



Piers Coleman

Center for Materials Theory, Rutgers.



# The Physics of Heavy Fermion Superconductivity

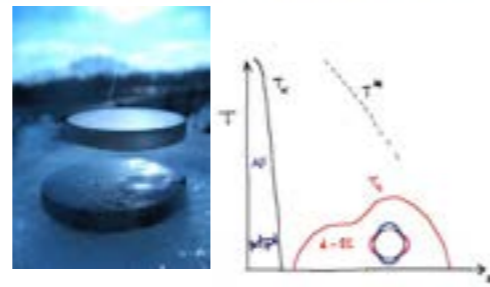


Piers Coleman

Center for Materials Theory, Rutgers.

Boulder School 2014: Modern Aspects  
of Superconductivity

June 30-July 25, 2014



14-17 July 2014





# The Physics of Heavy Fermion Superconductivity

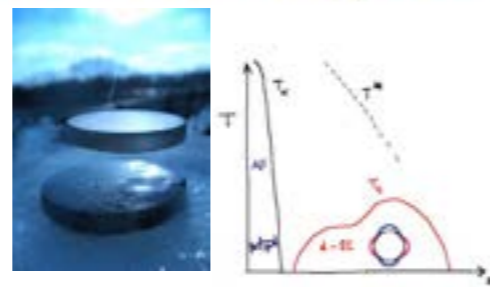


Piers Coleman

Center for Materials Theory, Rutgers.

Boulder School 2014: Modern Aspects of Superconductivity

June 30-July 25, 2014



14-17 July 2014





# The Physics of Heavy Fermion Superconductivity

Lecture I. Introduction: Heavy Fermions and the Kondo Lattice.

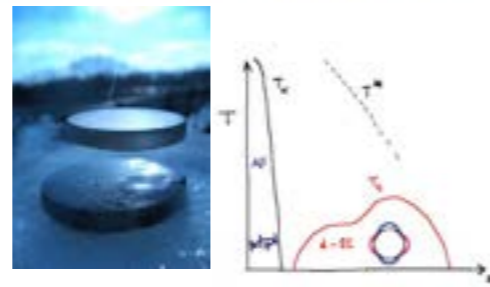


Piers Coleman

Center for Materials Theory, Rutgers.

Boulder School 2014: Modern Aspects of Superconductivity

June 30-July 25, 2014



14-17 July 2014





# The Physics of Heavy Fermion Superconductivity

1. Introduction: Heavy Fermions and the Kondo Lattice.
2. BCS meets Kondo: mean-field approach to the Kondo Lattice.
3. Glue vs Fabric: Good, Bad and Ugly Heavy Fermion Superconductors.
4. Composite vs AFM induced pairing.

## Notes:

"**Many Body Physics: an introduction**", Ch 8,15-16", PC, CUP to be published (2014).

<http://www.physics.rutgers.edu/~coleman>. Password on request.

"**Heavy Fermions: electrons at the edge of magnetism.**" Wiley encyclopedia of magnetism. PC. cond-mat/0612006.

"**I2CAM-FAPERJ Lectures on Heavy Fermion Physics**", (X=I, II, III)

[http://physics.rutgers.edu/~coleman/talks/RIO13\\_X.pdf](http://physics.rutgers.edu/~coleman/talks/RIO13_X.pdf)

## General reading:

A. Hewson, "**Kondo effect to heavy fermions**", CUP, (1993).

"**The Theory of Quantum Liquids**", Nozieres and Pines (Perseus 1999).

P. Coleman and N. Andrei, J. Phys. Cond. Matt C1, 4057-4080, (1989).

P. Coleman, A. M. Tsvelik, N. Andrei and H. Y. Kee, PRB 60, 3605 (1999).

R. Flint and P. Coleman, Nature Physics 4, 643 (2008).

R. Flint and P. Coleman PRL, 105, 246404 (2010)

R. Flint, A. Nevidomskyy and P. Coleman PRB 84, 064514 (2011).



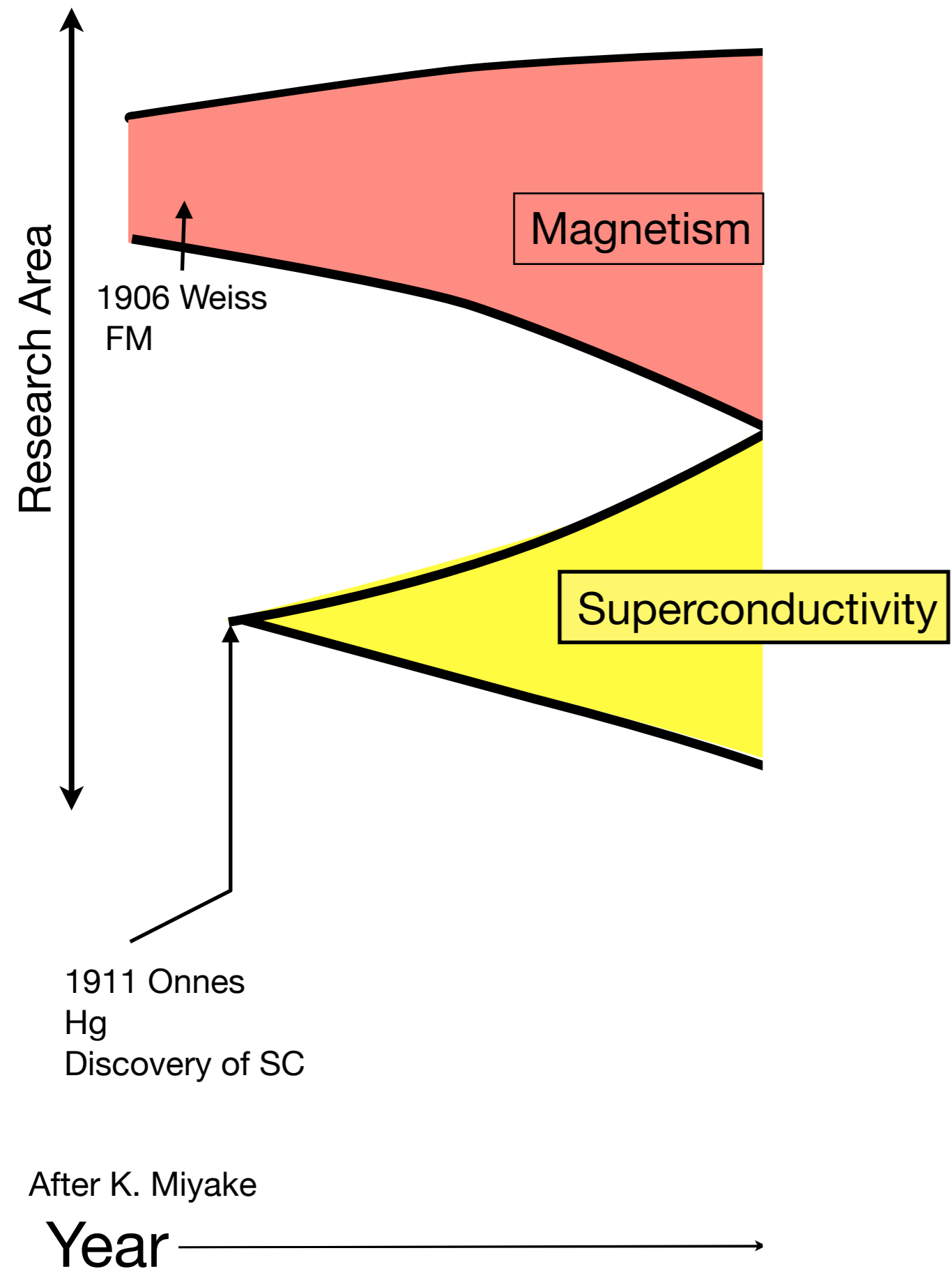
## Lecture 1 Introduction to Heavy Fermions and the Kondo Lattice.

1. Magnetism and SC: a remarkable convergence.
2. Electrons on the Brink of Localization.
3. Cartoon introduction to Heavy Fermions.
4. Lev Landau versus Ken Wilson: Criticality as a driver of Superconductivity.
5. Anderson, Kondo and Doniach.

# Magnetism and Superconductivity: A remarkable convergence

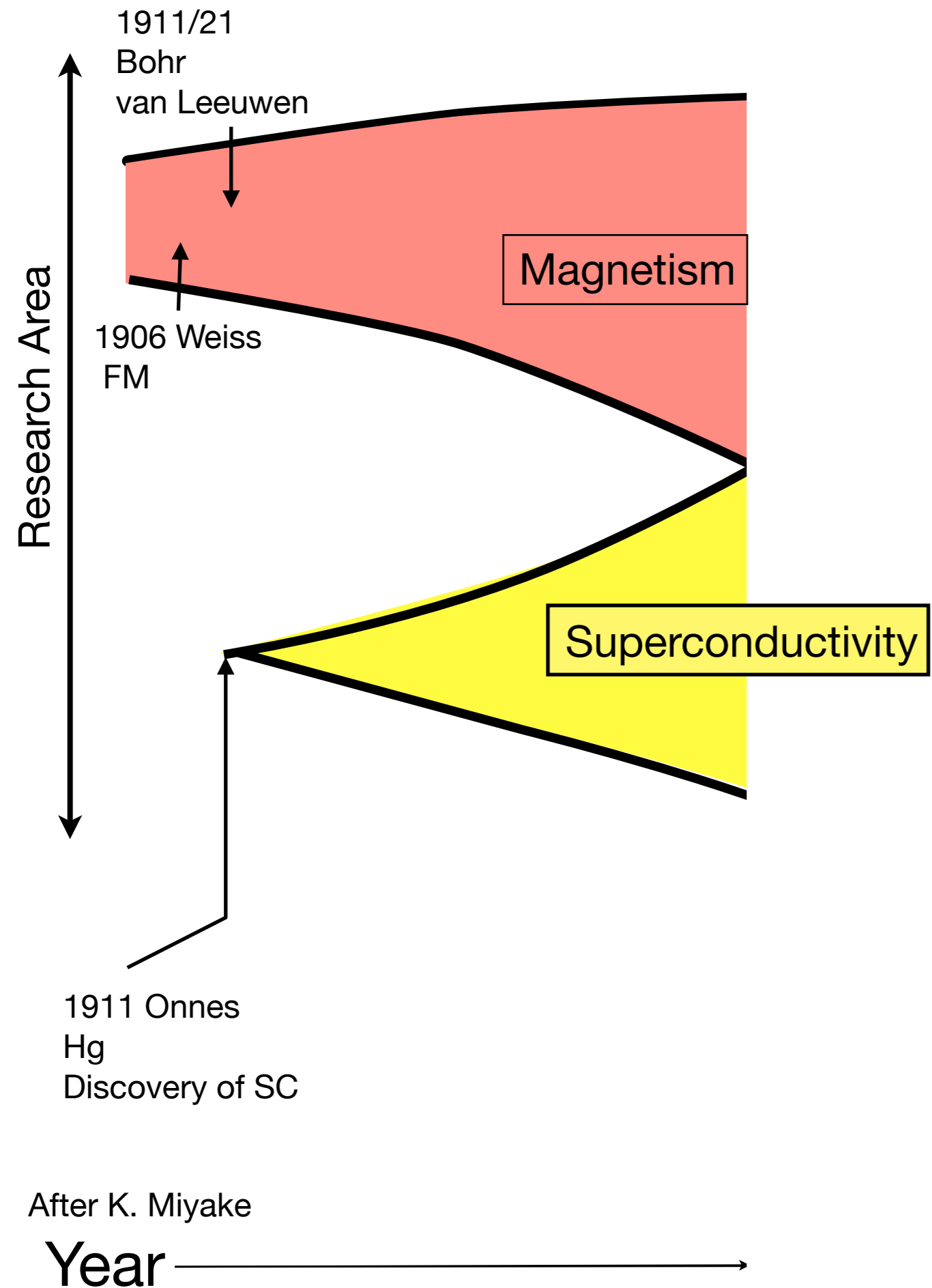


# Magnetism and Superconductivity



# Magnetism and Superconductivity

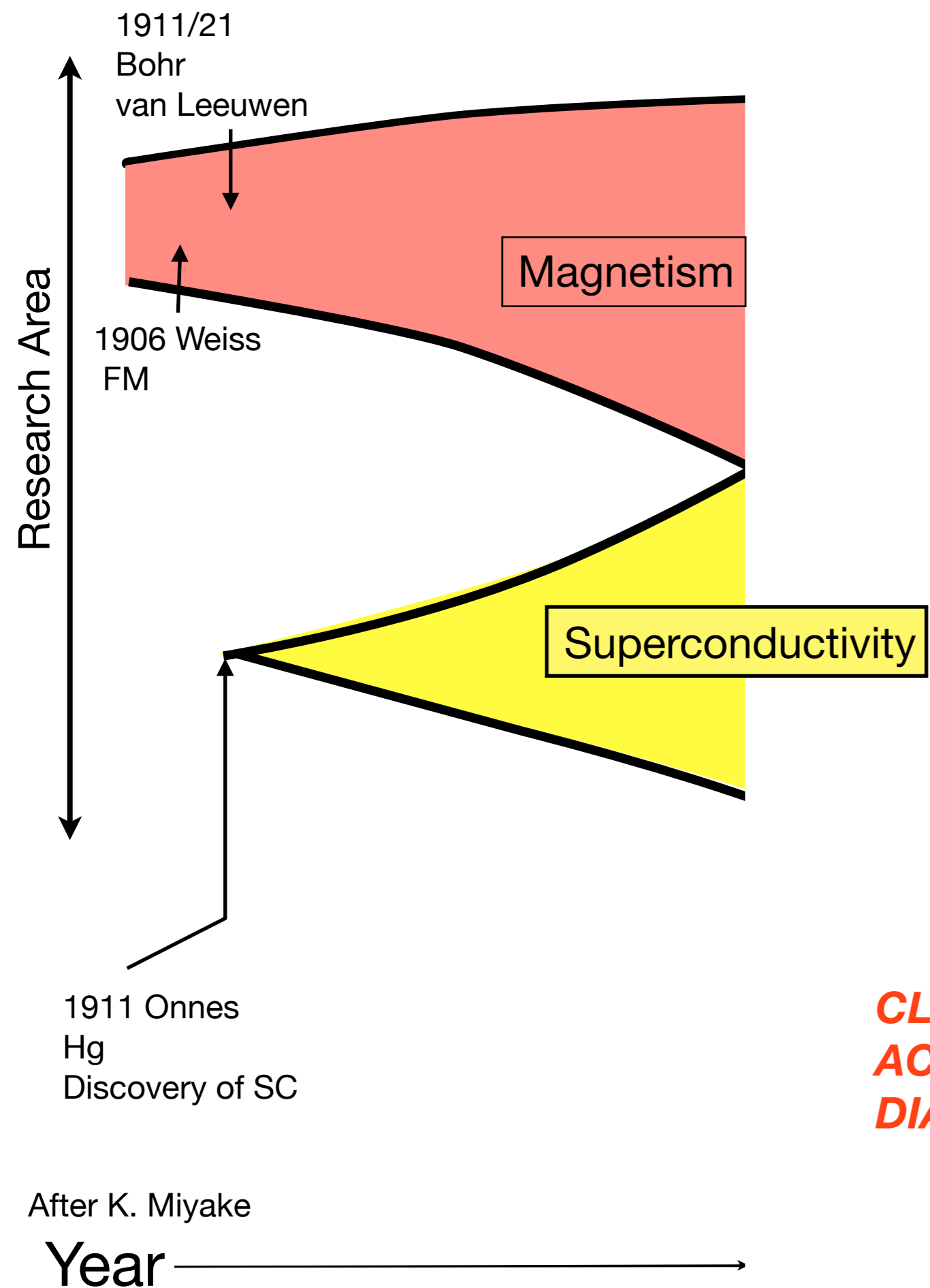
## Bohr-van Leeuwen Theorem (1911, 1921)





# Magnetism and Superconductivity

## Bohr-van Leeuwen Theorem (1911, 1921)

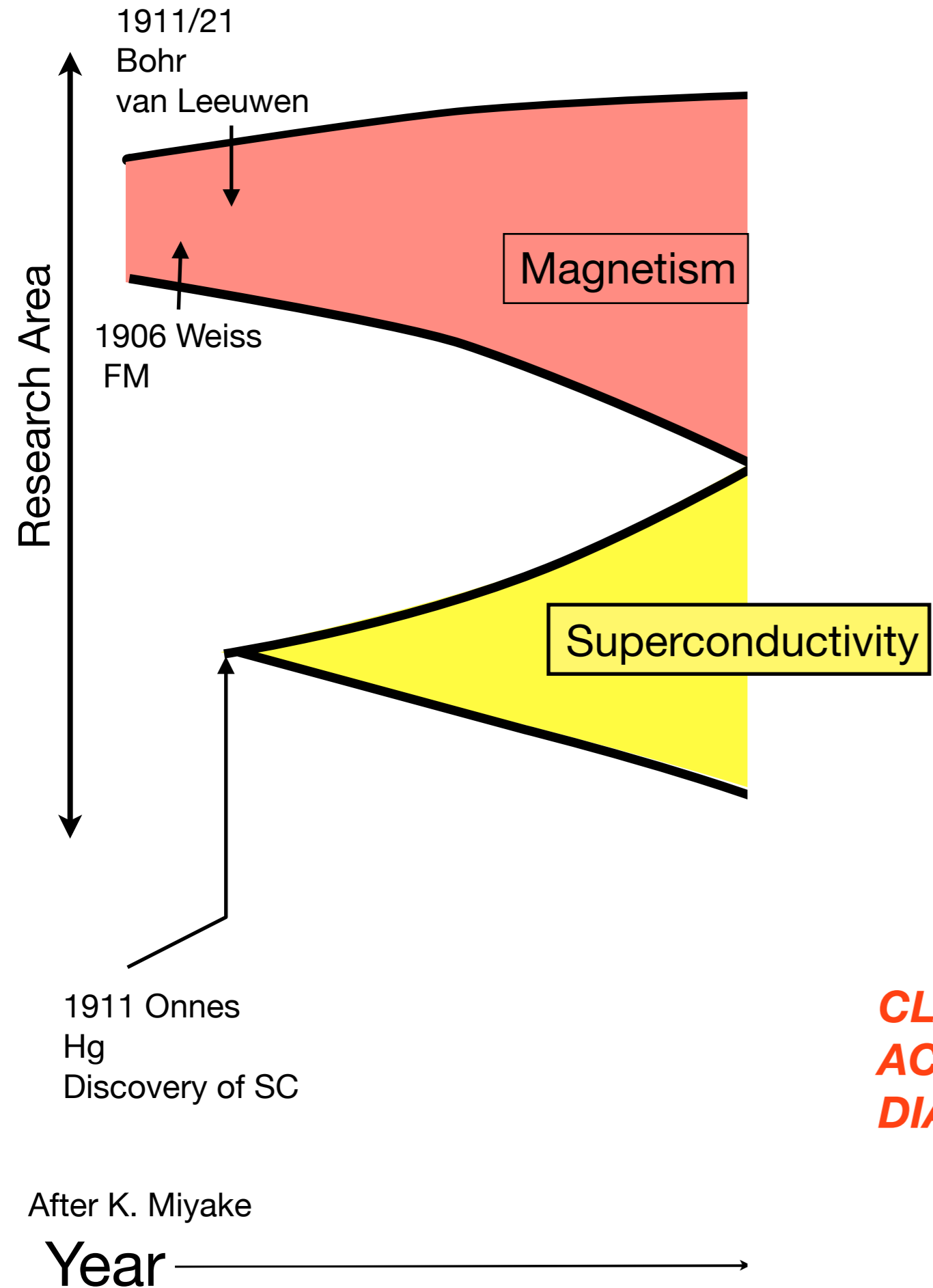


$$p' = p - eA$$

$$H[p, A] = H[p', A = 0]$$

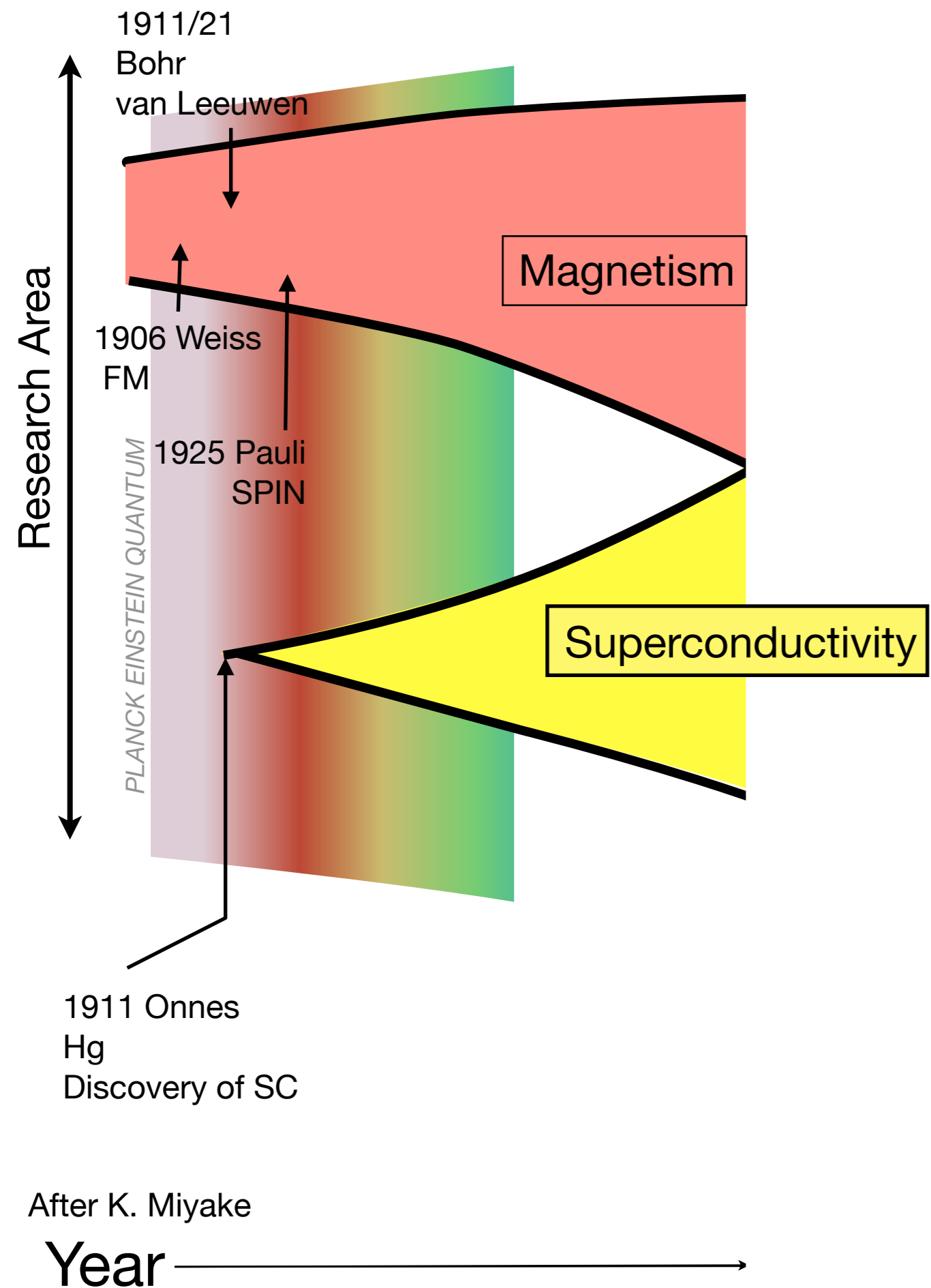
**CLASSICAL PHYSICS IS UNABLE TO ACCOUNT FOR ANY FORM OF MAGNETISM DIA- FERRO- OR PARA- MAGNETISM.**

# Magnetism and Superconductivity

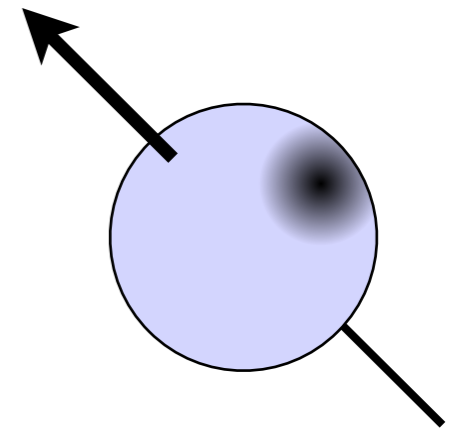


***CLASSICAL PHYSICS IS UNABLE TO ACCOUNT FOR ANY FORM OF MAGNETISM DIA- FERRO- OR PARA- MAGNETISM.***

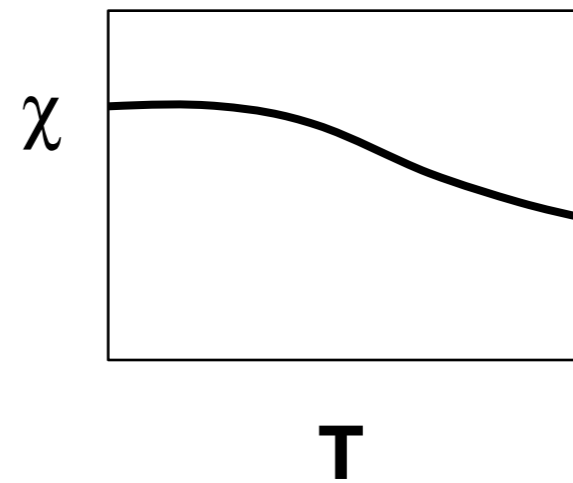
# Magnetism and Superconductivity



Pauli

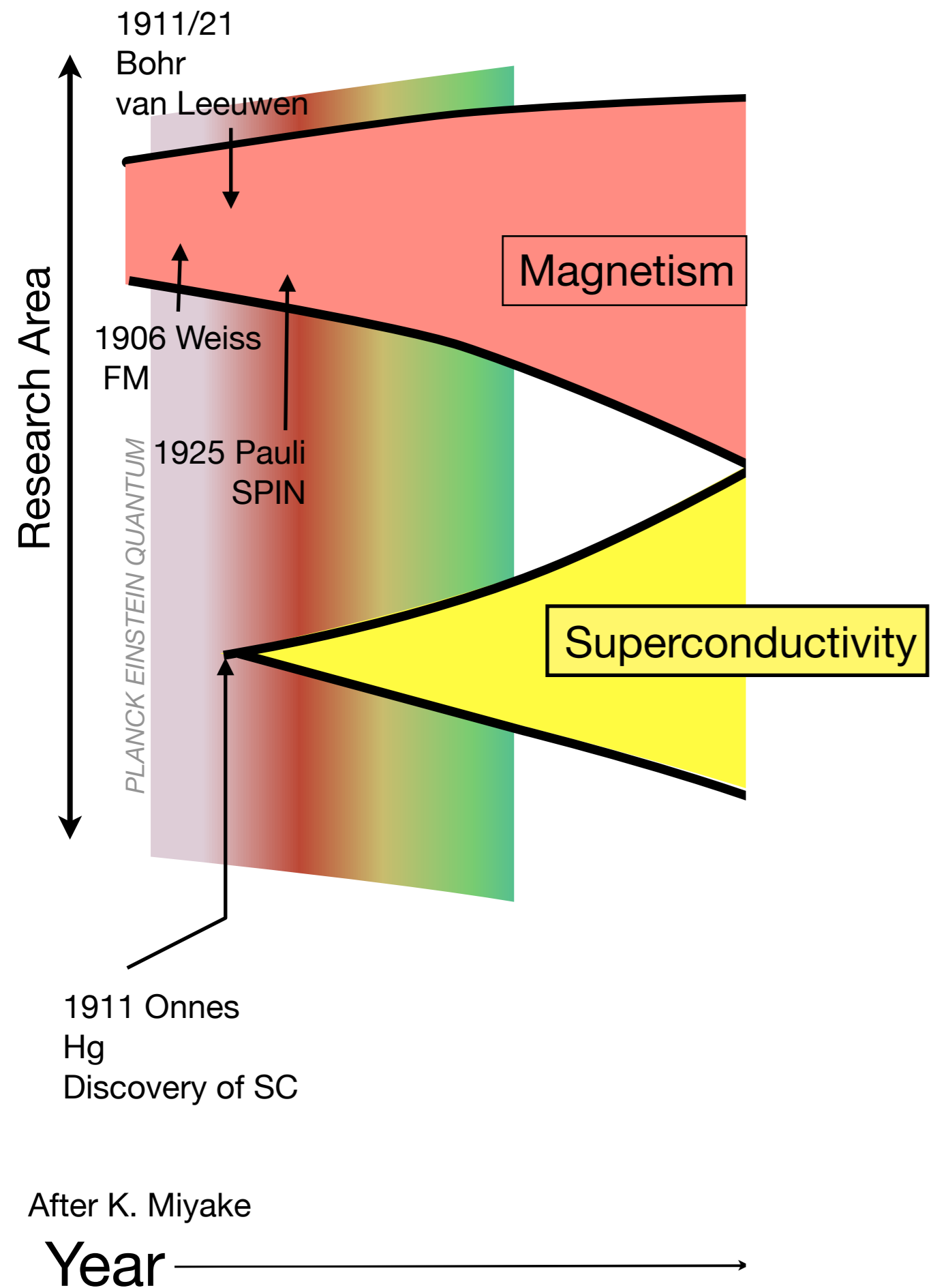


spin

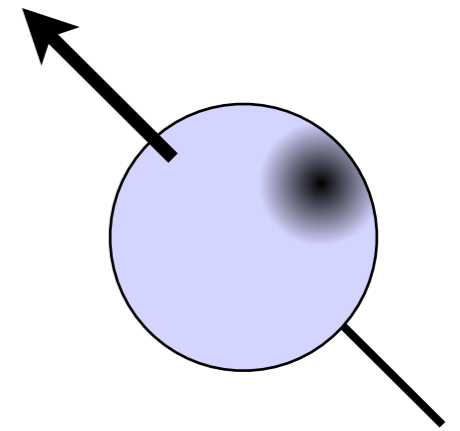


After K. Miyake

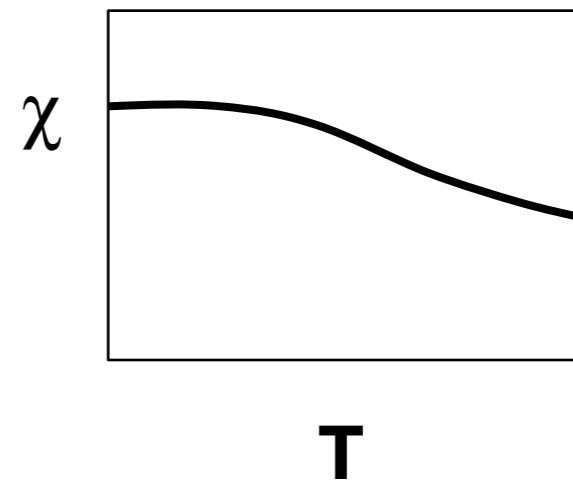
# Magnetism and Superconductivity



Pauli



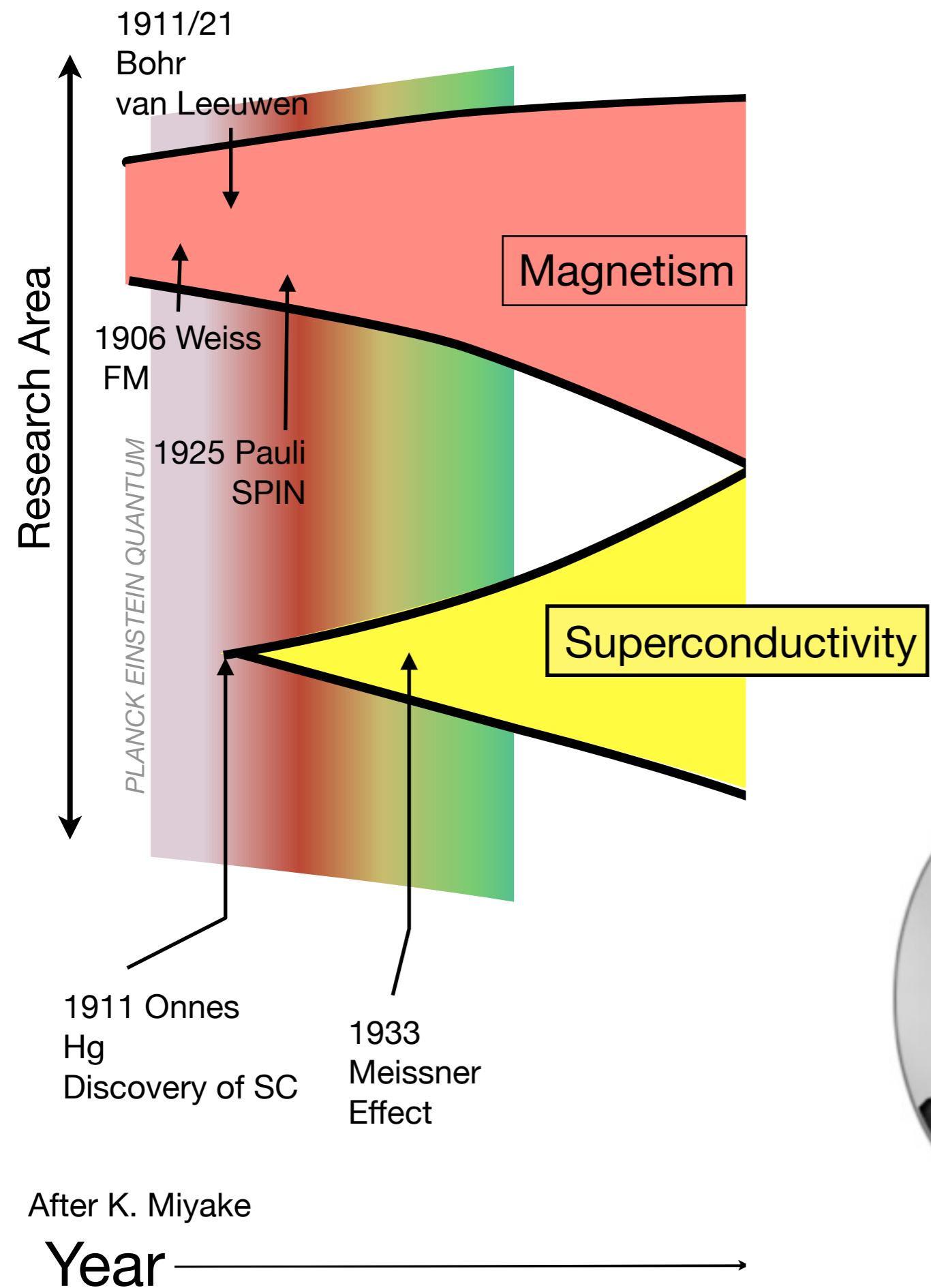
spin



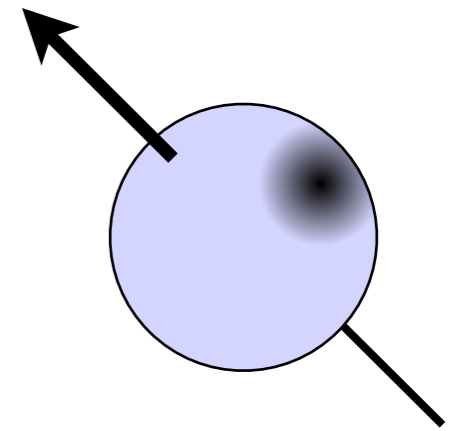
After K. Miyake



# Magnetism and Superconductivity



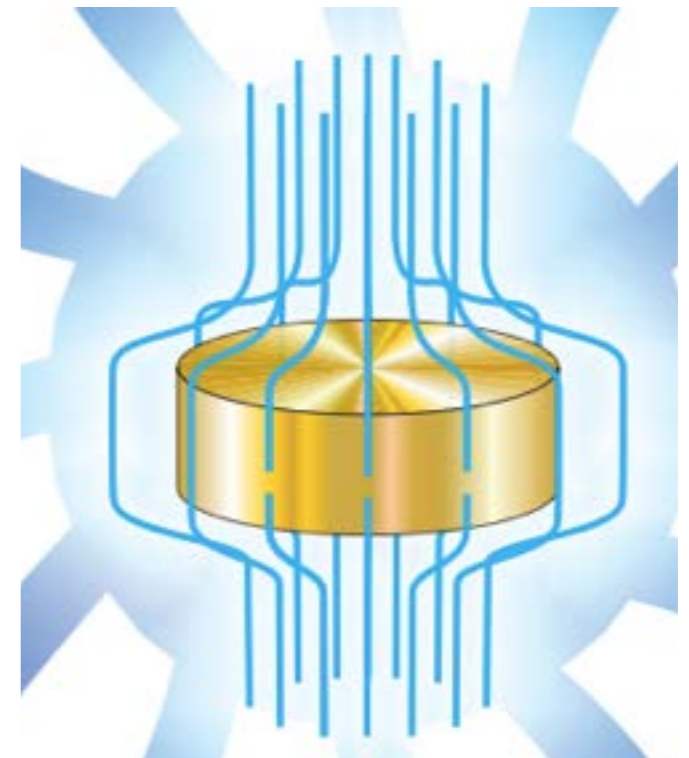
Pauli



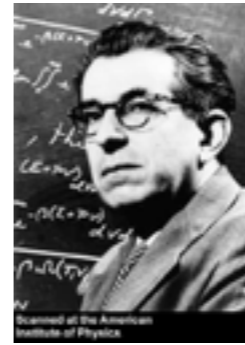
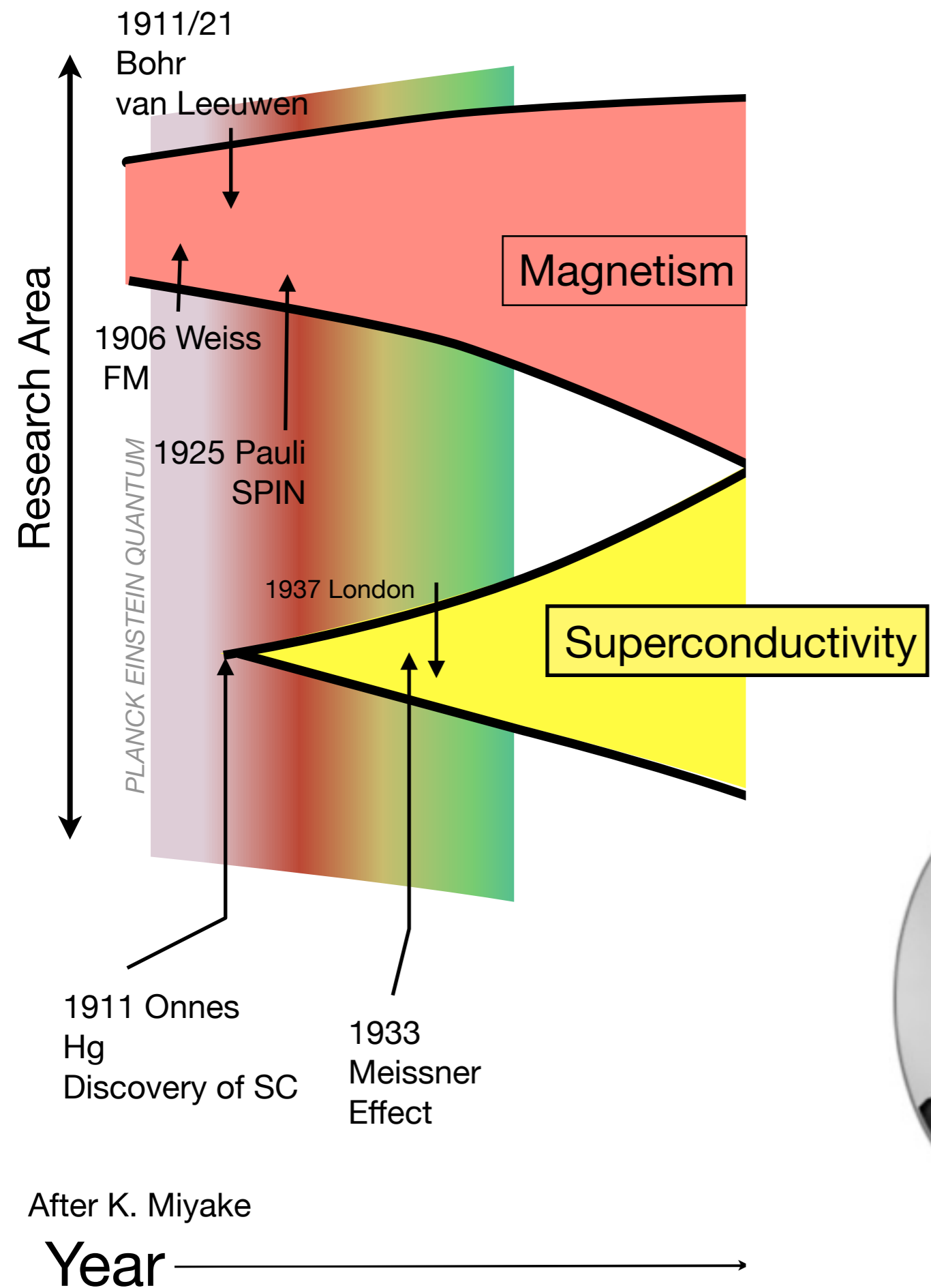
spin



Walther Meissner (1882-1974)



# Magnetism and Superconductivity

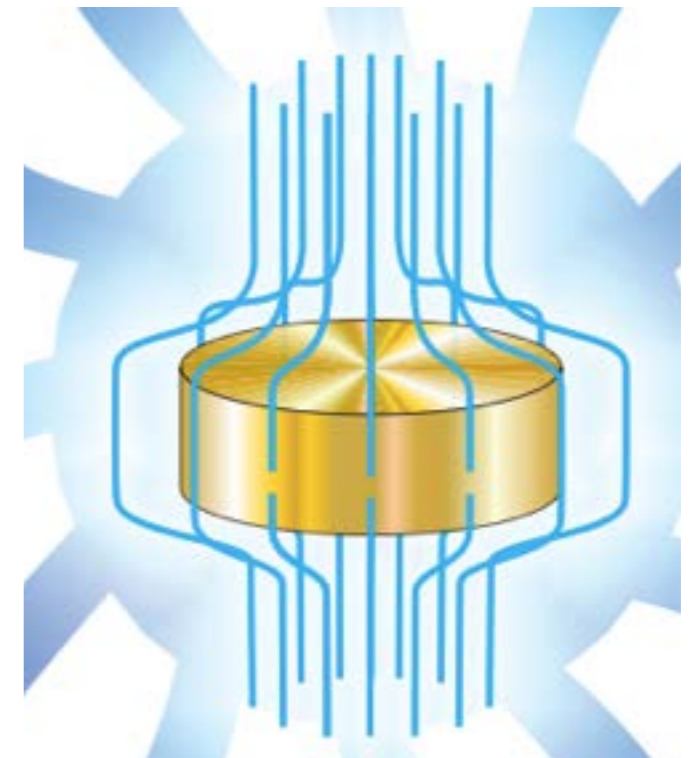


London 1937

*Rigidity of wavefunction*  
-> *DIAMAGNETISM*

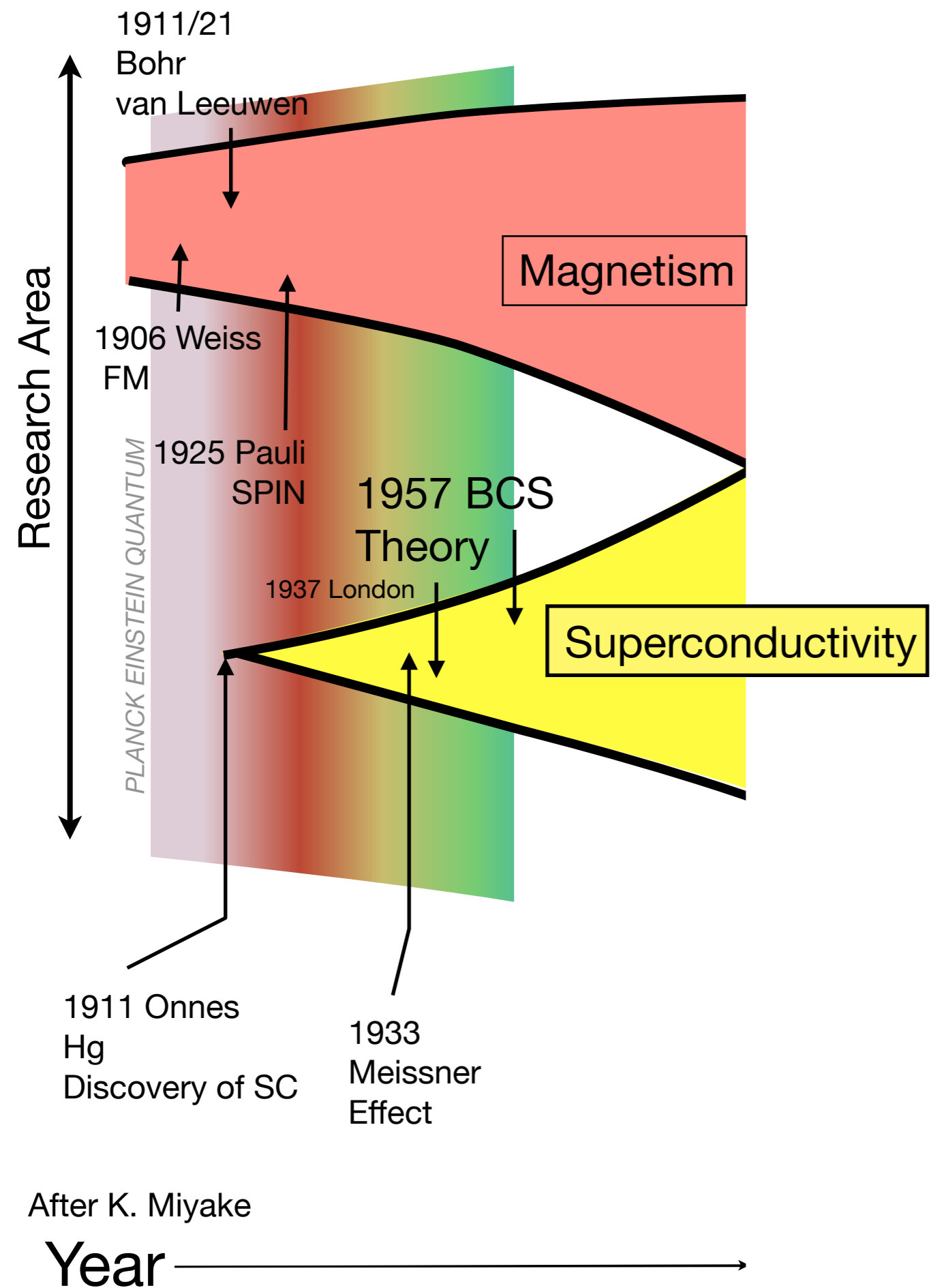


Walther Meissner (1882-1974)



After K. Miyake

# Magnetism and Superconductivity



London 1937

*Rigidity of wavefunction*  
 -> DIAMAGNETISM

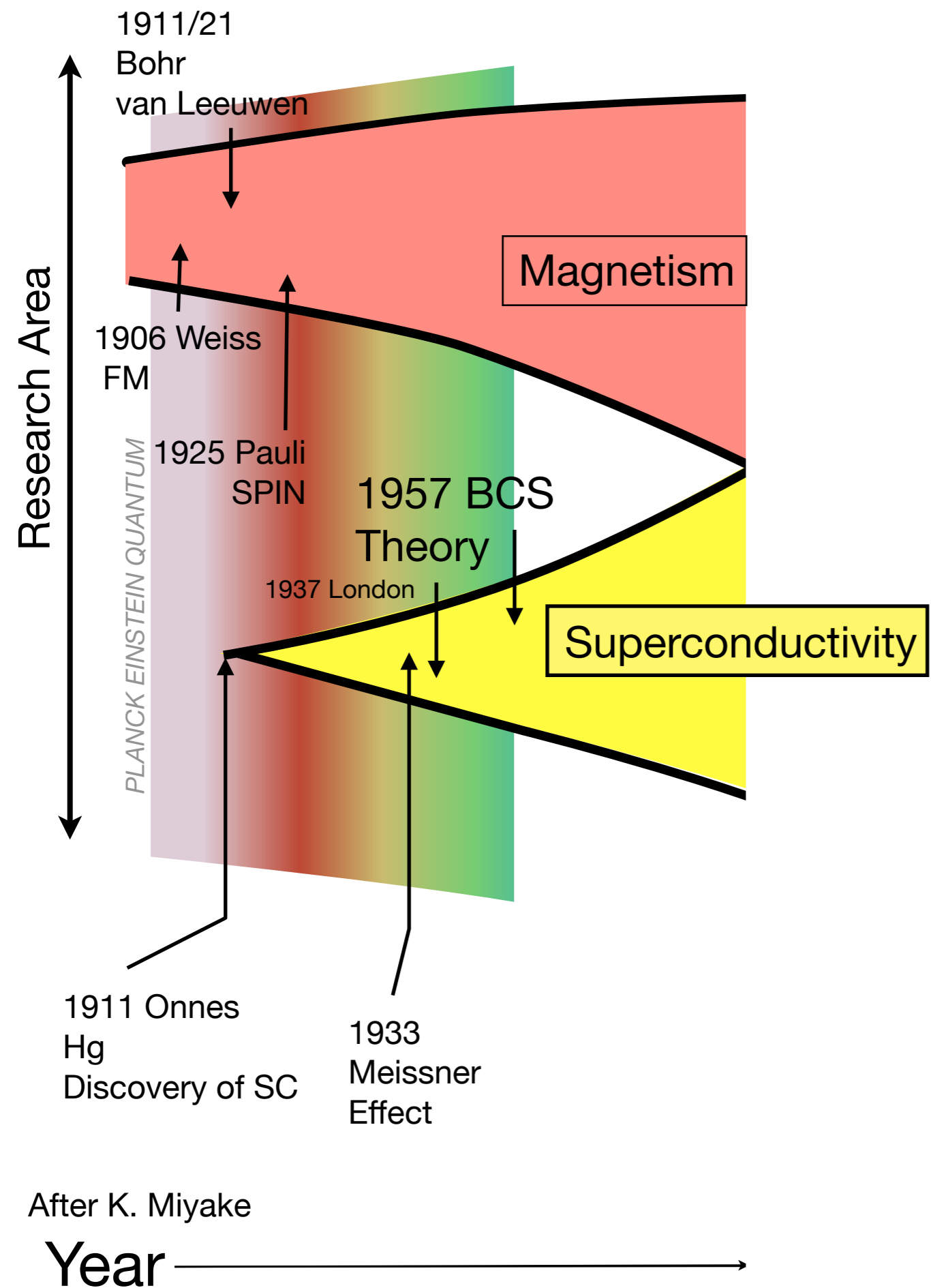
$$|\Psi\rangle = \prod_{\mathbf{k}} (u_{\mathbf{k}} + v_{\mathbf{k}} c_{-\mathbf{k}\downarrow}^{\dagger} c_{\mathbf{k}\uparrow}^{\dagger}) |0\rangle$$



BCS 1957

After K. Miyake

# Magnetism and Superconductivity



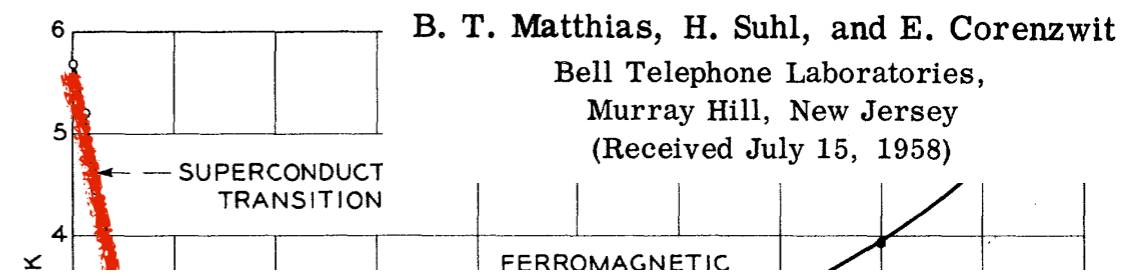
London 1937

Rigidity of wavefunction  
-> DIAMAGNETISM

$$|\Psi\rangle = \prod_{\mathbf{k}} (u_{\mathbf{k}} + v_{\mathbf{k}} c_{-\mathbf{k}\downarrow}^\dagger c_{\mathbf{k}\uparrow}^\dagger) |0\rangle$$



BCS 1957



Spin paramagnetism is BAD!

