N} \left(c^{\dagger}_{j\beta} f_{j\beta} \right) \left(f^{\dagger}_{j\alpha} c_{j\alpha} \right) \\
H_I(j) &= -\frac{J}{N} \left(c^{\dagger}_{j\beta} f_{j\beta} \right) \left(f^{\dagger}_{j\alpha} c_{j\alpha} \right) \\
-gA^{\dagger}A \to A^{\dagger}V + \bar{V}A + \frac{\bar{V}V}{g} \\
H_I(j) \to H_I[V, j] &= \bar{V}_j \left(c^{\dagger}_{j\alpha} f_{j\alpha} \right) + \left(f^{\dagger}_{j\alpha} c_{j\alpha} \right) V_j + N \frac{\bar{V}_j V_j}{J}. \\
\end{aligned}$$



















Composite Fermion



$$H[V,\lambda] = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \sum_{j} \left(H_{I}[V_{j},j] + \lambda_{j}[n_{f}(j) - Q] \right),$$
$$H_{I}[V,j] = \bar{V}_{j} \left(c_{j\alpha}^{\dagger} f_{j\alpha} \right) + \left(f_{j\alpha}^{\dagger} c_{j\alpha} \right) V_{j} + N \frac{\bar{V}_{j} V_{j}}{J}.$$



Read and Newns '83.

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U(1) constraint: note $n_f = Q = (qN)$



Read and Newns '83.

$$=\operatorname{Tr}\left[\operatorname{Texp}\left(-\int_{0}^{\beta}H[V,\lambda]d\tau\right)\right]$$

$$Z = \int \mathcal{D}[V,\lambda] \int \mathcal{D}[c,f] \exp\left[-\int_{0}^{\beta}\left(\sum_{k\sigma}c_{k\sigma}^{\dagger}\partial_{\tau}c_{k\sigma} + \sum_{j\sigma}f_{j\sigma}^{\dagger}\partial_{\tau}f_{j\sigma} + H[V,\lambda]\right)\right]$$

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$$Z = \mathrm{Tr}e^{-\beta H_{MFT}}, \qquad (N \to \infty)$$

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100

$$Z = \mathrm{Tr}e^{-\beta H_{MFT}}, \qquad (N \to \infty)$$

$$V_j = V$$

at each site

$$H[V,\lambda] = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \sum_{j} \left(H_{I}[V_{j},j] + \lambda_{j}[n_{f}(j) - Q] \right),$$
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$$J/N = c^{\dagger}_{\sigma}f_{\sigma} \bullet \bar{V} \qquad V \bullet f^{\dagger}_{\sigma'c\sigma'}$$

$$-\frac{J}{N} (c^{\dagger}_{\sigma}f_{\sigma}) (f^{\dagger}_{\sigma'c\sigma'})$$

Detailed calcn.

$$H_{MFT} = \sum_{\mathbf{k}\sigma} \left(c^{\dagger}_{\mathbf{k}\sigma}, f^{\dagger}_{\mathbf{k}\sigma} \right) \underbrace{\left(\begin{array}{c} \epsilon_{\mathbf{k}} & V \\ \overline{V} & \lambda \end{array} \right)}_{\mathbf{k}\sigma} \left(\begin{array}{c} c_{\mathbf{k}\sigma} \\ f_{\mathbf{k}\sigma} \end{array} \right) + N\mathcal{N}_{s} \left(\frac{|V|^{2}}{J} - \lambda q \right)$$
$$= \sum_{\mathbf{k}\sigma} \psi^{\dagger}_{\mathbf{k}\sigma} \underline{h}(\mathbf{k}) \psi_{\mathbf{k}\sigma} + N\mathcal{N}_{s} \left(\frac{|V|^{2}}{J} - \lambda q \right).$$

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$$f^{\dagger}_{\vec{k}\sigma} = \frac{1}{\sqrt{n}} \sum_{j} f^{\dagger}_{j\sigma} e^{i\vec{k}\cdot\vec{R}_{j}}$$
$$\begin{split} H_{MFT} &= \sum_{\mathbf{k}\sigma} \left(c^{\dagger}_{\mathbf{k}\sigma}, f^{\dagger}_{\mathbf{k}\sigma} \right) \underbrace{\begin{pmatrix} \mathbf{k} \\ \mathbf$$

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$$\operatorname{Det}\left[E_{\mathbf{k}}^{\pm}\underline{1} - \begin{pmatrix}\epsilon_{\mathbf{k}} & V\\ \overline{V} & \lambda\end{pmatrix}\right] = (E_{\mathbf{k}\pm} - \epsilon_{\mathbf{k}})(E_{\mathbf{k}\pm} - \lambda) - |V|^{2} = 0,$$

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 $a^{\dagger}_{\mathbf{k}\sigma} = u_{\mathbf{k}}c^{\dagger}_{\mathbf{k}\sigma} + v_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma}$ $b^{\dagger}_{\mathbf{k}\sigma} = -v_{\mathbf{k}}c^{\dagger}_{\mathbf{k}\sigma} + u_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma}$

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$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^2 + |V|^2 \right]^{\frac{1}{2}}, \qquad |MF\rangle = \int_{|\mathbf{k}|} \frac{1}{2} \left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^2 + |V|^2 = \left[\frac{1}{2} \pm \frac{\epsilon_{\mathbf{k}} - \lambda}{2\sqrt{\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2}\right)^2 + |V|^2}} \right]^{\frac{1}{2}}.$$

$$|MF\rangle = \prod_{|\mathbf{k}| < k_F \sigma} b^{\dagger}_{\mathbf{k}\sigma} |0\rangle = \prod_{|\mathbf{k}| < k_F \sigma} (-v_{\mathbf{k}} c_{\mathbf{k}\sigma} + u_{\mathbf{k}} f^{\dagger}_{\mathbf{k}\sigma}) |0\rangle.$$

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$$|GW\rangle = P_Q \prod_{|\mathbf{k}| < k_F \sigma} (-v_{\mathbf{k}} c_{\mathbf{k}\sigma} + u_{\mathbf{k}} f^{\dagger}_{\mathbf{k}\sigma}) |0\rangle,$$

"Gutzwiller" wavefunction

$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^{2} + |V|^{2} \right]^{\frac{1}{2}}, \qquad |MF\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} b^{\dagger}_{\mathbf{k}\sigma} |0\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} (-v_{\mathbf{k}}c_{\mathbf{k}\sigma} + u_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma}) |0\rangle.$$

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$$Gutzwiller'' wavefunction$$



$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^{2} + |V|^{2} \right]^{\frac{1}{2}}, \qquad |MF\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} b^{\dagger}{}_{\mathbf{k}\sigma} |0\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} (-v_{\mathbf{k}}c_{\mathbf{k}\sigma} + u_{\mathbf{k}}f^{\dagger}{}_{\mathbf{k}\sigma}) |0\rangle.$$

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$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^{2} + |V|^{2} \right]^{\frac{1}{2}}, \qquad |MF\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} b^{\dagger}_{\mathbf{k}\sigma} |0\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} (-v_{\mathbf{k}}c_{\mathbf{k}\sigma} + u_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma}) |0\rangle.$$

$$a^{\dagger}_{\mathbf{k}\sigma} = u_{\mathbf{k}}c^{\dagger}_{\mathbf{k}\sigma} + v_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma} \left\{ \begin{array}{c} u_{\mathbf{k}} \\ v_{\mathbf{k}} \end{array} \right\} = \left[\frac{1}{2} \pm \frac{(\epsilon_{\mathbf{k}} - \lambda)/2}{2\sqrt{\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2}\right)^{2}} + |V|^{2}} \right]^{\frac{1}{2}}.$$

$$|MF\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} b^{\dagger}_{\mathbf{k}\sigma} |0\rangle = \prod_{|\mathbf{k}| < k_{F}\sigma} (-v_{\mathbf{k}}c_{\mathbf{k}\sigma} + u_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma}) |0\rangle.$$

$$|GW\rangle = P_{Q} \prod_{|\mathbf{k}| < k_{F}\sigma} (-v_{\mathbf{k}}c_{\mathbf{k}\sigma} + u_{\mathbf{k}}f^{\dagger}_{\mathbf{k}\sigma}) |0\rangle.$$

$$Gutzwiller'' wavefunction$$





$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^2 + |V|^2 \right]^{\frac{1}{2}},$$

$$E(\mathbf{k})$$

$$E = \epsilon + \frac{V^2}{E - \lambda}$$

. . . .