1% mag impurities usually kill Tc

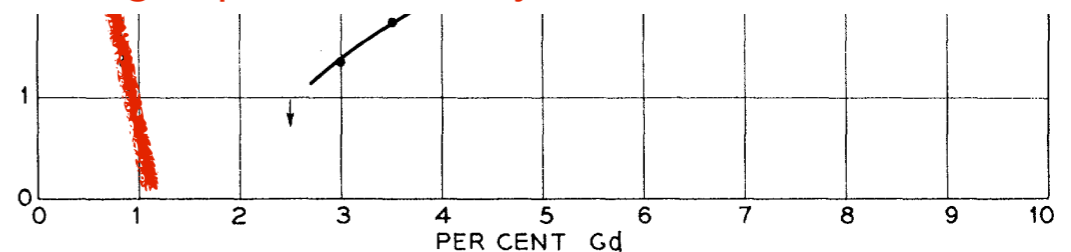
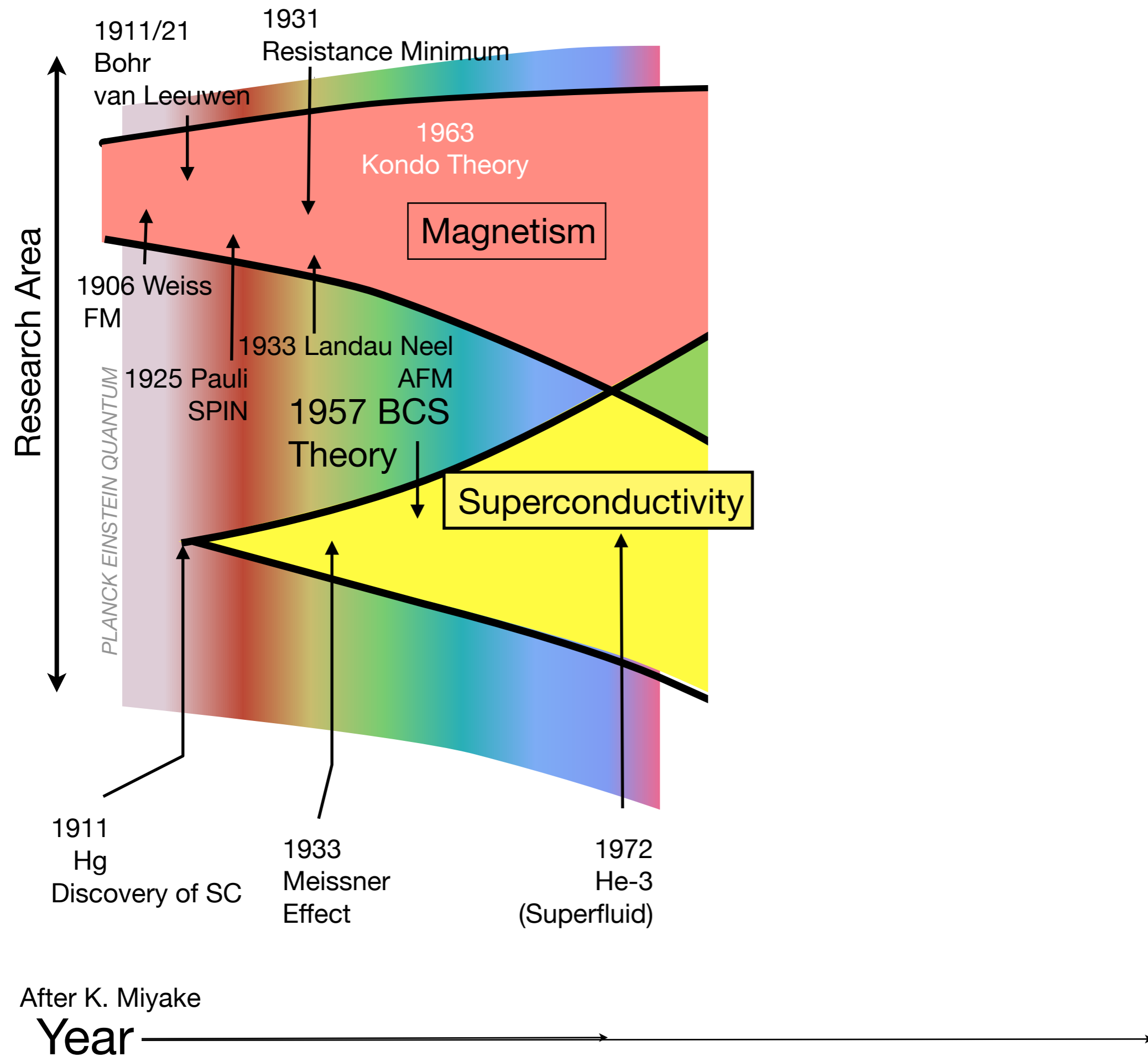


FIG. 3. Ferromagnetic and superconducting transition temperatures of solid solutions of gadolinium in lanthanum.

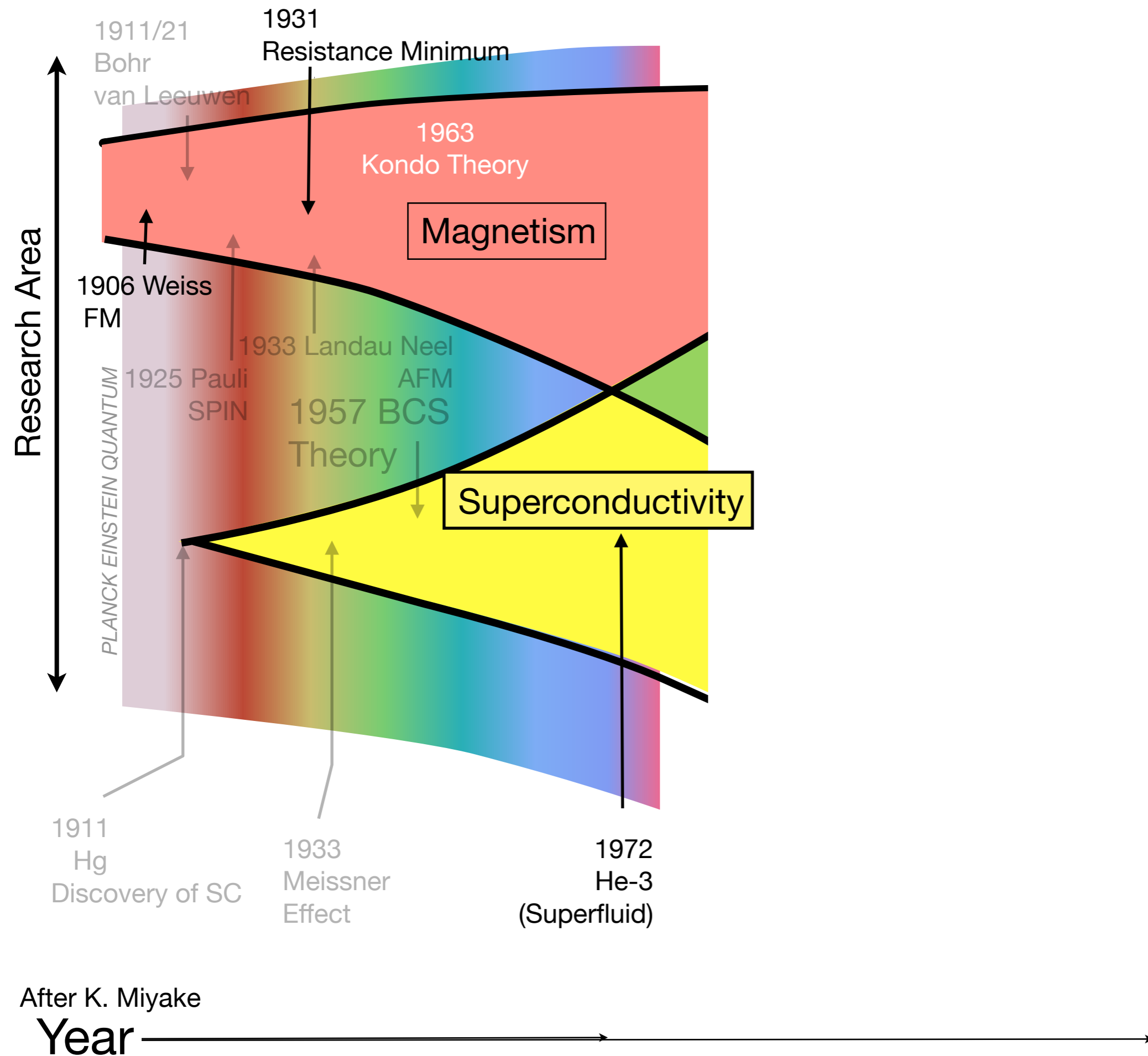
After K. Miyake



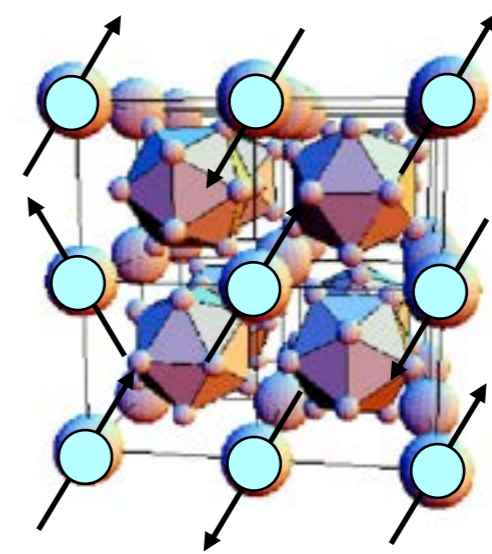
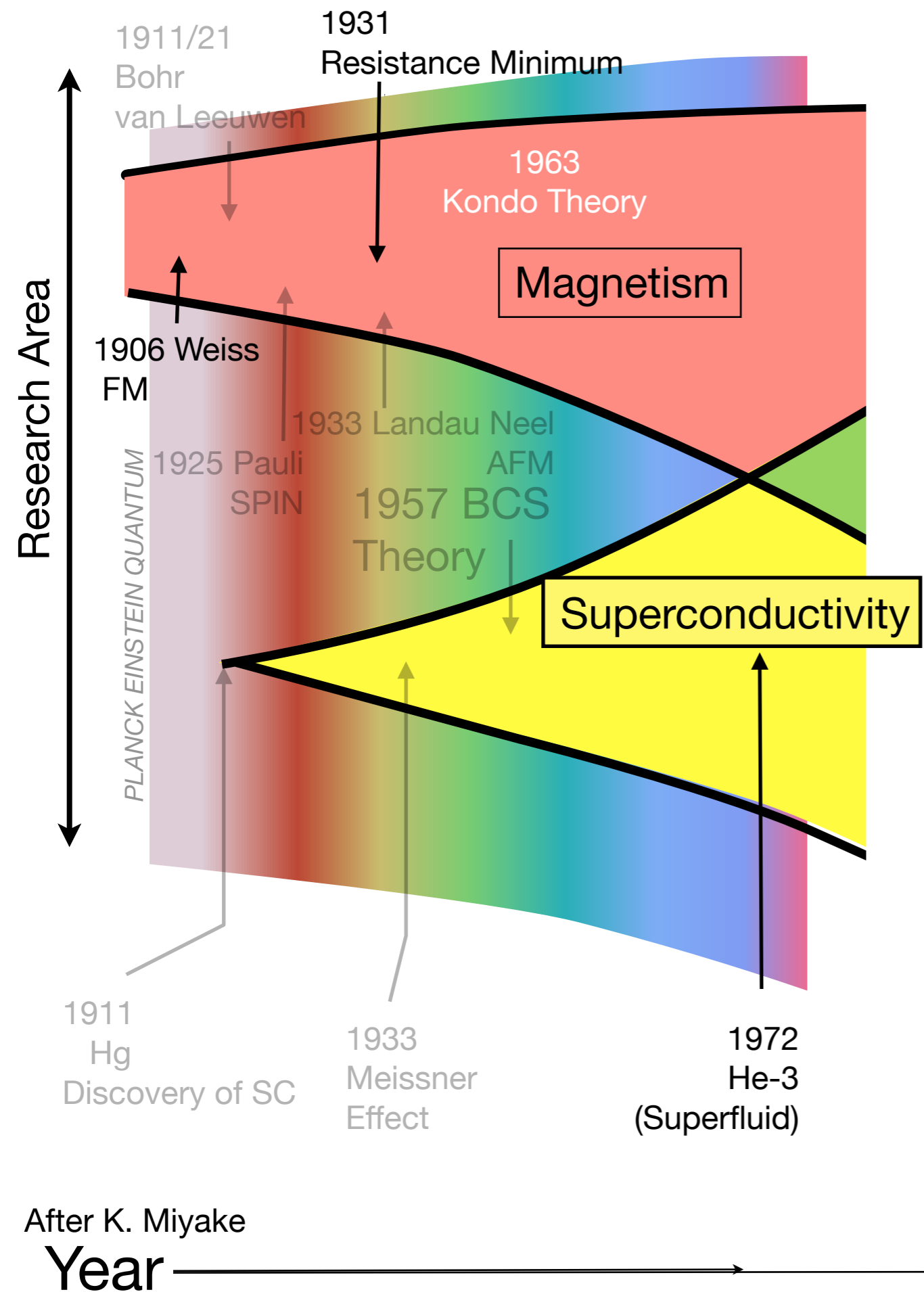
# Magnetism and Superconductivity



# Magnetism and Superconductivity



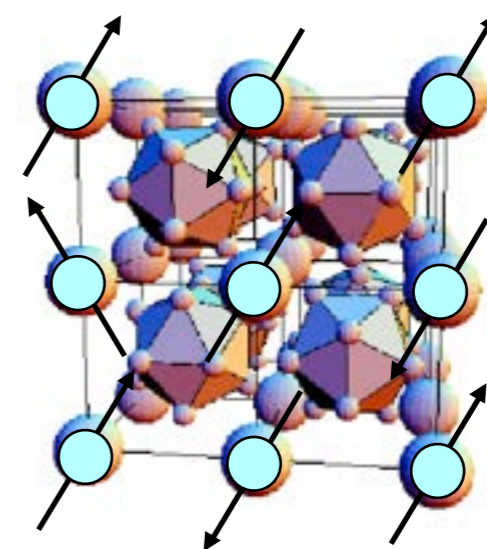
# Magnetism and Superconductivity



After K. Miyake

We tried to detect any possible magnetic ordering below 1K. Instead we found a sharp superconducting transition at 0.97K, which was reduced by about 0.3K only in a field of 60kOe.

Bell Labs, NJ 1973



UBe<sub>13</sub>

**Electronic properties of beryllides of the rare earth and some actinides**

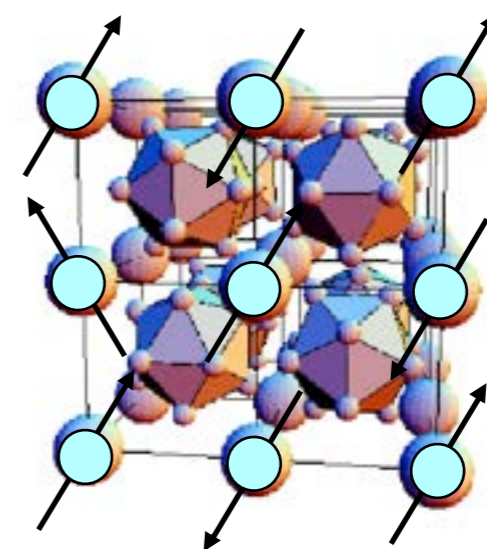
E. Bucher,\*J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper

*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 14 March 1974)



We tried to detect any possible magnetic ordering below 1K. Instead we found a sharp superconducting transition at 0.97K, which was reduced by about 0.3K only in a field of 60kOe. This suggests that the superconductivity is not an intrinsic property of UBe<sub>13</sub>.  
Bell Labs, NJ 1973



UBe<sub>13</sub>

**Electronic properties of beryllides of the rare earth and some actinides**

E. Bucher,\*J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper

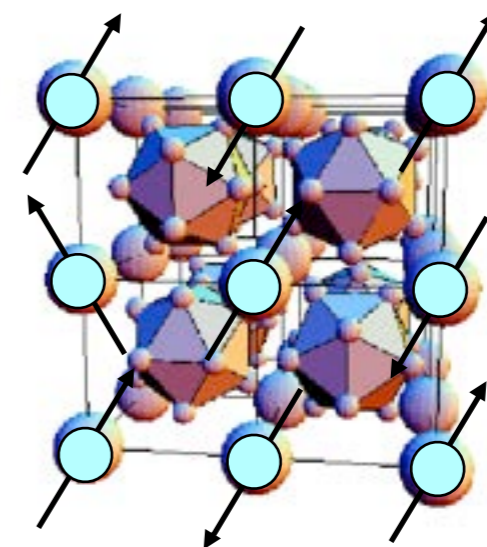
*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 14 March 1974)

We tried to detect any possible magnetic ordering below 1K. Instead we found a sharp superconducting transition at 0.97K, which was reduced by about 0.3K only in a field of 60kOe. **This suggests that the superconductivity is not an intrinsic property of UBe<sub>13</sub>.**  
Bell Labs, NJ 1973



Steglich  
1979



UBe<sub>13</sub>

**Electronic properties of beryllides of the rare earth and some actinides**

E. Bucher,\*J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper

*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 14 March 1974)

# Superconductivity in the Presence of Strong Pauli Paramagnetism: $\text{CeCu}_2\text{Si}_2$

F. Steglich

*Institut für Festkörperphysik, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany*

and

J. Aarts, C. D. Bredl, W. Lieke, D. Meschede, and W. Franz

*II. Physikalisches Institut, Universität zu Köln, D-5000 Köln 41, West Germany*

and

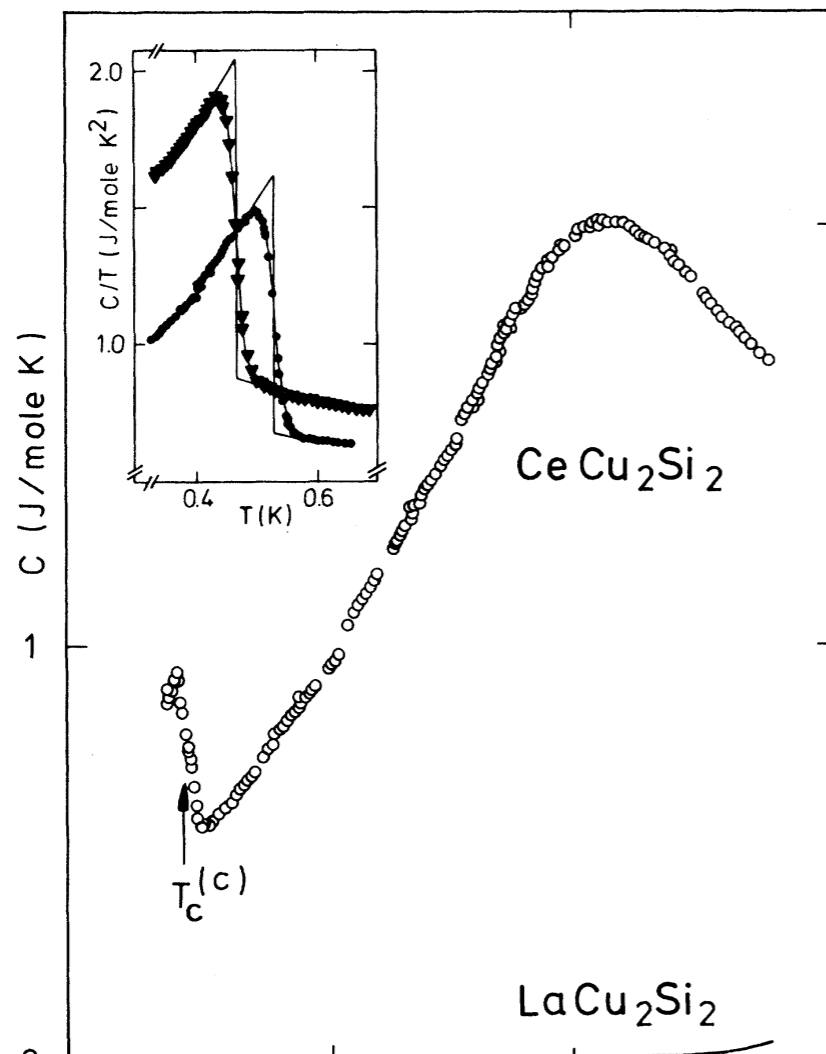
H. Schäfer

*Eduard-Zintl-Institut, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany*

(Received 10 August 1979; revised manuscript received 7 November 1979)



Steglich  
1979



# Superconductivity in the Presence of Strong Pauli Paramagnetism: $\text{CeCu}_2\text{Si}_2$

F. Steglich

*Institut für Festkörperphysik, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany*

and

J. Aarts, C. D. Bredl, W. Lieke, D. Meschede, and W. Franz

*II. Physikalisches Institut, Universität zu Köln, D-5000 Köln 41, West Germany*

and

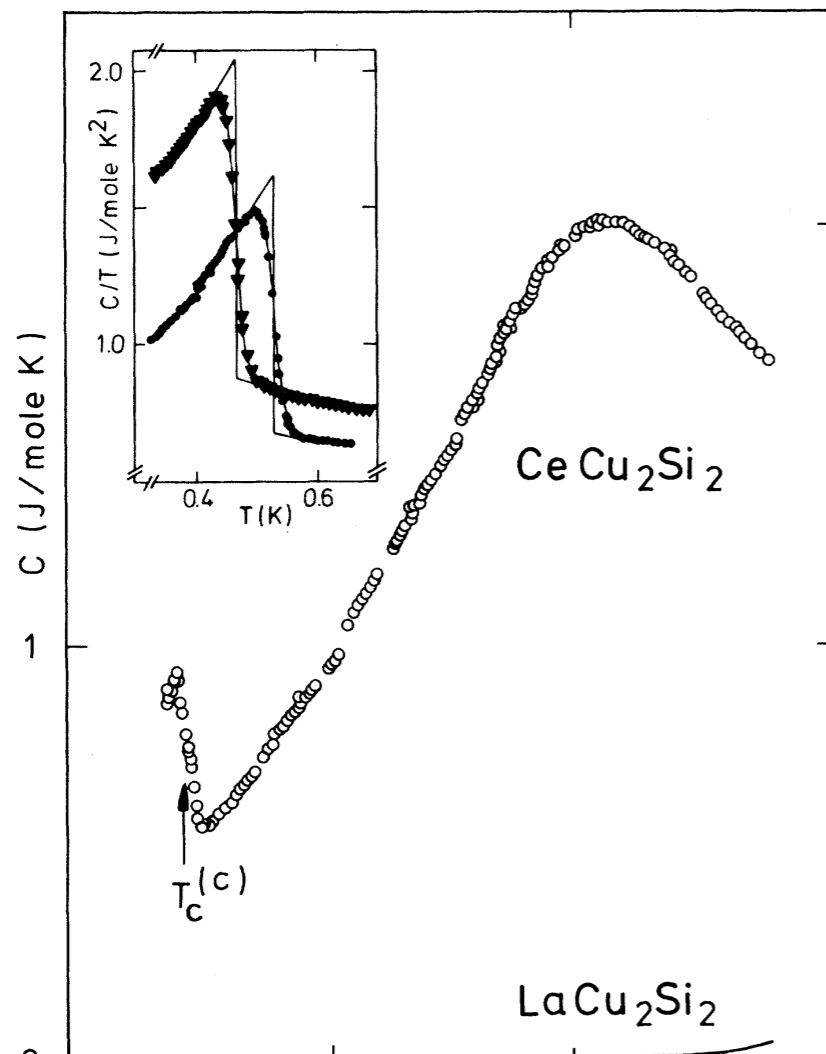
H. Schäfer

*Eduard-Zintl-Institut, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany*

(Received 10 August 1979; revised manuscript received 7 November 1979)



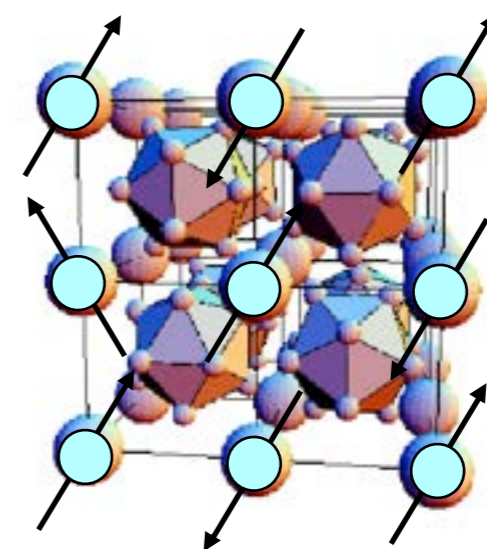
Steglich  
1979



Since the Debye temperature,  $\Theta$ , is of the order of 200 K,<sup>5</sup> we find  $T_c < T_F < \Theta$  with  $T_c/T_F \approx T_F/\Theta \approx 0.05$ . This suggests that  $\text{CeCu}_2\text{Si}_2$  (i) behaves as a “high-temperature superconductor” and (ii) cannot be described by conventional theory of superconductivity which assumes a typical phonon frequency  $k_B\Theta/h \ll k_B T_F/h$ , the characteristic frequency of the fermions.



We tried to detect any possible magnetic ordering below 1K. Instead we found a sharp superconducting transition at 0.97K, which was reduced by about 0.3K only in a field of 60kOe. This suggests that the superconductivity is not an intrinsic property of UBe<sub>13</sub>.  
Bell Labs, NJ 1973



UBe<sub>13</sub>

**Electronic properties of beryllides of the rare earth and some actinides**

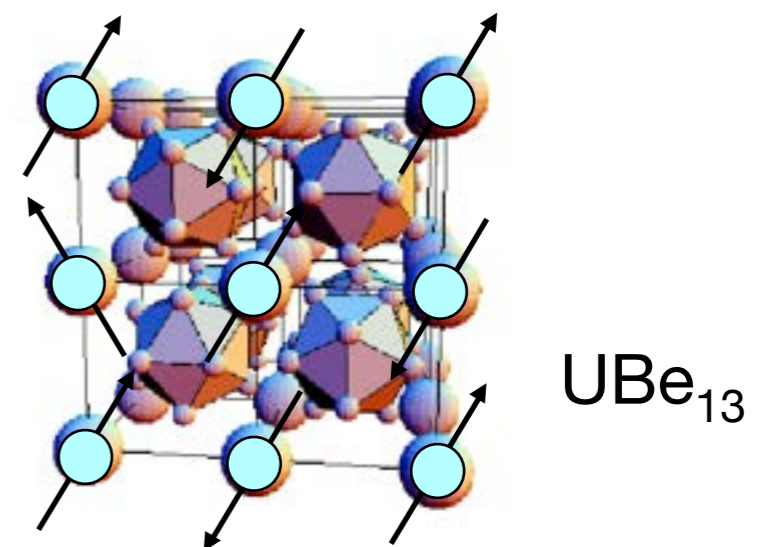
E. Bucher,\*J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper

*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 14 March 1974)

We tried to detect any possible magnetic ordering below 1K. Instead we found a sharp superconducting transition at 0.97K, which was reduced by about 0.3K only in a field of 60kOe. This suggests that the superconductivity is not an intrinsic property of UBe<sub>13</sub>.

Bell Labs, NJ 1973



**Electronic properties of beryllides of the rare earth and some actinides**

E. Bucher,\*J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper

*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 14 March 1974)



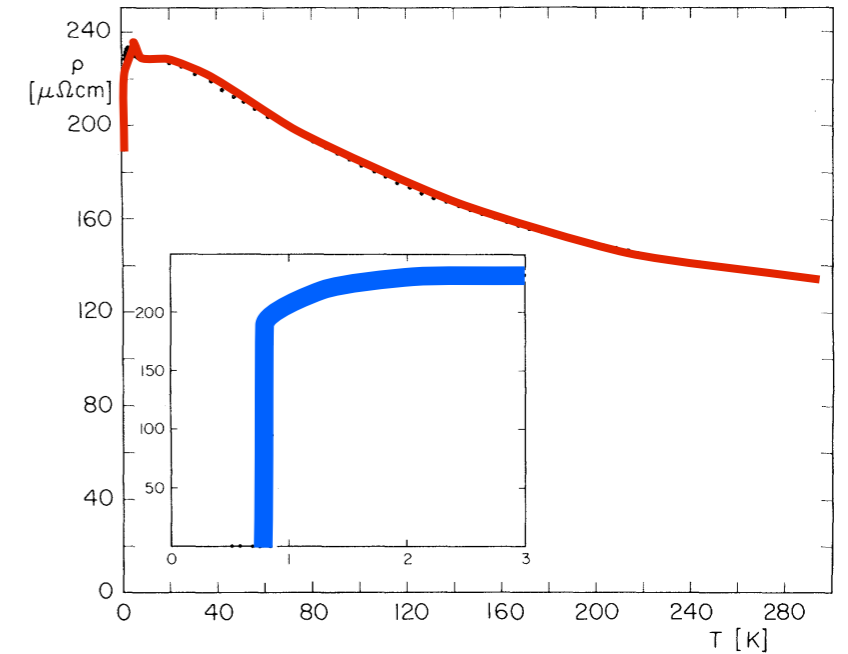
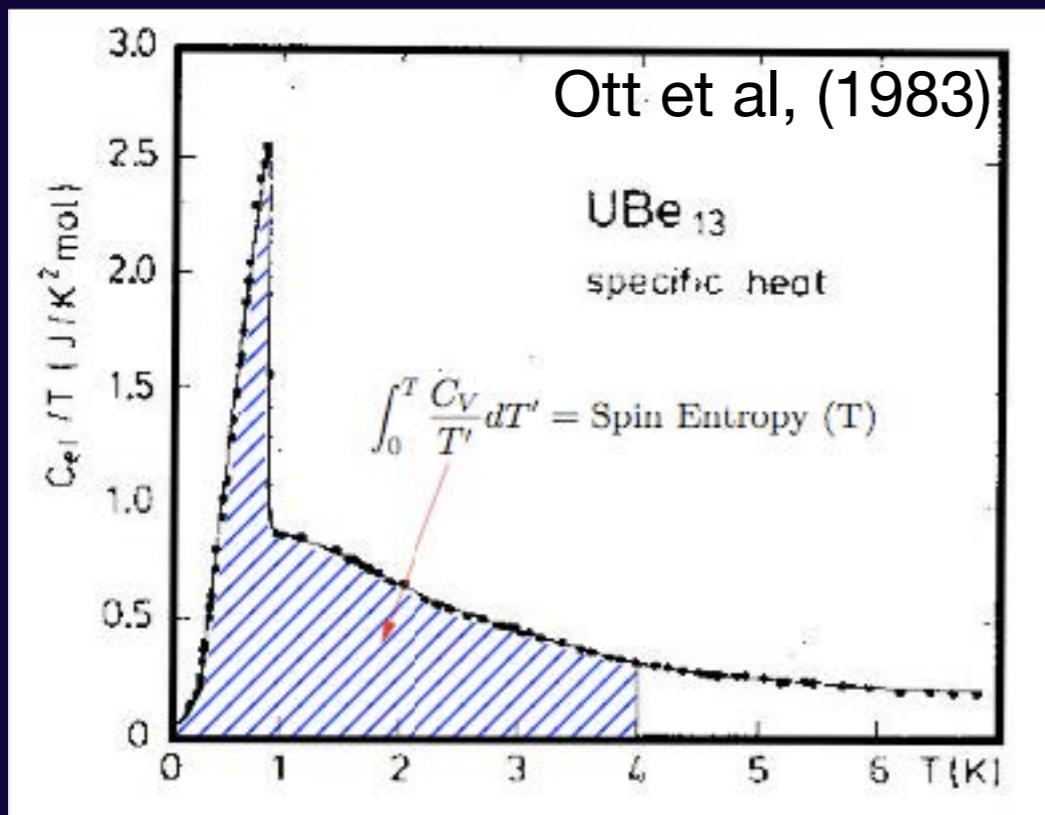
Ott  
1976



Steglich  
1979

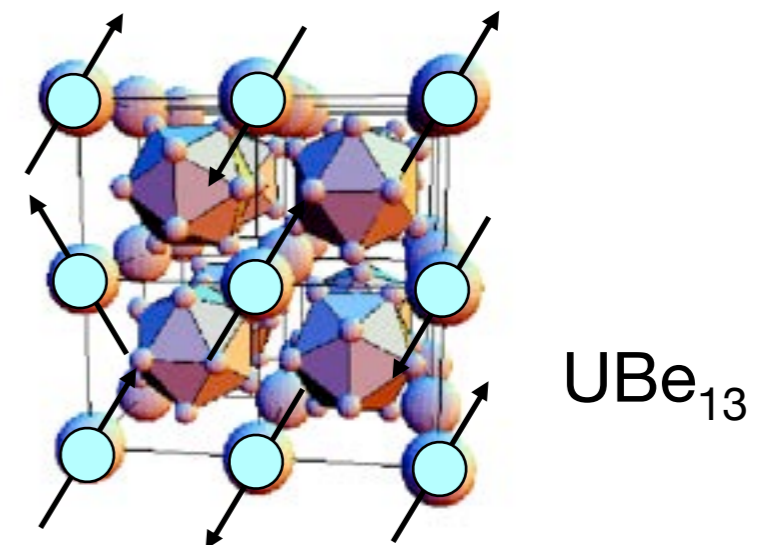


Fisk  
1983



We tried to detect any possible magnetic ordering below 1K. Instead we found a sharp superconducting transition at 0.97K, which was reduced by about 0.3K only in a field of 60kOe. This suggests that the superconductivity is not an intrinsic property of UBe<sub>13</sub>.

Bell Labs, NJ 1973



**Electronic properties of beryllides of the rare earth and some actinides**

E. Bucher,\*J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper

*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 14 March 1974)



Ott  
1976

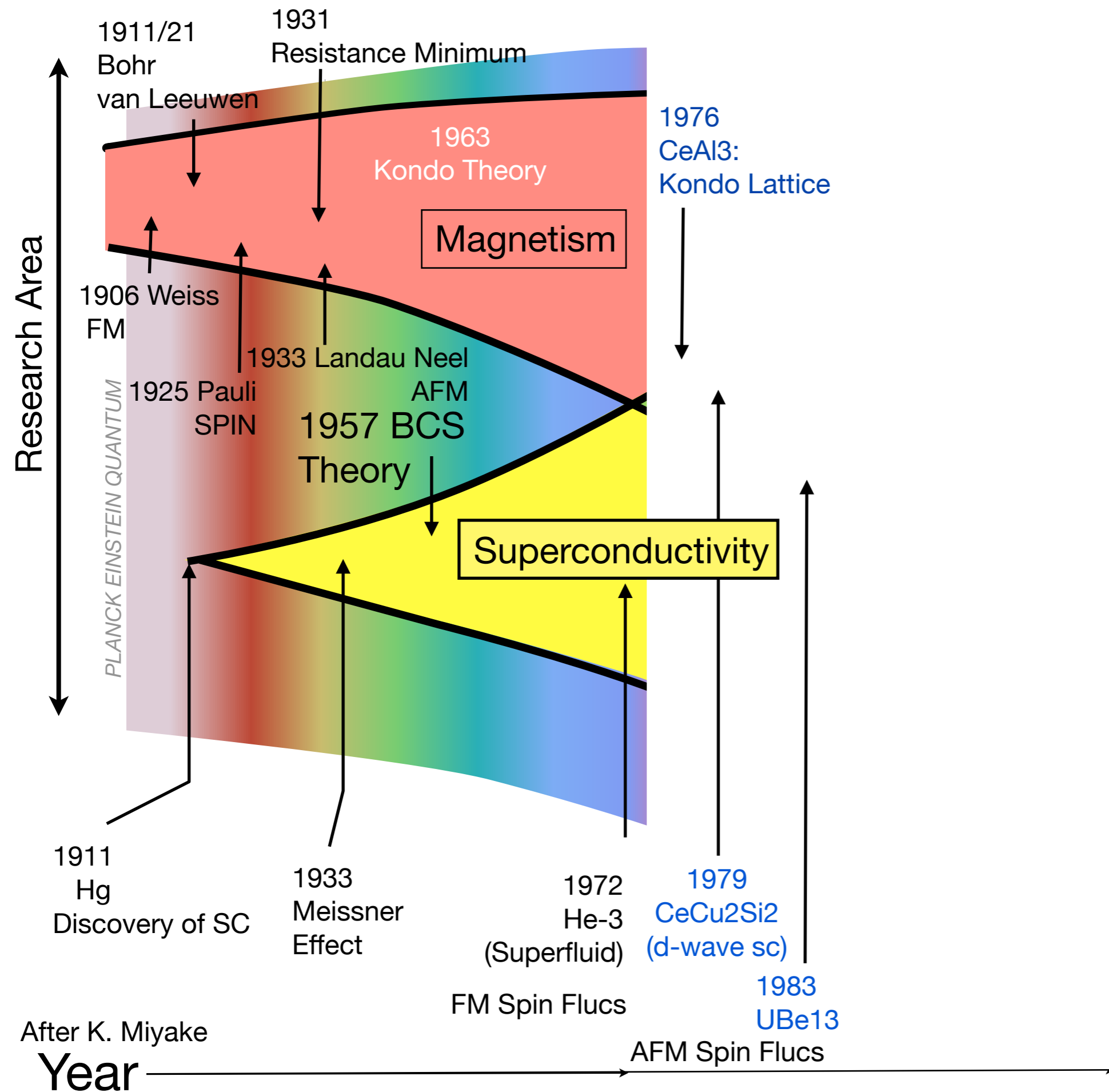


Steglich  
1979



Fisk  
1983

# Magnetism and Superconductivity



Ott  
1976

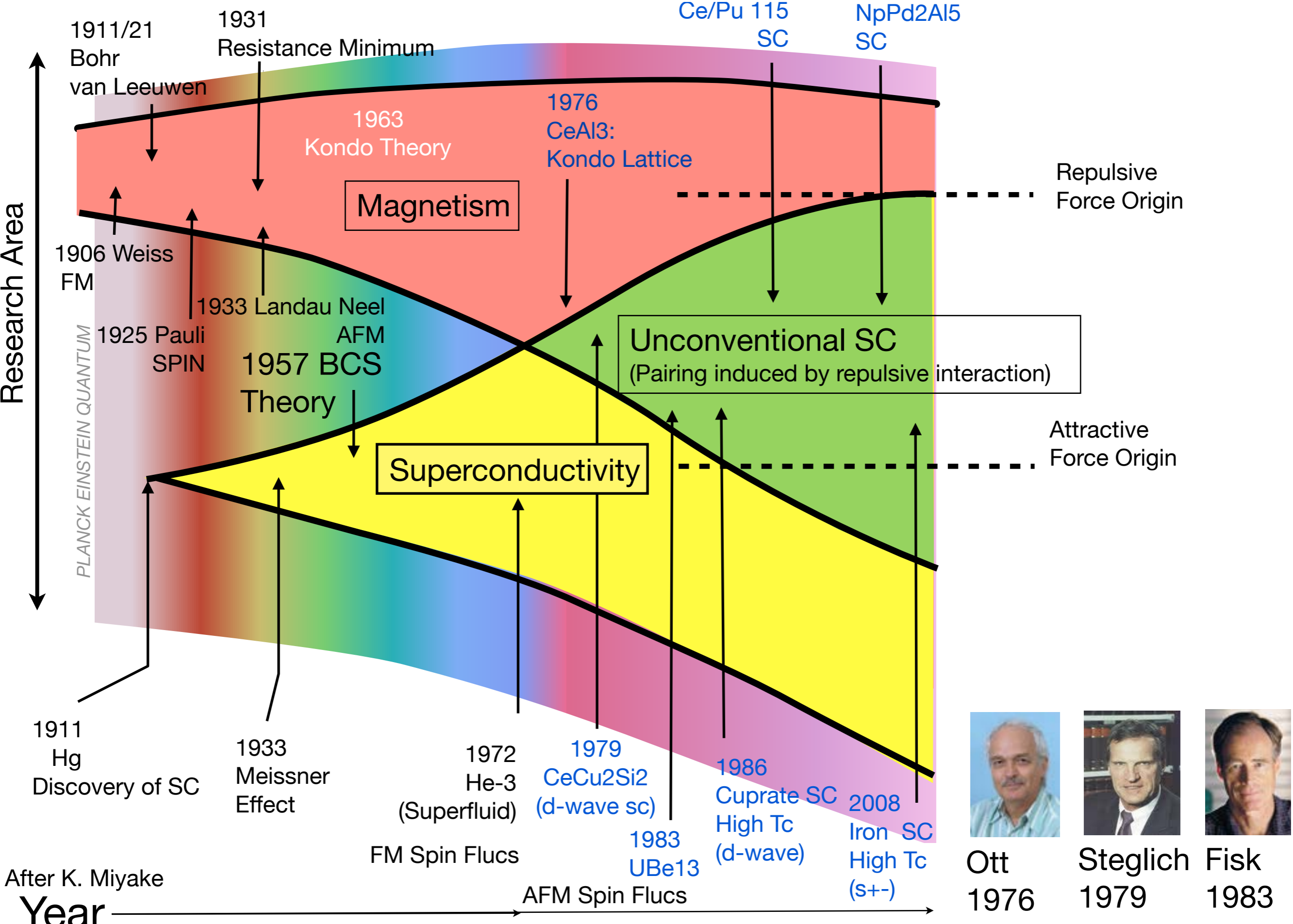


Steglich  
1979



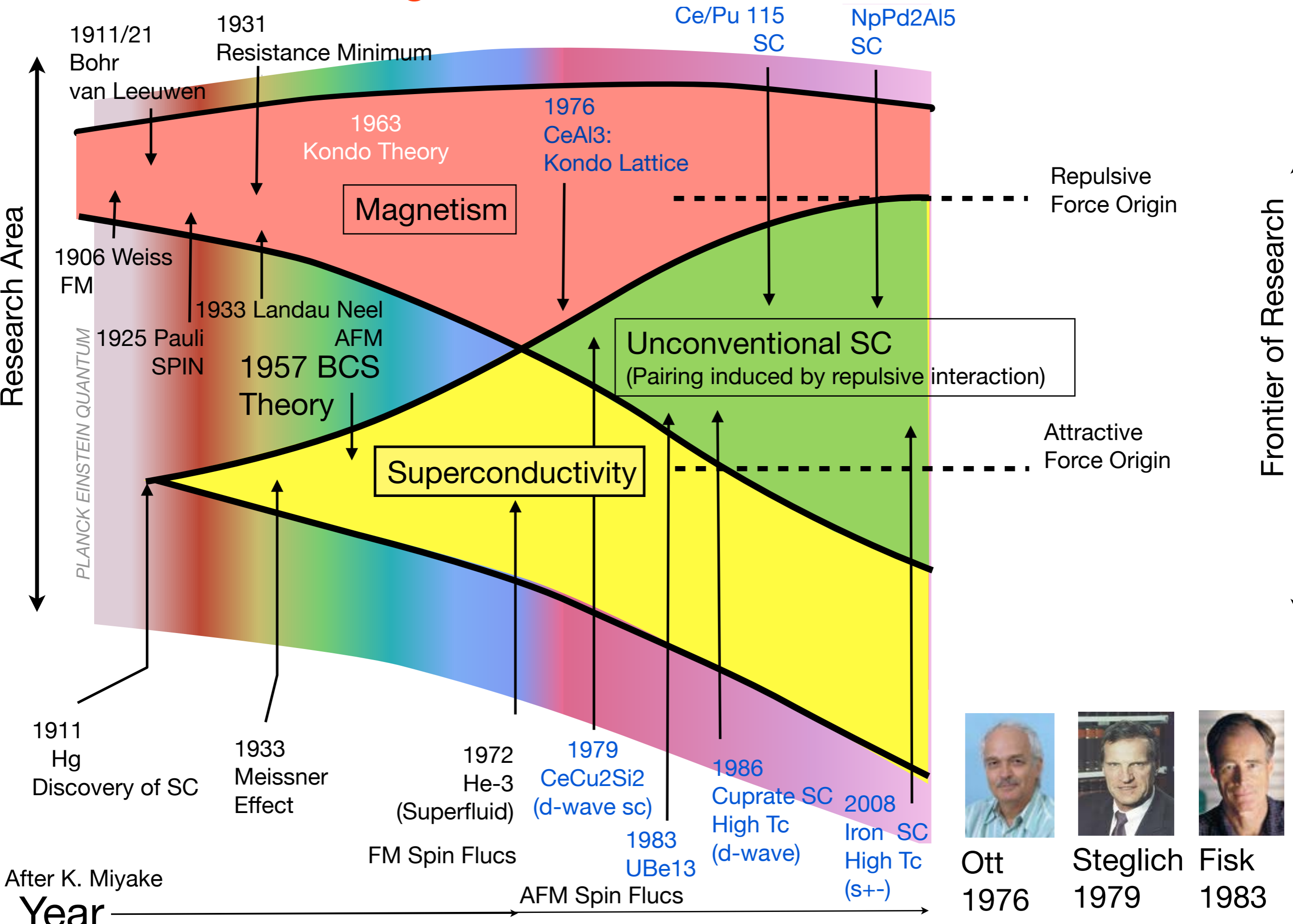
Fisk  
1983

# A remarkable convergence of two fields.

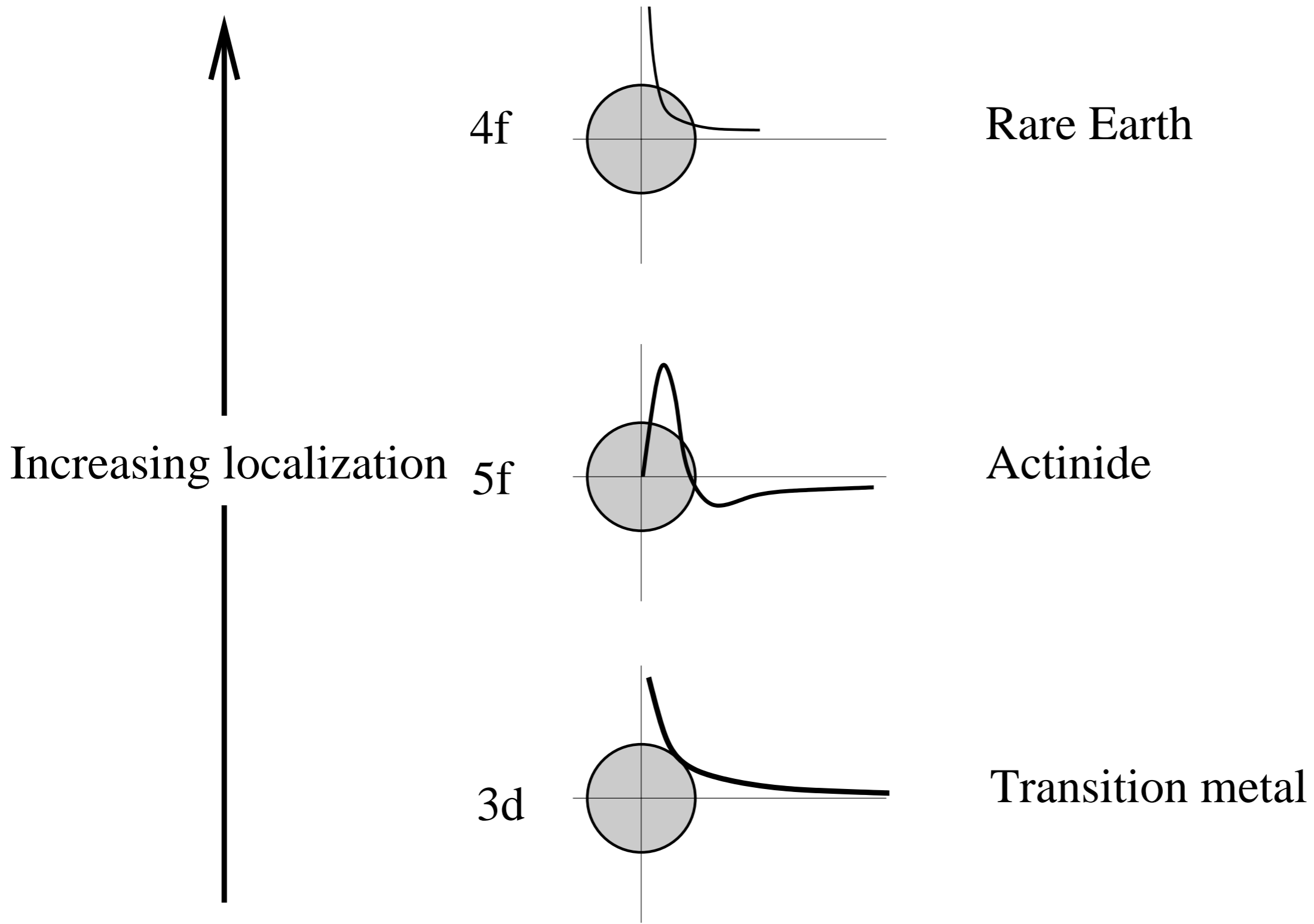


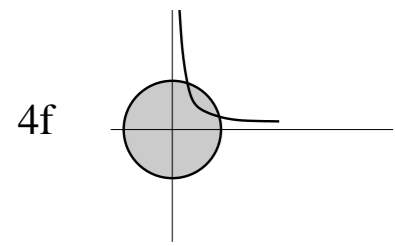


# A remarkable convergence of two fields.

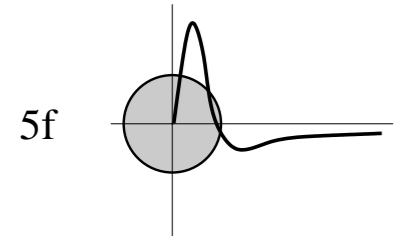


# Electrons on the brink of localization

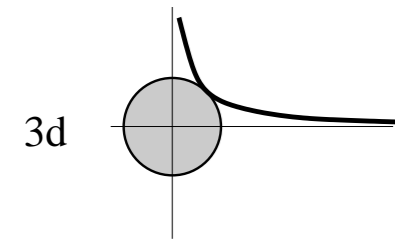




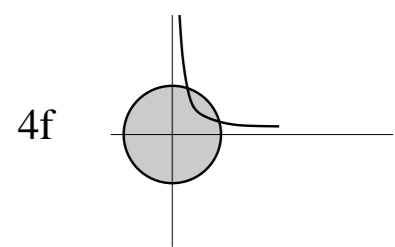
Rare Earth



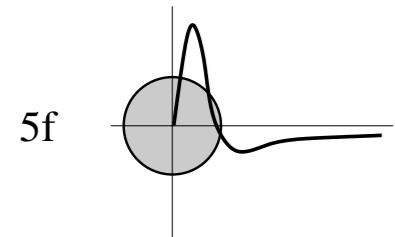
Actinide



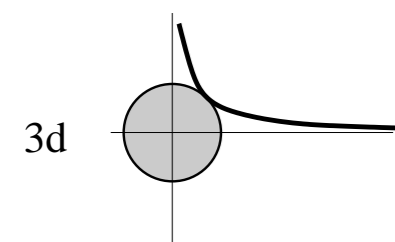
Transition metal



Rare Earth



Actinide



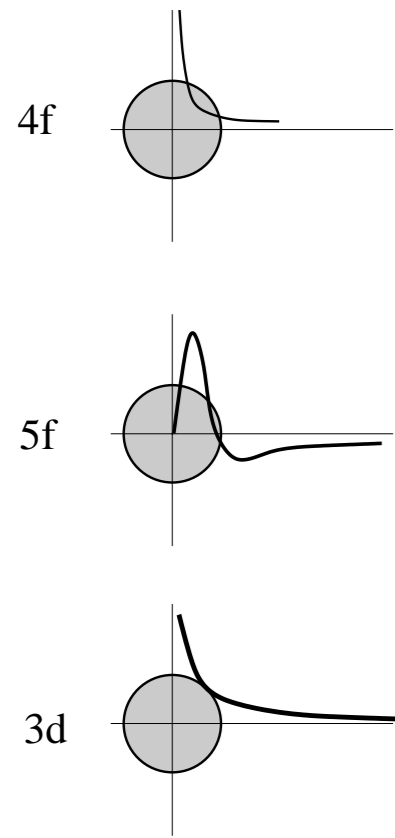
Transition metal

4f	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb
5f	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No
3d	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu				
4d	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag				
5d	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au				