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$$\frac{F}{N} = -T \sum_{\mathbf{k},\pm} \ln\left[1 + e^{-\beta E_{\mathbf{k}\pm}} \right] + \mathcal{N}_s \left(\frac{V^2}{J} - \lambda q \right).$$

$$\frac{E_o}{N\mathcal{N}_s} = \int_{-\infty}^0 dE \rho^*(E) E + \left(\frac{V^2}{J} - \lambda q \right)$$
(a)
$$E = \epsilon + \frac{V^2}{E - \lambda} \qquad \rho^*(E) = \rho \frac{d\epsilon}{dE} = \rho \left(1 + \frac{V^2}{(E - \lambda)^2} \right)$$

 $E - \lambda$ $dE \quad (E-\lambda)^2$

$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^2 + |V|^2 \right]^{\frac{1}{2}},$$

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$$\frac{E_o}{N\mathcal{N}_s} = \int_{-\infty}^0 dE \rho^*(E) E + \left(\frac{V^2}{J} - \lambda q \right)$$
(a)
$$E(k)$$
(b)
$$E(k)$$

$$\frac{V^2}{V} = \frac{1}{2} \left(\frac{V^2}{V} - \lambda q \right)$$
(c)
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$$E = \epsilon + \frac{V^2}{E - \lambda} \qquad \rho^*(E) = \rho \frac{d\epsilon}{dE} = \rho \left(1 + \frac{V^2}{(E - \lambda)^2} \right)$$

$$\frac{E_o}{N\mathcal{N}_s} = \rho \int_{-D-V^2/D}^{0} dEE\left(1 + \frac{V^2}{(E-\lambda)^2}\right) + \left(\frac{V^2}{J} - \lambda q\right)$$

$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^2 + |V|^2 \right]^{\frac{1}{2}},$$

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$$\frac{E_o}{N\mathcal{N}_s} = \int_{-\infty}^0 dE \rho^*(E) E + \left(\frac{V^2}{J} - \lambda q \right)$$

$$(a)$$

$$E = \epsilon + \frac{V^2}{E - \lambda} \qquad \rho^*(E) = \rho \frac{d\epsilon}{dE} = \rho \left(1 + \frac{V^2}{(E - \lambda)^2} \right)$$

$$\frac{E_o}{E} = \rho \int_{-\infty}^0 dE E \left(1 + \frac{V^2}{2} \right) + \left(\frac{V^2}{2} - \lambda q \right)$$

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$$\frac{E_o}{NN_s} = -\frac{\rho}{2} \left(D + \frac{V^2}{D} \right)^2 + \frac{\Delta}{\pi} \int_{-D}^0 dE \left(\frac{1}{E - \lambda} + \frac{\lambda}{(E - \lambda)^2} \right) + \left(\frac{V^2}{J} - \lambda q \right)$$
$$= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln \left(\frac{\lambda}{D} \right) + \left(\frac{V^2}{J} - \lambda q \right) \qquad (\Delta = \pi \rho |V|^2)$$

$$E_{\mathbf{k}\pm} = \frac{\epsilon_{\mathbf{k}} + \lambda}{2} \pm \left[\left(\frac{\epsilon_{\mathbf{k}} - \lambda}{2} \right)^{2} + |V|^{2} \right]^{\frac{1}{2}},$$

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$$\frac{E_{o}}{N\mathcal{N}_{s}} = \int_{-\infty}^{0} dE \rho^{*}(E)E + \left(\frac{V^{2}}{J} - \lambda q \right)$$
(a)
$$E_{\mathbf{k}\pm} = \frac{V^{2}}{k} = e^{*}(E) = e^{\frac{d\epsilon}{2}} = e^{\left(1 + e^{-\gamma E_{\mathbf{k}\pm}}\right)}$$

$$E = \epsilon + \frac{V^2}{E - \lambda} \qquad \rho^*(E) = \rho \frac{d\epsilon}{dE} = \rho \left(1 + \frac{V^2}{(E - \lambda)^2} \right)$$

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$$\begin{aligned} (\Delta = \pi \rho |V|^2) \\ \frac{E_0}{NN_s} &= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{D}\right) + \left(\frac{\pi \rho V^2}{\pi \rho J} - \lambda q\right) \\ &= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{D}\right) + \left(\frac{\Delta}{\pi \rho J} - \lambda q\right) \\ &= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{De^{-\frac{1}{J\rho}}}\right) - \lambda q \\ &= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{T_K}\right) - \lambda q. \end{aligned}$$

$$T_K = De^{-\frac{1}{J\rho}}$$

$$(\Delta = \pi \rho |V|^2)$$

$$\frac{E_0}{NN_s} = -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{D}\right) + \left(\frac{\pi \rho V^2}{\pi \rho J} - \lambda q\right) \qquad \text{E(k)}$$

$$= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{D}\right) + \left(\frac{\Delta}{\pi \rho J} - \lambda q\right) \qquad \text{E(k)}$$

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$$= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{T_K}\right) - \lambda q. \qquad \text{(a)} \qquad \text{(b)} \qquad \rho^{*}(E)$$

$$T_K = D e^{-\frac{1}{J\rho}}$$
$$\frac{\partial E_0}{\partial \lambda} = \langle n_f \rangle - Q = 0$$

$$T_{K} = De^{-\frac{1}{J\rho}}$$
$$\frac{\partial E_{0}}{\partial \lambda} = \langle n_{f} \rangle - Q = 0 \qquad \frac{\Delta}{\pi \lambda} - q = 0$$
$$\frac{E_{o}(V)}{NN_{s}} = \frac{\Delta}{\pi} \ln\left(\frac{\Delta}{\pi q e T_{K}}\right) - \frac{D^{2}\rho}{2},$$

$$(\Delta = \pi \rho |V|^2)$$

$$\frac{E_0}{NN_s} = -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{D}\right) + \left(\frac{\pi \rho V^2}{\pi \rho J} - \lambda q\right) \qquad \text{E(K)}$$

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$$= -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{De^{-\frac{1}{J\rho}}}\right) - \lambda q \qquad \text{(a)}$$

$$T_{K} = De^{-\frac{1}{J\rho}}$$
$$\frac{\partial E_{0}}{\partial \lambda} = \langle n_{f} \rangle - Q = 0 \qquad \frac{\Delta}{\pi \lambda} - q = 0$$
$$\frac{E_{o}(V)}{NN_{s}} = \frac{\Delta}{\pi} \ln\left(\frac{\Delta}{\pi q e T_{K}}\right) - \frac{D^{2}\rho}{2},$$

 $\frac{\partial E_0}{\partial \Delta} = 0$





$$(\Delta = \pi \rho |V|^2)$$

$$\frac{E_0}{NN_s} = -\frac{D^2 \rho}{2} + \frac{\Delta}{\pi} \ln\left(\frac{\lambda}{D}\right) + \left(\frac{\pi \rho V^2}{\pi \rho J} - \lambda q\right) \qquad \text{E(k)}$$

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$$E[V]$$

$$T_{K} = De^{-\frac{1}{J\rho}}$$

$$\frac{\partial E_{0}}{\partial \lambda} = \langle n_{f} \rangle - Q = 0 \qquad \frac{\Delta}{\pi \lambda} - q = 0$$

$$\frac{E_{o}(V)}{NN_{s}} = \frac{\Delta}{\pi} \ln\left(\frac{\Delta}{\pi q e T_{K}}\right) - \frac{D^{2}\rho}{2},$$

$$\frac{\partial E_{0}}{\partial \Delta} = 0 \qquad \qquad 0 = \frac{1}{\pi} \ln\left(\frac{\Delta e^{2}}{\pi q T_{K}}\right)$$

$$\Delta = \frac{\pi q}{e^{2}} T_{K}$$

Composite nature of the Heavy Fermion.





•The large N approach to the Kondo lattice. Spin x conduction = composite fermion