Smith and Kmetko (1983)



Increasing localization →



Rare Earth

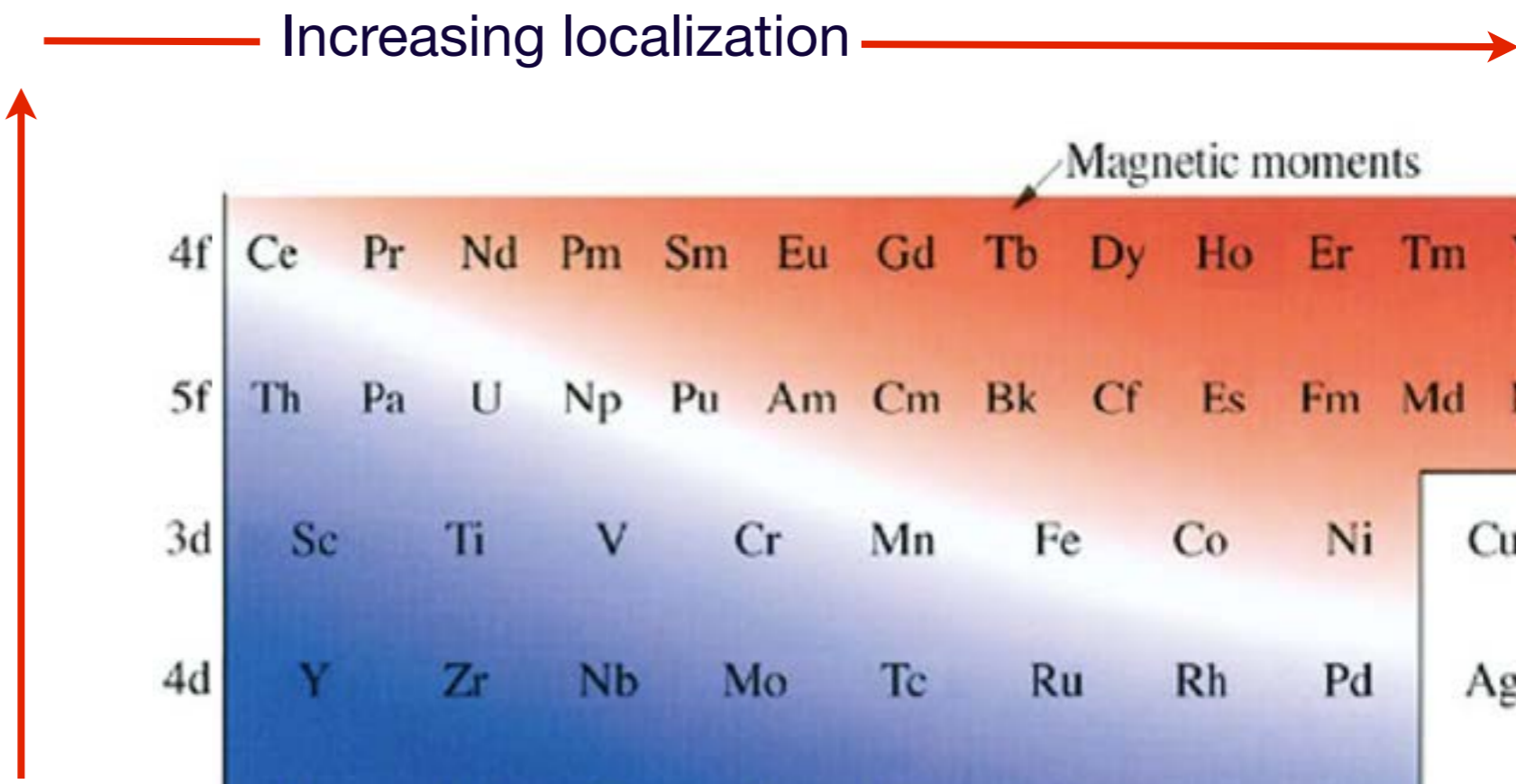
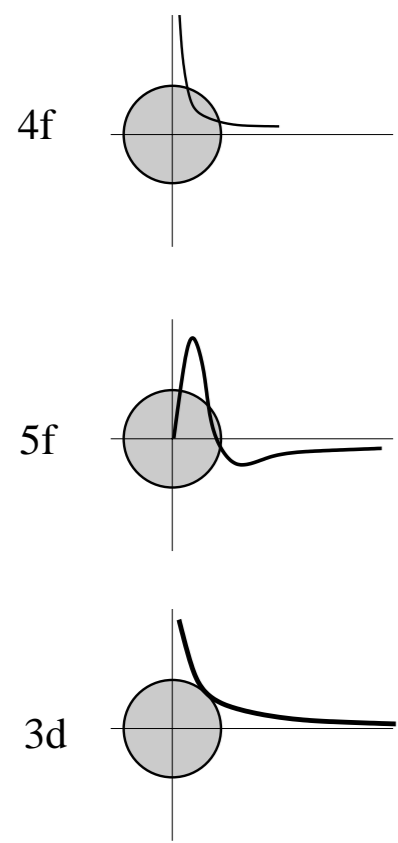
Actinide

Transition metal

↑  
Increasing  
localization

4f	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb
5f	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No
3d	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu				
4d	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag				
5d	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au				

Smith and Kmetko (1983)

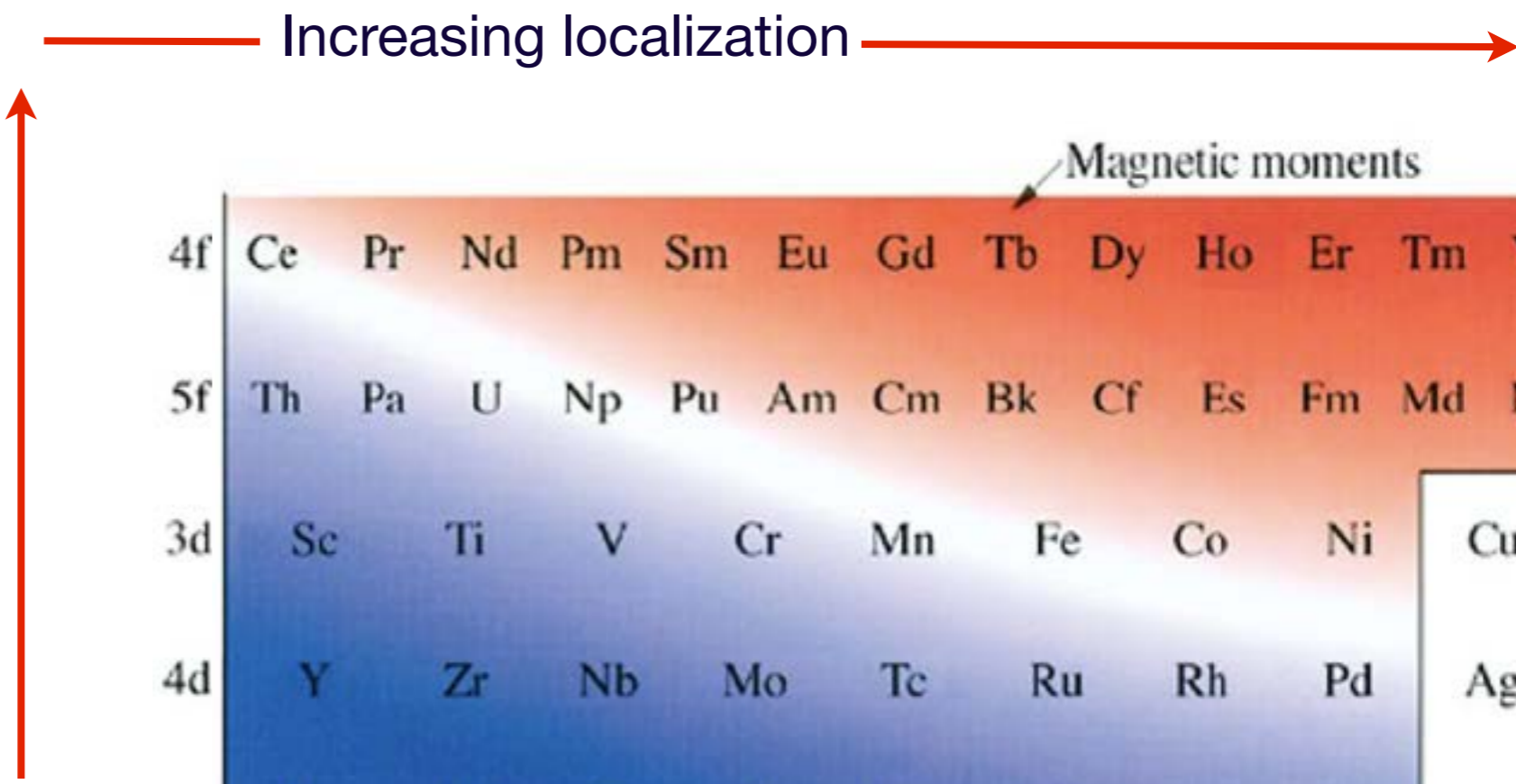
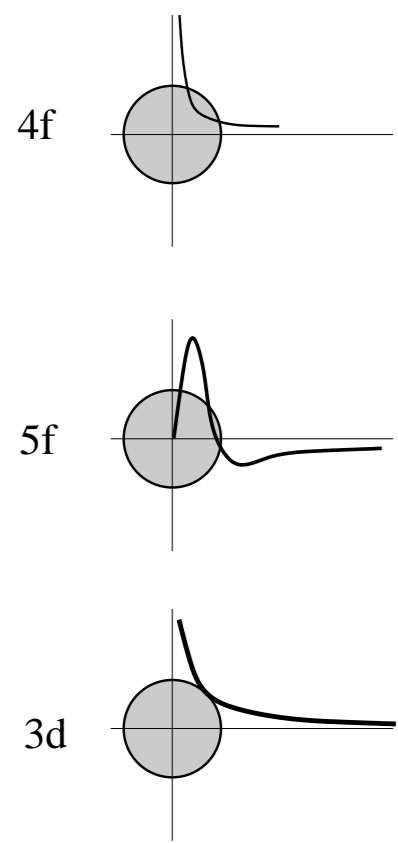


Magnetic moments

4f	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb
5f	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No
3d	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu				
4d	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag				
5d	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au				

Increasing localization

Smith and Kmetko (1983)



4f	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb
5f	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No
3d	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu				
4d	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag				
5d	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au				

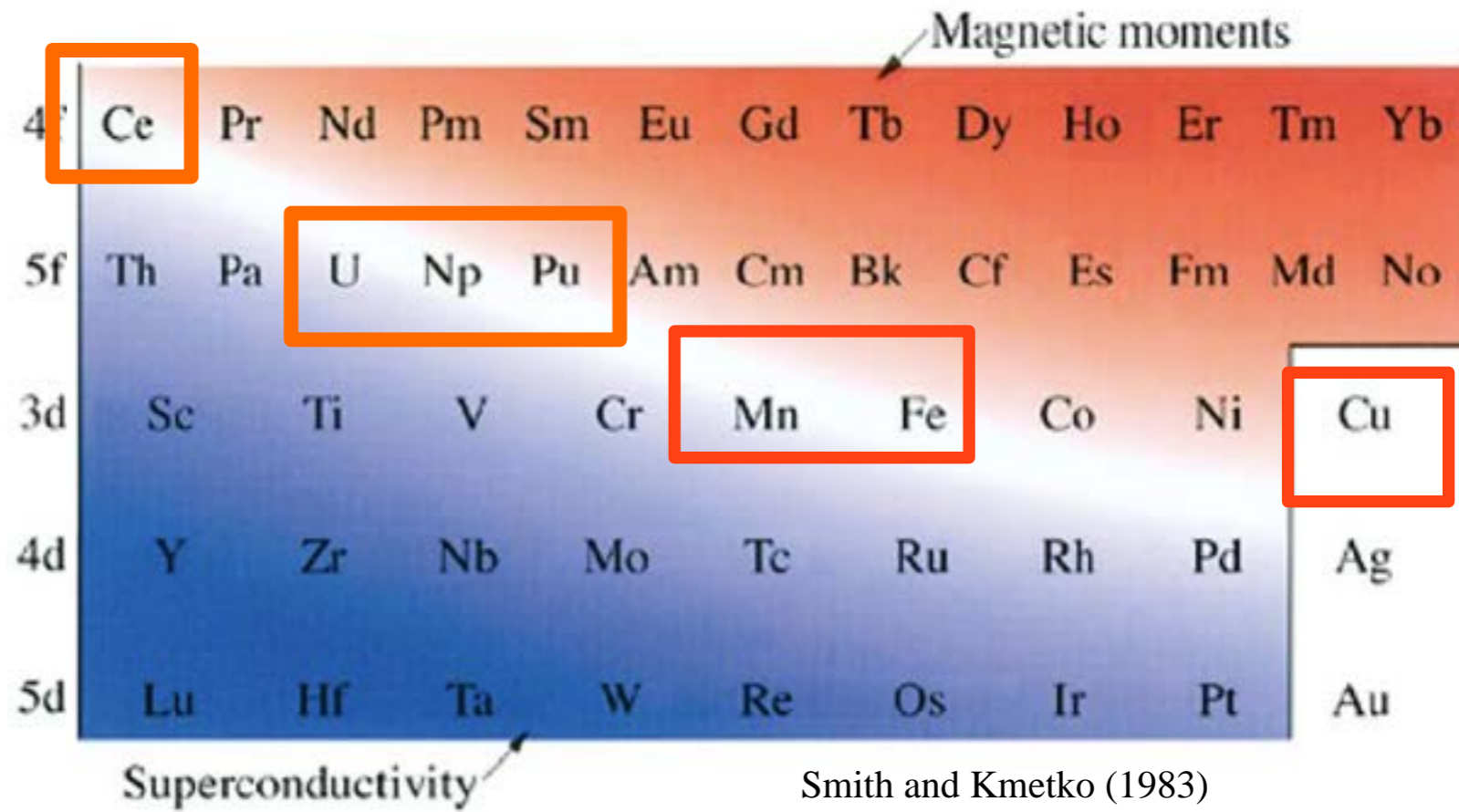
Magnetic moments

Increasing localization

Superconductivity

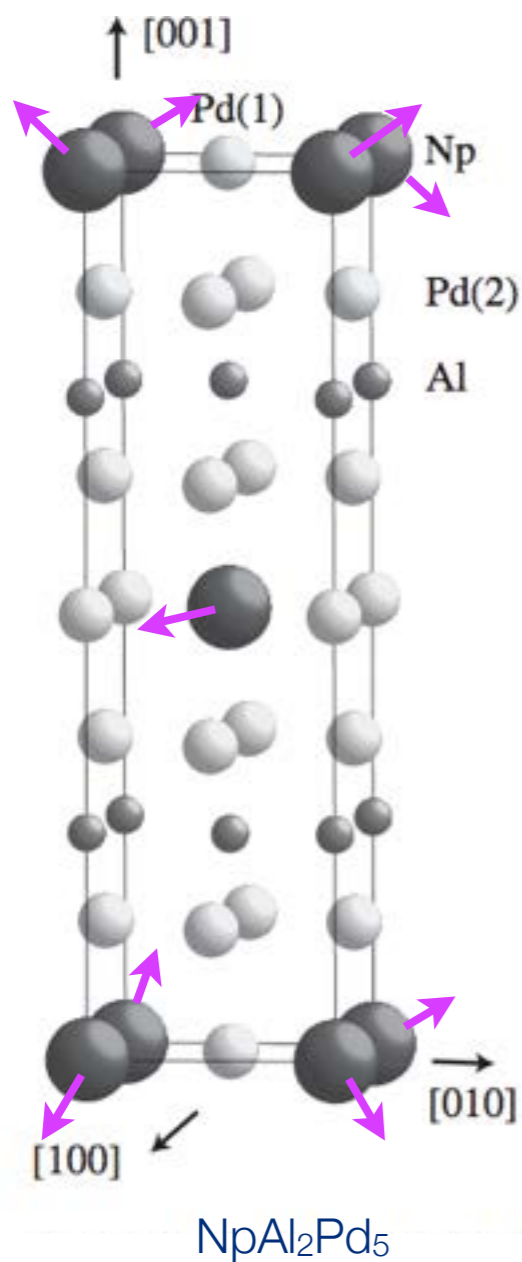
Smith and Kmetko (1983)

Increasing localization →



Diversity of new ground-states on the brink of localization.

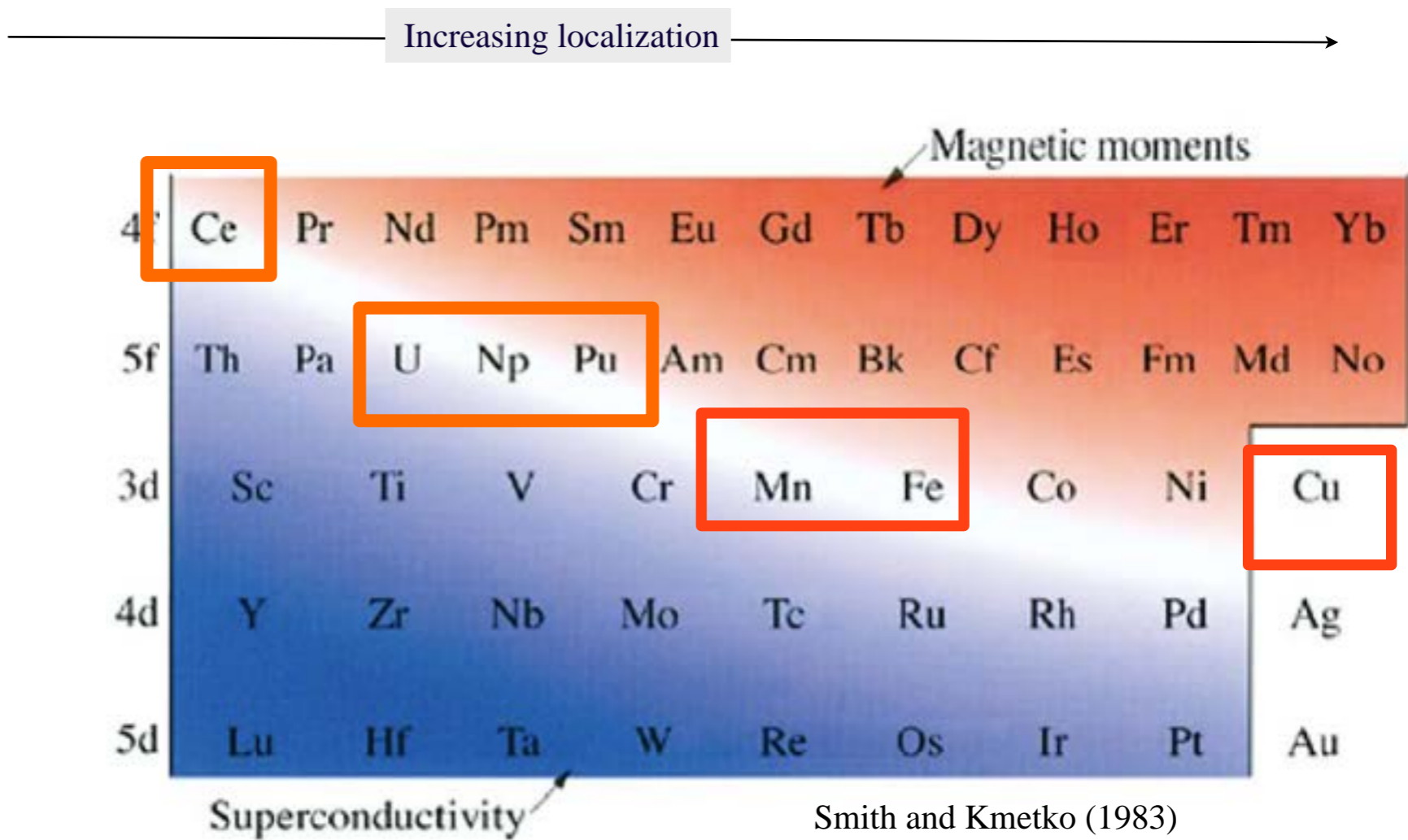




$\text{NpAl}_2\text{Pd}_5$

HF 115s

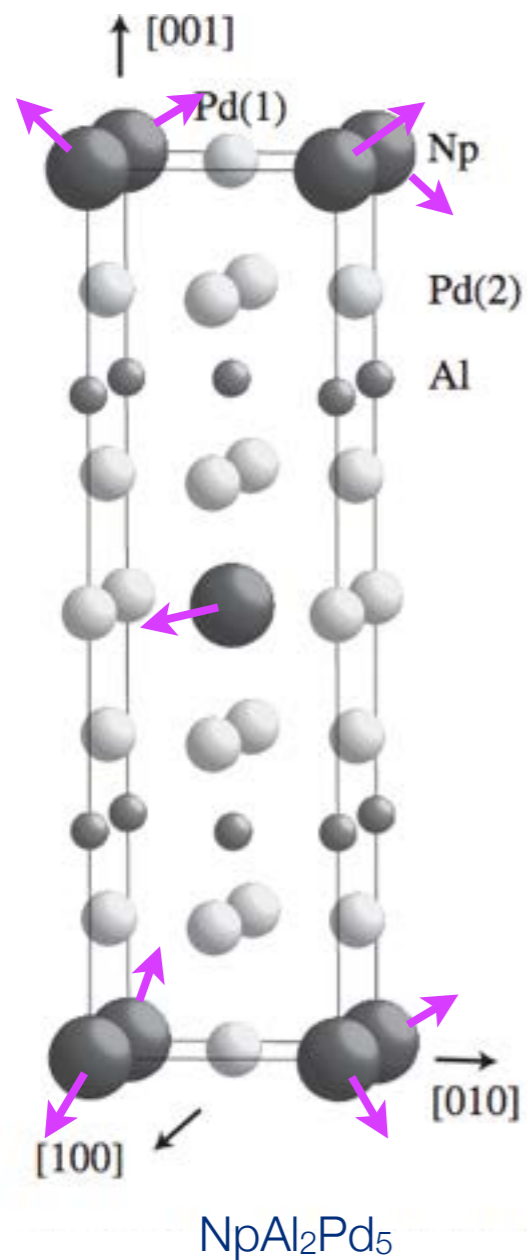
$T_c = 0.2 - 18.5 \text{ K}$



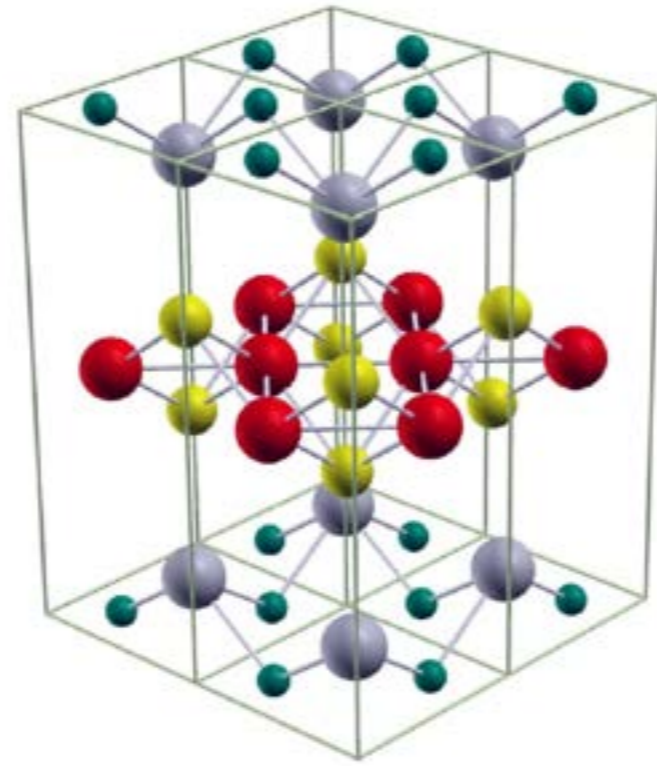
Diversity of new ground-states on the brink of localization.

f-electron systems: 4f Ce, Yb systems 5f U, Np, Pu systems.



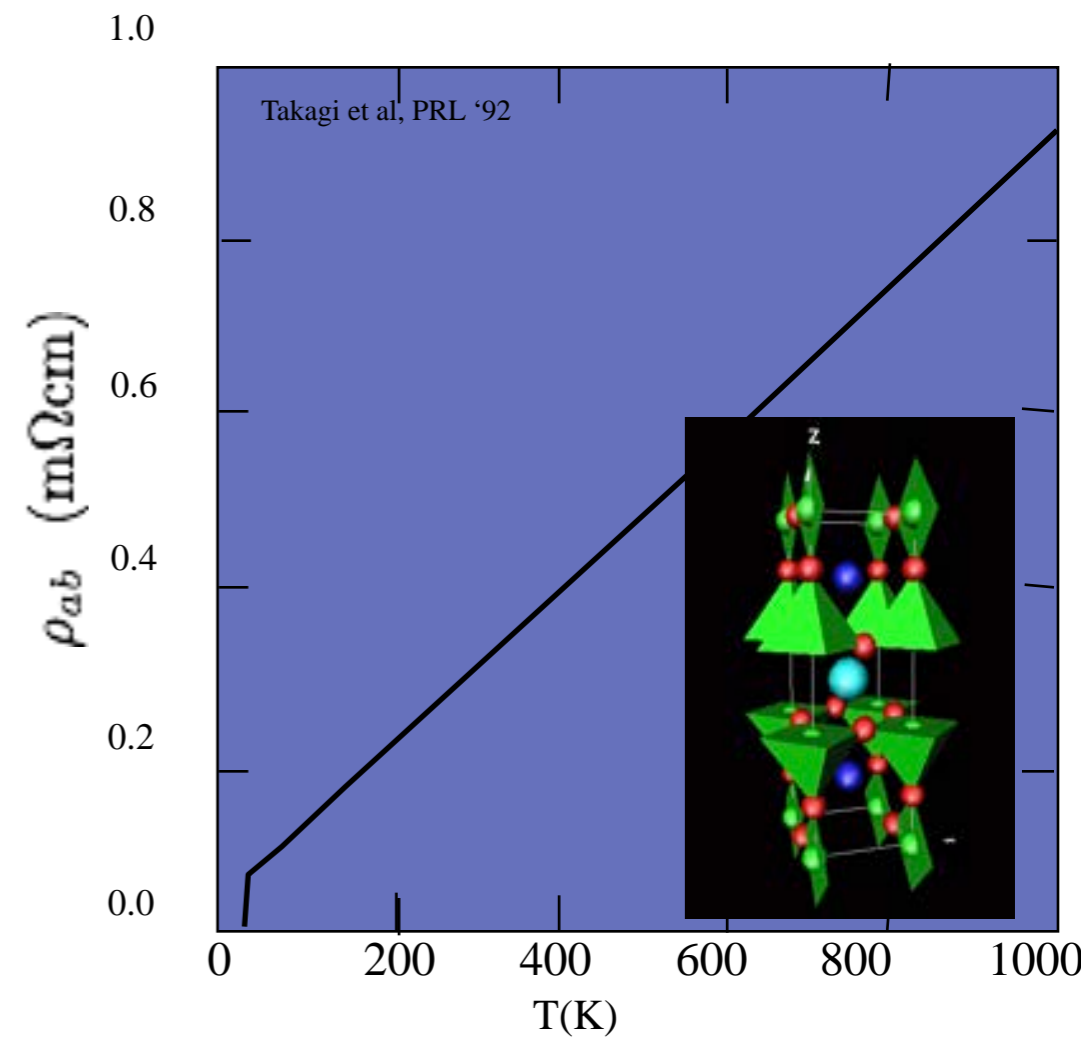


HF 115s  
 $T_c = 0.2 - 18.5$  K



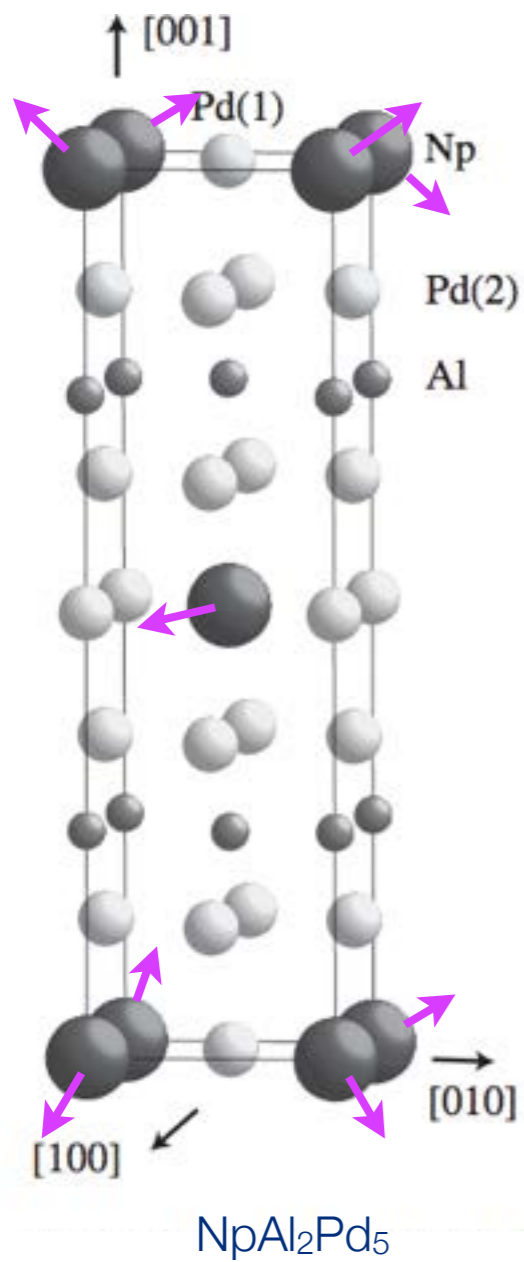
Pr  
 Z.A. Ren et.al, Beijing, (08)

Iron based sc  
 $T_c = 6 - 53$  ++ ? K

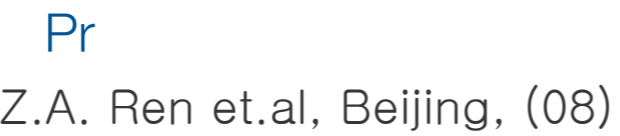


Cuprates  $T_c = 11 - 92$  K

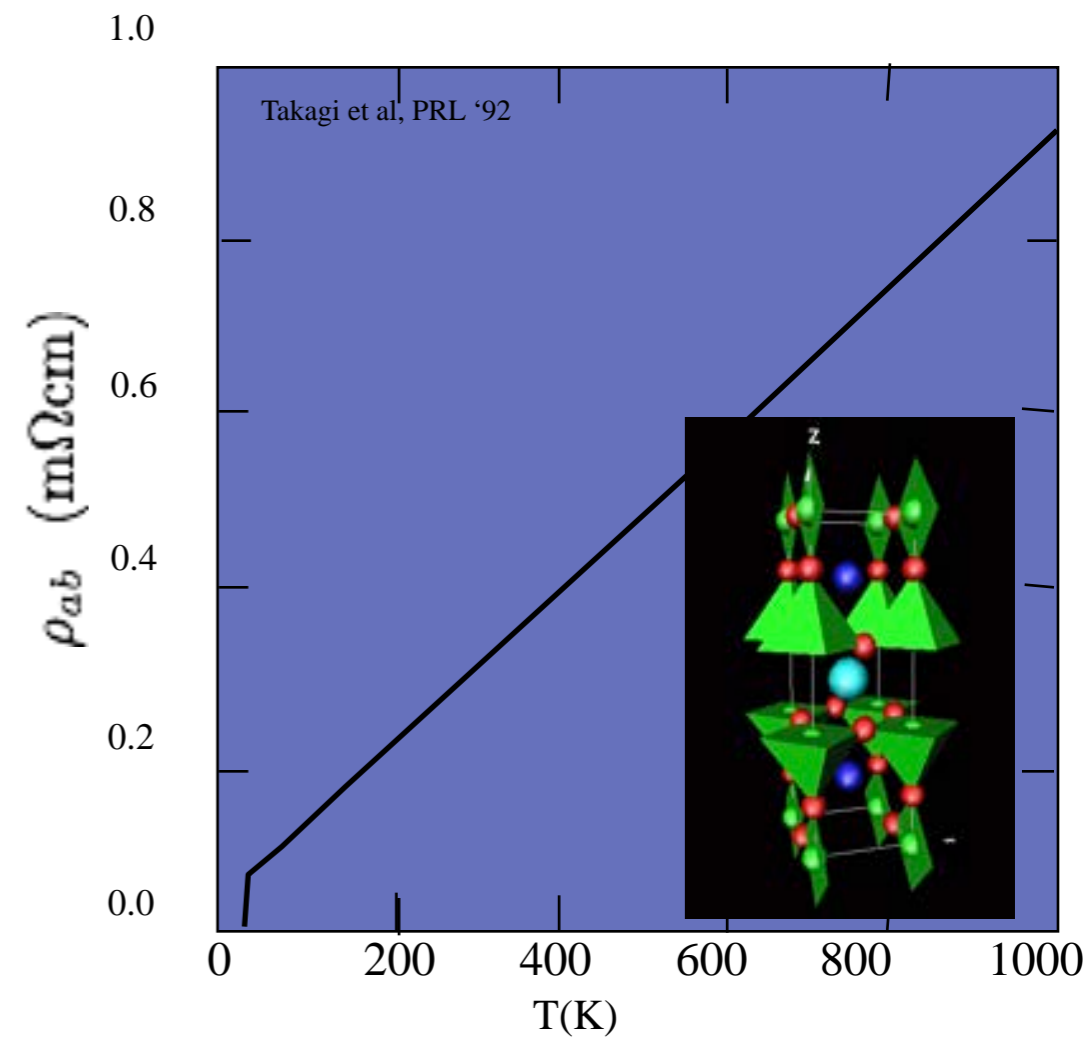
Diversity of new ground-states on the brink of localization.  
 f-electron systems: 4f Ce, Yb systems 5f U, Np, Pu systems.  
 d-electron systems: e.g Pnictides, Cuprate SC.



HF 115s  
 $T_c = 0.2 - 18.5$  K



Iron based sc  
 $T_c = 6 - 53 ++ ?$  K

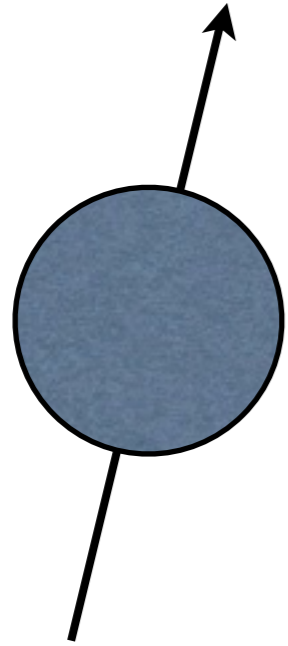


Cuprates  $T_c = 11 - 92$  K

A new era of mysteries

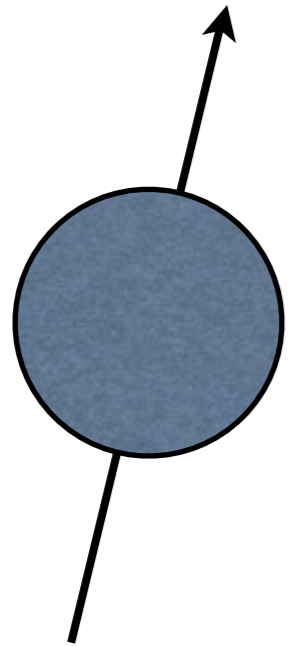
# Cartoon Introduction to Heavy Fermions

# Heavy Fermions + Kondo

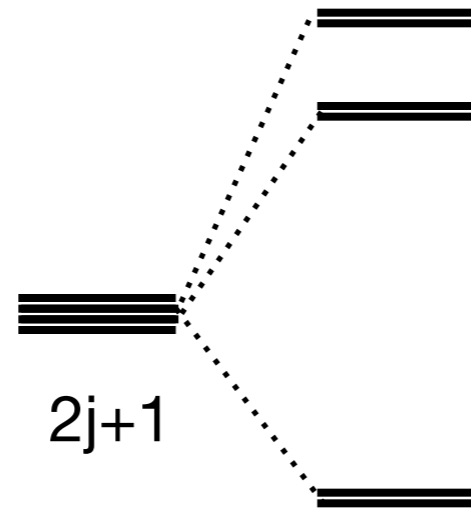


Spin (4f,5f): basic  
fabric of heavy  
electron physics.

# Heavy Fermions + Kondo

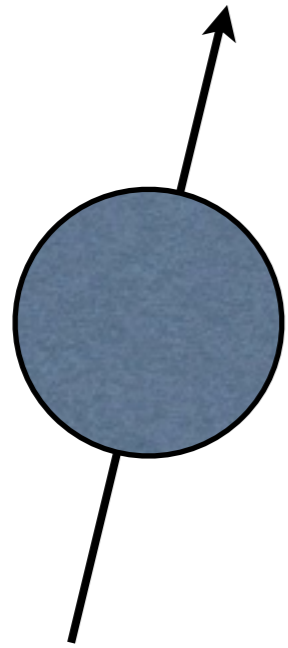


Spin (4f,5f): basic fabric of heavy electron physics.

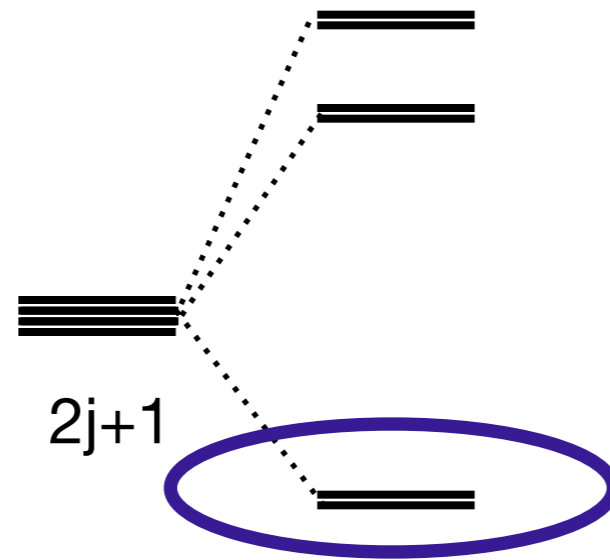




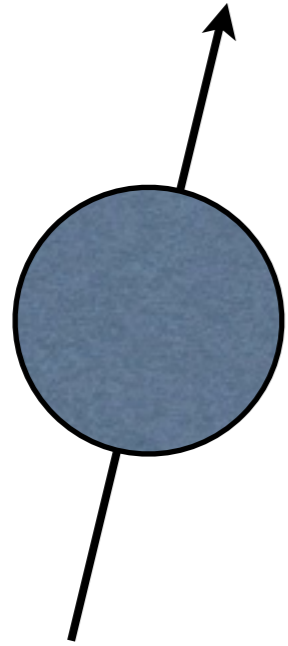
# Heavy Fermions + Kondo



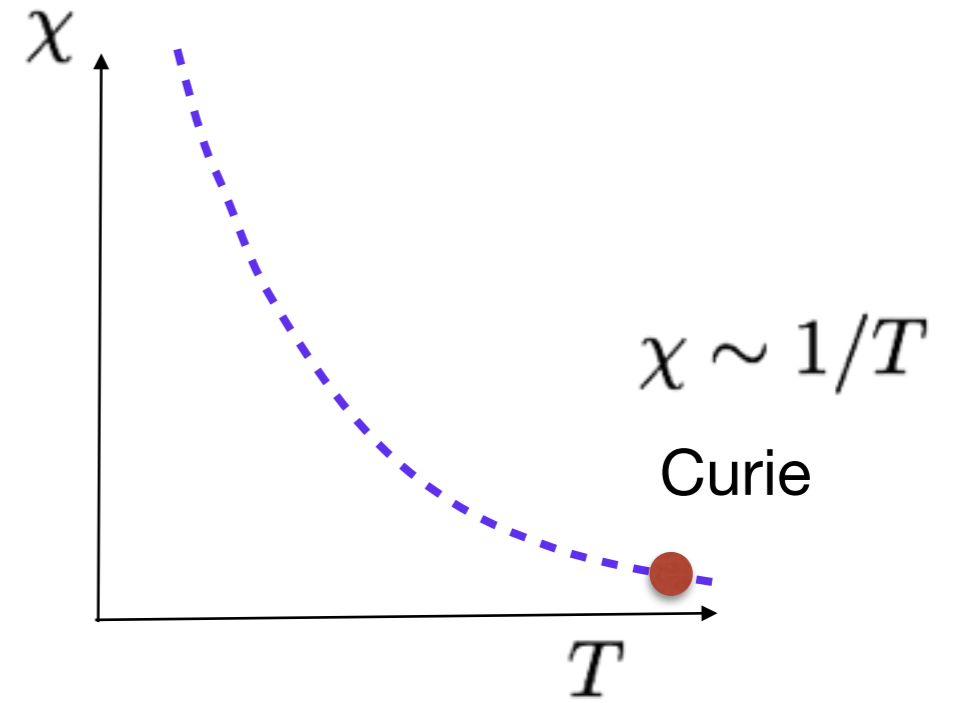
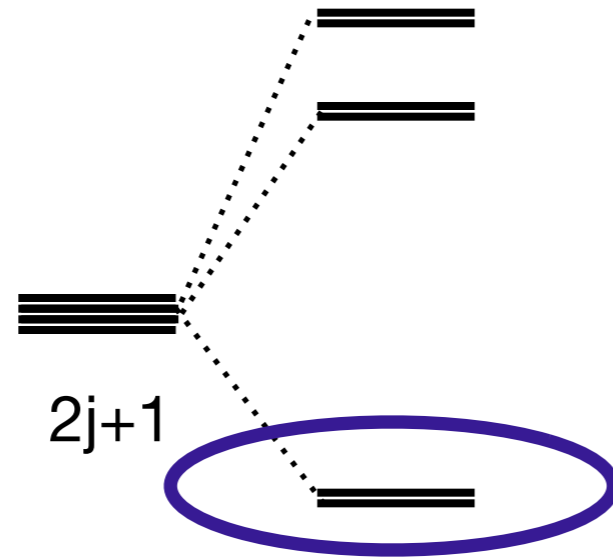
Spin (4f,5f): basic fabric of heavy electron physics.



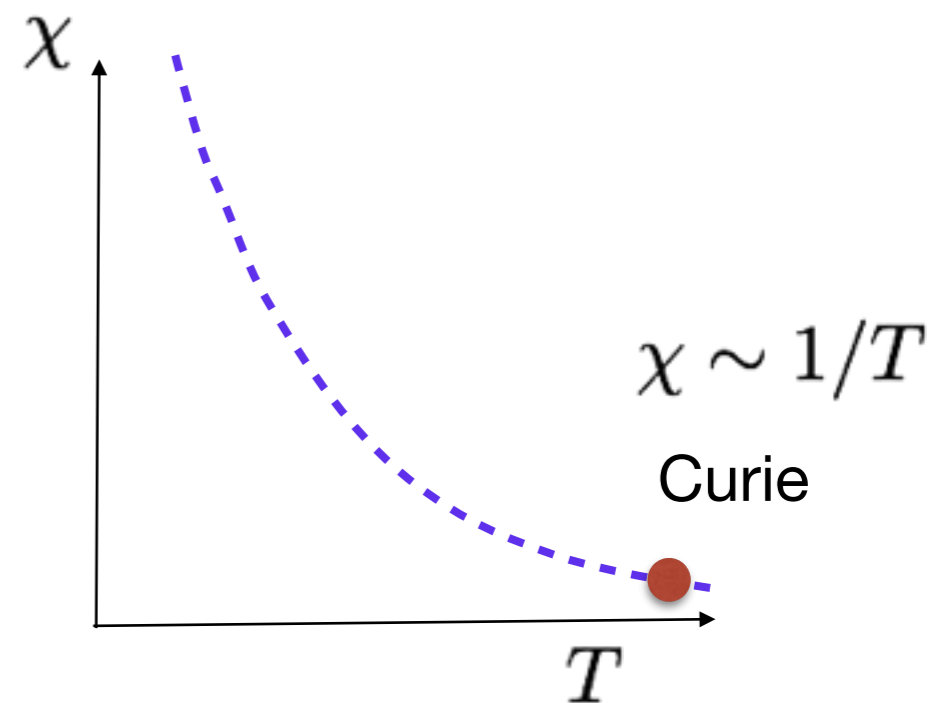
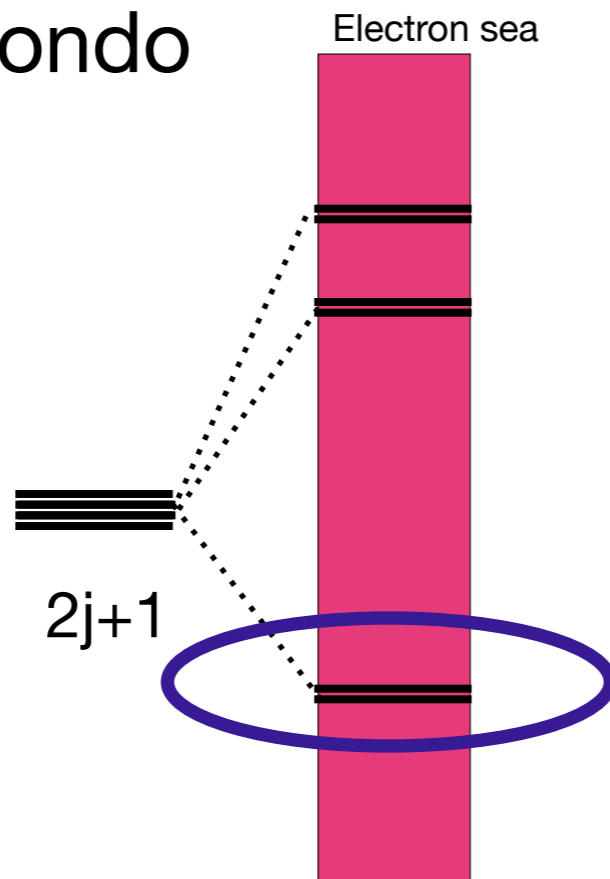
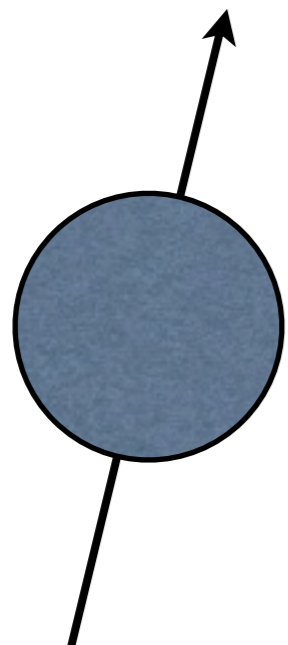
# Heavy Fermions + Kondo



Spin (4f,5f): basic fabric of heavy electron physics.



# Heavy Fermions + Kondo



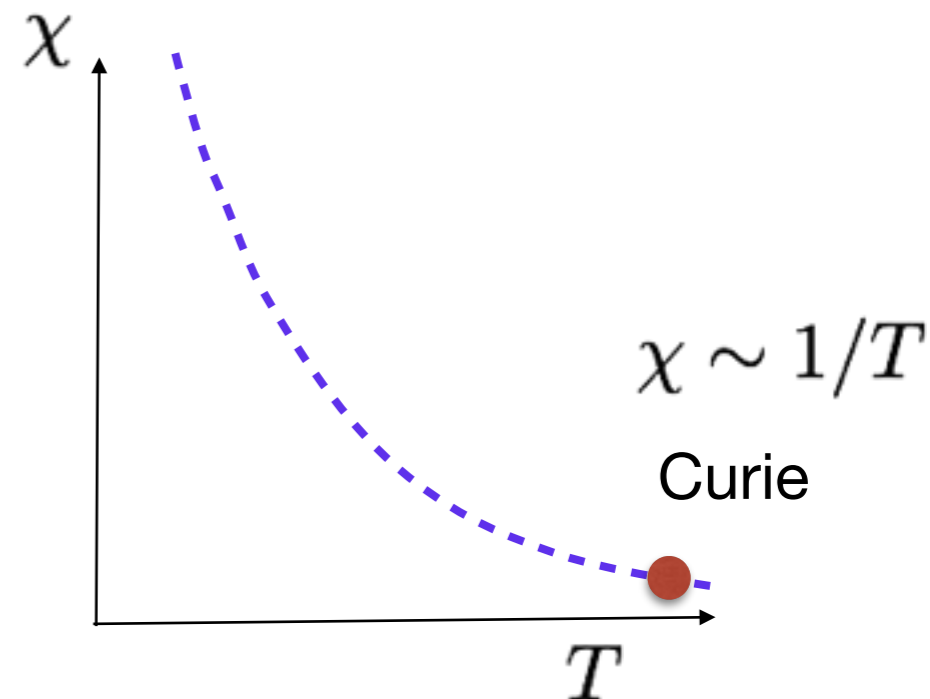
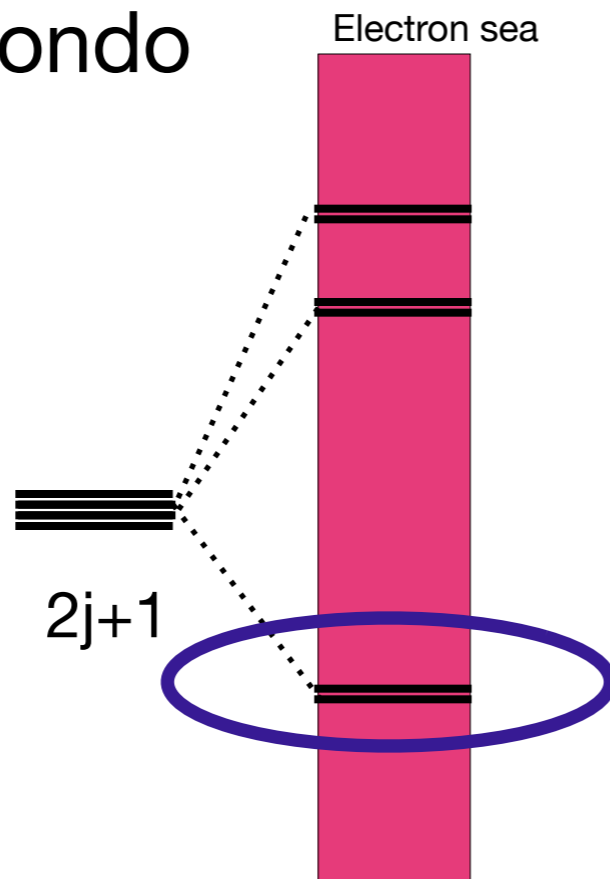
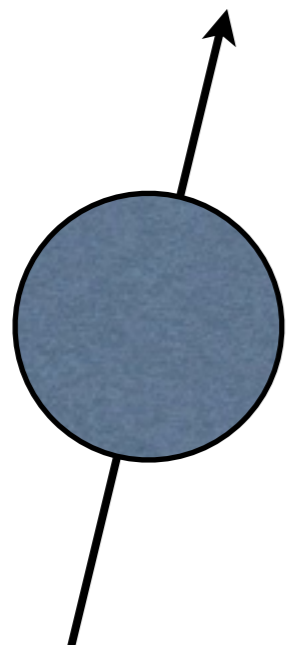
Spin (4f,5f): basic fabric of heavy electron physics.

Scales to Strong Coupling

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \vec{S} \cdot \vec{\sigma}(0)$$

J. Kondo, 1962

# Heavy Fermions + Kondo

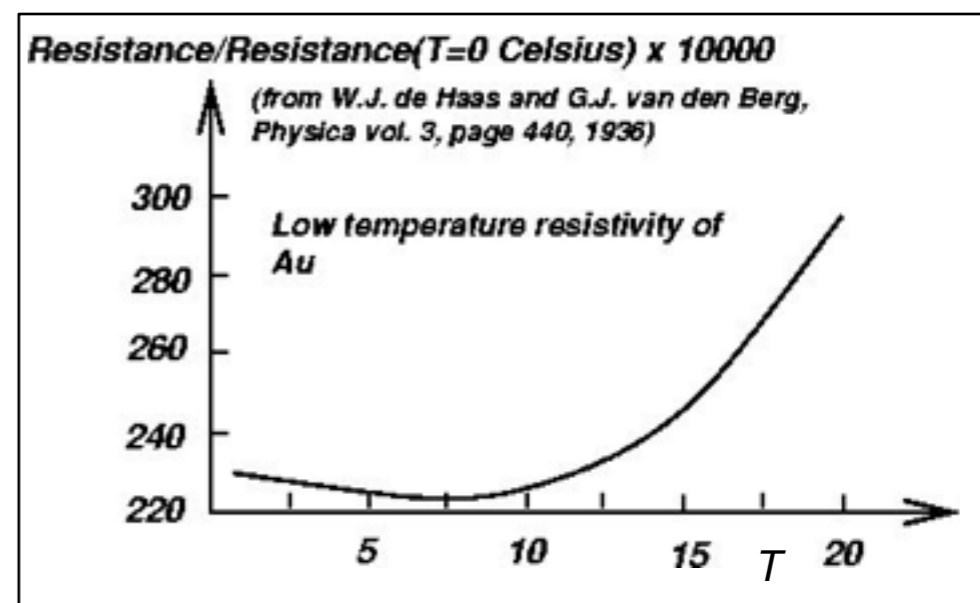


Spin (4f,5f): basic fabric of heavy electron physics.

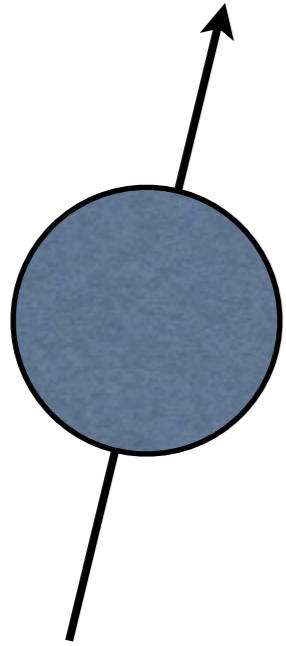
Scales to Strong Coupling

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \vec{S} \cdot \vec{\sigma}(0)$$

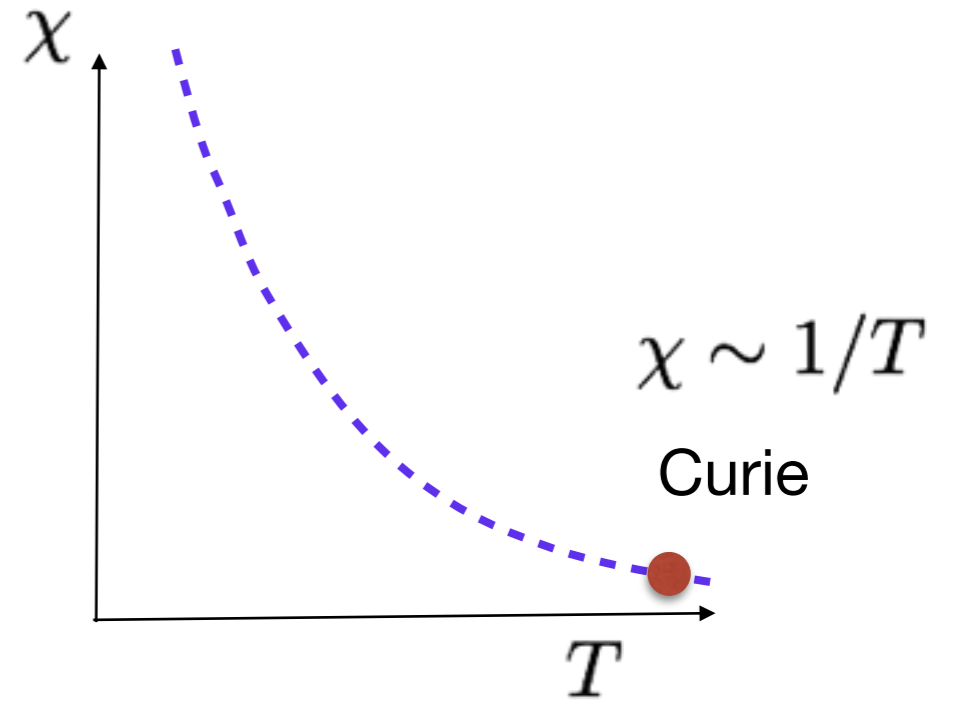
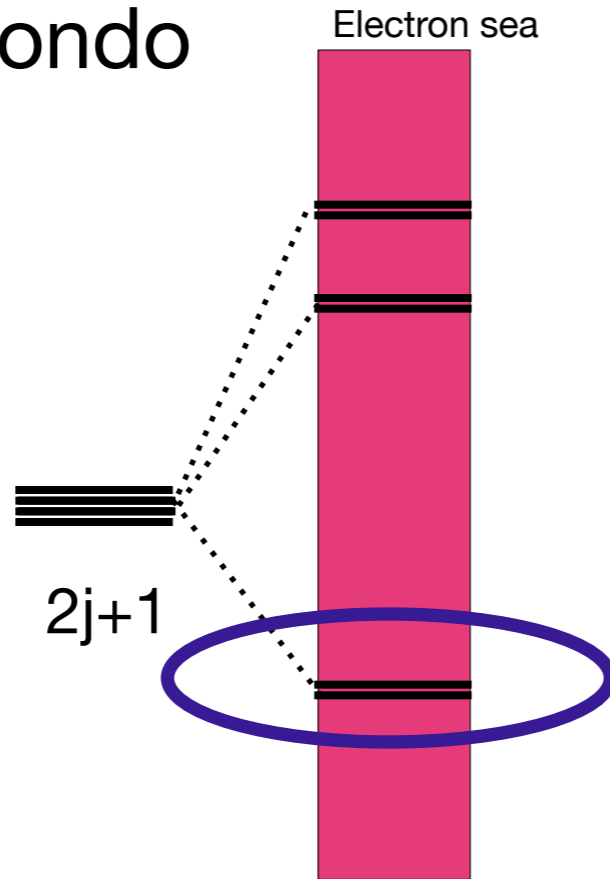
J. Kondo, 1962



# Heavy Fermions + Kondo



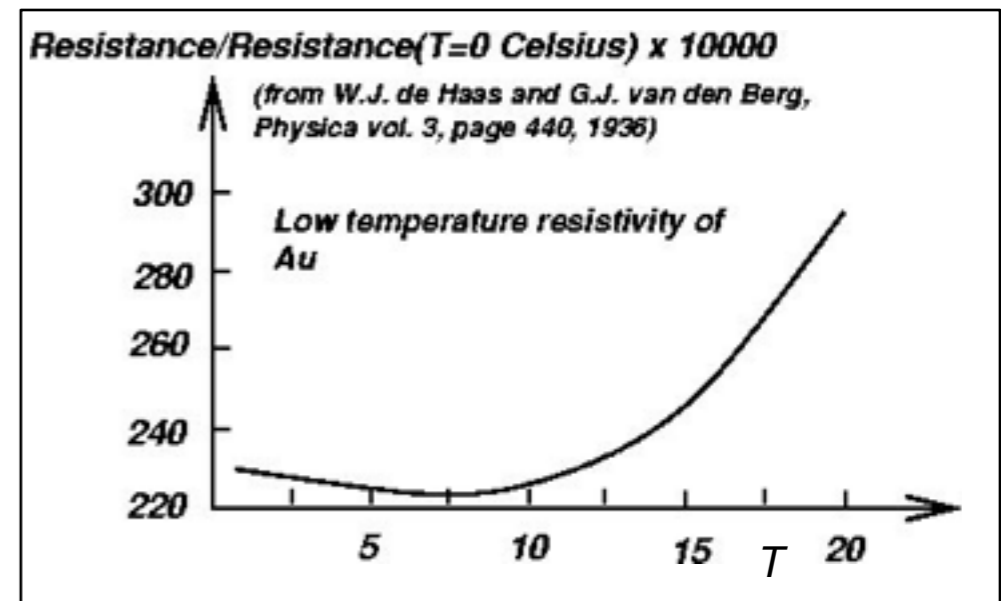
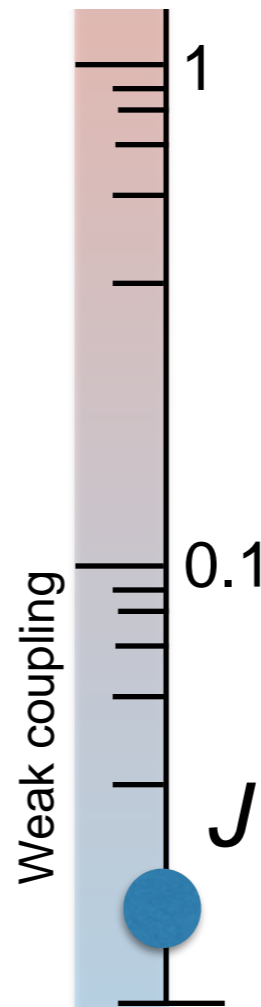
Spin (4f,5f): basic fabric of heavy electron physics.



Scales to Strong Coupling

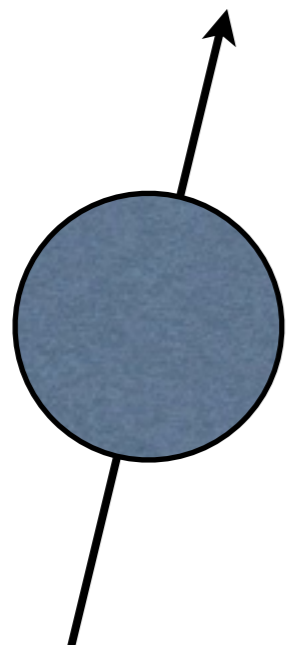
$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \vec{S} \cdot \vec{\sigma}(0)$$

J. Kondo, 1962

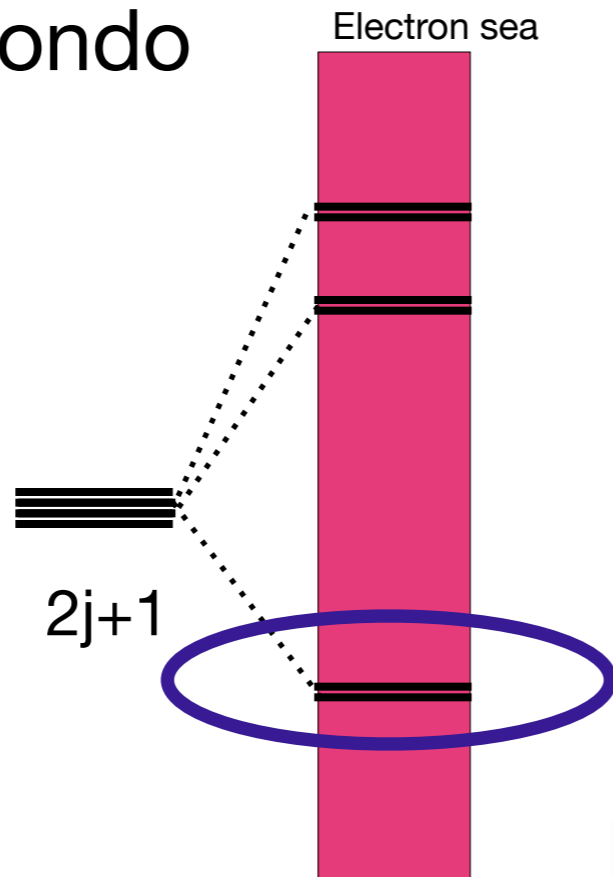




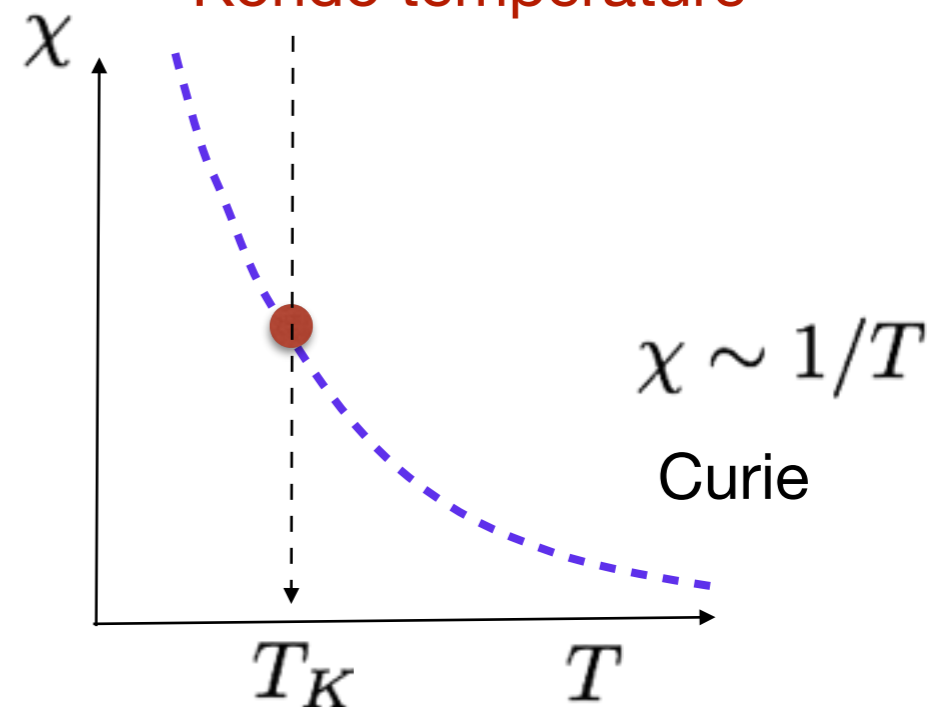
# Heavy Fermions + Kondo



Spin (4f,5f): basic fabric of heavy electron physics.



“Kondo temperature”

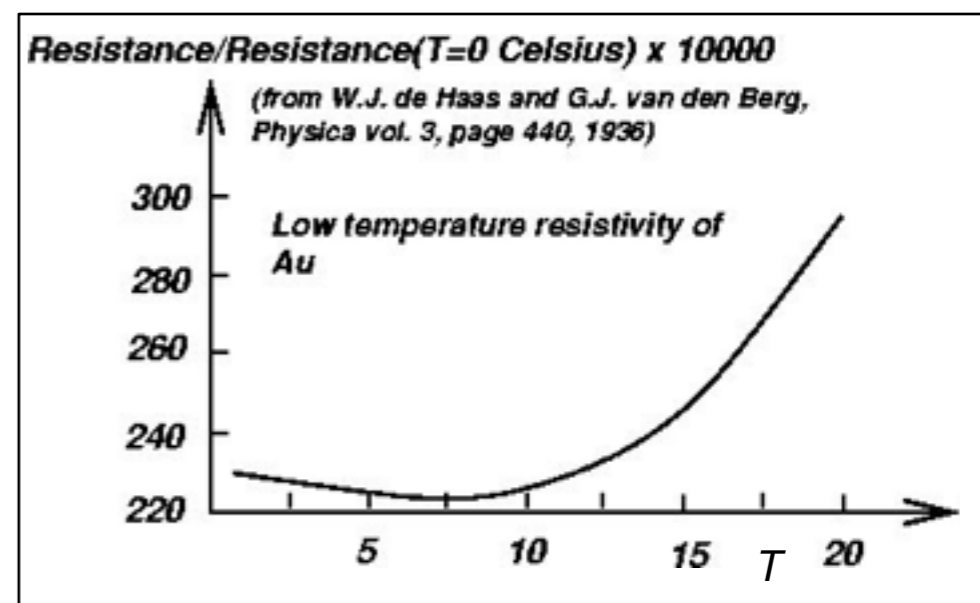
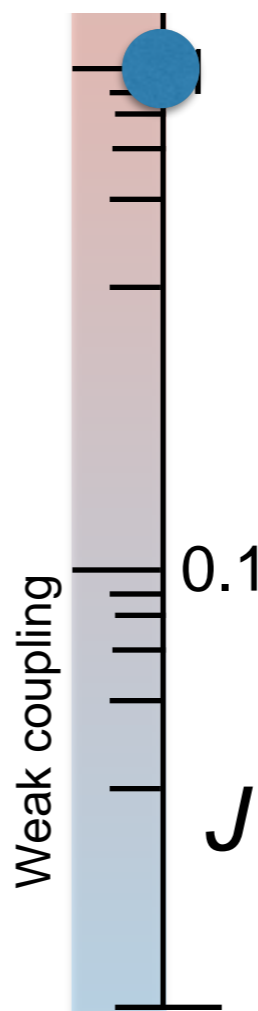


$$T_K = W \sqrt{J\rho} e^{-\frac{1}{2J\rho}}$$

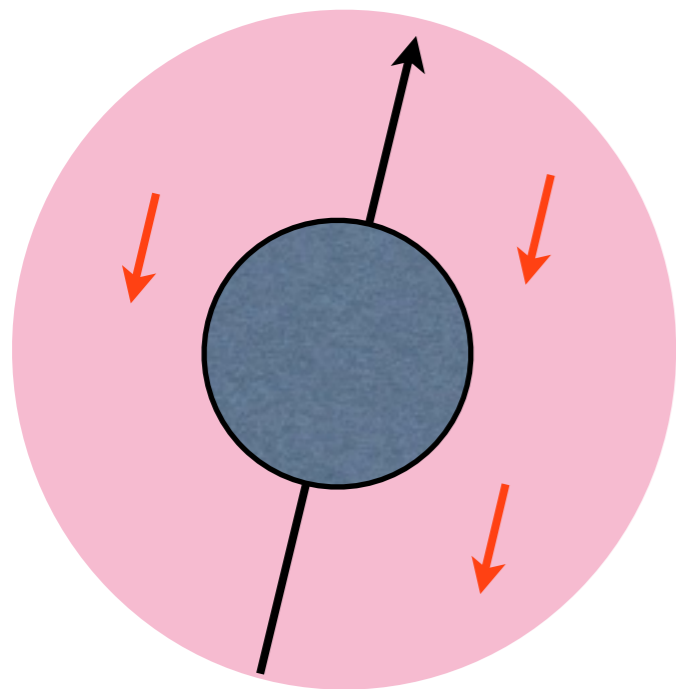
Scales to Strong Coupling

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \vec{S} \cdot \vec{\sigma}(0)$$

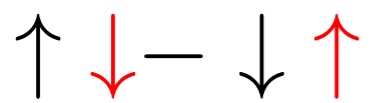
J. Kondo, 1962



# Heavy Fermions + Kondo

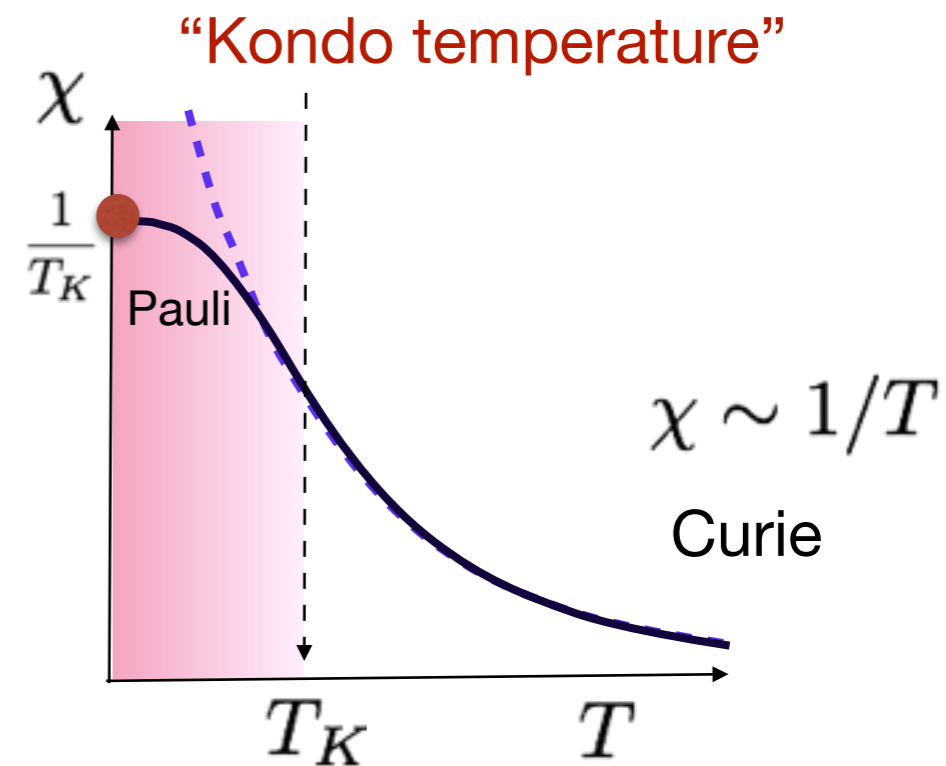
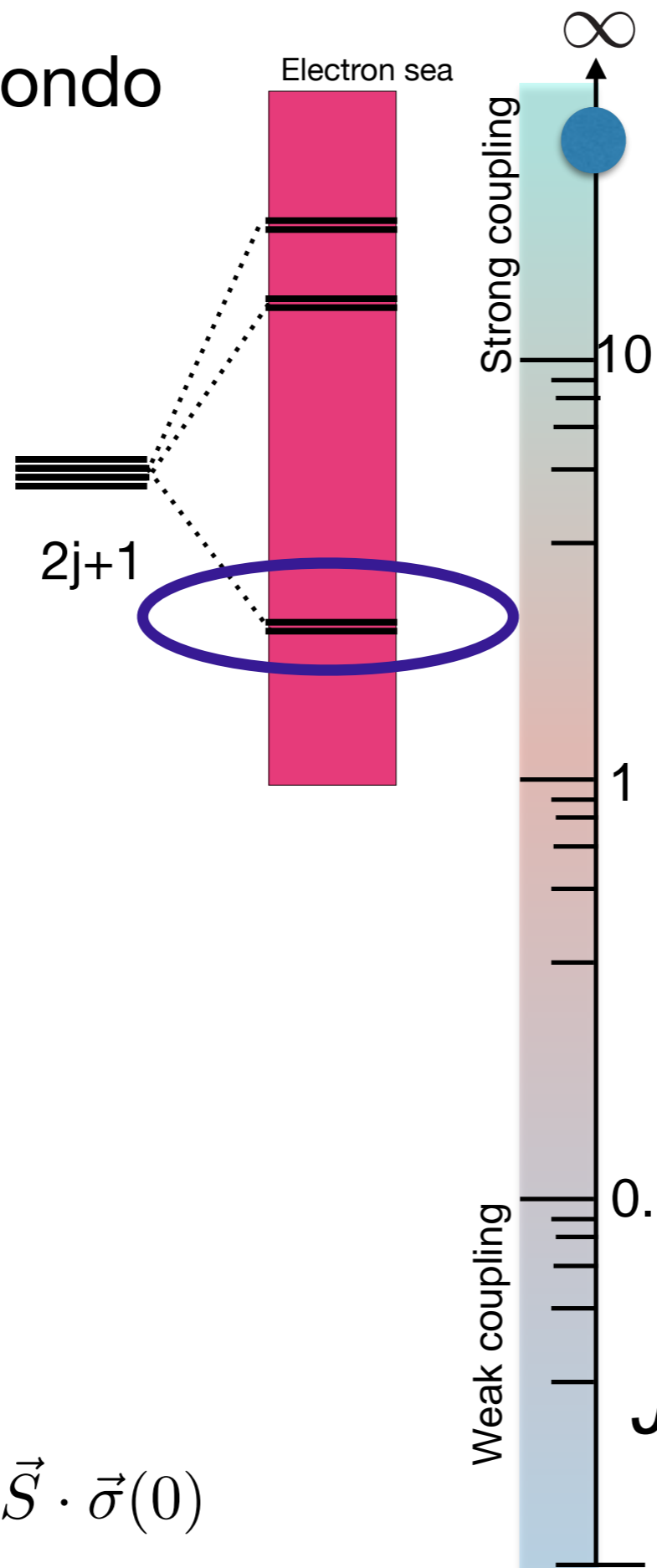


Spin screened by conduction electrons: entangled



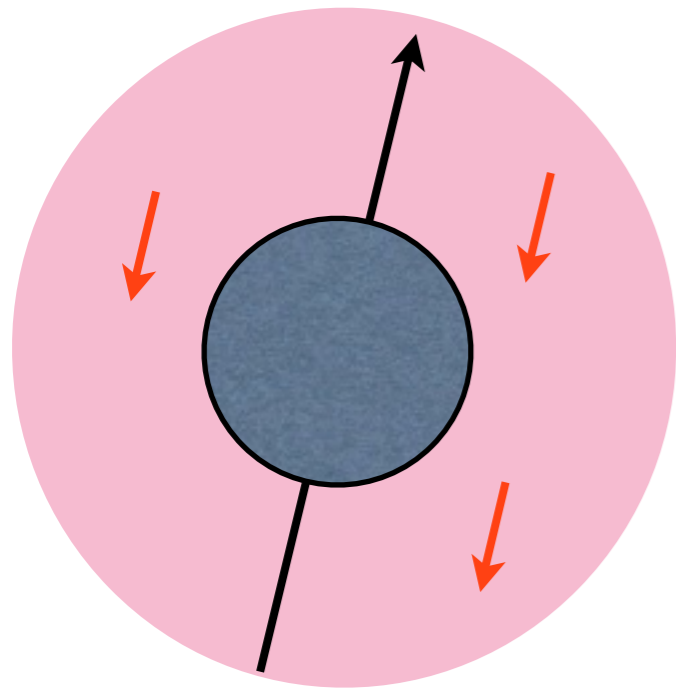
$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \vec{S} \cdot \vec{\sigma}(0)$$

J. Kondo, 1962



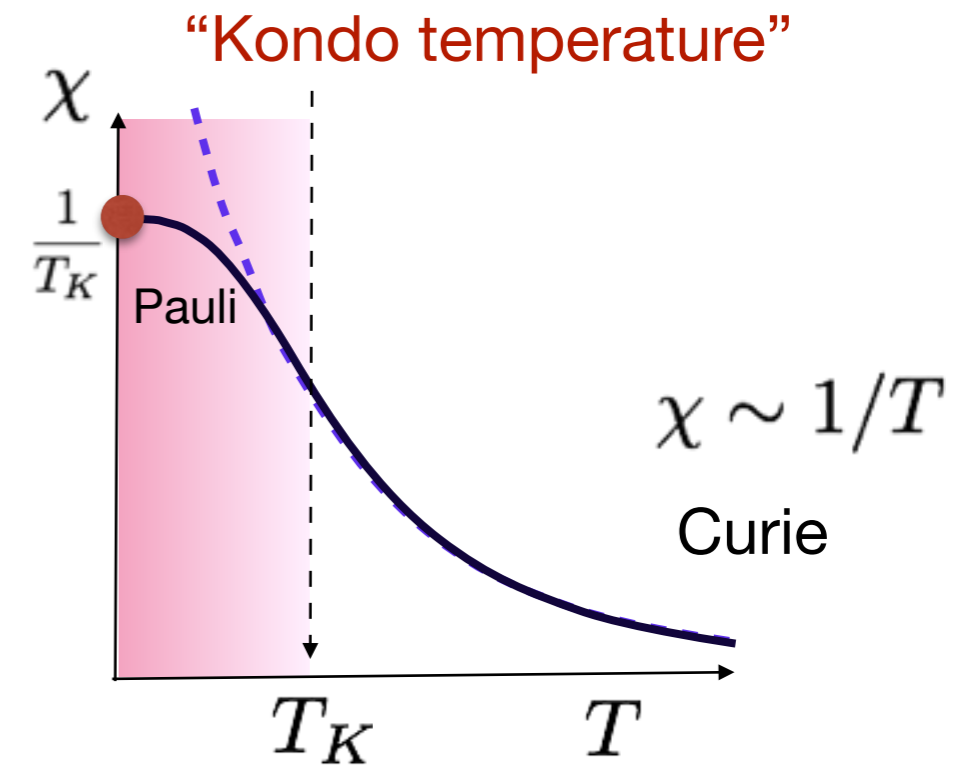
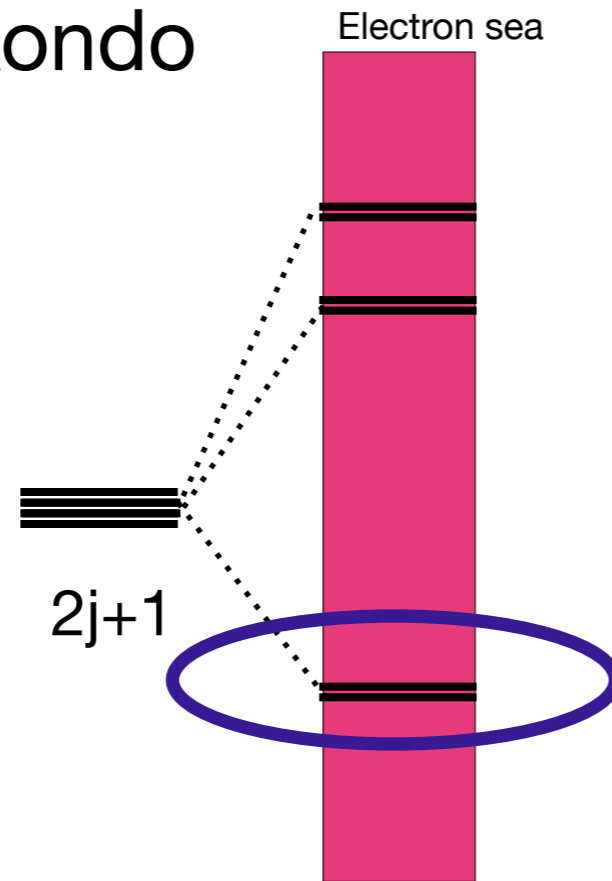
$$T_K = W \sqrt{J\rho} e^{-\frac{1}{2J\rho}}$$

# Heavy Fermions + Kondo



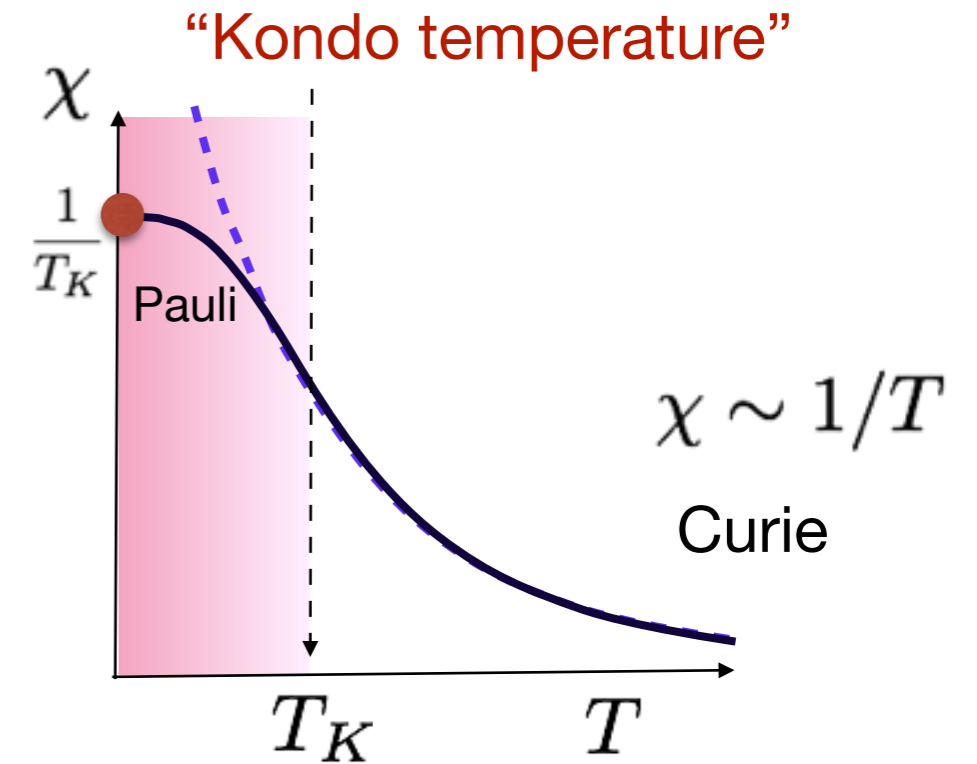
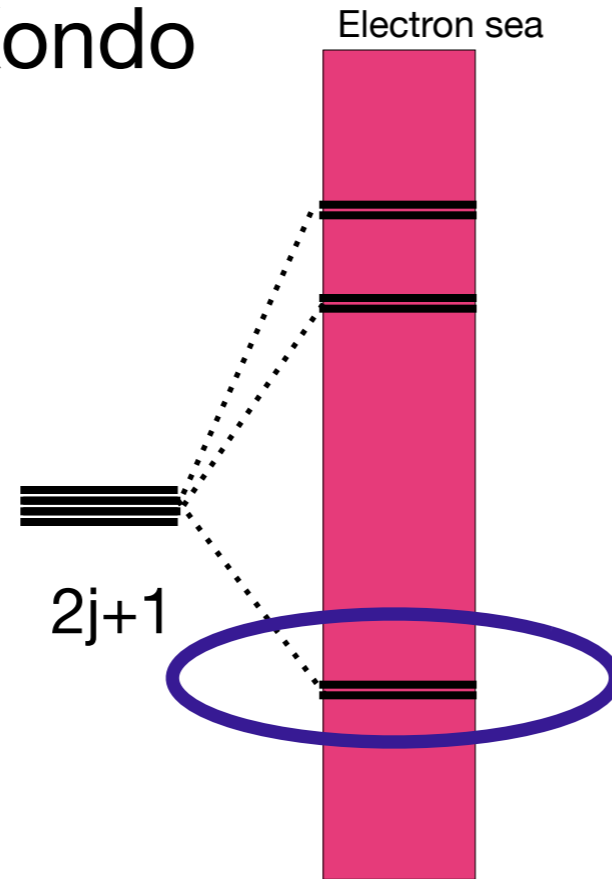
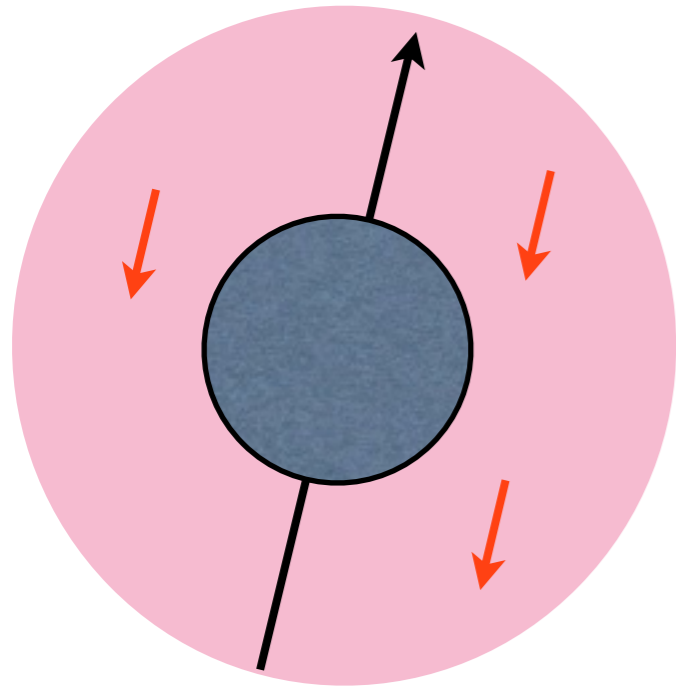
Spin screened by conduction electrons: entangled

$\uparrow \downarrow - \downarrow \uparrow$



$$T_K = W \sqrt{J\rho} e^{-\frac{1}{2J\rho}}$$

# Heavy Fermions + Kondo



$$T_K = W \sqrt{J\rho} e^{-\frac{1}{2J\rho}}$$

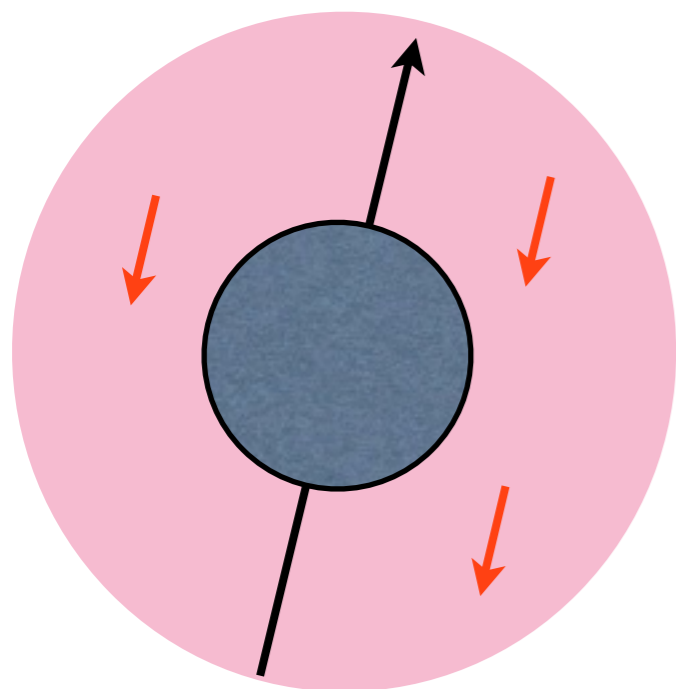
Spin screened by conduction electrons: entangled

↑ ↓ - ↓ ↑

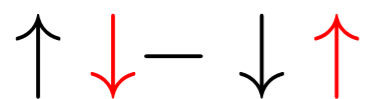
$$S(T) = \int_0^T \frac{C_V}{T'} dT'$$

Spin entanglement entropy

# Heavy Fermions + Kondo

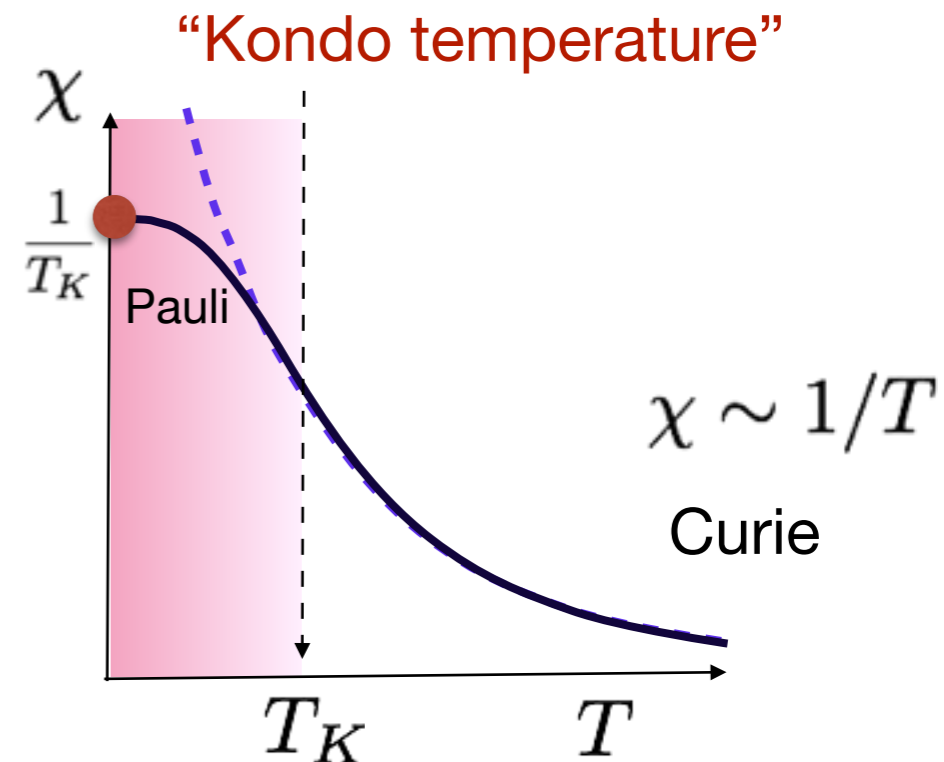
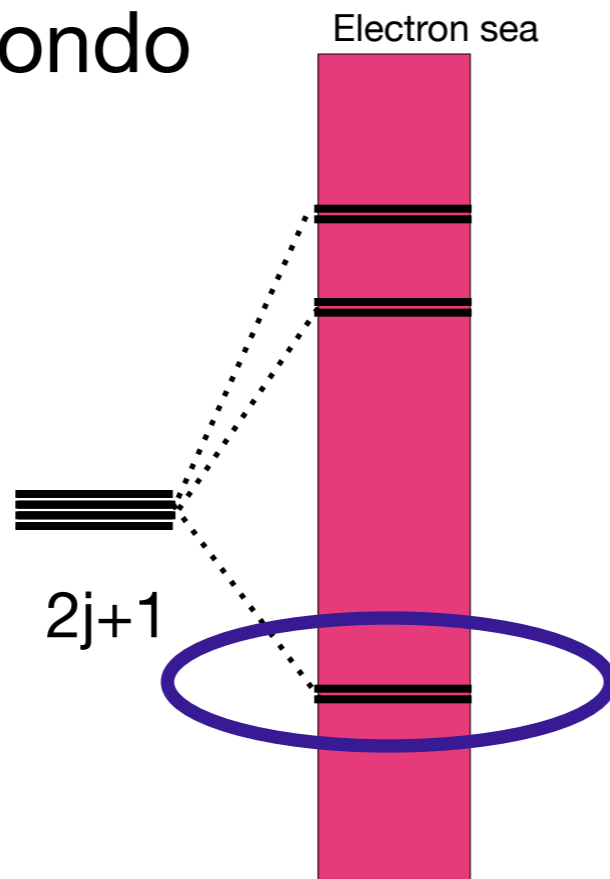