Composite Fermion



$$\frac{1}{N}\sum_{\beta} \overline{c_{\beta}(\tau)} S_{\beta\alpha}(\tau') = g(\tau - \tau') \hat{f}_{\alpha}(\tau').$$



$$\frac{1}{N} \sum_{\beta} \overline{c_{\beta}(\tau)} S_{\beta\alpha}(\tau') = g(\tau - \tau') \hat{f}_{\alpha}(\tau').$$

$$\frac{1}{N} \sum_{\beta} \overline{c_{\beta}(\tau)} S_{\beta\alpha}(\tau') = \frac{1}{N} \sum_{\beta} \overline{c_{\beta}(\tau)} f^{\dagger}_{\beta}(\tau') f_{\alpha}(\tau')$$

$$= \frac{1}{N} \sum_{\beta} \langle T c_{\beta}(\tau) f^{\dagger}_{\beta}(\tau') \rangle f_{\alpha}(\tau')$$

$$= -G_{cf}(\tau - \tau') f_{\alpha}(\tau').$$



$$\frac{1}{N} \sum_{\beta} \overline{c_{\beta}(\tau)} S_{\beta\alpha}(\tau') = g(\tau - \tau') \hat{f}_{\alpha}(\tau').$$

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$$g(\tau - \tau') = \langle Tc_{\beta}(\tau) f^{\dagger}{}_{\beta}(\tau') \rangle = -G_{cf}(\tau - \tau')$$



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$$g(\tau - \tau') = \langle Tc_{\beta}(\tau) f^{\dagger}{}_{\beta}(\tau') \rangle = -G_{cf}(\tau - \tau')$$

$$g(\tau) \sim \begin{cases} \rho V \ln \left(\frac{T_K \tau}{\hbar} \right) & (\hbar/D << \tau << \hbar/T_K) \\ \frac{1}{\tau} & (\tau >> \hbar/T_K) \end{cases}$$

Bound-state built from electrons spanning decades of energy out to the Band-Width.

Physics of Heavy Fermion Superconductivity Lecture II:

- 1. The large N approach to the Kondo lattice.
- 2. Heavy Fermion Metals.
- 3. Optical Conductivity of Heavy Fermion Metals
- 4. Kondo Insulators


Large N Approach.

Read and Newns '83.



Physics of Heavy Fermion Superconductivity Lecture II:

- 1. The large N approach to the Kondo lattice.
- 2. Heavy Fermion Metals.
- 3. Optical Conductivity of Heavy Fermion Metals
- 4. Kondo Insulators















$$\int_0^\infty \frac{\mathrm{d}\omega}{\pi} \sigma(\omega) = f_1 = \frac{\pi}{2} \left(\frac{n_c e^2}{m} \right)$$



$$\int_0^\infty \frac{\mathrm{d}\omega}{\pi} \sigma(\omega) = f_1 = \frac{\pi}{2} \left(\frac{n_c e^2}{m} \right)$$

 $\int_0^{\sim V} d\omega \sigma(\omega) = f_2 = \frac{\pi}{2} \frac{n_{\rm HF} e^2}{m^*}$





$$\vec{j} = e \sum_{\mathbf{k}\sigma} \vec{\nabla}_{\mathbf{k}} \epsilon_{\mathbf{k}\sigma} c^{\dagger}_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}$$











$$\sigma(i\nu_n) = \left(\frac{ne^2}{m}\right) \frac{1}{\nu_n} \left[2\pi iT \sum_{|\omega_r| < \nu_n/2} \frac{1}{i\nu_n + i\Gamma - (\Sigma^+ - \Sigma^-)}\right], \qquad (\nu_n > 0)$$

$$\sigma(i\nu_n) = \left(\frac{ne^2}{m}\right) \frac{1}{\nu_n} \left[2\pi iT \sum_{|\omega_r| < \nu_n/2} \frac{1}{i\nu_n + i\Gamma - (\Sigma^+ - \Sigma^-)}\right], \qquad (\nu_n > 0)$$

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$$\begin{split} \int_{-i\nu/2}^{i\nu/2} dz \left(\frac{1}{i\nu - (\Sigma(z + i\nu/2) - \Sigma(z - i\nu/2))} \right) &= \frac{i\nu}{i\tilde{\nu}} \left[1 - \frac{V^2}{i\tilde{\nu}(z_+ - z_-)} \left(\ln \left[\frac{\frac{i\nu}{2} - z_+}{-\frac{i\nu}{2} - z_+} \right] - \ln \left[\frac{\frac{i\nu}{2} - z_-}{-\frac{i\nu}{2} - z_-} \right] \right) \right] \\ z_{\pm} &= \lambda \pm \sqrt{\left(\frac{i\nu}{2} \right)^2 - V^2[i\nu]}, \qquad V^2[i\nu] = V^2 \frac{i\nu}{i\nu + i\Gamma} \end{split}$$

$$\sigma(i\nu_n) = \left(\frac{ne^2}{m}\right) \frac{1}{\nu_n} \left[2\pi iT \sum_{|\omega_r| < \nu_n/2} \frac{1}{i\nu_n + i\Gamma - (\Sigma^+ - \Sigma^-)} \right], \qquad (\nu_n > 0)$$

$$\sigma(i\nu) = \left(\frac{ne^2}{m}\right) \frac{1}{\nu_n} \int_{-i\nu/2}^{i\nu/2} dz \left(\frac{1}{i\nu + i\Gamma - (\Sigma(z + i\nu/2) - \Sigma(z - i\nu/2)))}\right),$$

$$\int_{-i\nu/2}^{i\nu/2} dz \left(\frac{1}{i\nu - (\Sigma(z + i\nu/2) - \Sigma(z - i\nu/2))} \right) = \frac{i\nu}{i\tilde{\nu}} \left[1 - \frac{V^2}{i\tilde{\nu}(z_+ - z_-)} \left(\ln \left[\frac{\frac{i\nu}{2} - z_+}{-\frac{i\nu}{2} - z_+} \right] - \ln \left[\frac{\frac{i\nu}{2} - z_-}{-\frac{i\nu}{2} - z_-} \right] \right) \right] z_{\pm} = \lambda \pm \sqrt{\left(\frac{i\nu}{2} \right)^2 - V^2[i\nu]}, \qquad V^2[i\nu] = V^2 \frac{i\nu}{i\nu + i\Gamma}$$

$$\sigma(i\nu) = \left(\frac{ne^2}{m}\right) \frac{1}{\Gamma - i(i\nu)} \left[1 - \frac{V^2}{i(\nu + \Gamma)(z_+ - z_-)} \left(\ln\left[\frac{\frac{i\nu}{2} - z_+}{-\frac{i\nu}{2} - z_+}\right] - \ln\left[\frac{\frac{i\nu}{2} - z_-}{-\frac{i\nu}{2} - z_-}\right]\right)\right]$$

$$\sigma(i\nu_n) = \left(\frac{ne^2}{m}\right) \frac{1}{\nu_n} \left[2\pi iT \sum_{|\omega_r| < \nu_n/2} \frac{1}{i\nu_n + i\Gamma - (\Sigma^+ - \Sigma^-)}\right], \qquad (\nu_n > 0)$$

$$\sigma(i\nu) = \left(\frac{ne^2}{m}\right) \frac{1}{\nu_n} \int_{-i\nu/2}^{i\nu/2} dz \left(\frac{1}{i\nu + i\Gamma - (\Sigma(z + i\nu/2) - \Sigma(z - i\nu/2))}\right),$$

$$\begin{split} \int_{-i\nu/2}^{i\nu/2} dz \left(\frac{1}{i\nu - (\Sigma(z + i\nu/2) - \Sigma(z - i\nu/2))} \right) &= \frac{i\nu}{i\tilde{\nu}} \left[1 - \frac{V^2}{i\tilde{\nu}(z_+ - z_-)} \left(\ln \left[\frac{\frac{i\nu}{2} - z_+}{-\frac{i\nu}{2} - z_+} \right] - \ln \left[\frac{\frac{i\nu}{2} - z_-}{-\frac{i\nu}{2} - z_-} \right] \right) \right] \\ z_{\pm} &= \lambda \pm \sqrt{\left(\frac{i\nu}{2} \right)^2 - V^2[i\nu]}, \qquad V^2[i\nu] = V^2 \frac{i\nu}{i\nu + i\Gamma} \end{split}$$

$$\sigma(i\nu) = \left(\frac{ne^2}{m}\right) \frac{1}{\Gamma - i(i\nu)} \left[1 - \frac{V^2}{i(\nu + \Gamma)(z_+ - z_-)} \left(\ln\left[\frac{\frac{i\nu}{2} - z_+}{-\frac{i\nu}{2} - z_+}\right] - \ln\left[\frac{\frac{i\nu}{2} - z_-}{-\frac{i\nu}{2} - z_-}\right]\right)\right]$$

$$\begin{aligned} \sigma(\omega+i\delta) &= \left(\frac{ne^2}{m}\right) \frac{1}{\Gamma-i\omega} \left[1 + \frac{V^2}{(\omega+i\Gamma)(z_+-z_-)} \left(\ln\left[\frac{z_++\frac{\omega}{2}}{z_+-\frac{\omega}{2}}\right] - \ln\left[\frac{z_-+\frac{\omega}{2}}{z_--\frac{\omega}{2}}\right]\right)\right],\\ z_{\pm} &= \lambda \pm \sqrt{\left(\frac{\omega}{2}\right)^2 - V^2} \frac{\omega}{\omega+i\Gamma}. \end{aligned}$$