Spin screened by conduction electrons: entangled

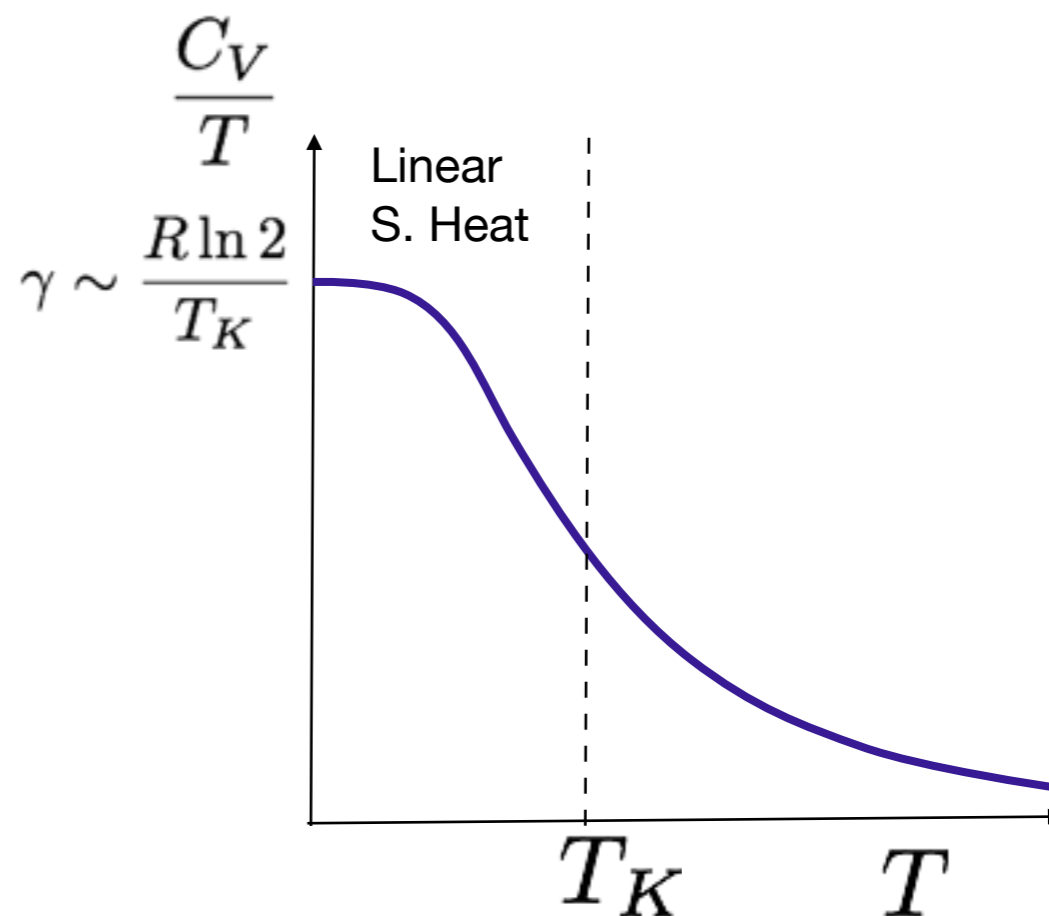


$$S(T) = \int_0^T \frac{C_V}{T'} dT'$$

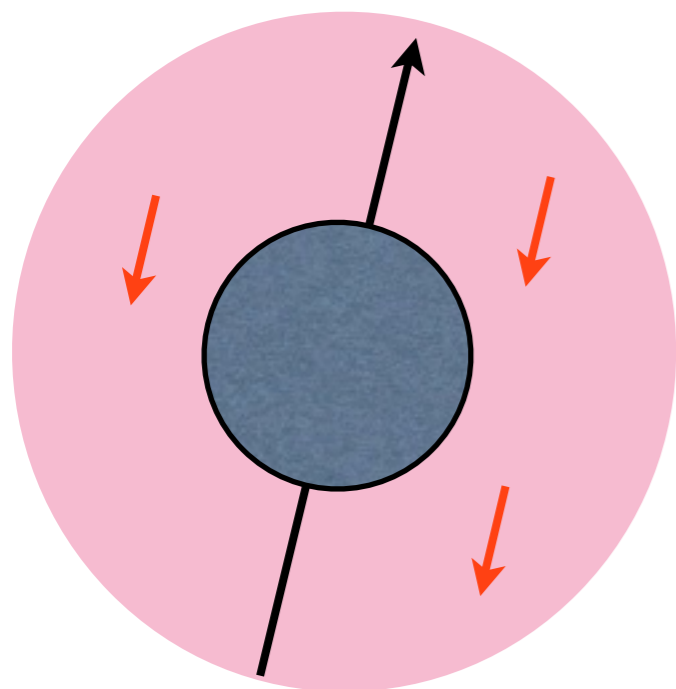
Spin entanglement entropy



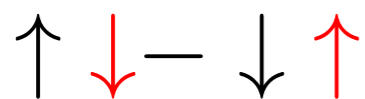
$$T_K = W \sqrt{J\rho} e^{-\frac{1}{2J\rho}}$$



# Heavy Fermions + Kondo

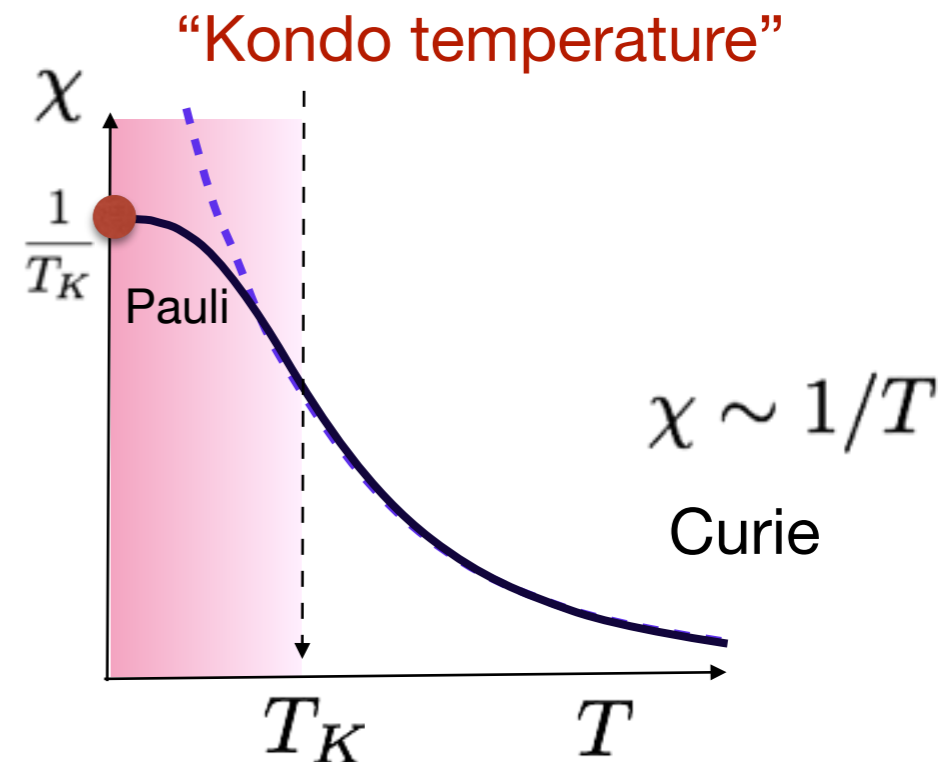
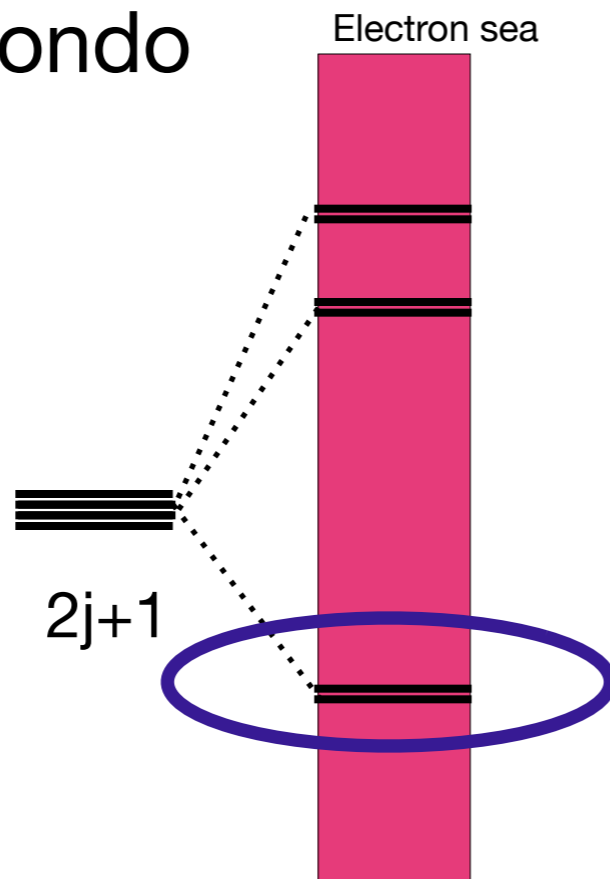


Spin screened by conduction electrons: entangled

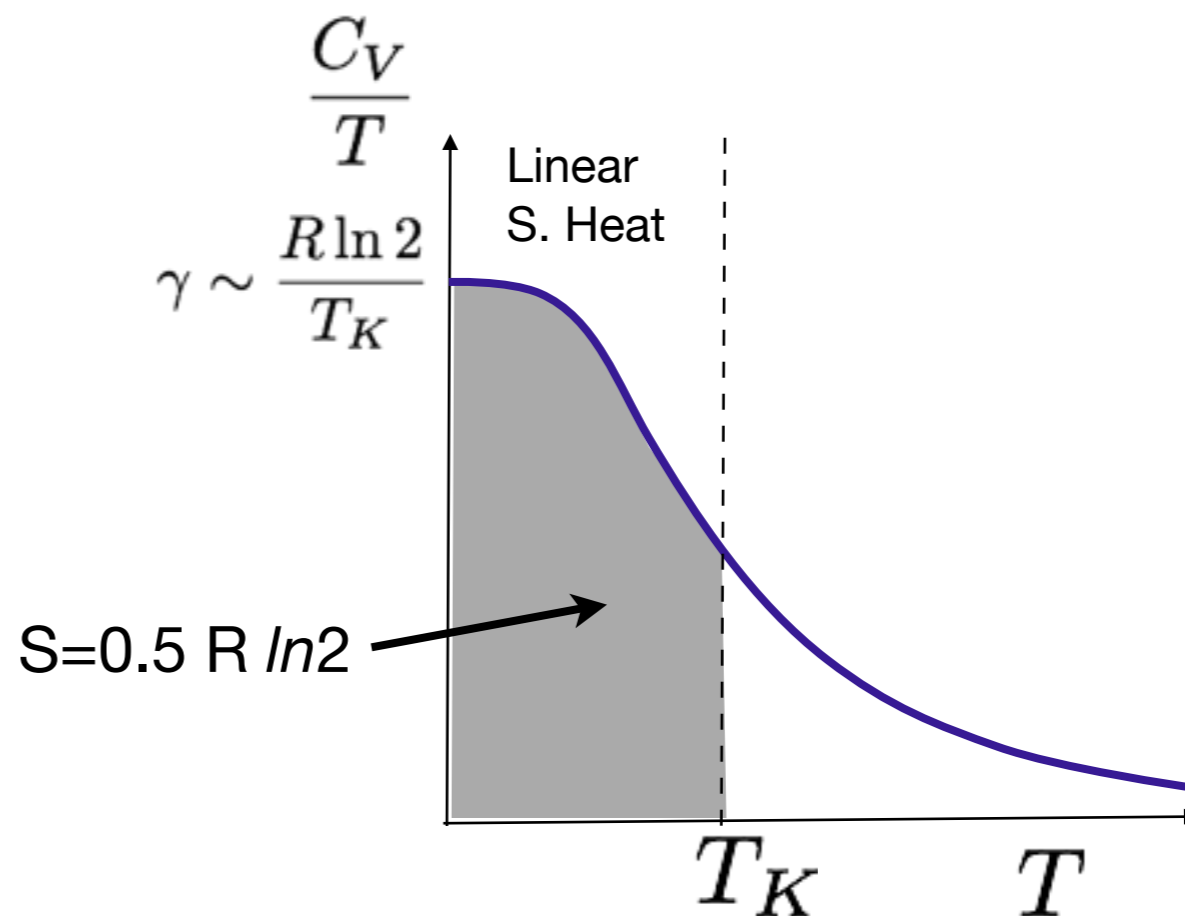


$$S(T) = \int_0^T \frac{C_V}{T'} dT'$$

Spin entanglement entropy



$$T_K = W \sqrt{J\rho} e^{-\frac{1}{2J\rho}}$$







# DONIACH'S

## Hypothesis.

Doniach (1977)

$$H = \sum_k \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \sum_j (\psi_j^\dagger \vec{\sigma} \psi_j) \cdot \vec{S}_j$$

Kondo Lattice Model  
(Kasuya, 1951)



# DONIACH'S

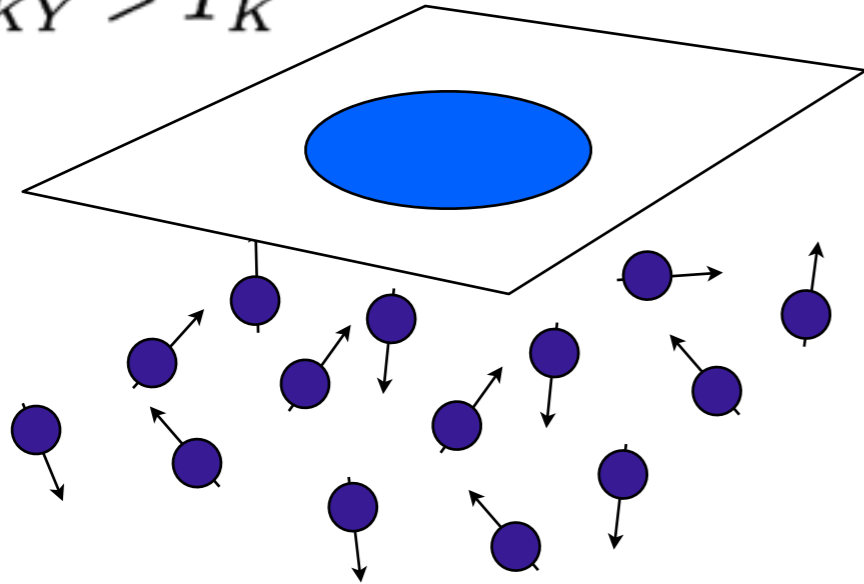
## Hypothesis.

Doniach (1977)

$$H = \sum_k \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \sum_j (\psi_j^\dagger \vec{\sigma} \psi_j) \cdot \vec{S}_j$$

Kondo Lattice Model  
(Kasuya, 1951)

$T_{RKKY} > T_K$





# DONIACH'S

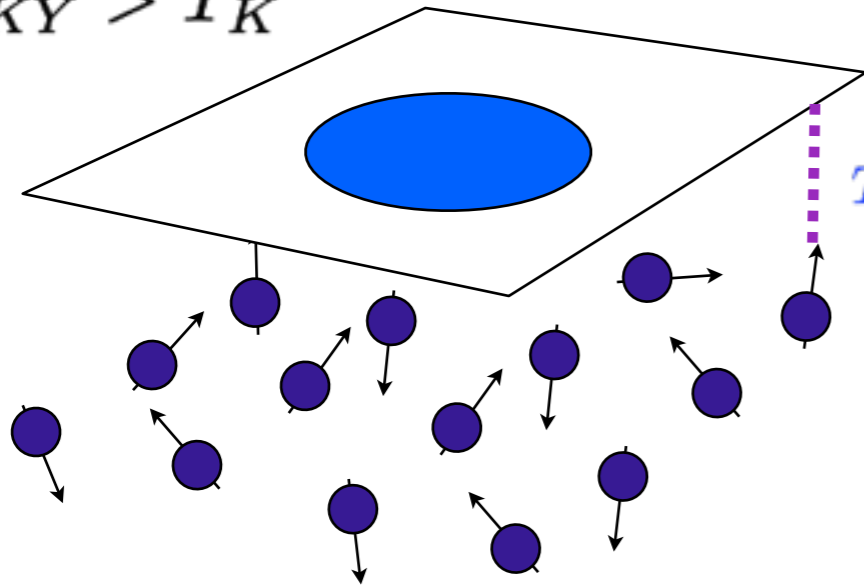
## Hypothesis.

Doniach (1977)

$$H = \sum_k \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \sum_j (\psi_j^\dagger \vec{\sigma} \psi_j) \cdot \vec{S}_j$$

Kondo Lattice Model  
(Kasuya, 1951)

$$T_{RKKY} > T_K$$



$$T_K \sim D \exp \left[ -\frac{1}{2J\rho} \right]$$



# DONIACH'S

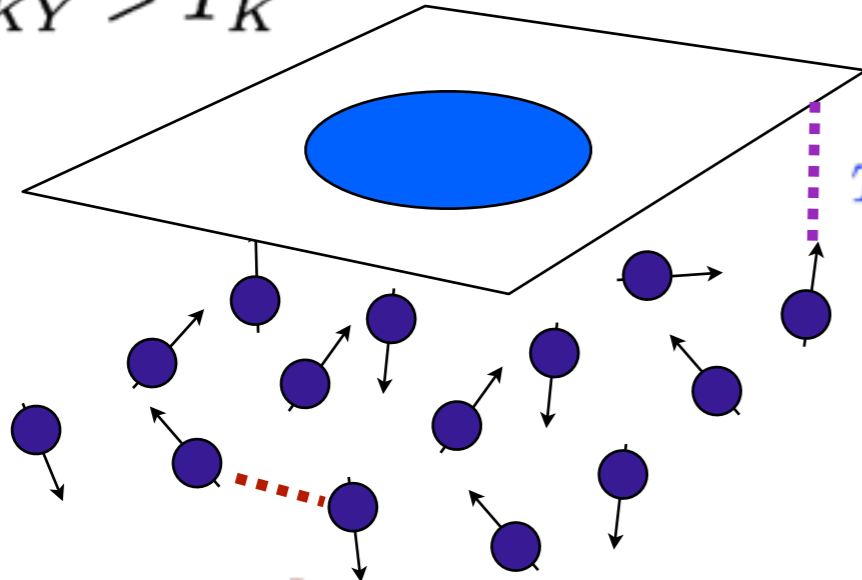
## Hypothesis.

Doniach (1977)

$$H = \sum_k \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \sum_j (\psi_j^\dagger \vec{\sigma} \psi_j) \cdot \vec{S}_j$$

Kondo Lattice Model  
(Kasuya, 1951)

$$T_{RKKY} > T_K$$



$$T_K \sim D \exp \left[ -\frac{1}{2J\rho} \right]$$

$$T_{RKKY} \sim J^2 \rho$$



# DONIACH'S

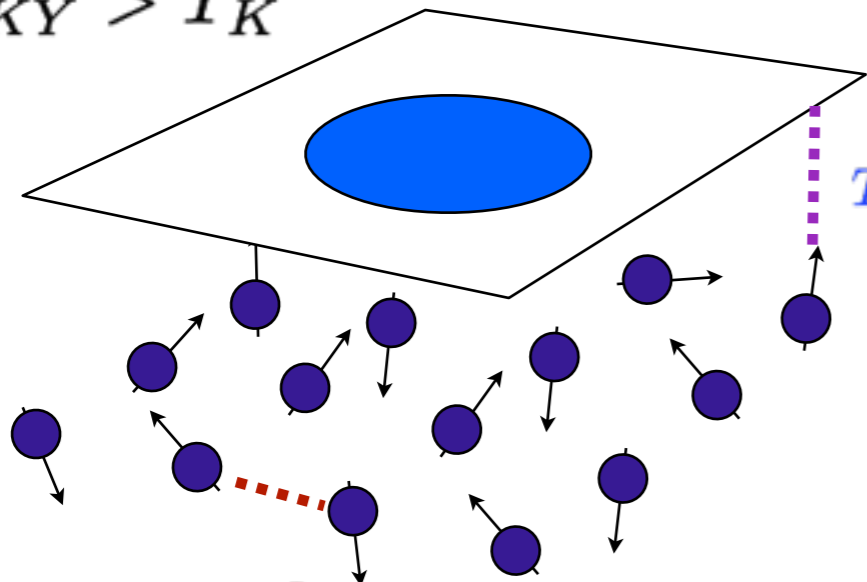
## Hypothesis.

Doniach (1977)

$$H = \sum_k \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \sum_j (\psi_j^\dagger \vec{\sigma} \psi_j) \cdot \vec{S}_j$$

Kondo Lattice Model  
(Kasuya, 1951)

$$T_{RKKY} > T_K$$



$$T_K \sim D \exp \left[ -\frac{1}{2J\rho} \right]$$

$$T_{RKKY} \sim J^2 \rho$$

---


$$T_{RKKY} < T_K$$



# DONIACH'S

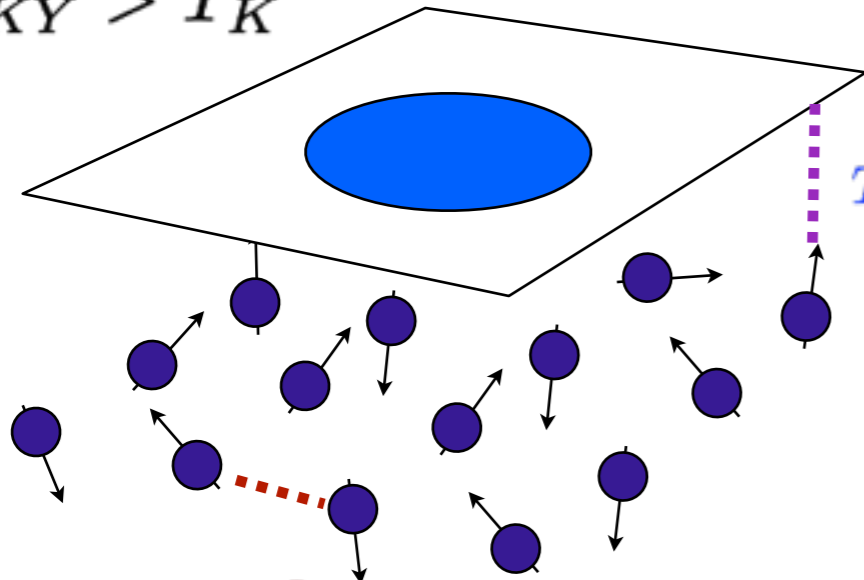
## Hypothesis.

Doniach (1977)

$$H = \sum_k \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \sum_j (\psi_j^\dagger \vec{\sigma} \psi_j) \cdot \vec{S}_j$$

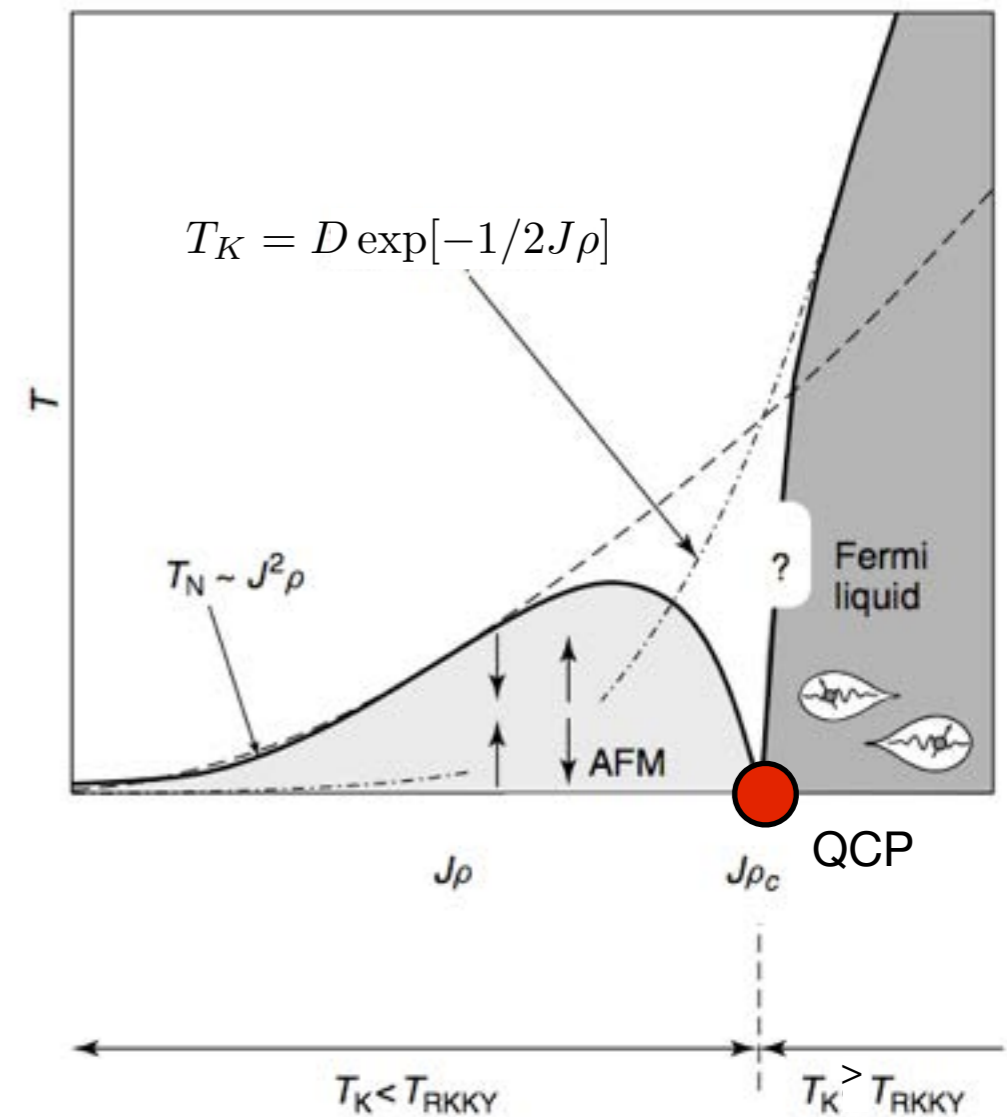
Kondo Lattice Model  
(Kasuya, 1951)

$$T_{RKKY} > T_K$$



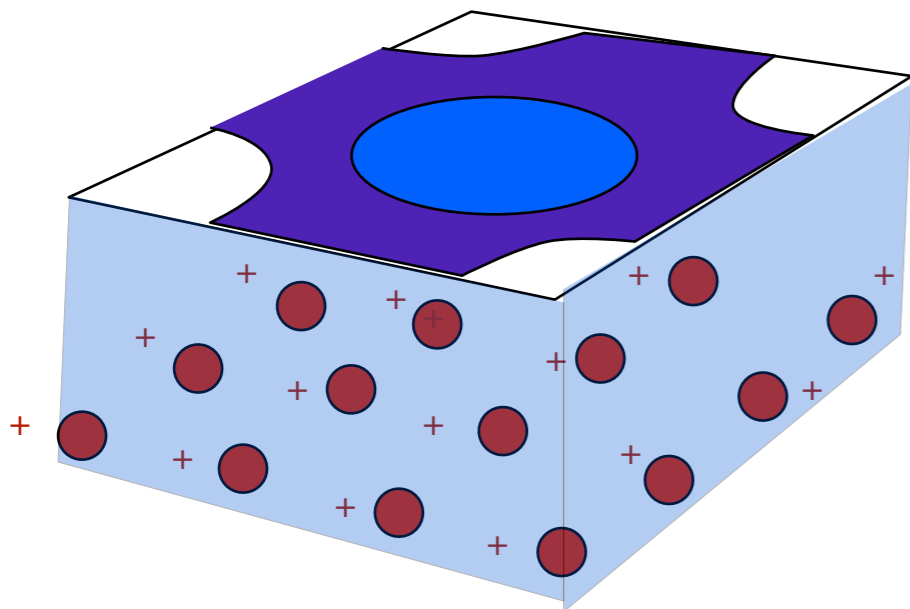
$$T_K \sim D \exp\left[-\frac{1}{2J\rho}\right]$$

$$T_{RKKY} \sim J^2 \rho$$



$$T_{RKKY} < T_K$$

Large Fermi surface of composite Fermions







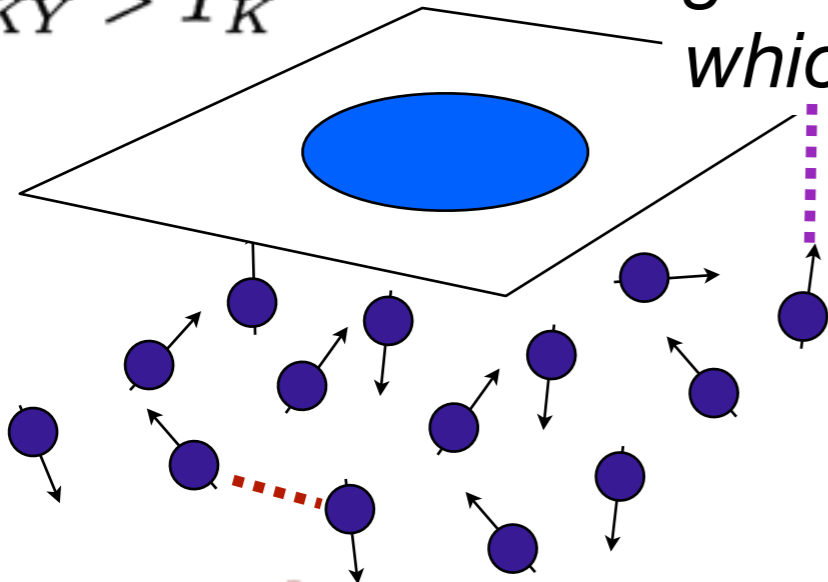
# DONIACH'S Hypothesis.

Doniach (1977)

The main result ... is that there should be a second-order transition at zero temperature, as the exchange is varied, between an antiferromagnetic ground state for weak  $J$  and a Kondo-like state in which the local moments are quenched.

(Kusunoki, 1991)

$$T_{RKKY} > T_K$$

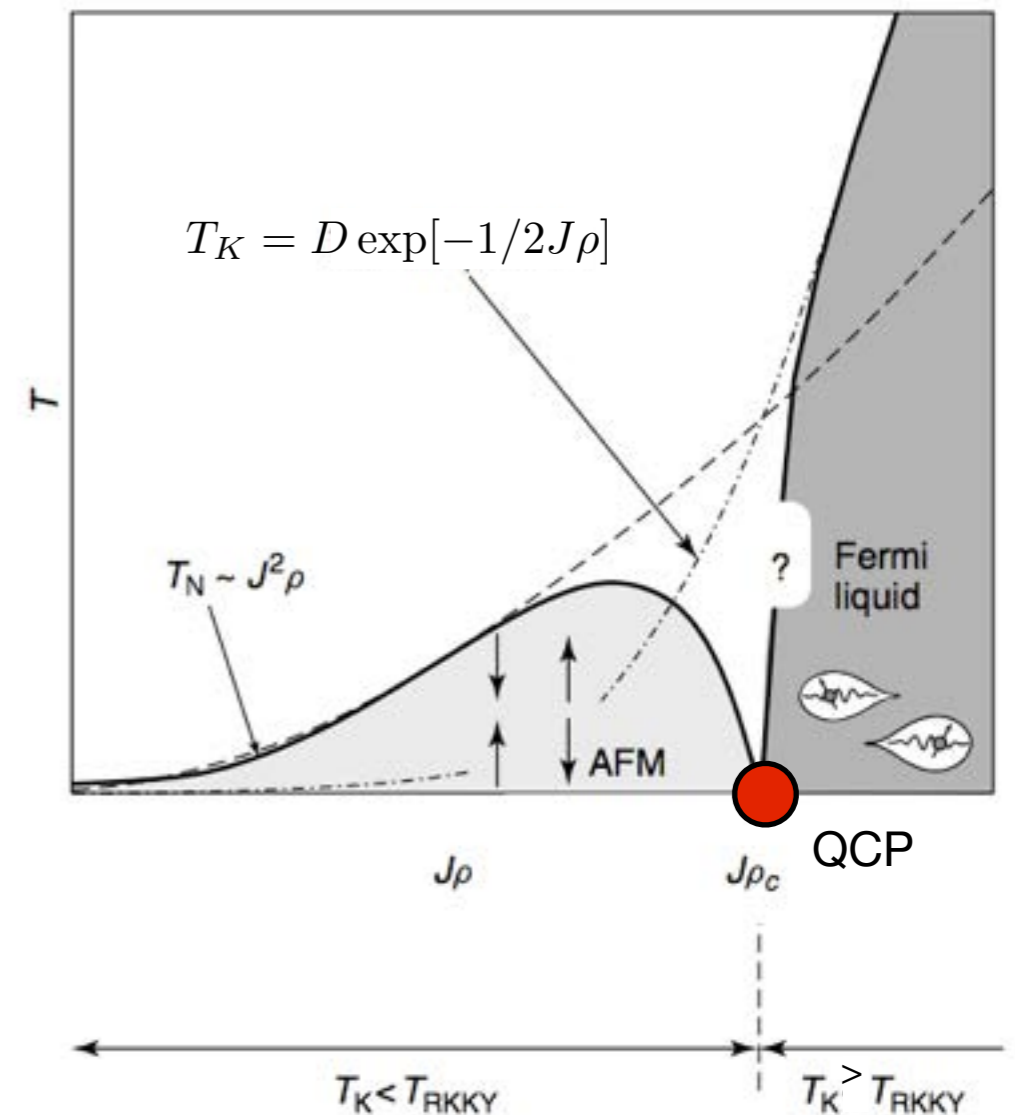
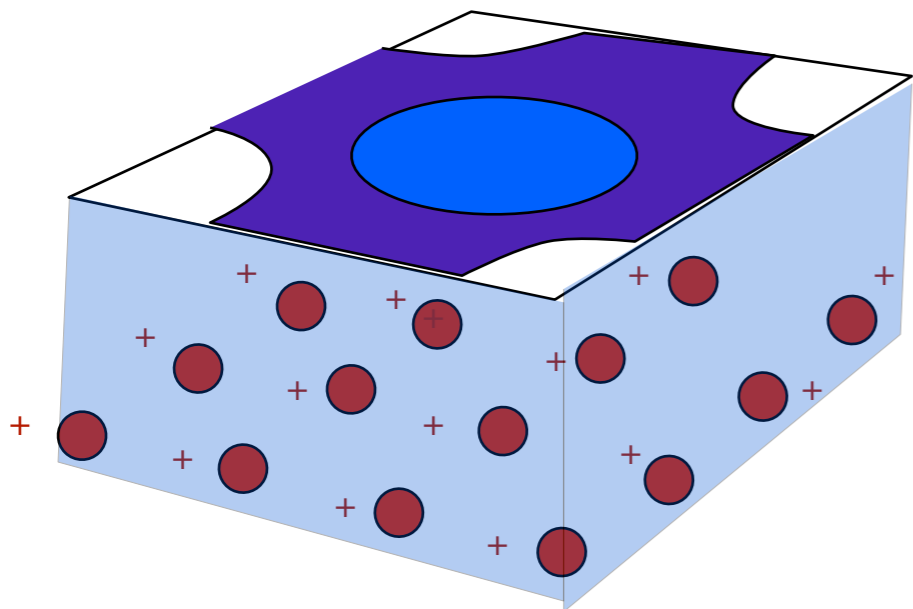


$$T_K \sim D \exp\left[-\frac{1}{2J\rho}\right]$$

$$T_{RKKY} \sim J^2 \rho$$

$$T_{RKKY} < T_K$$

Large Fermi surface of composite Fermions





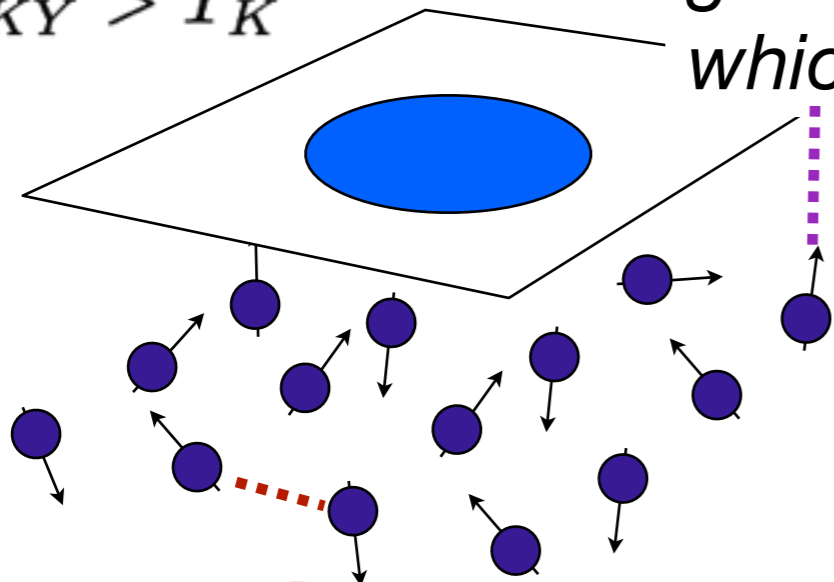
# DONIACH'S Hypothesis.

Doniach (1977)

The main result ... is that there should be a second-order transition at zero temperature, as the exchange is varied, between an antiferromagnetic ground state for weak  $J$  and a Kondo-like state in which the local moments are quenched.

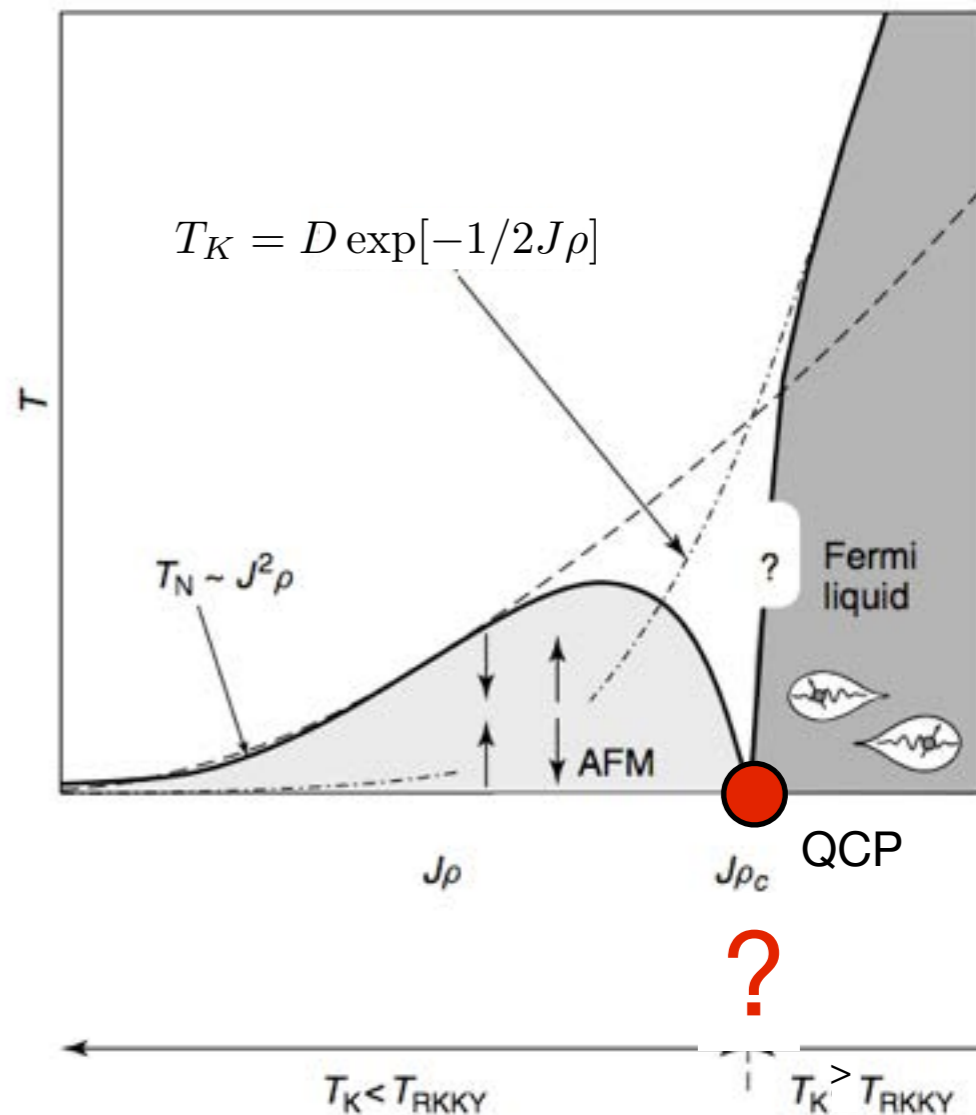
(Kusuya, 1981)

$$T_{RKKY} > T_K$$



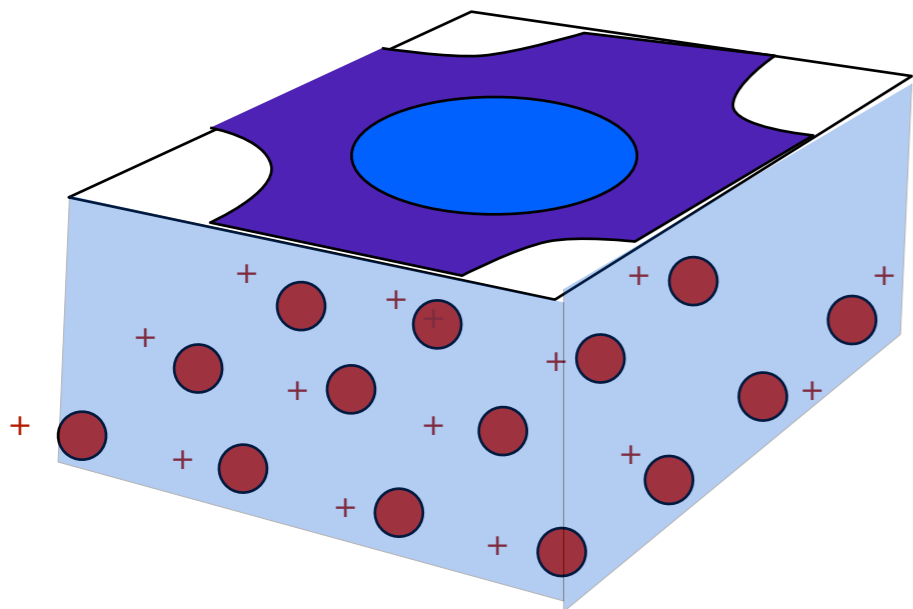
$$T_K \sim D \exp\left[-\frac{1}{2J\rho}\right]$$

$$T_{RKKY} \sim J^2 \rho$$

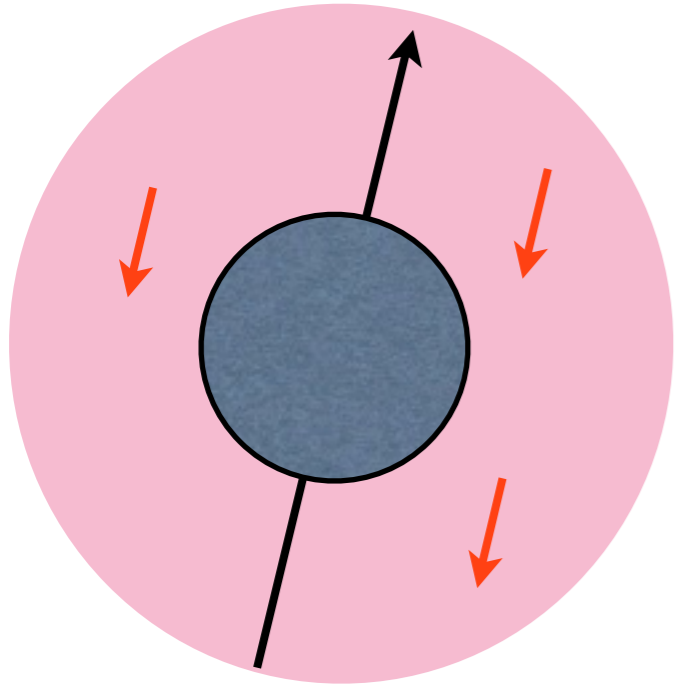


$$T_{RKKY} < T_K$$

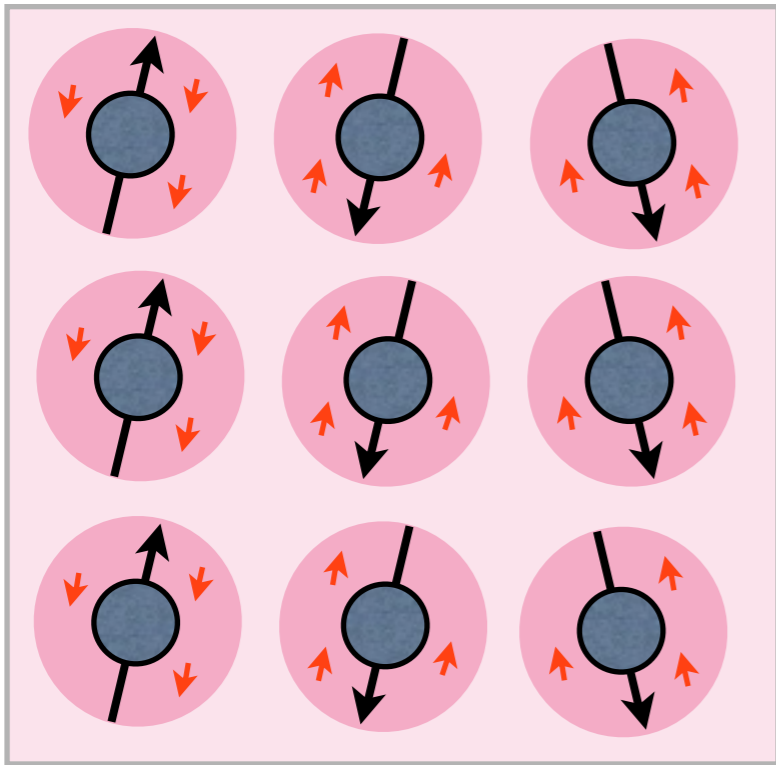
Large Fermi surface of composite Fermions



# Heavy Fermion Primer

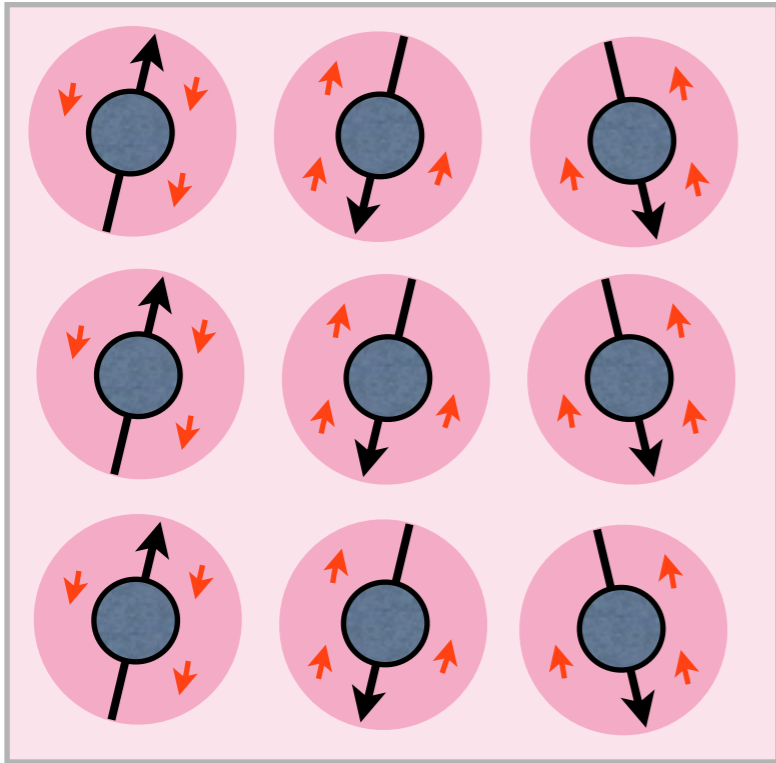


$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \sum_j \vec{S}_j \cdot \vec{\sigma}(j)$$



“Kondo Lattice”

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \sum_j \vec{S}_j \cdot \vec{\sigma}(j)$$

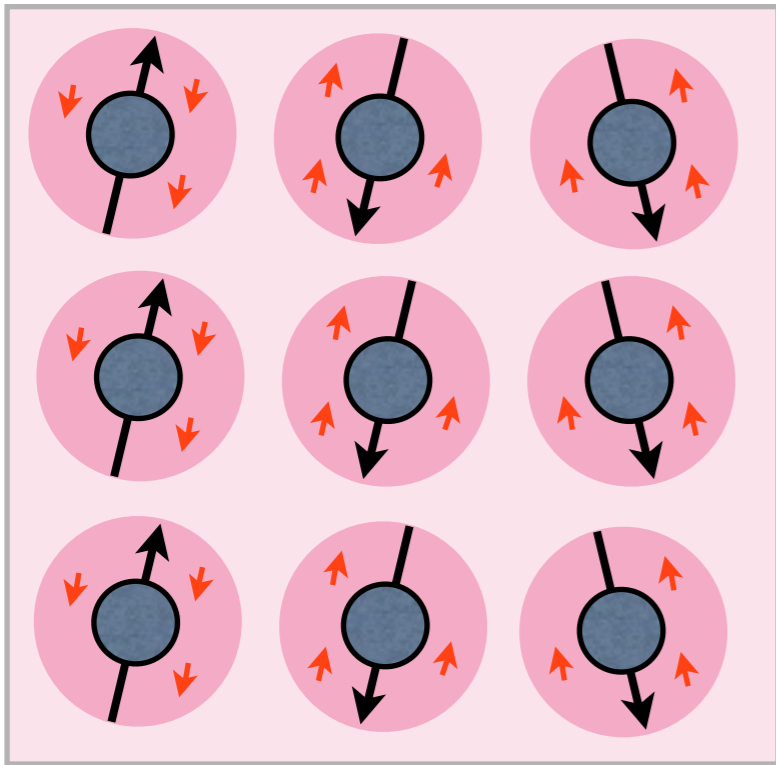


“Kondo Lattice”

Entangled spins and electrons

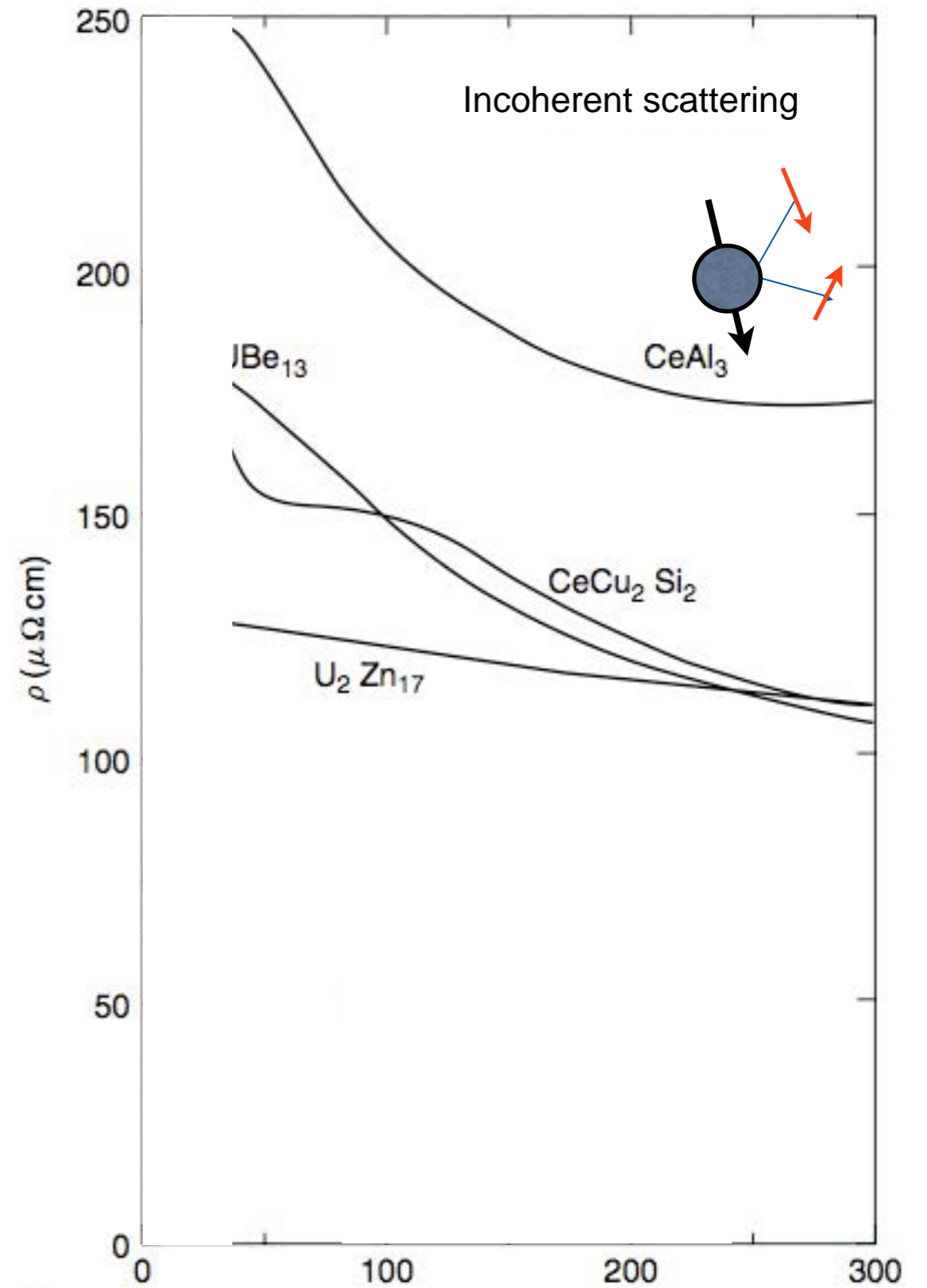
→ **Heavy Fermion Metals**

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \sum_j \vec{S}_j \cdot \vec{\sigma}(j)$$



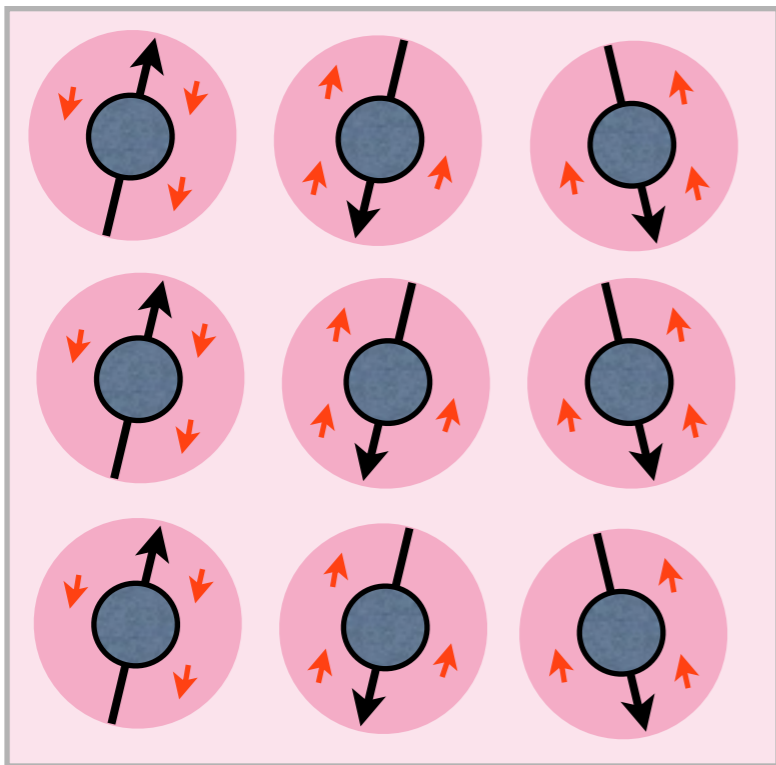
“Kondo Lattice”

Entangled spins and electrons  
 → **Heavy Fermion Metals**



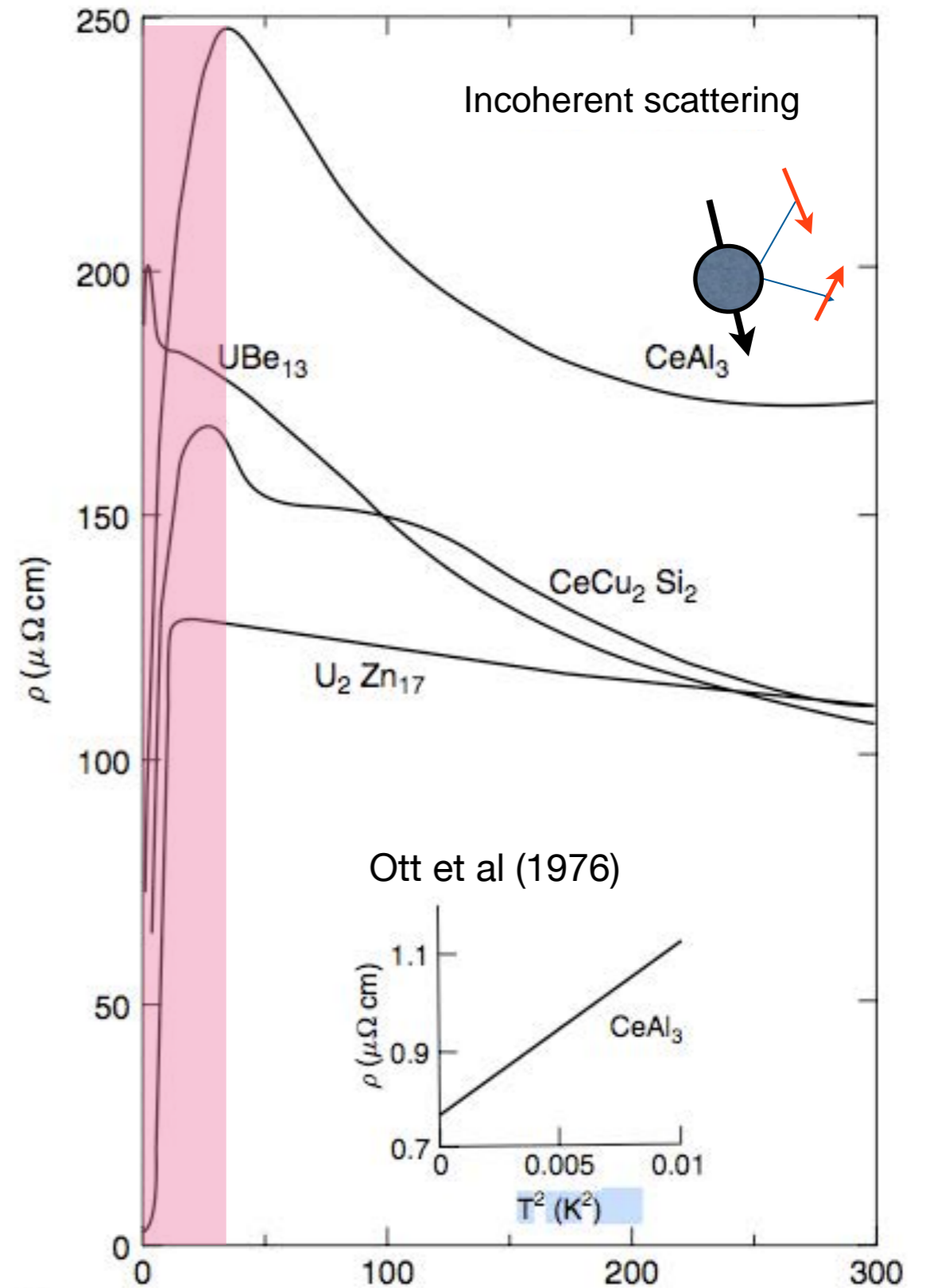


$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \sum_j \vec{S}_j \cdot \vec{\sigma}(j)$$



“Kondo Lattice”

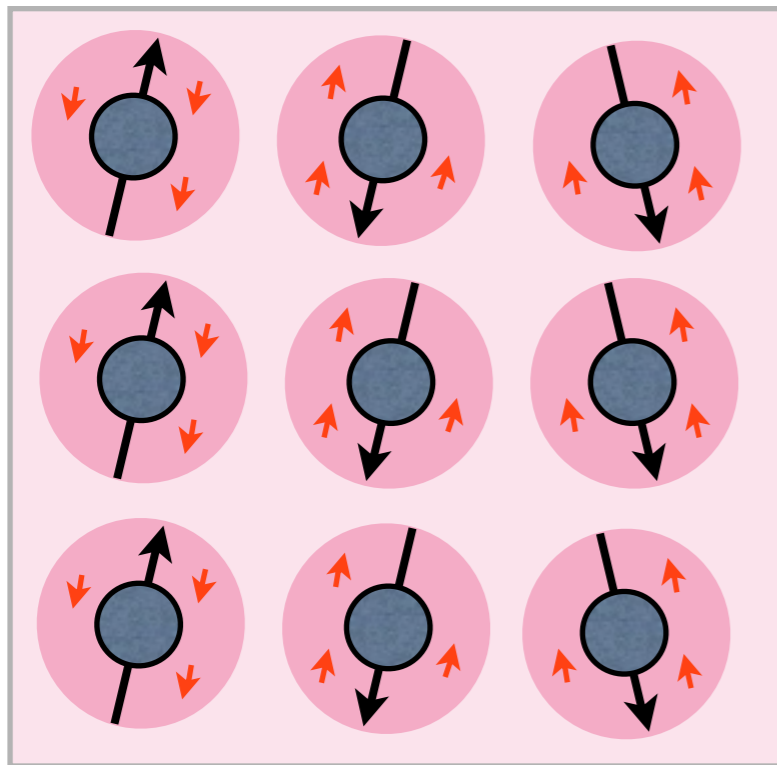
Entangled spins and electrons  
 → **Heavy Fermion Metals**



$$\rho(T) = \rho_0 + AT^2$$

Coherent Heavy Fermions

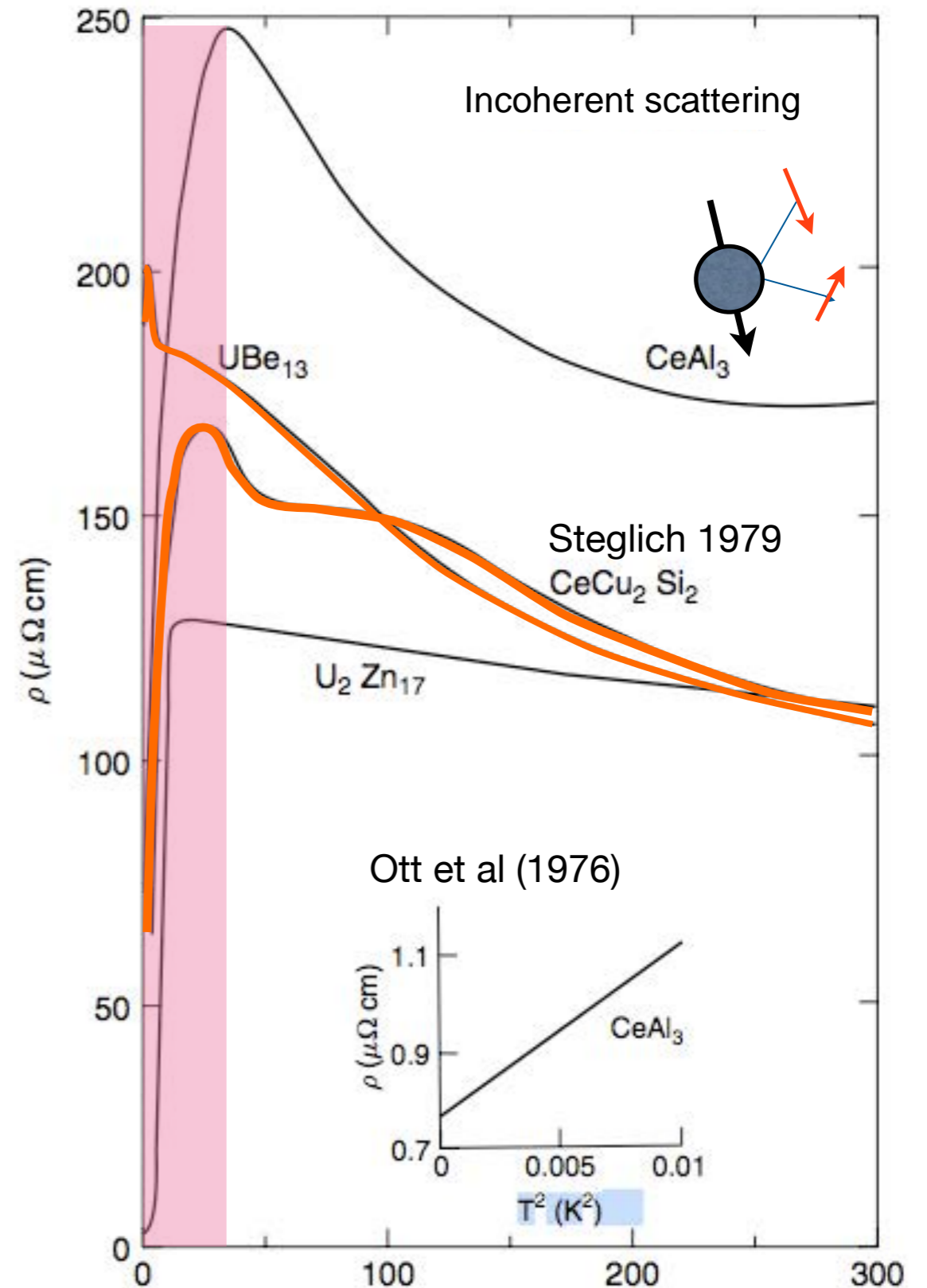
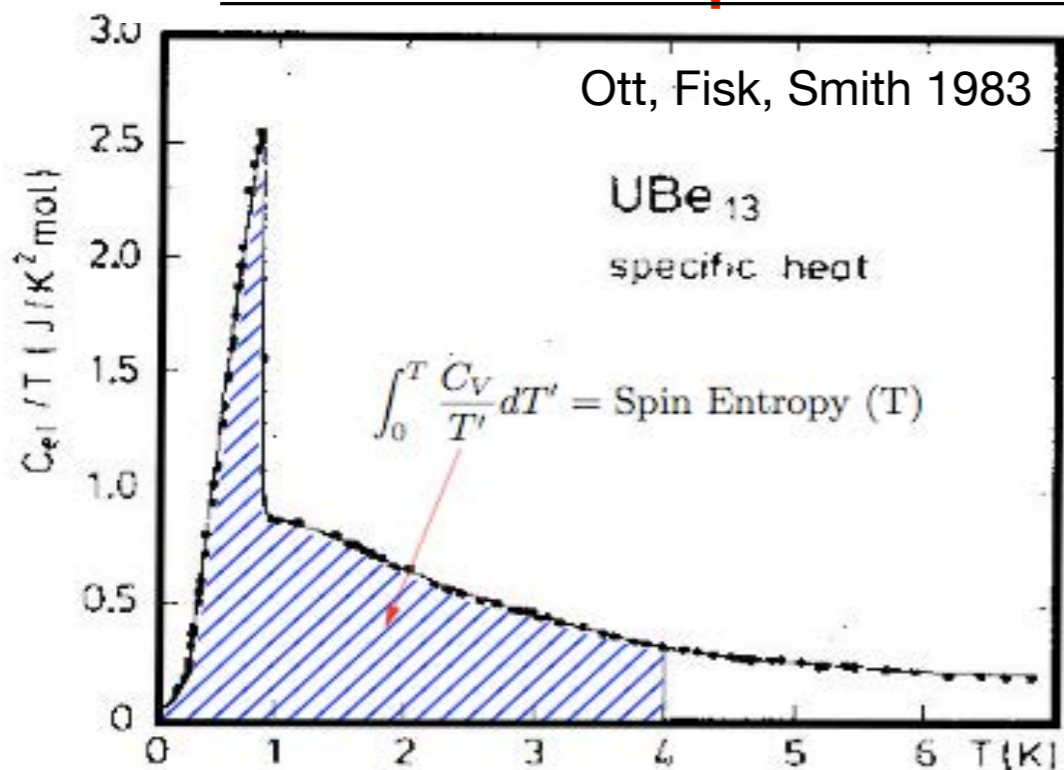
$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + J \sum_j \vec{S}_j \cdot \vec{\sigma}(j)$$



“Kondo Lattice”

Entangled spins and electrons

→ **New kinds of superconductor**

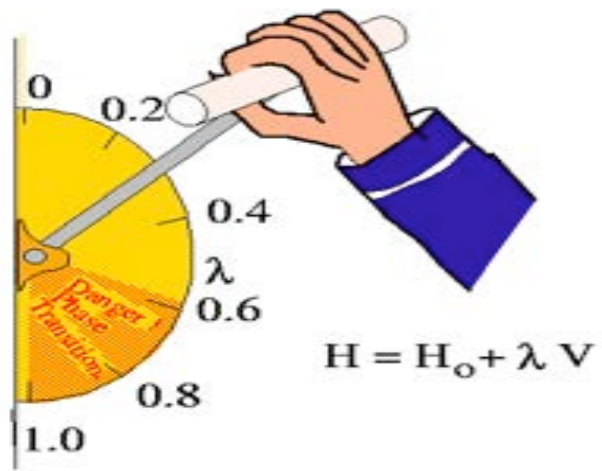


$$\rho(T) = \rho_0 + AT^2$$

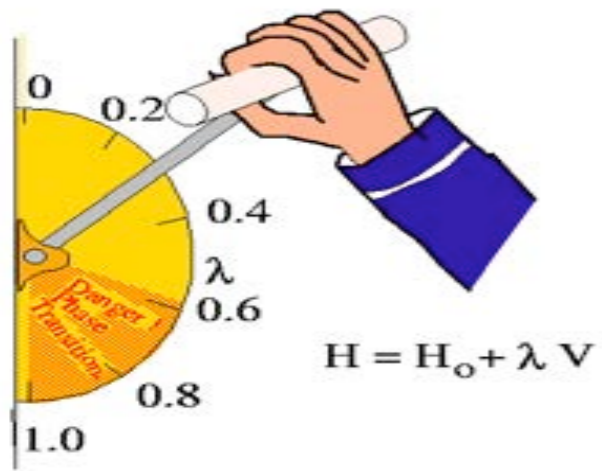
Coherent Heavy Fermions

Lev Landau vs Ken Wilson:

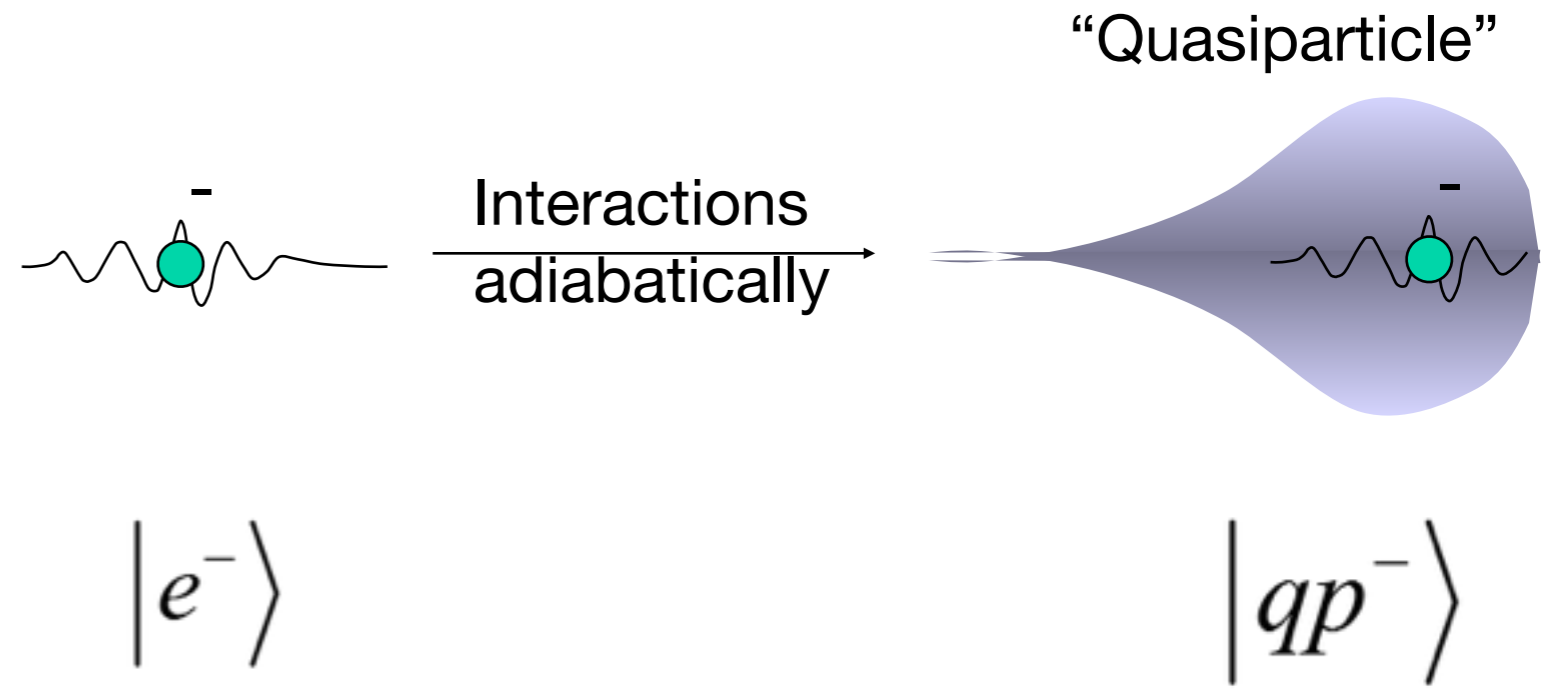
Criticality as a driver of new States of Matter

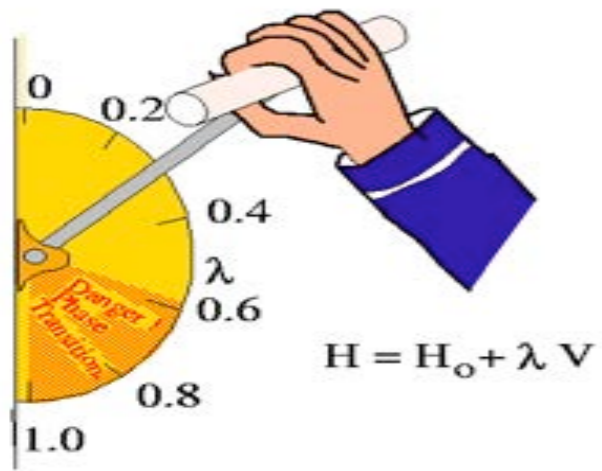


Landau: interactions can be turned on adiabatically, preserving the excitation spectrum.

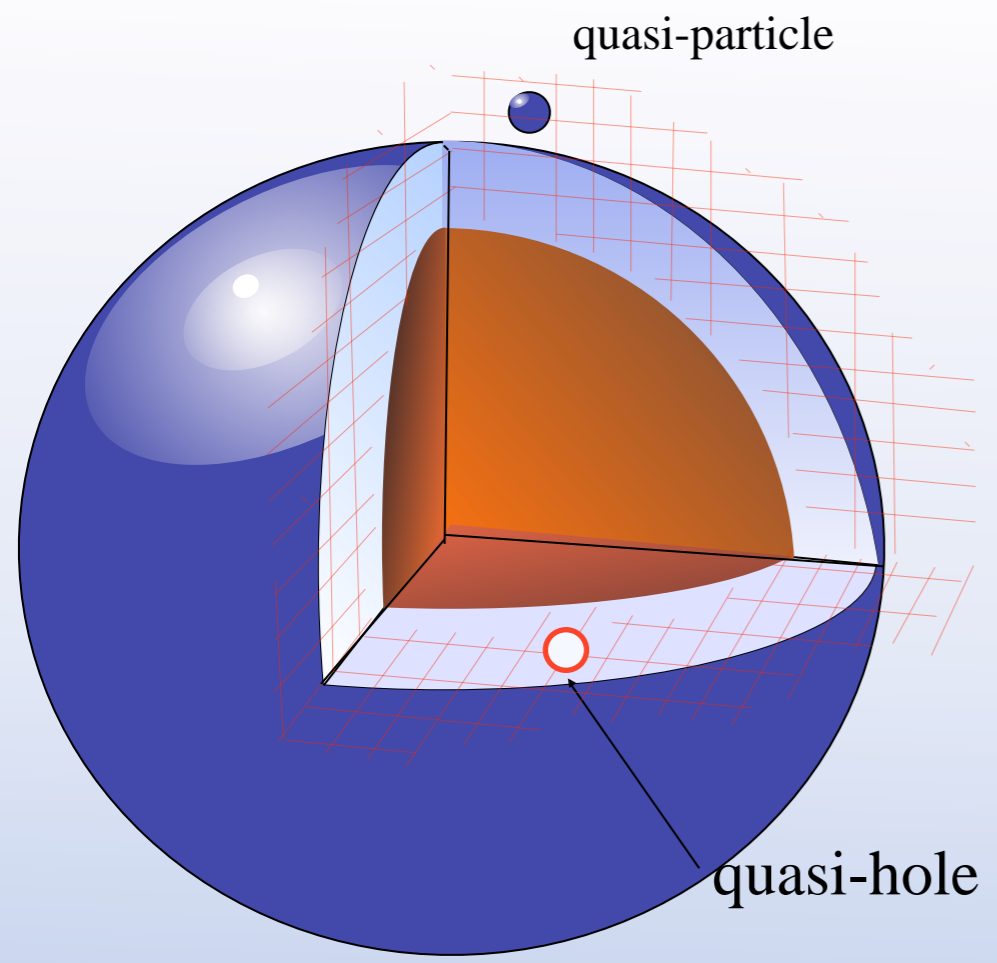
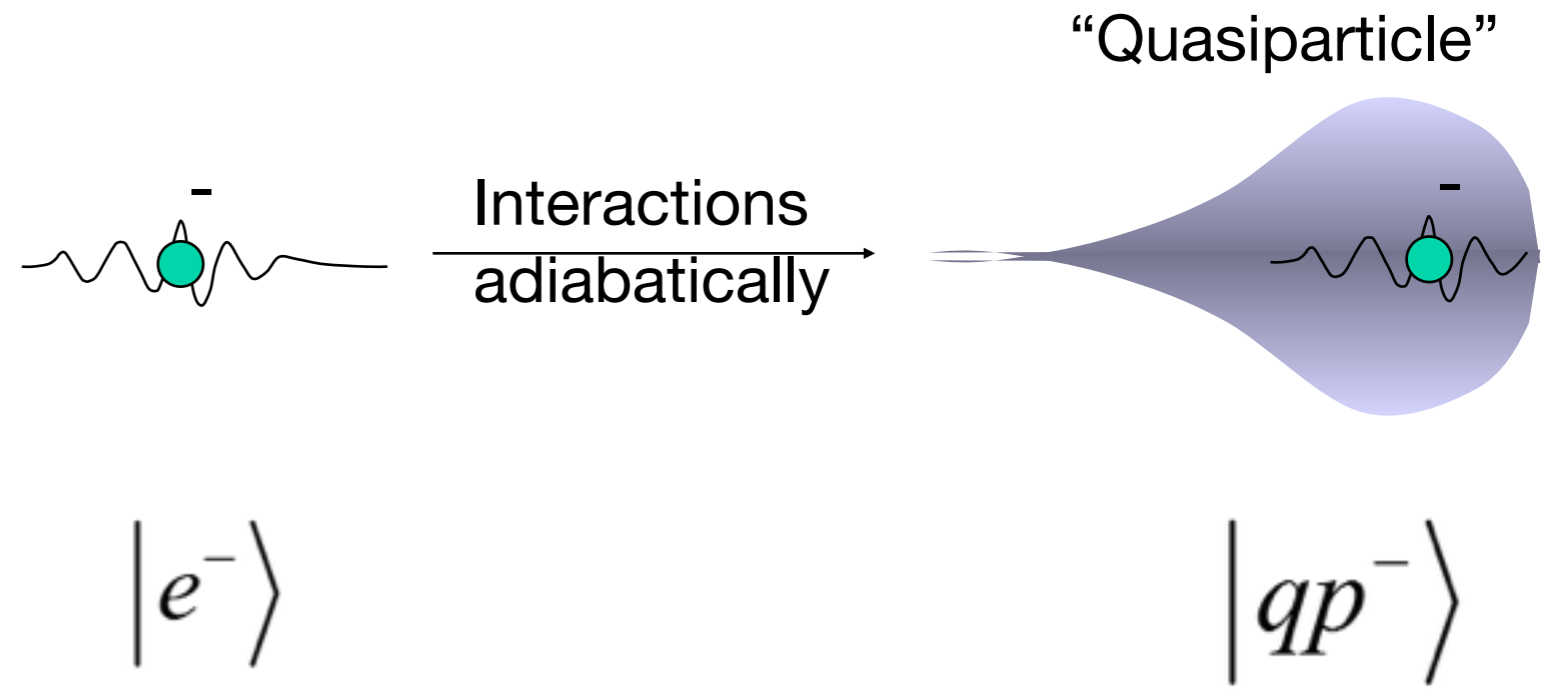


Landau: interactions can be turned on adiabatically, preserving the excitation spectrum.

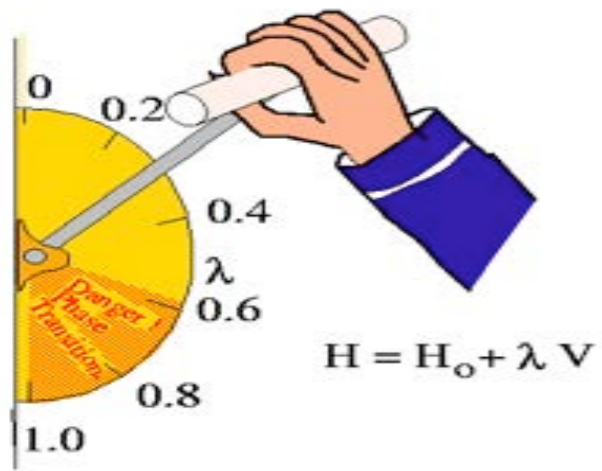




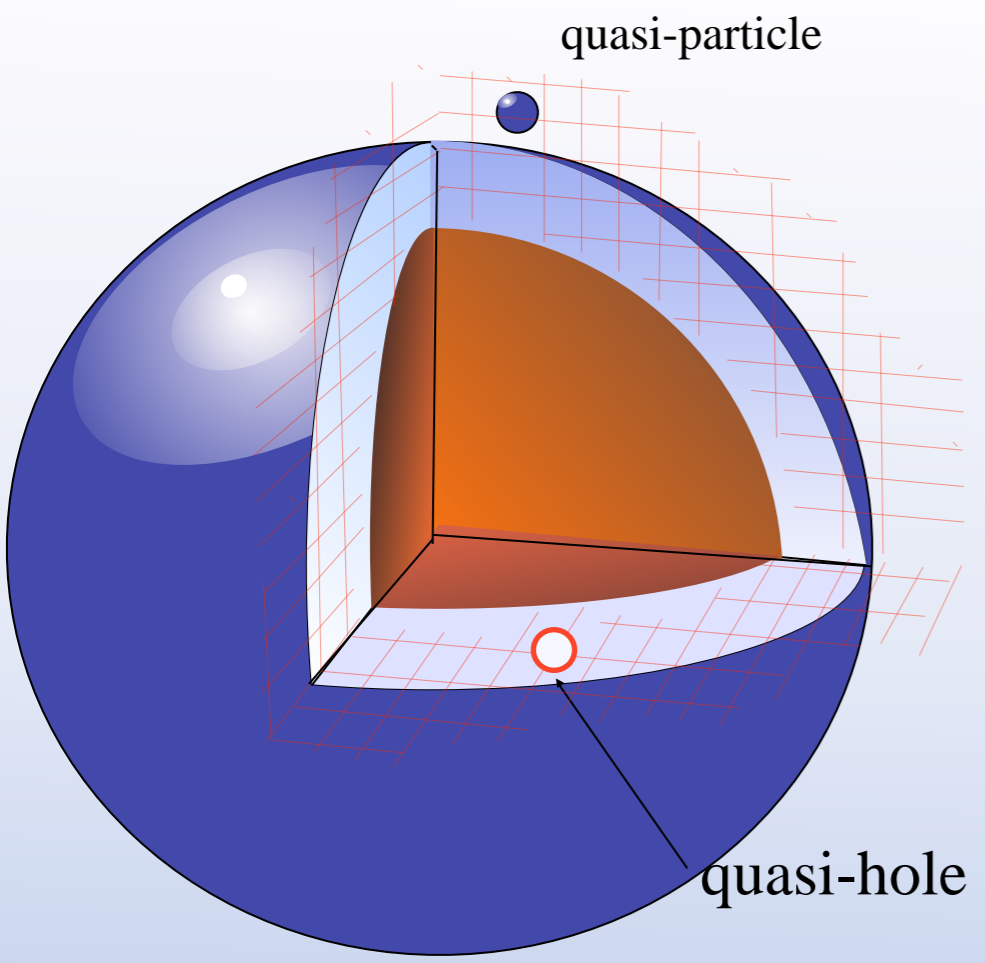
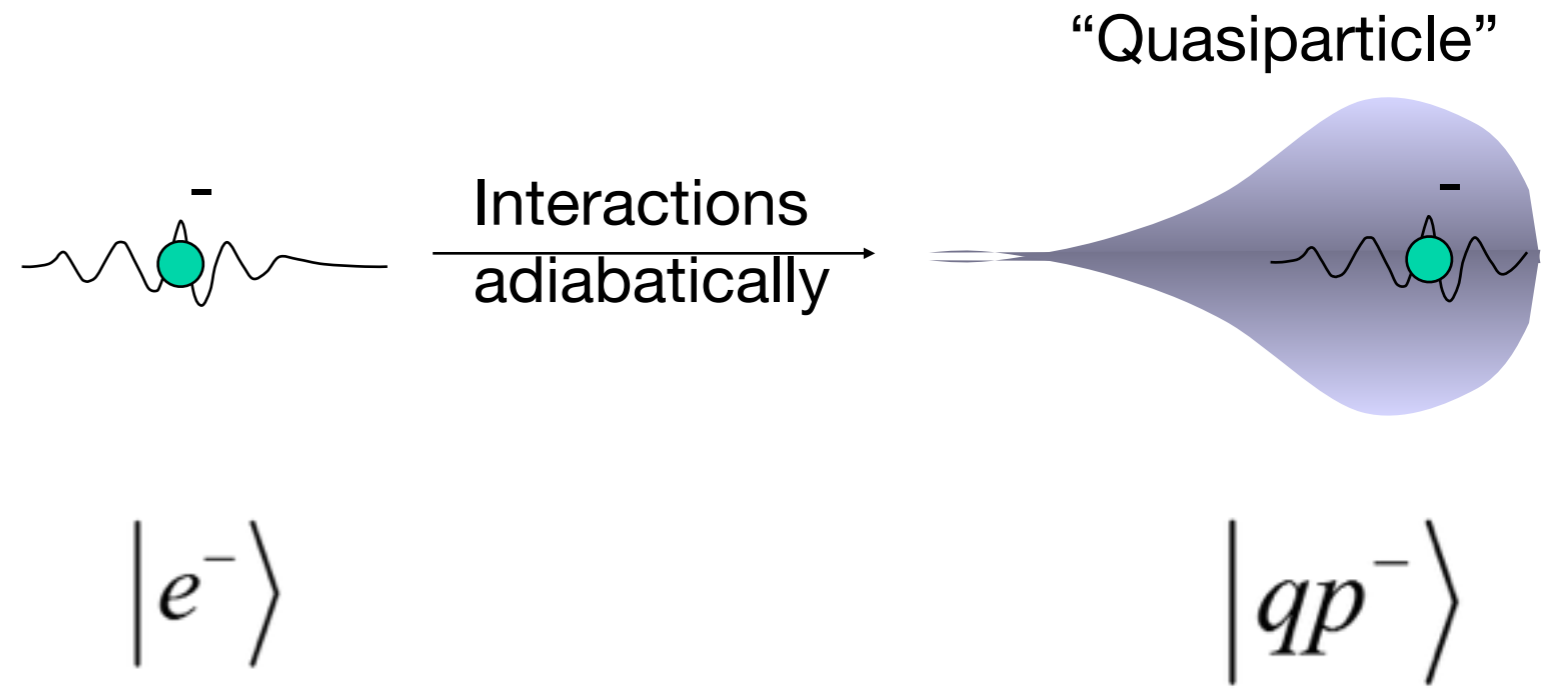
Landau: interactions can be turned on adiabatically, preserving the excitation spectrum.







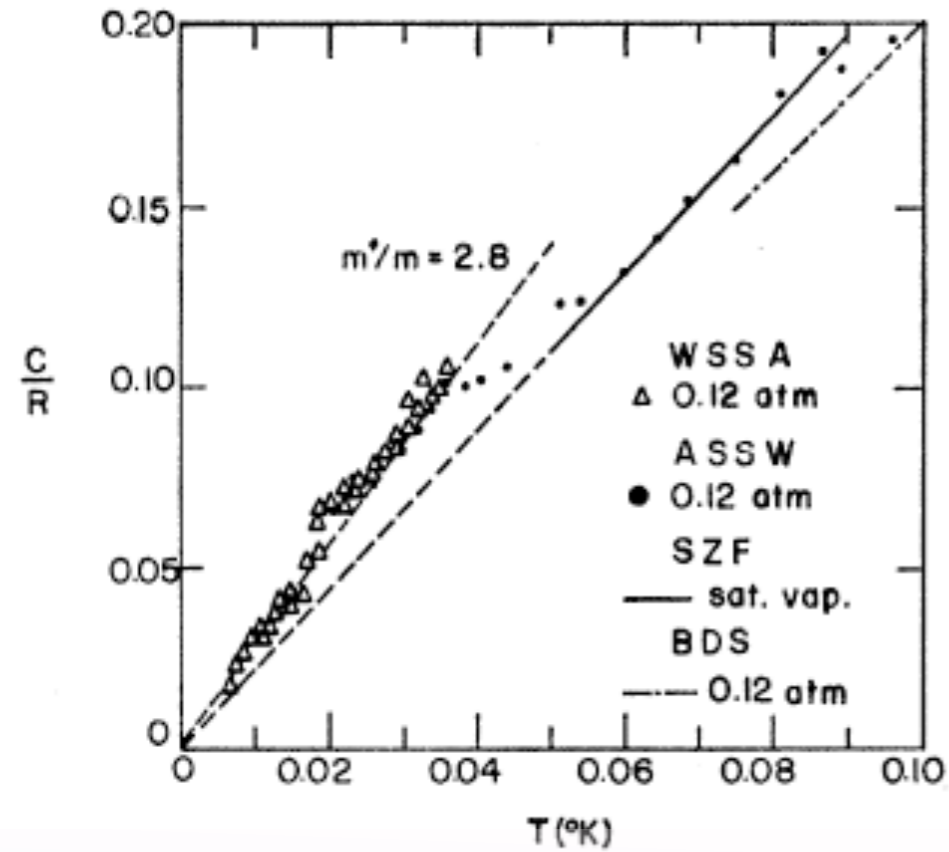
Landau: interactions can be turned on adiabatically, preserving the excitation spectrum.



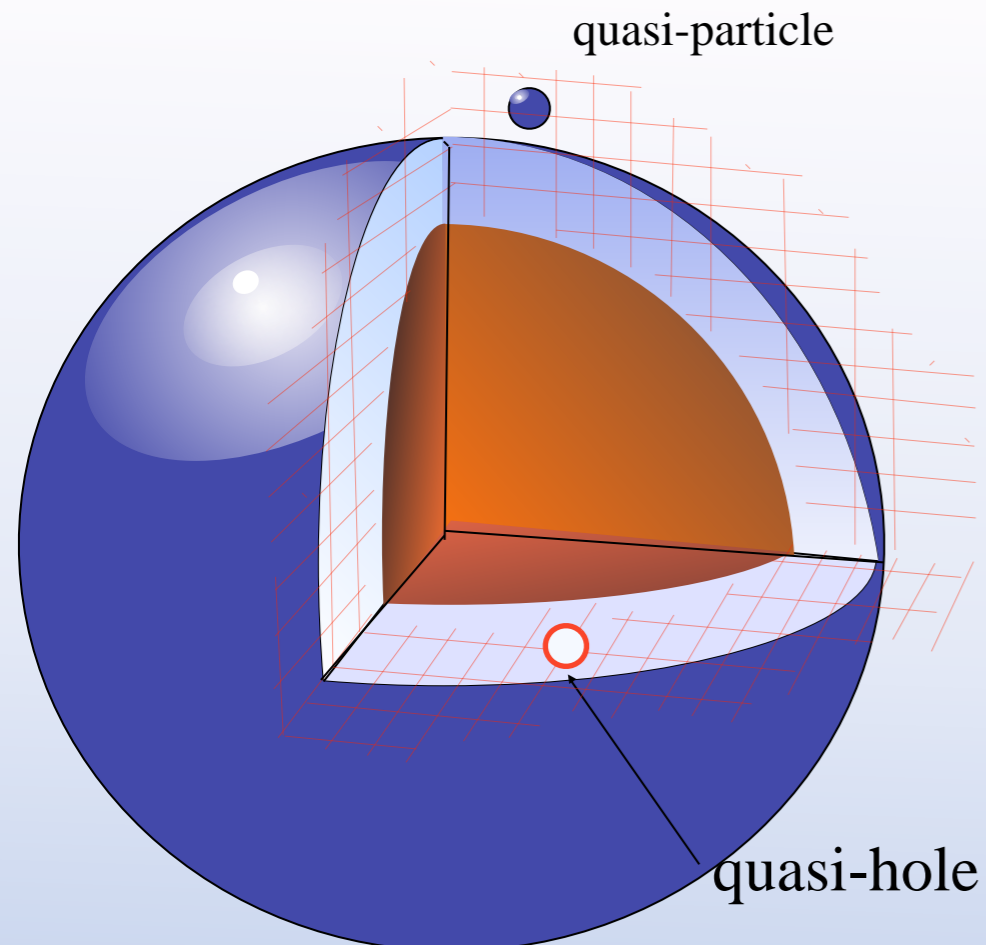
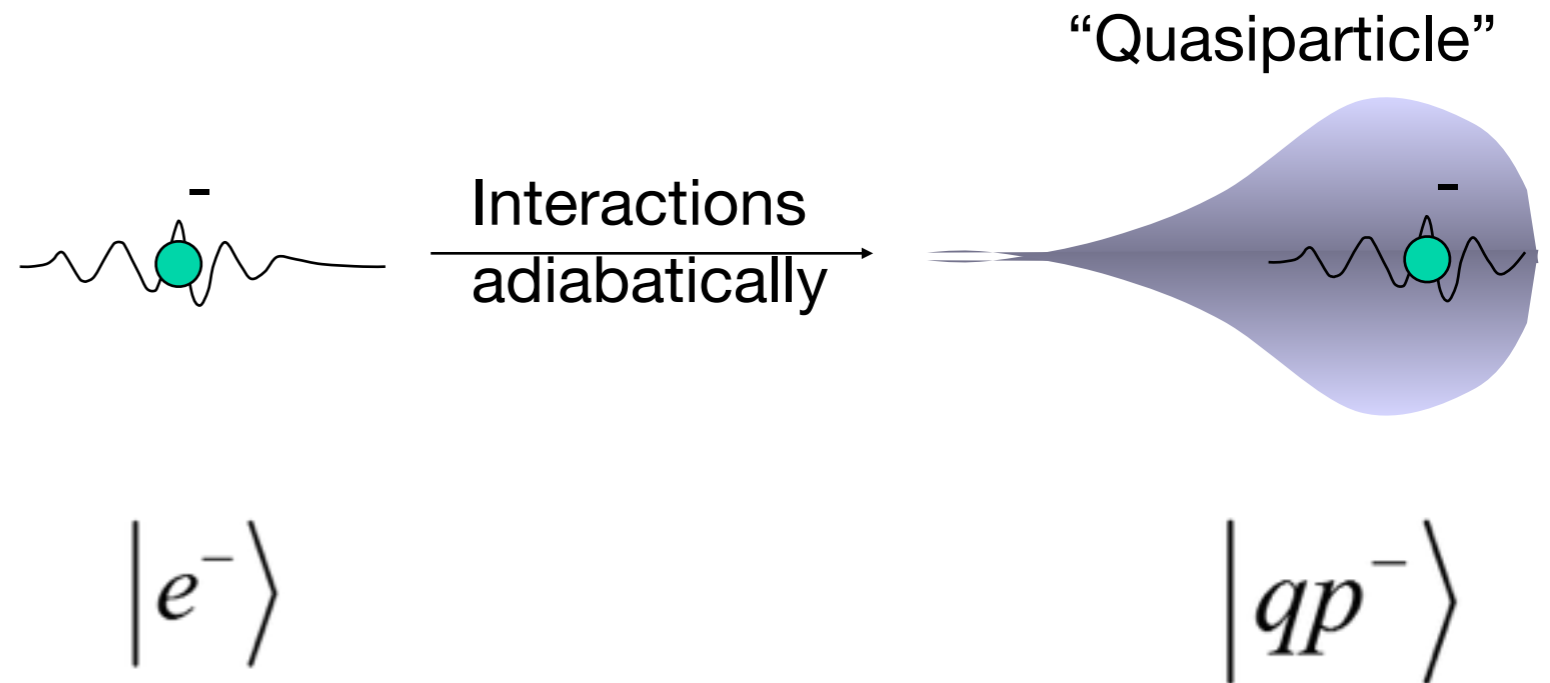
$$\frac{m^*}{m} = \frac{N(0)^*}{N(0)} = 1 + \frac{F_1^s}{3}$$

Landau, JETP 3, 920 (1957)

He-3 (1950/60s)  
(Fairbanks, many others)



Landau: interactions can be turned on adiabatically, preserving the excitation spectrum.