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Millis and Lee, 1987 $\sigma(\omega)$ $\Delta \omega \sim V \sim \sqrt{T_{\rm K} D}$ $(\tau^*)^{-1} = \tau^{-1} \frac{m}{m^*}$ 'Interband' $f_1 = \frac{\pi n e^2}{2m}$ $\frac{ne^{2}\tau}{m}$ $f_2 = \frac{\pi n e^2}{2m^*}$ ^т CePd₃ YbFe₄Sb₁₂ 𝒯_{CeAl3} $\sim \sqrt{T_{\rm K}D^{\prime}}$ ω CeCu_e CeRu₄Sb₁₂ $V^2/D \sim T_K \Rightarrow V \sim \sqrt{T_K D}$ $= 90 \text{ cm}^{-1}$ 200 300 400 500 100 Frequency (cm⁻¹) 中UCu₅ CeRu₄Sb₁₂. $\int_{0}^{\infty} \frac{\mathrm{d}\omega}{\pi} \sigma(\omega) = f_1 = \frac{\pi}{2} \left(\frac{n_c e^2}{m} \right)$ YbFe₄Sb₁₂ $\Delta = 380 \text{ cm}^{-1}$ $\int d\omega \sigma(\omega) = f_2 = \frac{\pi}{2} \frac{n_{\rm HF} e^2}{m^*}$ 2000 3000 1000 ∯ UPt₃ Frequency (cm⁻¹) 30 10 100 1000 1

S.V. Dordevic, D.N. Basov, N.R. Dilley, E.D. Bauer, and M.B. Maple, Phys. Rev. Lett. 86, 2001, 684,

 m^*/m_b

1000

100

10 =

1

0.1 0.1

 $(\Delta/T^*)^2$

 $\sigma_1(10^3 \, \Omega^{-1} \mathrm{cm}^{-1})$

3

2

0

2

 $\sigma_1(10^3 \ \Omega^{-1} {
m cm}^{-1})$

Physics of Heavy Fermion Superconductivity Lecture II:

- 1. The large N approach to the Kondo lattice.
- 2. Heavy Fermion Metals.
- 3. Optical Conductivity of Heavy Fermion Metals
- 4. Kondo Insulators



Mott Phil Mag, 30,403,1974







pressure are discussed. It is suggested that the low-pressure form of SmS is an excitonic insulator. In SmB_6 and high-pressure SmS a very small gap separates occupied from unoccupied states, this in our view being due to hybridization of 4f and 5d bands. The electrical properties are discussed ; if kT is greater than the gap

energy, then the gap does not affect the metallic behaviour. Finally metallic compounds such as $CeAl_3$ are described, in which there is no magnetic ordering at

MAGNETIC AND SEMICONDUCTING PROPERTIES OF SmB₈†

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and

T. H. Geballe Department of Applied Physics, Stanford University, Stanford, California, and Bell Telephone Laboratories, Murray Hill, New Jersey (Received 21 November 1968)



FIG. 1. Resistance of SmB_6 as a function of temperature. Closed circles: resistance versus T; open circles: resistance versus $10^3/T$.

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Persistent conductivity Plateau



Frustrated magnetism: pairing of spinons SP(N). Read and Sachdev, PRL, 66, 1773 (1991)

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SU(N):	Mesons	Baryons
	$\overline{q}q$	$q_1 q_2 \dots q_N$
		Cooper pairs
SP(N):	qq	$q_a q_{-a}$

Frustrated magnetism: pairing of spinons SP(N). Read and Sachdev, PRL, 66, 1773 (1991)

"Symplectic Large N" R. Flint and PC '08 $S^{ba} = f_b^{\dagger} f_a - \operatorname{sgn}(a) \operatorname{sgn}(b) f_{-b}^{\dagger} f_{-a}$