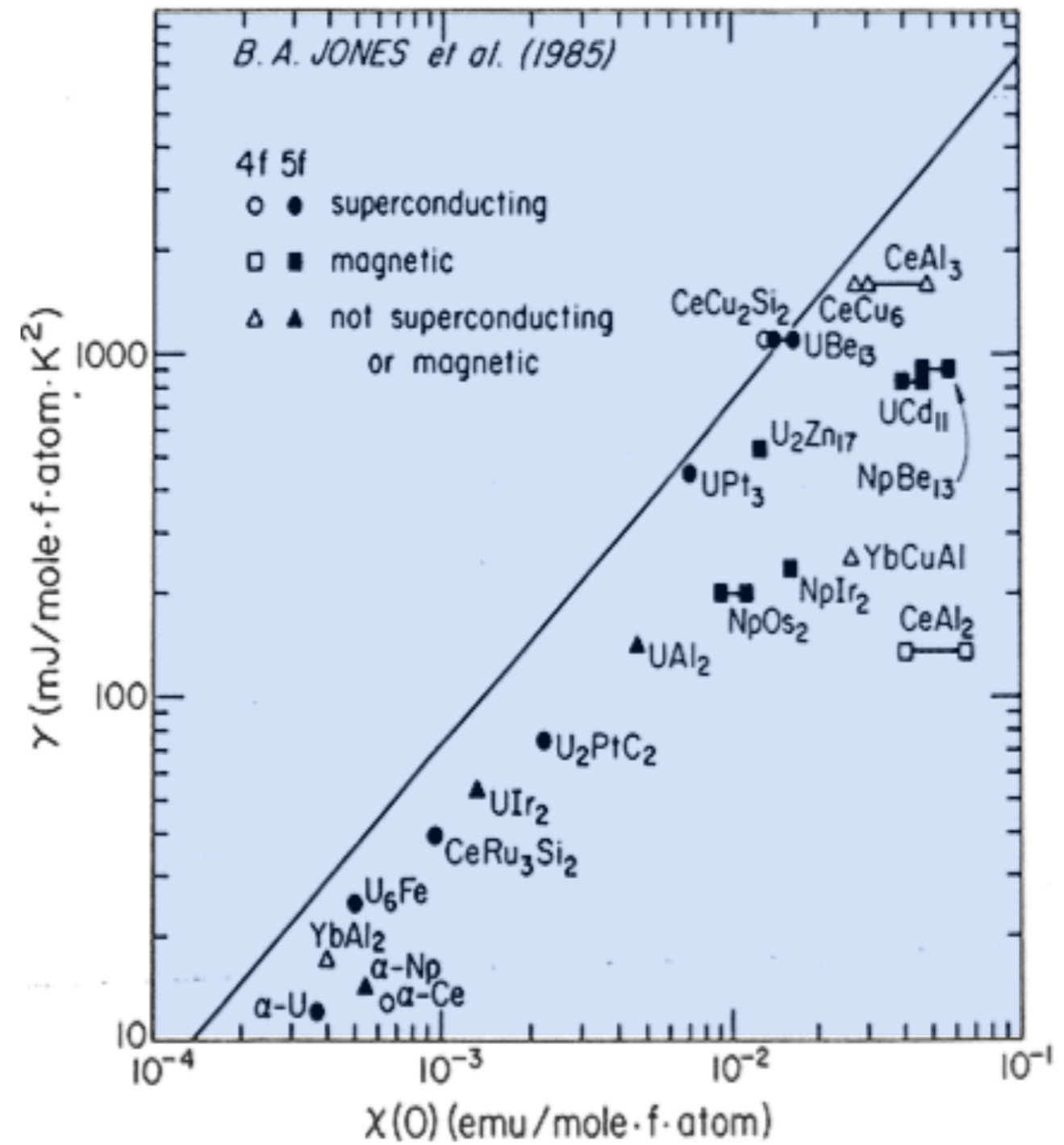


$$\frac{m^*}{m} = \frac{N(0)^*}{N(0)} = 1 + \frac{F_1^s}{3}$$

Landau, JETP 3, 920 (1957)

Heavy Fermions: magnetically polarizable Landau Fermi liquids.

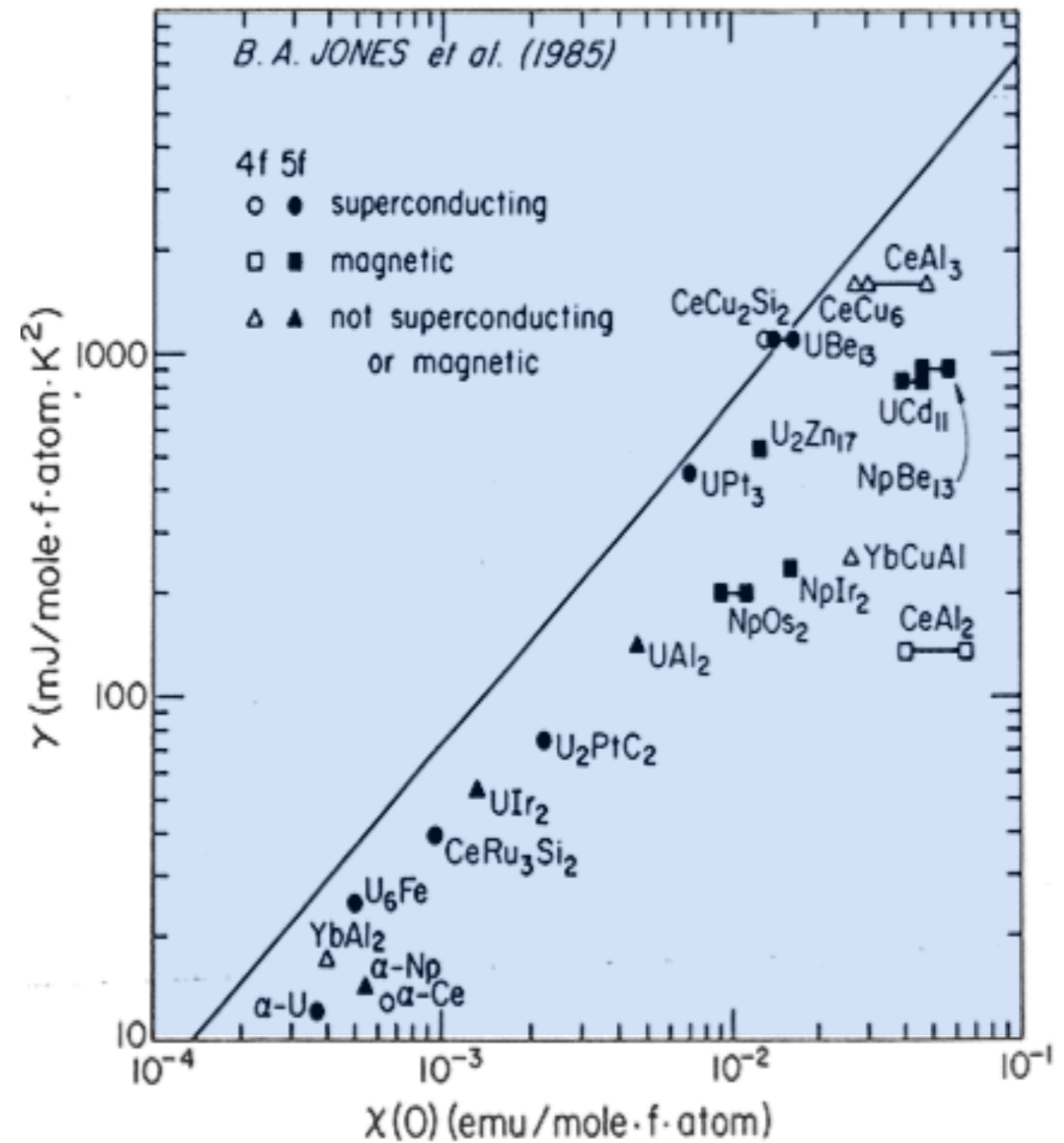
$$E_{\mathbf{p}} = \frac{p^2}{2m^*}, \quad N^*(0) = \frac{m^* p_F}{\pi^2 \hbar^3}$$



Heavy Fermions: magnetically polarizable Landau Fermi liquids.

$$E_{\mathbf{p}} = \frac{p^2}{2m^*}, \quad N^*(0) = \frac{m^* p_F}{\pi^2 \hbar^3}$$

$$\gamma = \lim_{T \rightarrow 0} \left( \frac{C_V}{T} \right) = \frac{\pi^2 k_B^2}{3} N(0)^*.$$

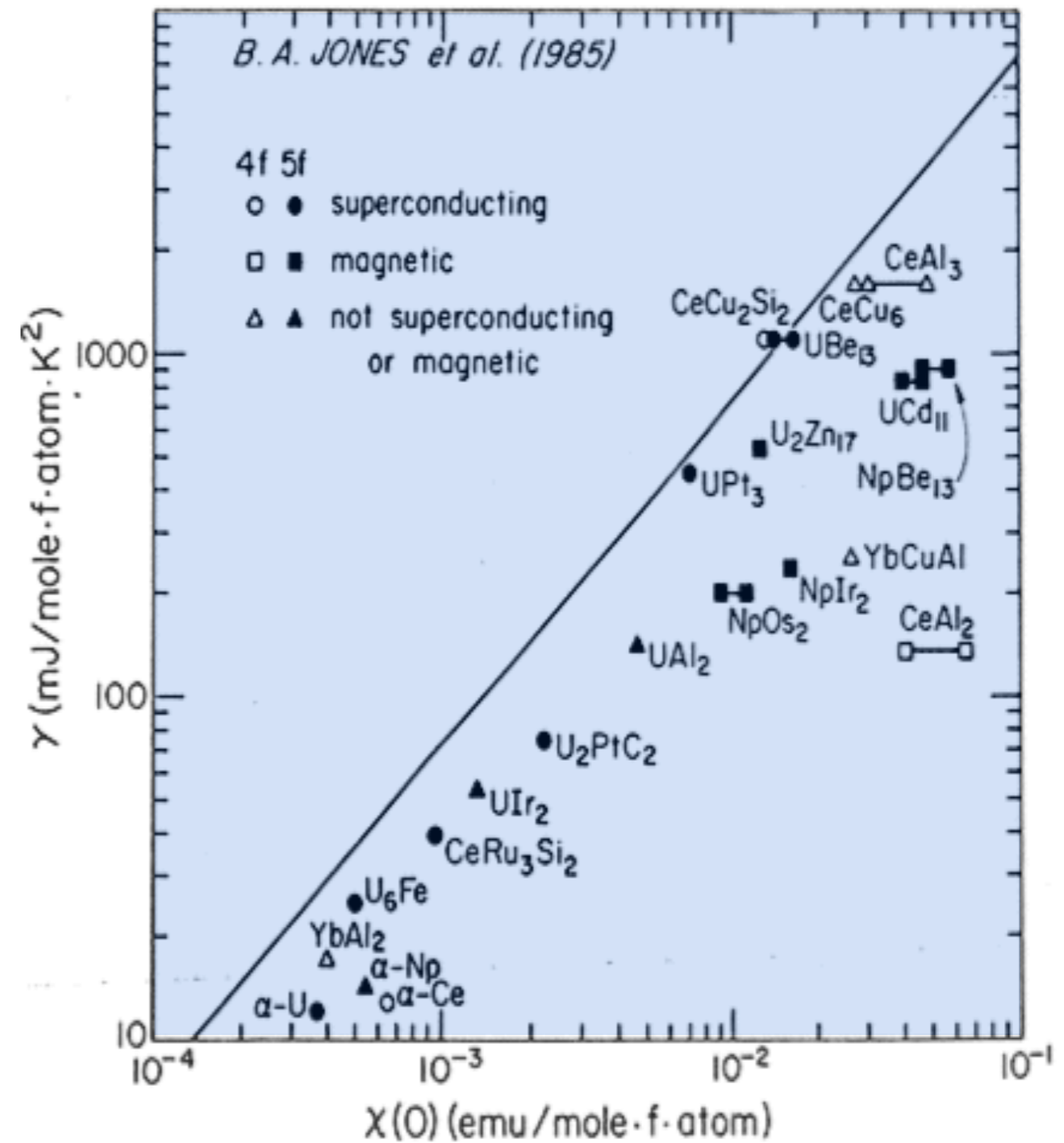


Heavy Fermions: magnetically polarizable Landau Fermi liquids.

$$E_{\mathbf{p}} = \frac{p^2}{2m^*}, \quad N^*(0) = \frac{m^* p_F}{\pi^2 \hbar^3}$$

$$\gamma = \lim_{T \rightarrow 0} \left( \frac{C_V}{T} \right) = \frac{\pi^2 k_B^2}{3} N(0)^*$$

$$\chi = \frac{\mu_B^2 N^*(0)}{1 + F_0^a}$$



Heavy Fermions: magnetically polarizable Landau Fermi liquids.

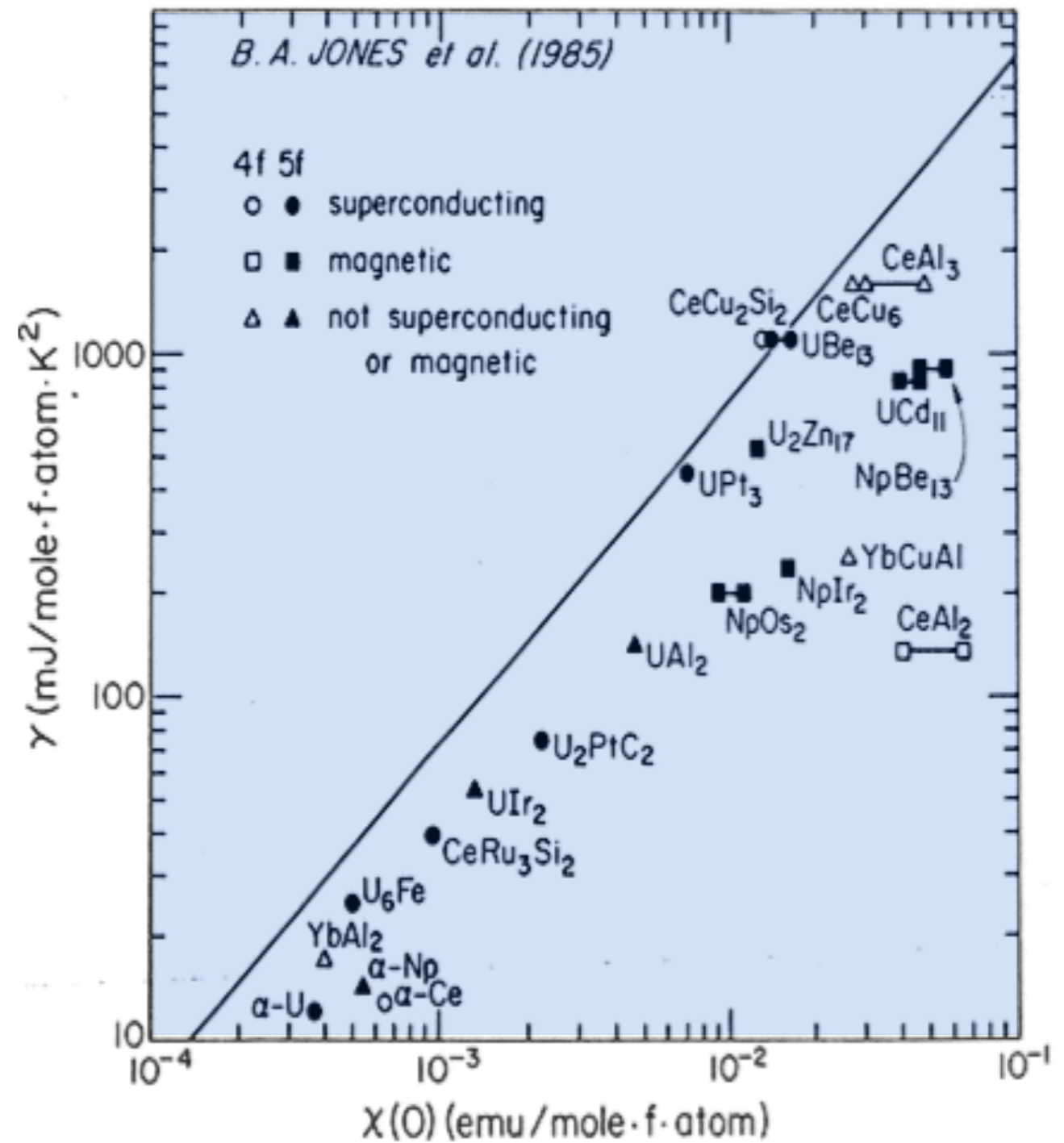
$$E_{\mathbf{p}} = \frac{p^2}{2m^*}, \quad N^*(0) = \frac{m^* p_F}{\pi^2 \hbar^3}$$

$$\gamma = \lim_{T \rightarrow 0} \left( \frac{C_V}{T} \right) = \frac{\pi^2 k_B^2}{3} N(0)^*$$

$$\chi = \frac{\mu_B^2 N^*(0)}{1 + F_0^a}$$

$$W = \frac{\chi}{\gamma} = 3 \left( \frac{\mu_B}{2\pi k_B} \right)^2 \frac{1}{1 + F_0^a}$$

"Wilson" or "Sommerfeld" ratio.





Heavy Fermions: magnetically polarizable Landau Fermi liquids.

$$E_{\mathbf{p}} = \frac{p^2}{2m^*}, \quad N^*(0) = \frac{m^* p_F}{\pi^2 \hbar^3}$$

$$\gamma = \lim_{T \rightarrow 0} \left( \frac{C_V}{T} \right) = \frac{\pi^2 k_B^2}{3} N(0)^*$$

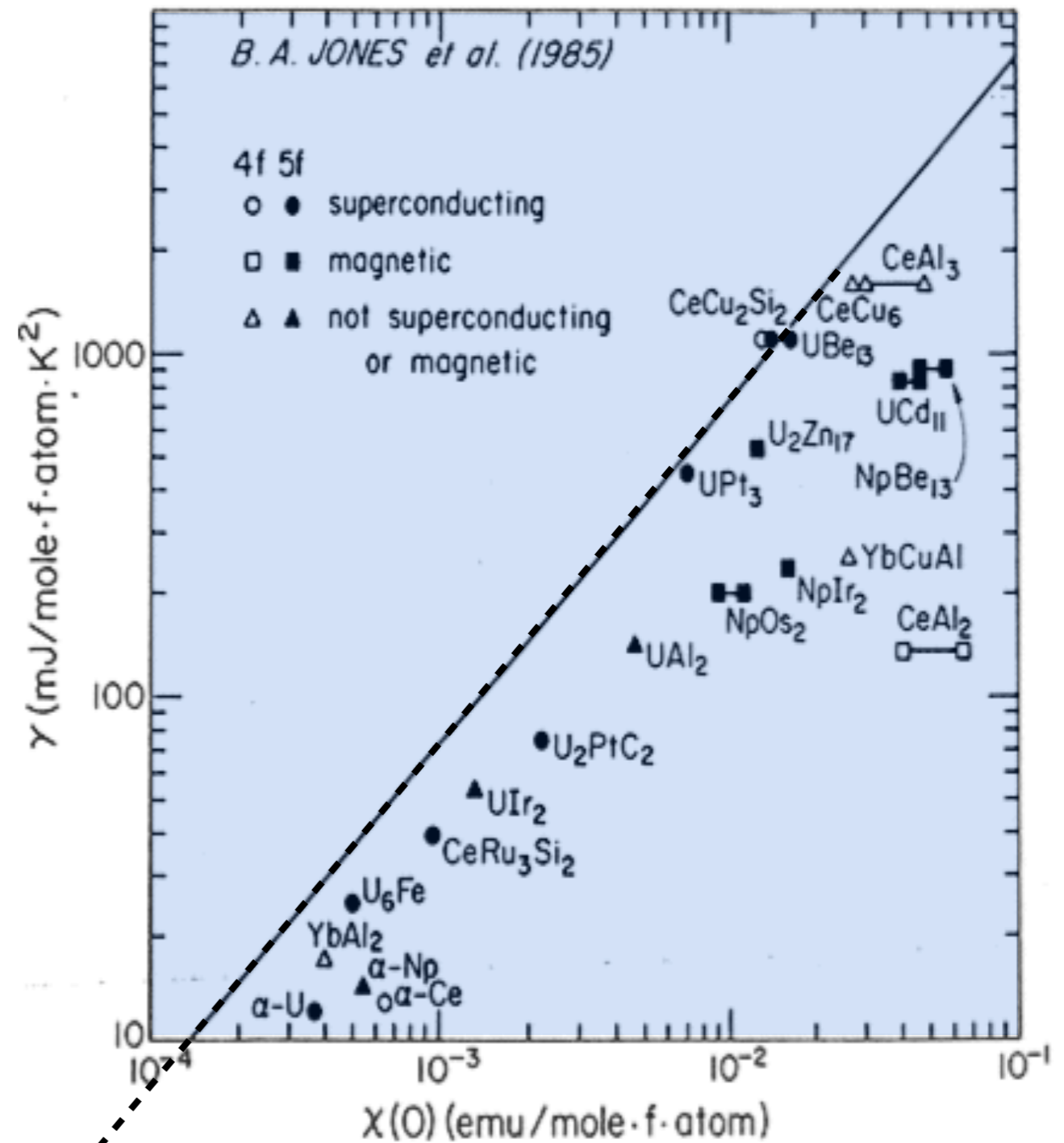
$$\chi = \frac{\mu_B^2 N^*(0)}{1 + F_0^a}$$

$$W = \frac{\chi}{\gamma} = 3 \left( \frac{\mu_B}{2\pi k_B} \right)^2 \frac{1}{1 + F_0^a}$$

"Wilson" or "Sommerfeld" ratio.

eg Cu vs CeCu<sub>6</sub> (copper, spin doped)

$\gamma_{\text{Cu}} \sim 1 \text{ mJ/mol/K}^2$ ,



Cu ●



Heavy Fermions: magnetically polarizable Landau Fermi liquids.

$$E_{\mathbf{p}} = \frac{p^2}{2m^*}, \quad N^*(0) = \frac{m^* p_F}{\pi^2 \hbar^3}$$

$$\gamma = \lim_{T \rightarrow 0} \left( \frac{C_V}{T} \right) = \frac{\pi^2 k_B^2}{3} N(0)^*$$

$$\chi = \frac{\mu_B^2 N^*(0)}{1 + F_0^a}$$

$$W = \frac{\chi}{\gamma} = 3 \left( \frac{\mu_B}{2\pi k_B} \right)^2 \frac{1}{1 + F_0^a}$$

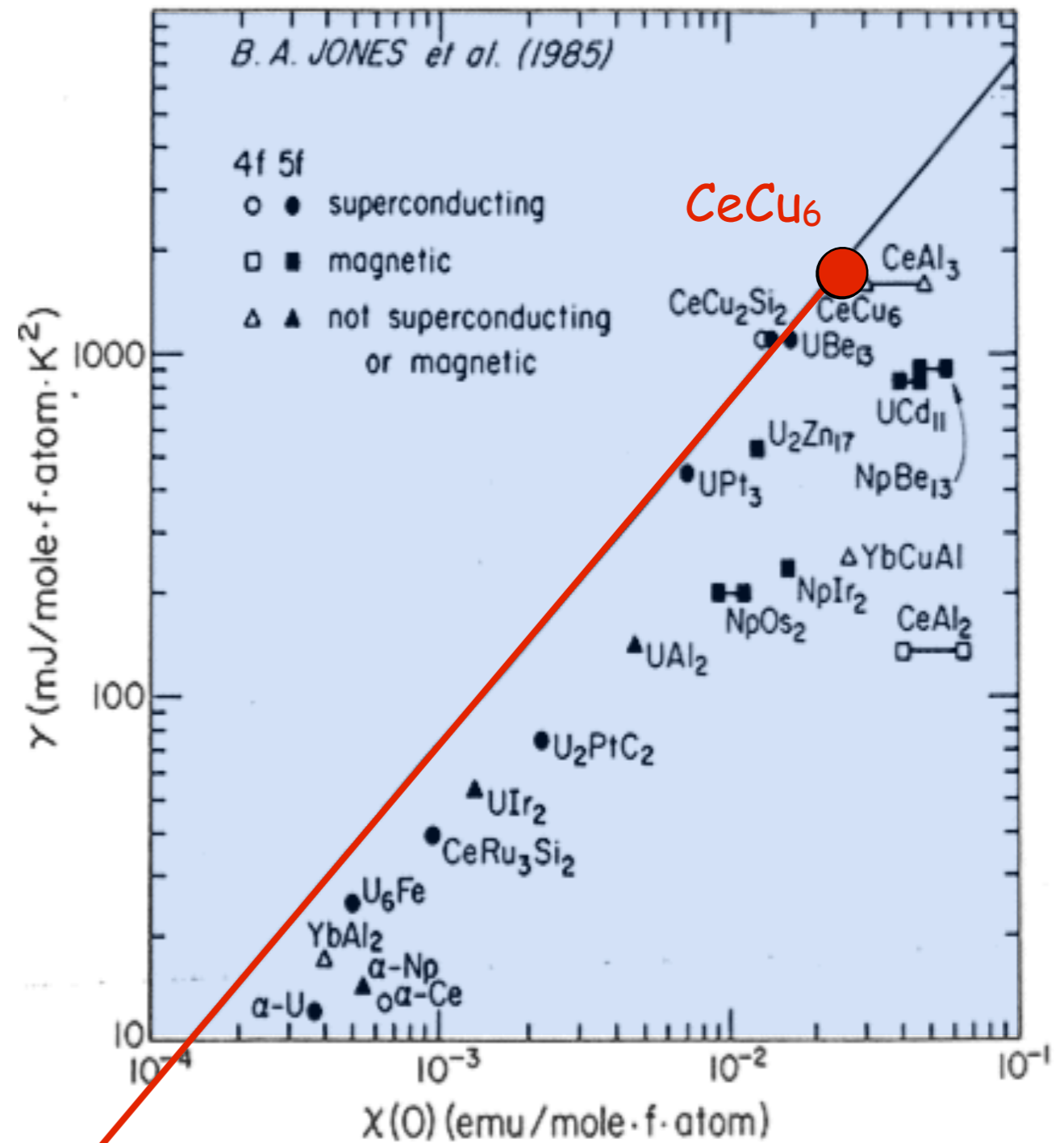
eg Cu vs CeCu<sub>6</sub> (copper, spin doped)

$\gamma_{\text{Cu}} \sim 1 \text{ mJ/mol/K}^2$ ,

$\gamma[\text{CeCu}_6] \sim 1000 \text{ mJ/mol/K}^2$ ,

$m^*/m_e \sim 1000$

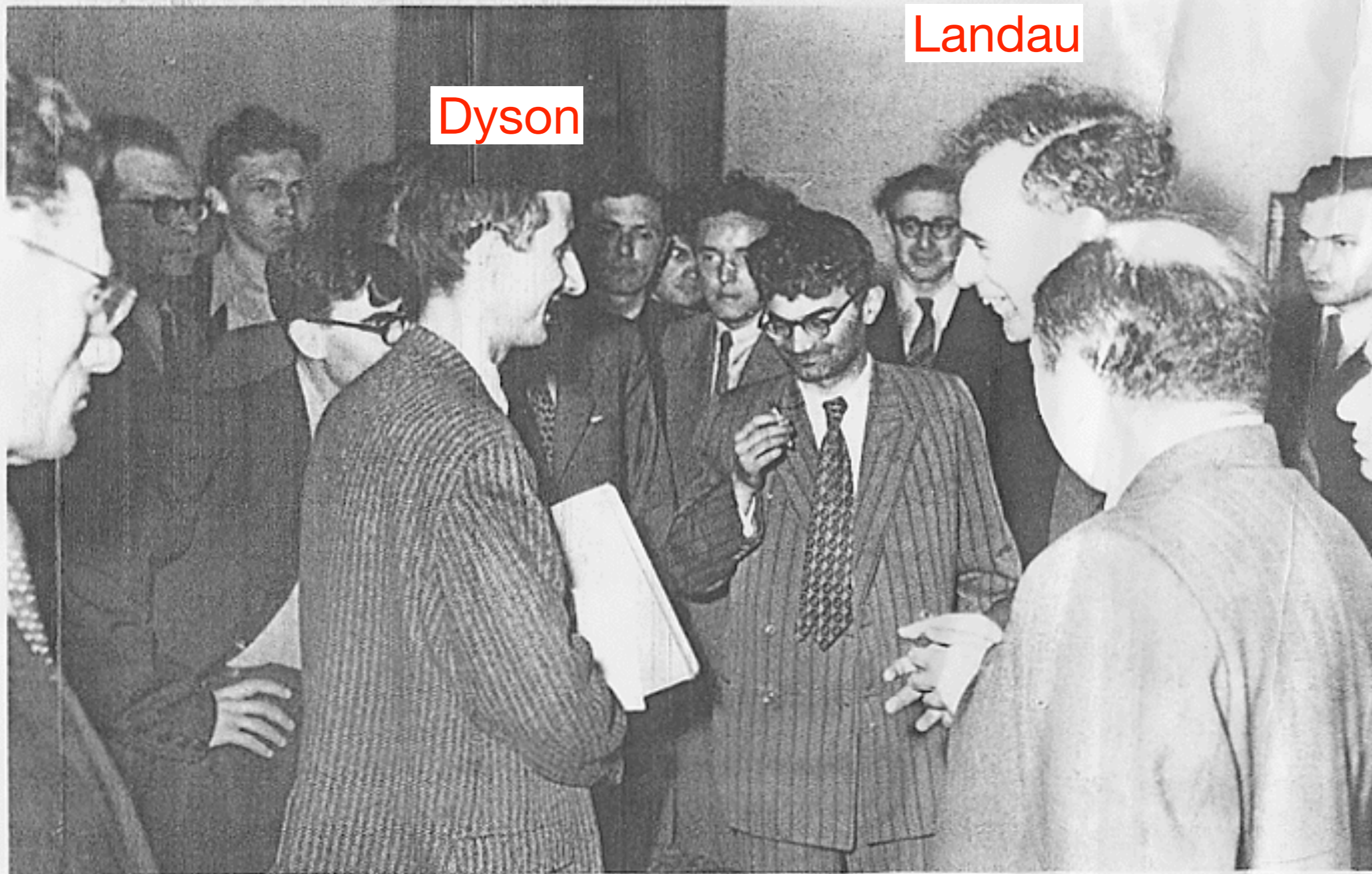
Cu ●





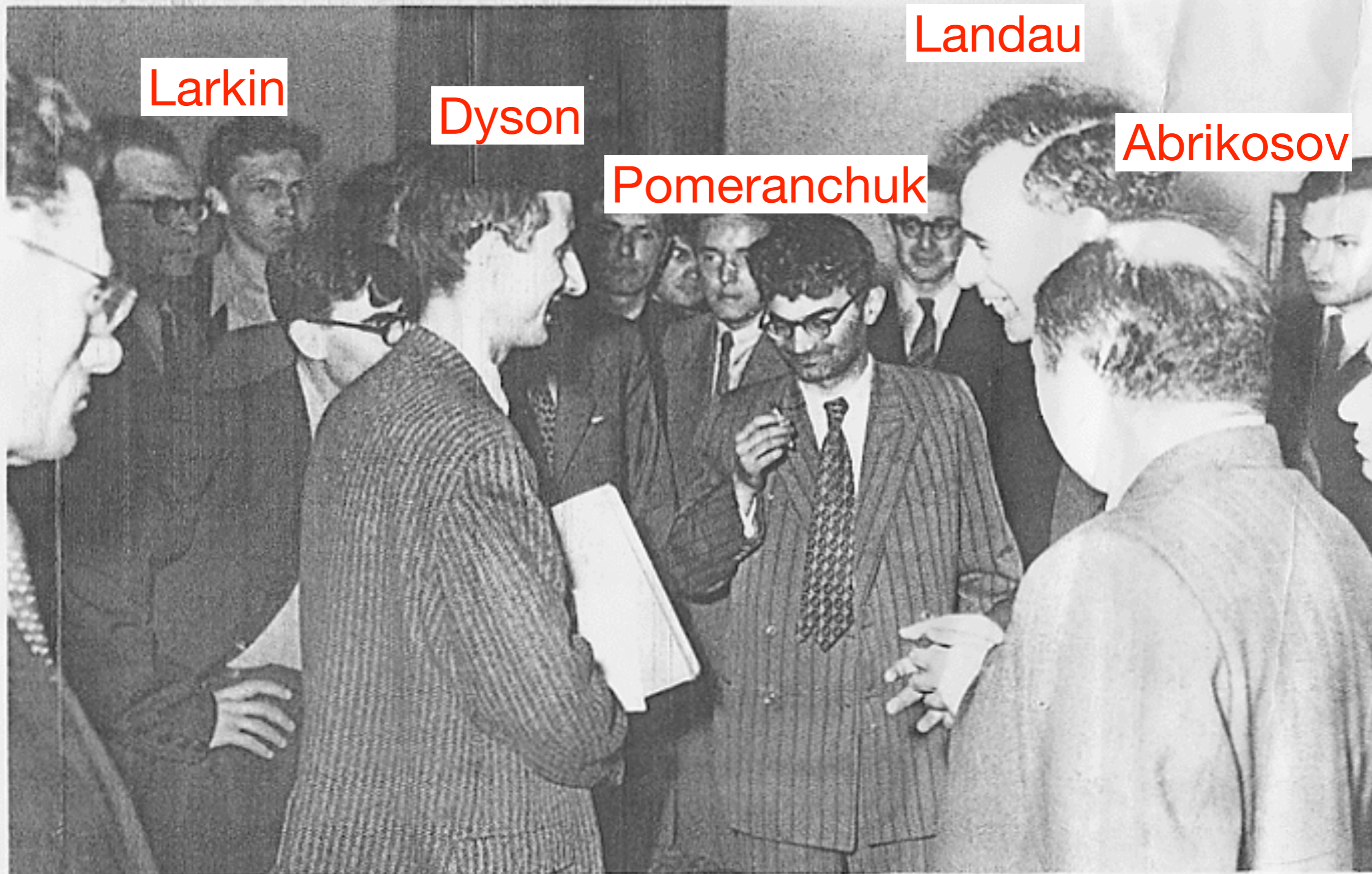
20. Moscow, 1956. Freeman Dyson (front, left), talking with I. Pomeranchuk and Lev Landau.





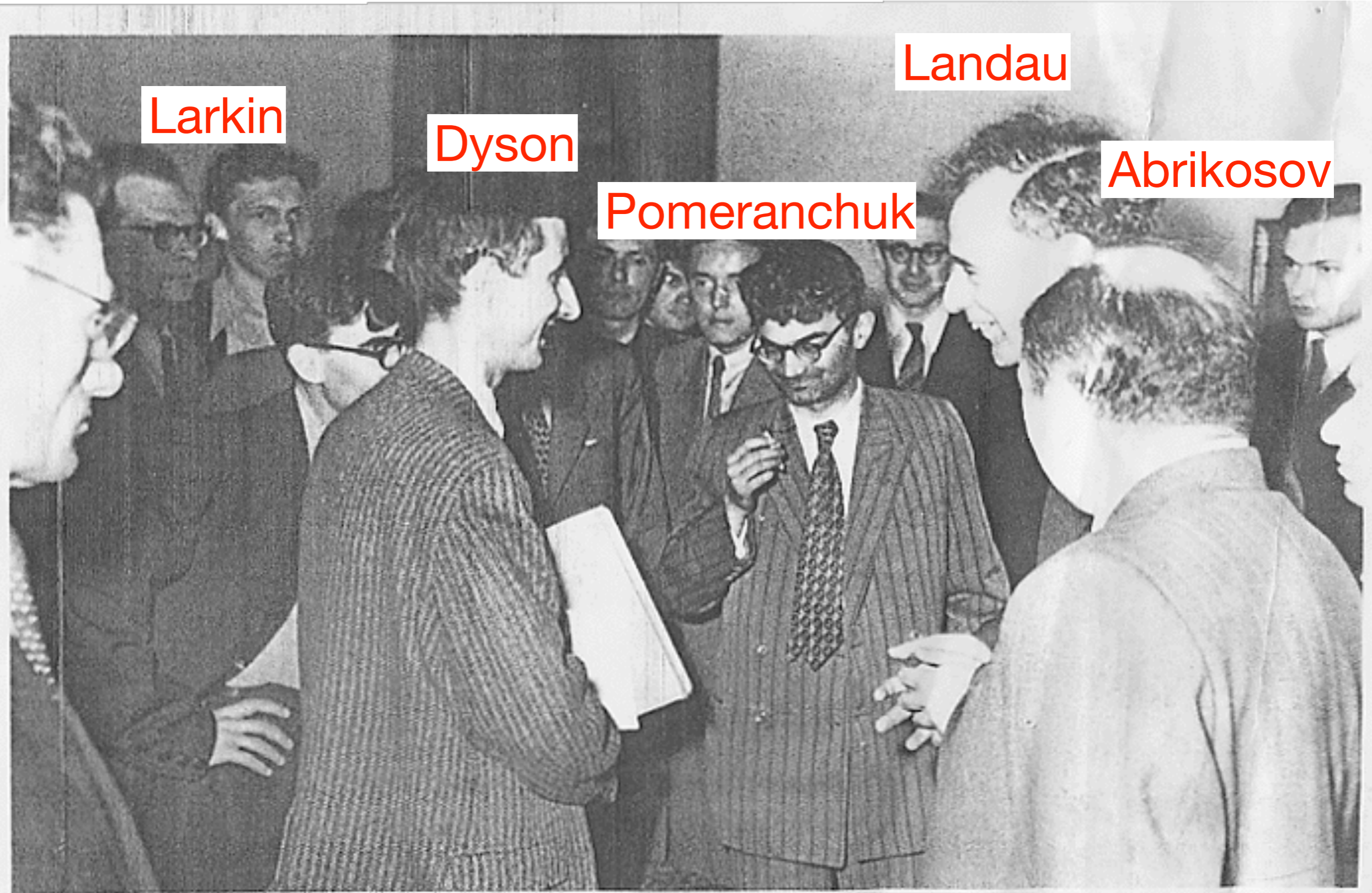
20. Moscow, 1956. Freeman Dyson (front, left), talking with I. Pomeranchuk and Lev Landau.





20. Moscow, 1956. Freeman Dyson (front, left), talking with I. Pomeranchuk and Lev Landau.





20. Moscow, 1956. Freeman Dyson (front, left), talking with I. Pomeranchuk and Lev Landau.





Larkin

Dyson

Pomeranchuk

Landau

Abrikosov

What happens when the interaction becomes too large?



20. Moscow, 1956. Freeman Dyson (front, left), Pomeranchuk and Lev Landau.



What happens when the interaction becomes too large?







What happens when the interaction becomes too large?

Wigner/ Landau 1934/36



“Electrons order”





What happens when  
the interaction  
becomes too large?

Wigner/ Landau 1934/36

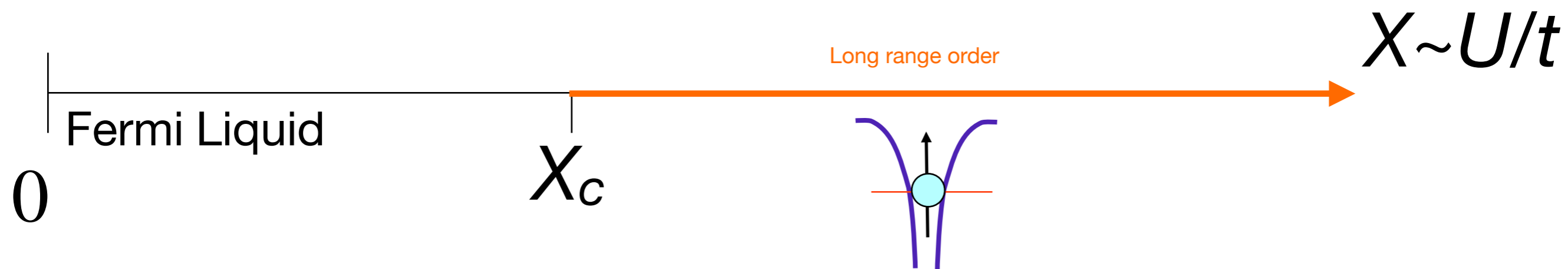


Peierls/Mott 1939



“Electrons order”

“Electrons localize”



What happens when the interaction becomes too large?

Wigner/ Landau 1934/36



Peierls/Mott 1939



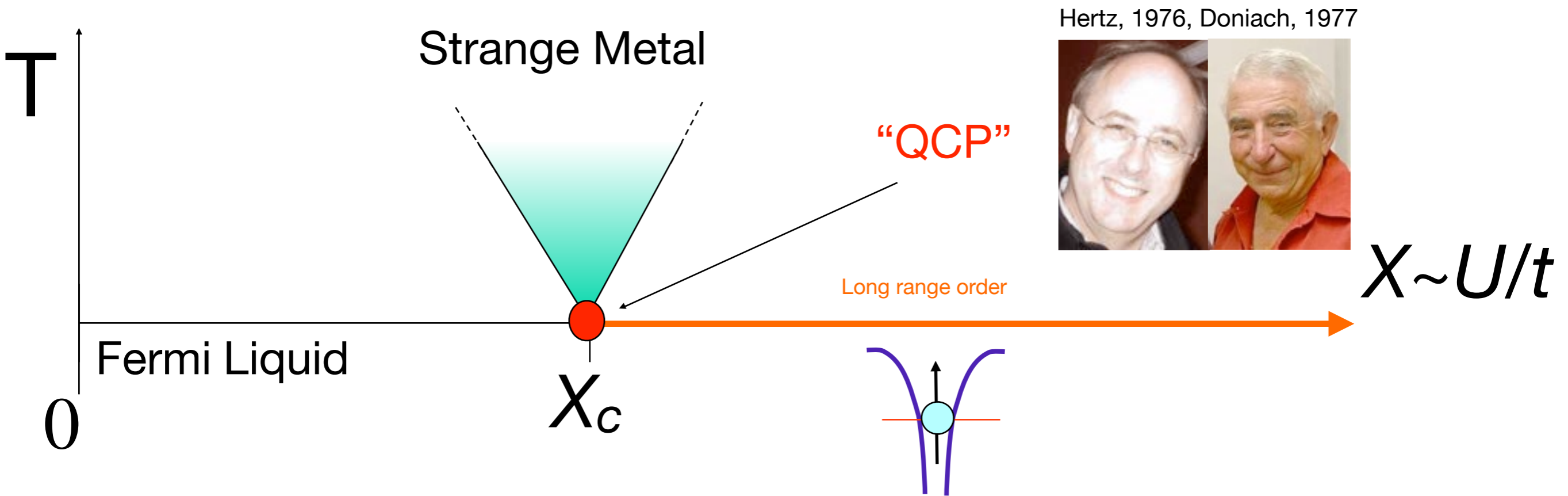
Anderson 1961



“Electrons order”

“Electrons localize”

“Moments form”



What happens when the interaction becomes too large?

Wigner/ Landau 1934/36



“Electrons order”

Peierls/Mott 1939

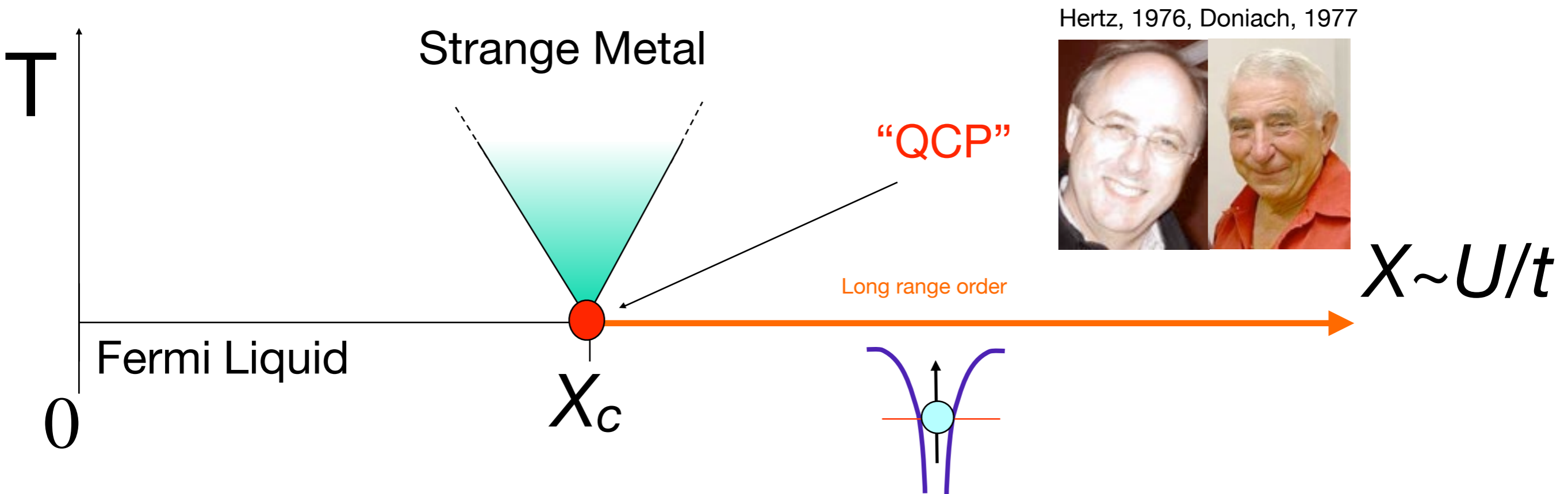


“Electrons localize”

Anderson 1961



“Moments form”



What happens when the interaction becomes too large?

Wigner/ Landau 1934/36



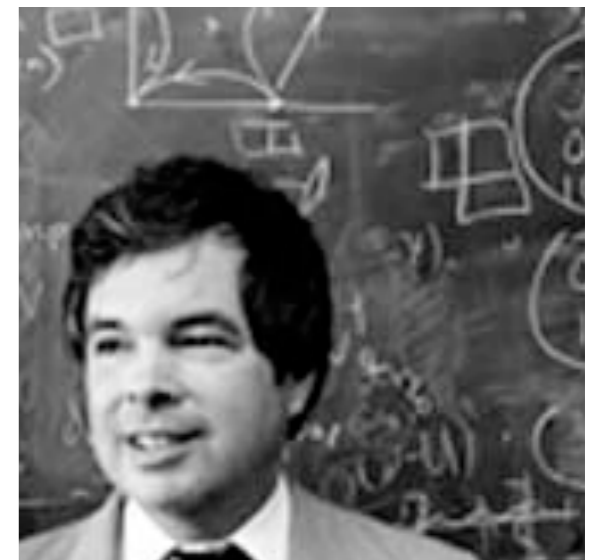
Peierls/Mott 1939



Anderson 1961



Kenneth Wilson 1936-2013



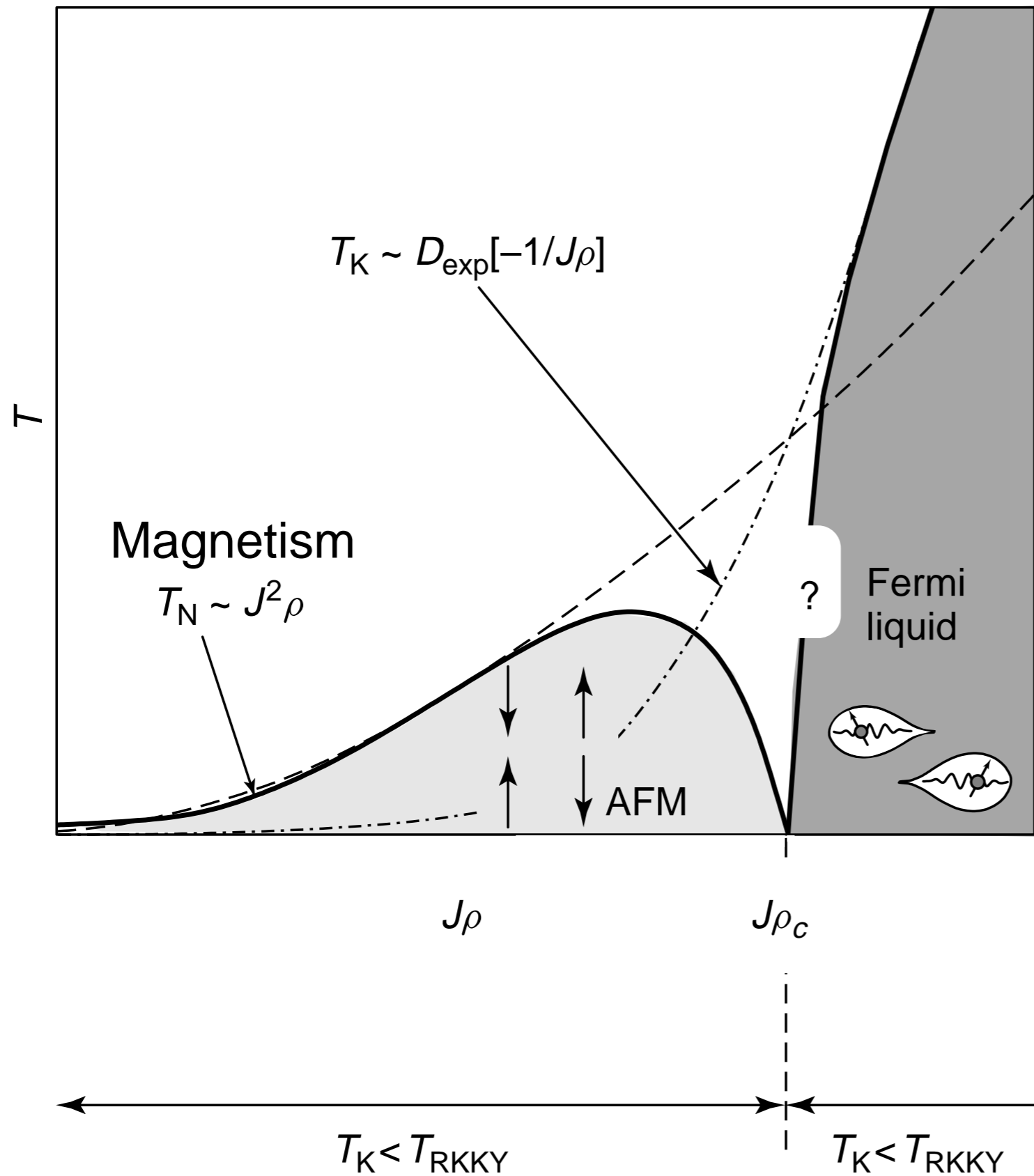
“Electrons order”

“Electrons localize”

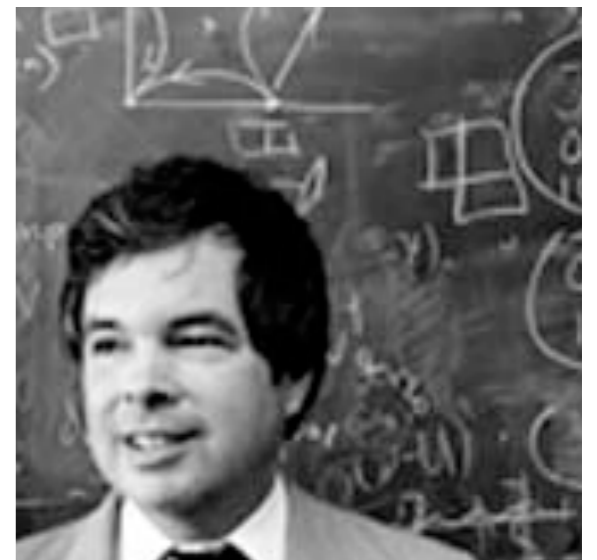
“Moments form”

**New Fixed Points**

Mott, 1973  
Doniach 1976



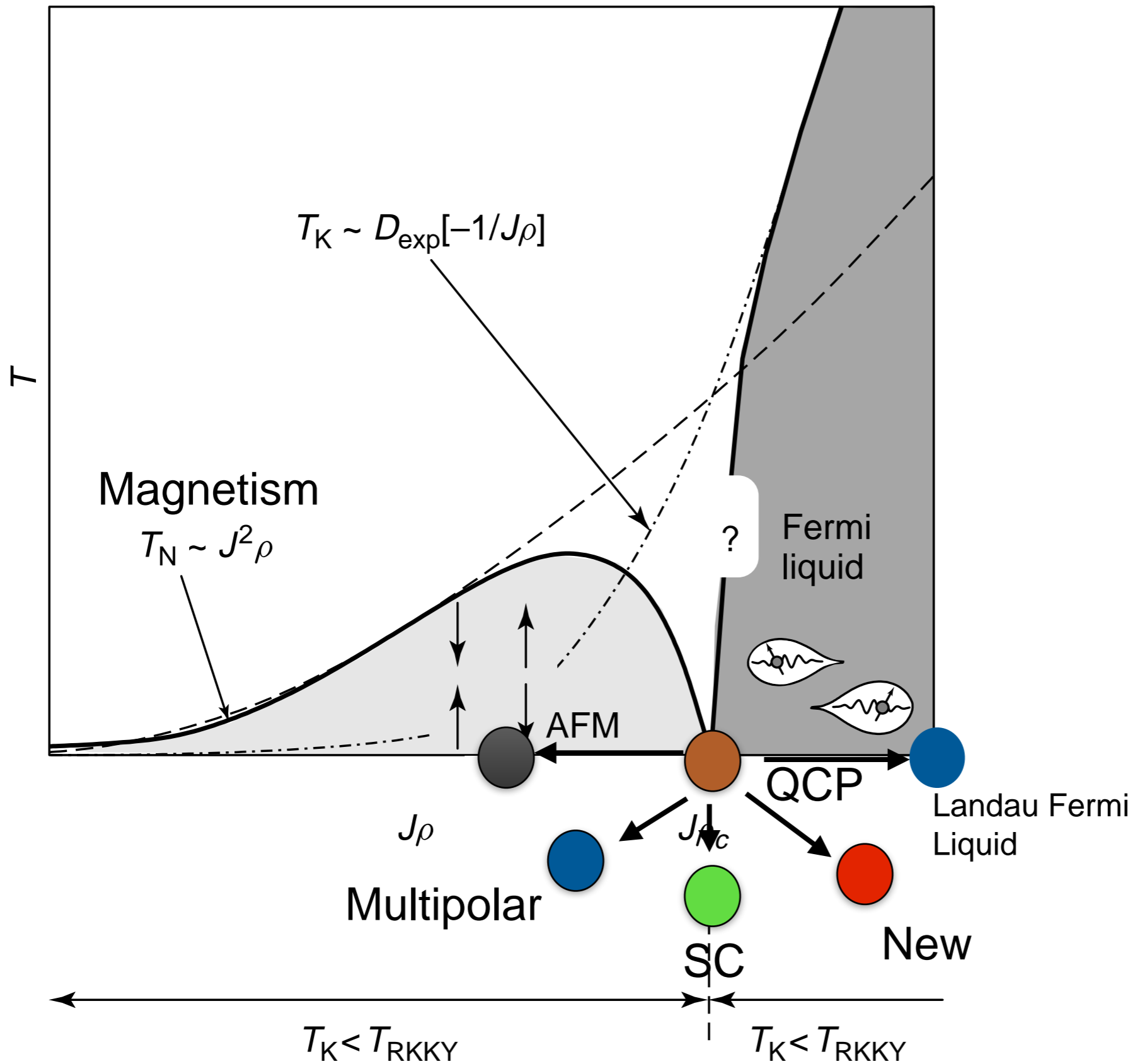
Wilson 1975



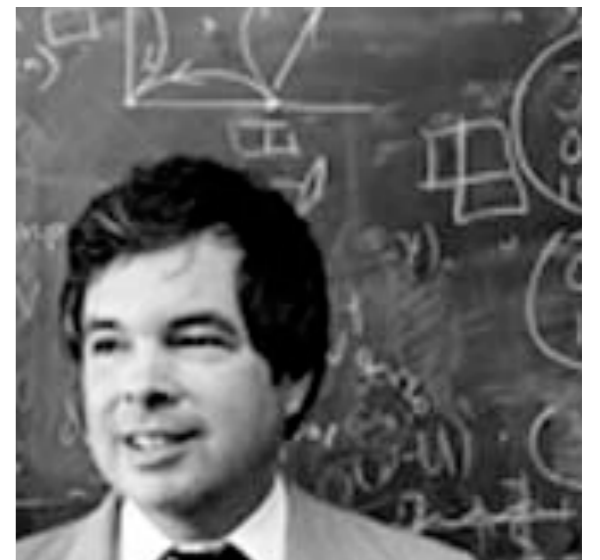
**New Fixed Points**



Mott, 1973  
 Doniach 1976



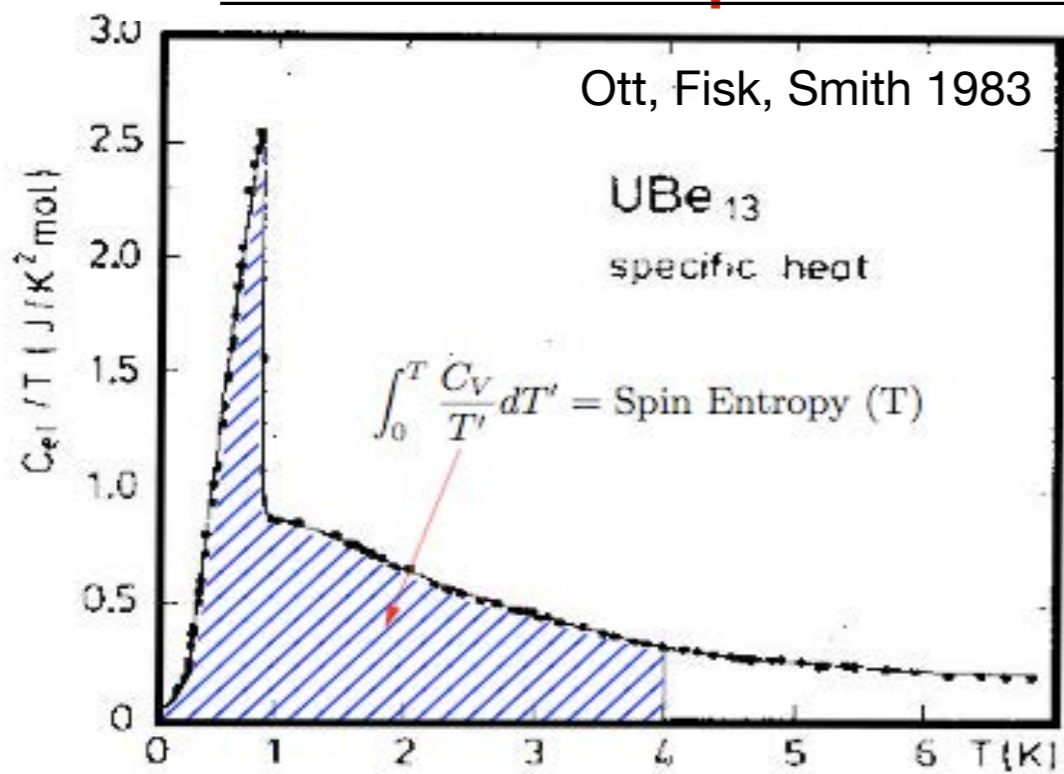
Wilson 1975



**New Fixed Points**

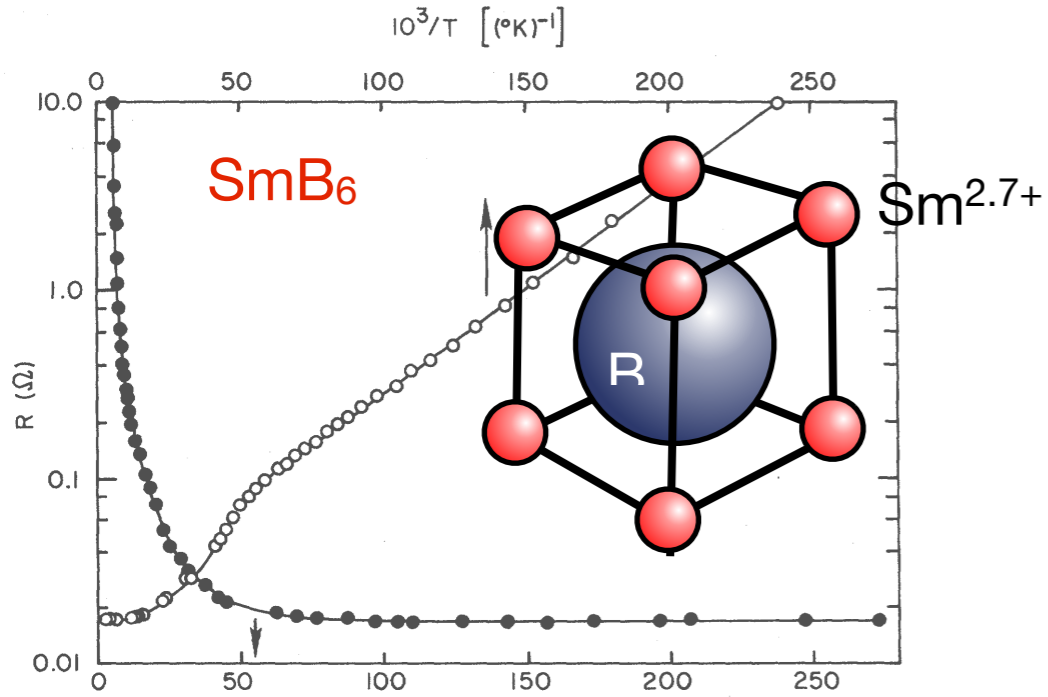


→ New kinds of superconductor

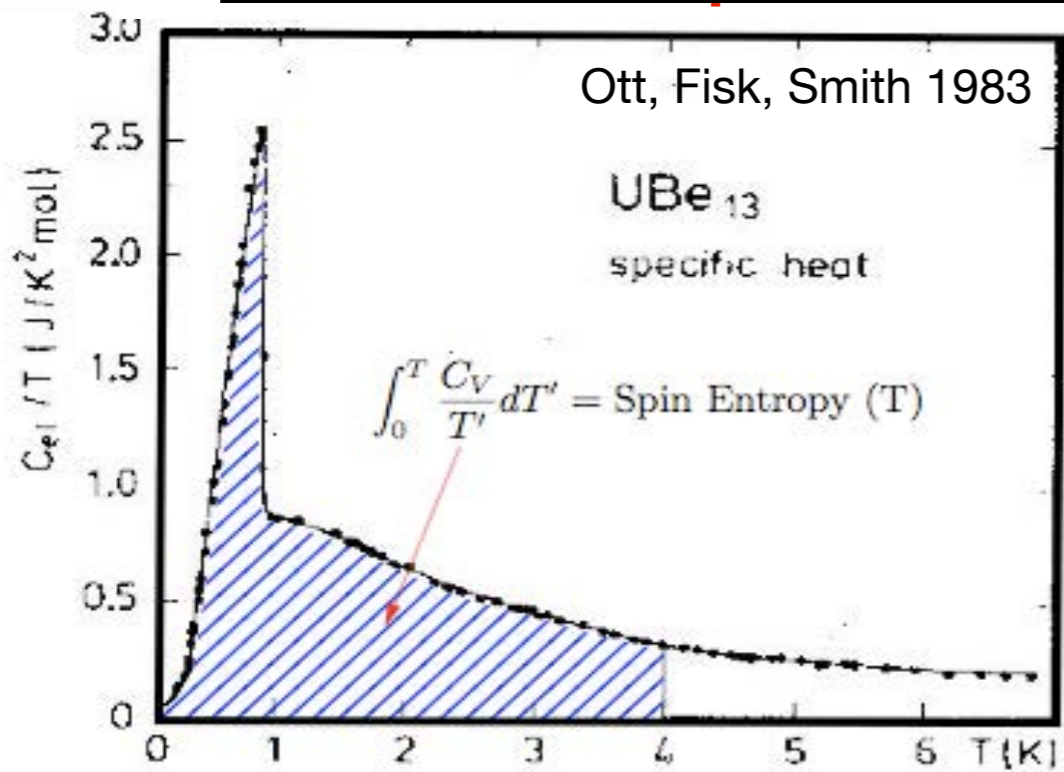


→ New kinds of insulator

Kondo Insulators

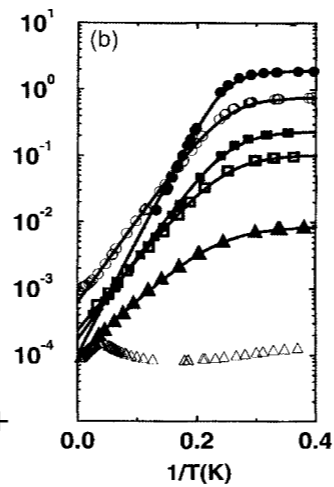
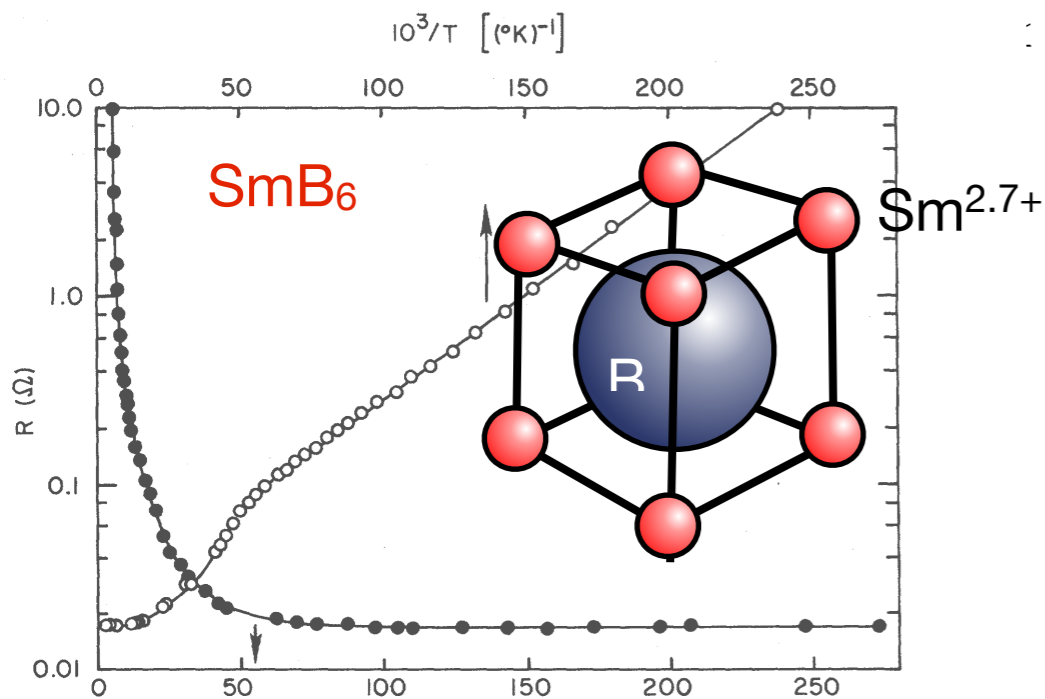


→ New kinds of superconductor

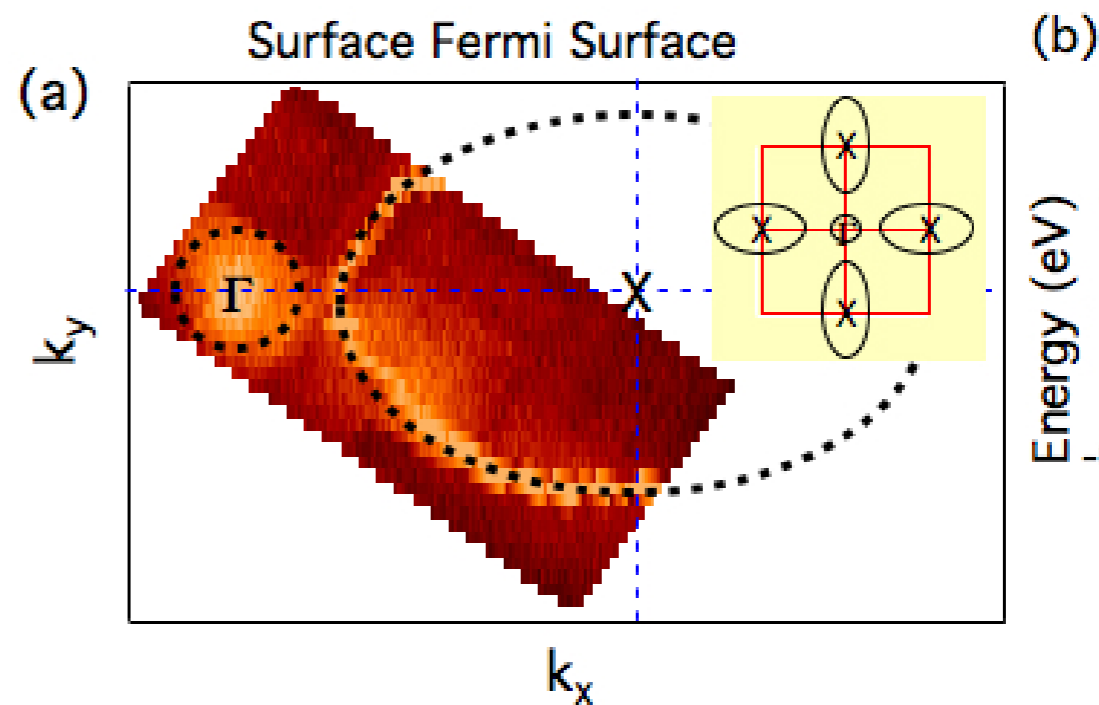
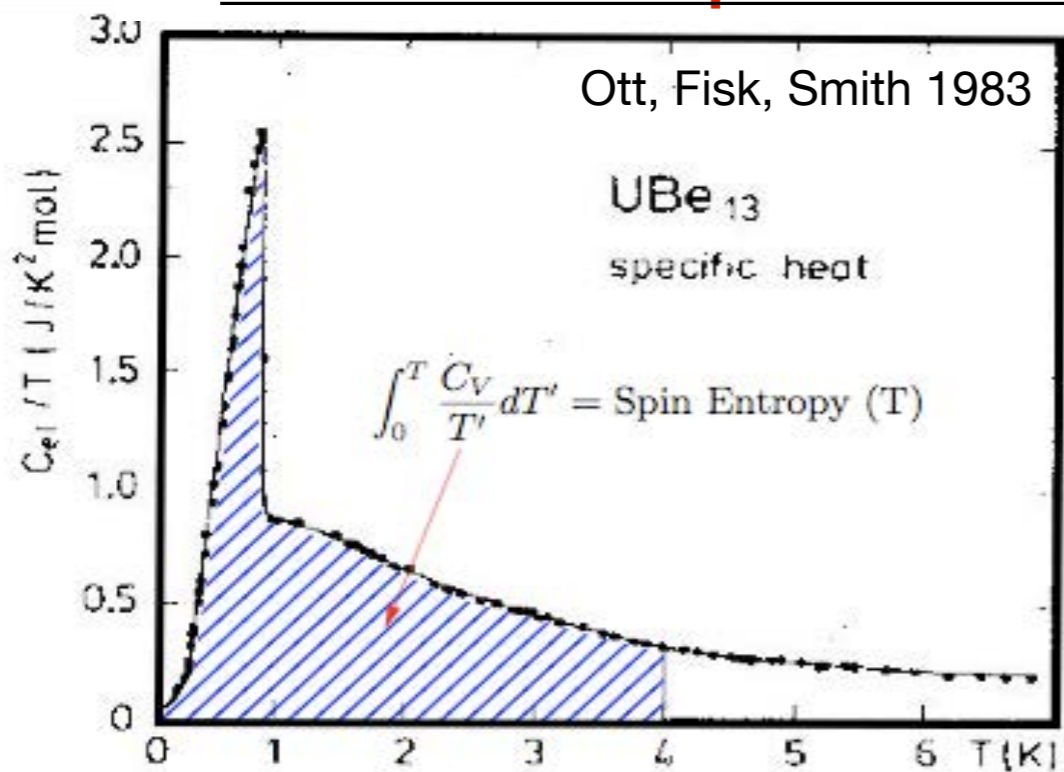


→ New kinds of insulator

Topological Kondo Insulators

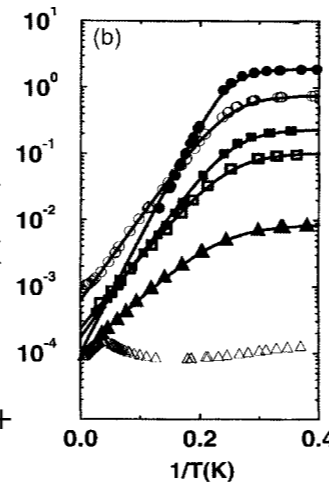
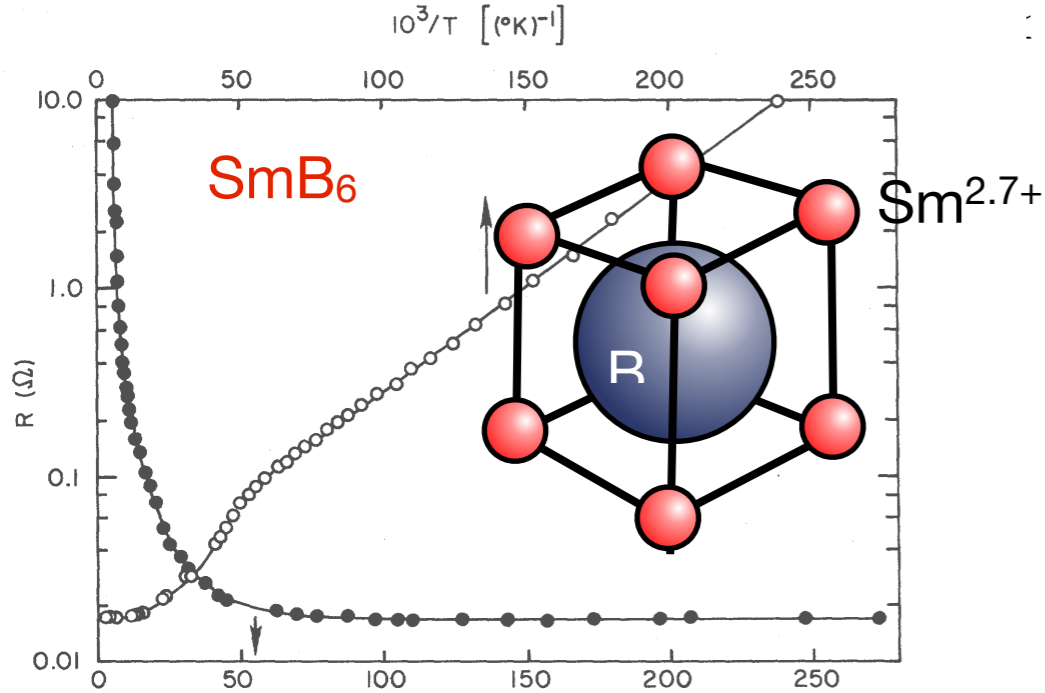


→ New kinds of superconductor

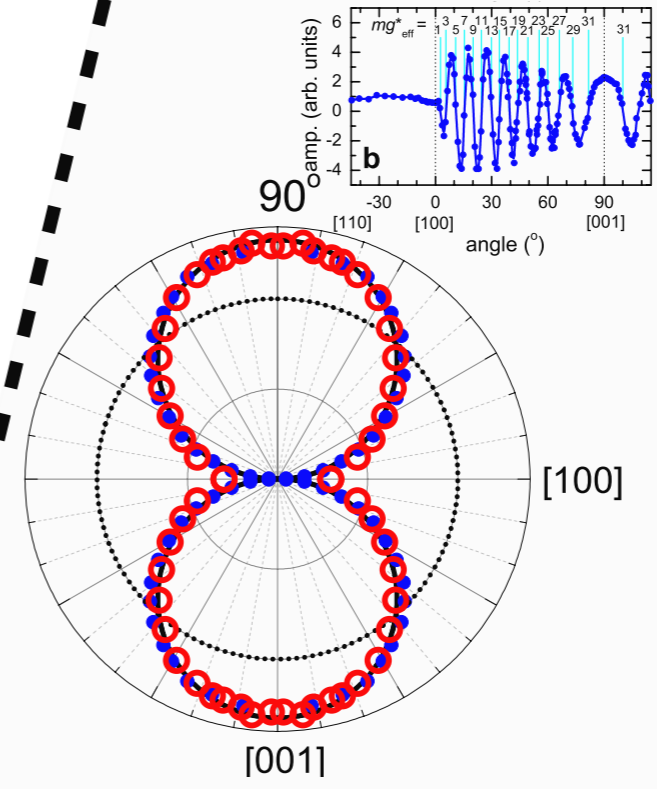


→ New kinds of insulator

Topological Kondo Insulators

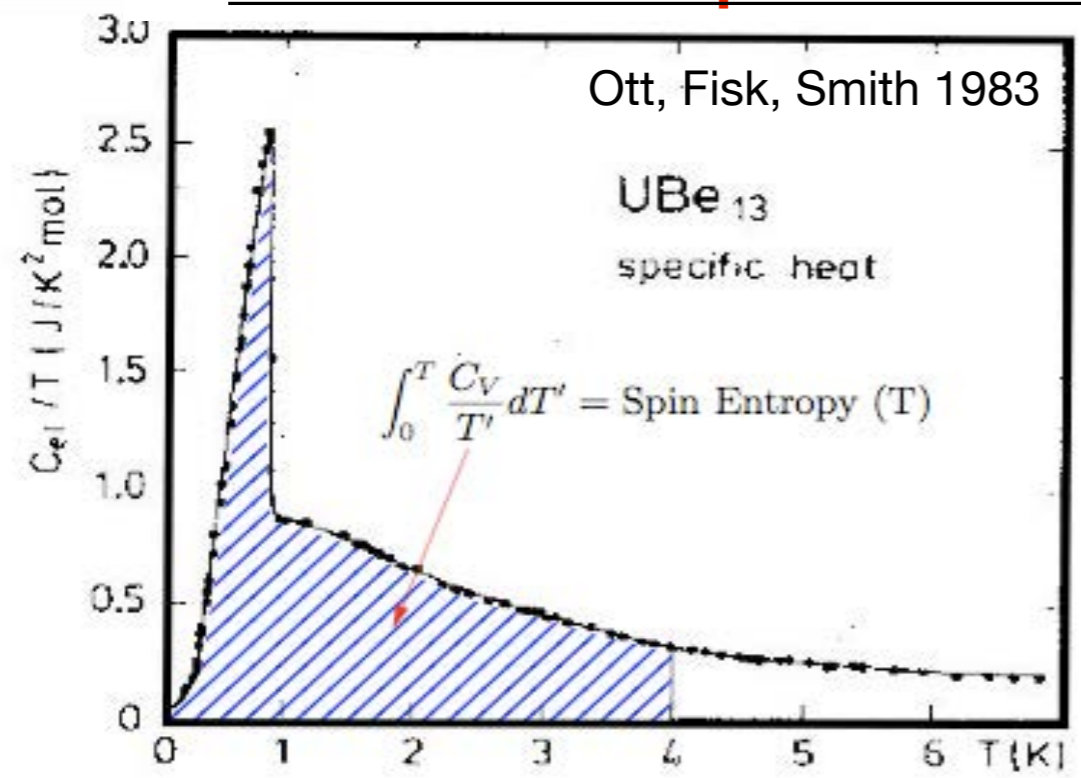


Altarawneh et al., (2012)

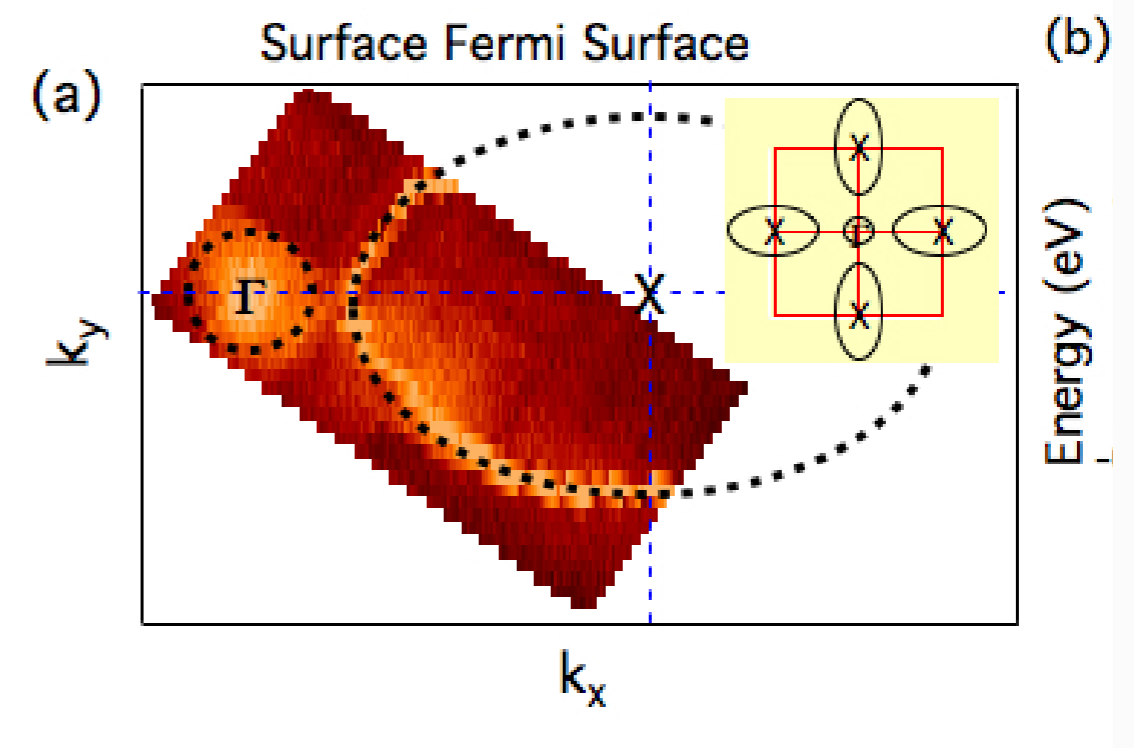


$\text{URu}_2\text{Si}_2$

→ New kinds of superconductor

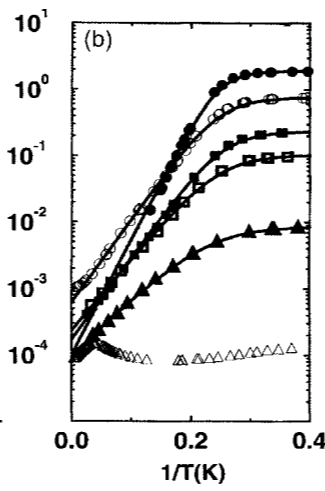
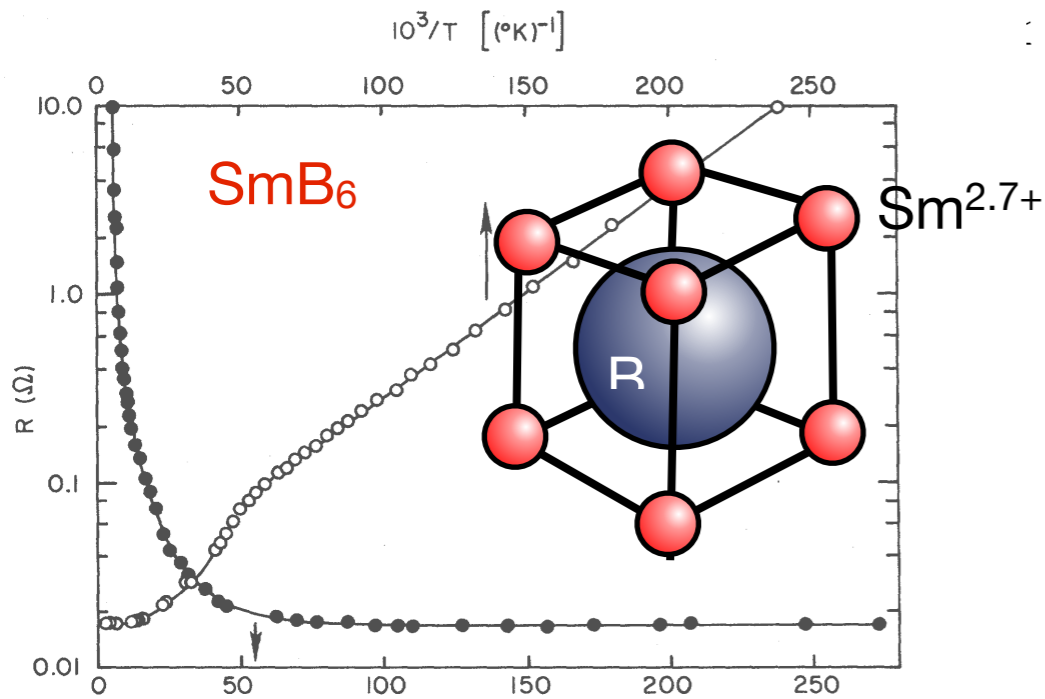


→ New kinds of Electron Order

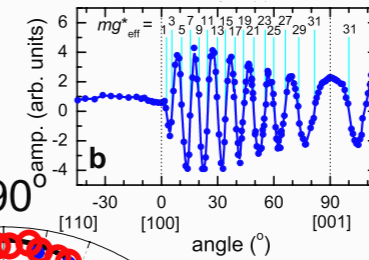


→ New kinds of insulator

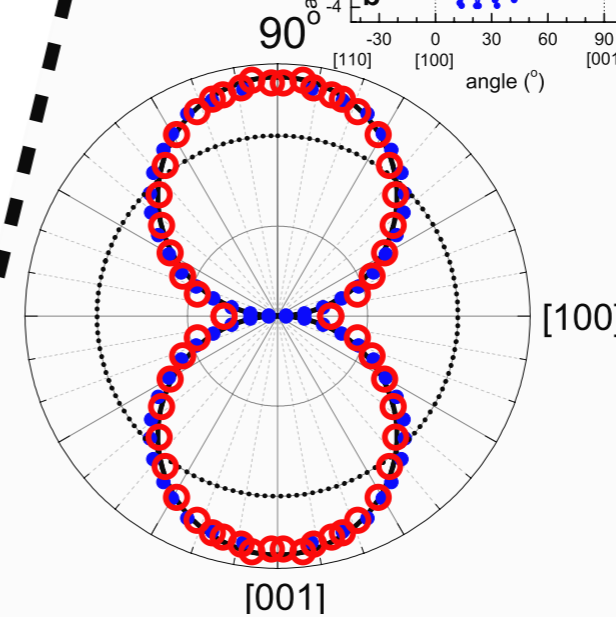
Topological Kondo Insulators



Altarawneh et al., (2012)



$\text{URu}_2\text{Si}_2$

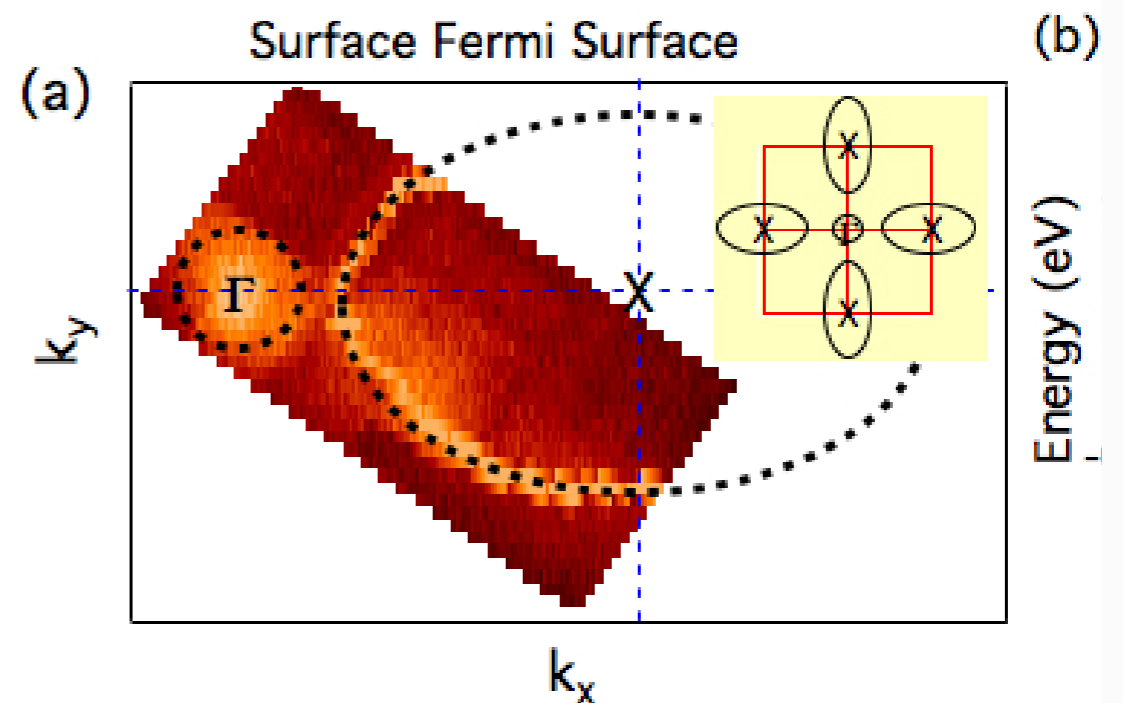
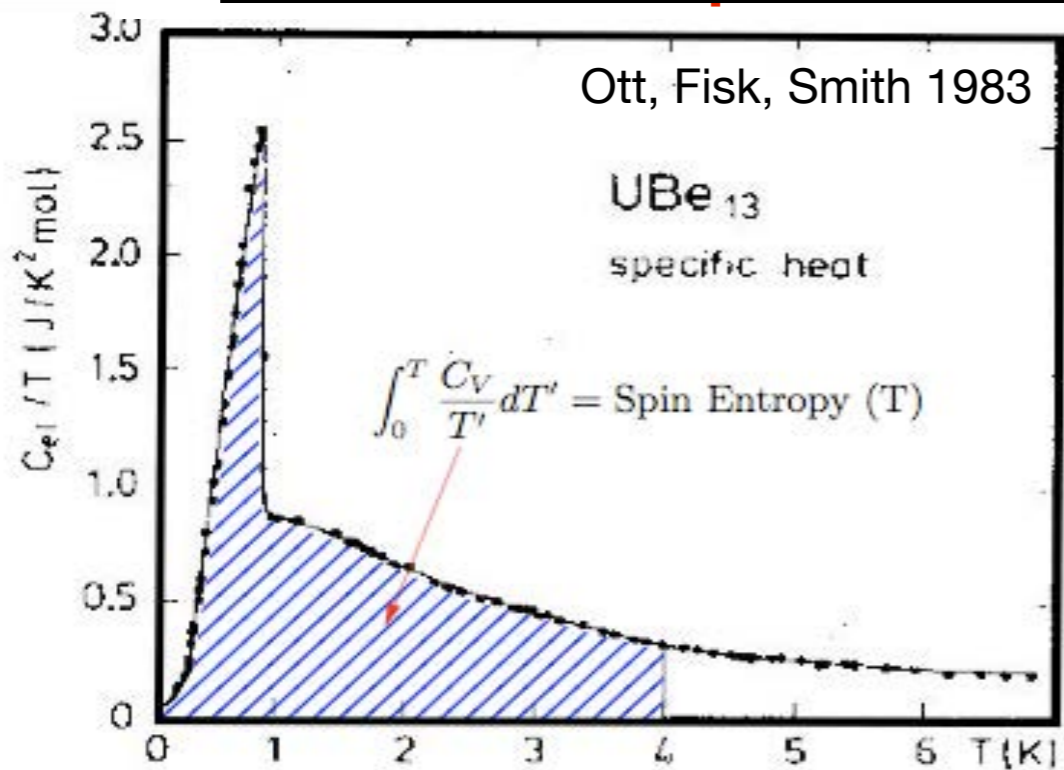


$$\Psi = \begin{pmatrix} \langle \Psi_{\uparrow} \rangle \\ \langle \Psi_{\downarrow} \rangle \end{pmatrix}$$

Ising Electrons: *Hastatic* order ?

→ New kinds of Electron Order

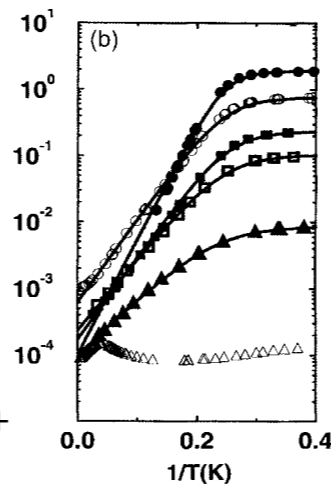
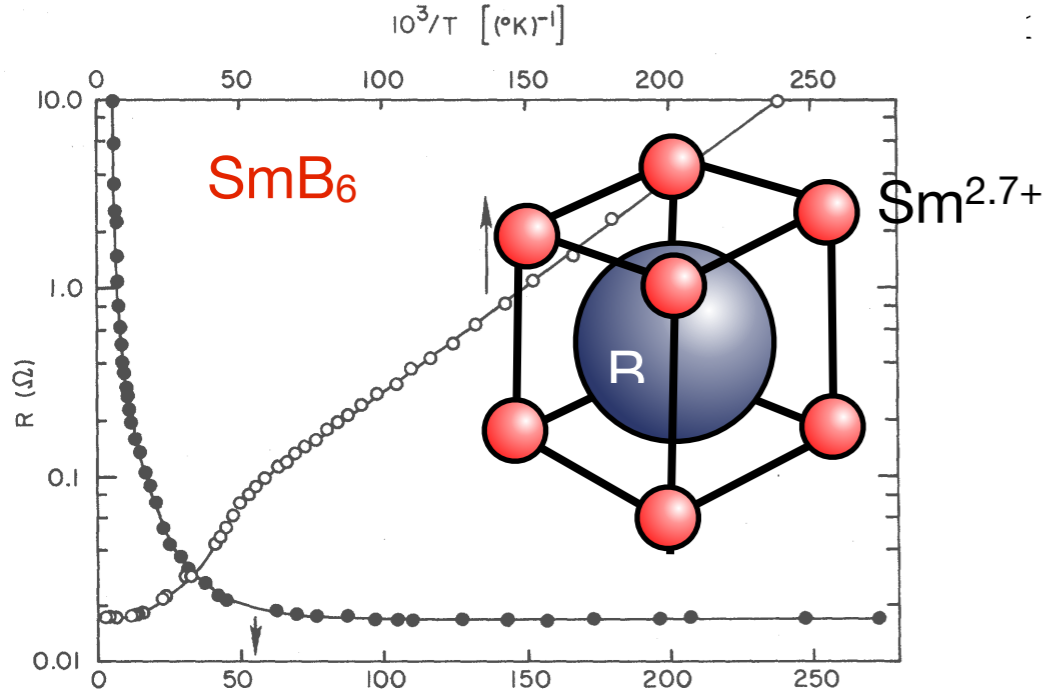
→ New kinds of superconductor



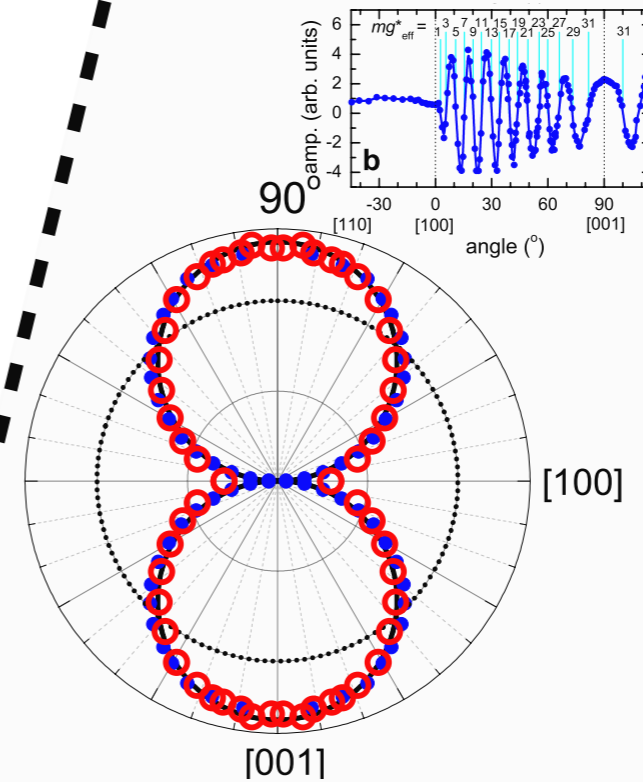


→ New kinds of insulator

Topological Kondo Insulators



Altarawneh et al., (2012)

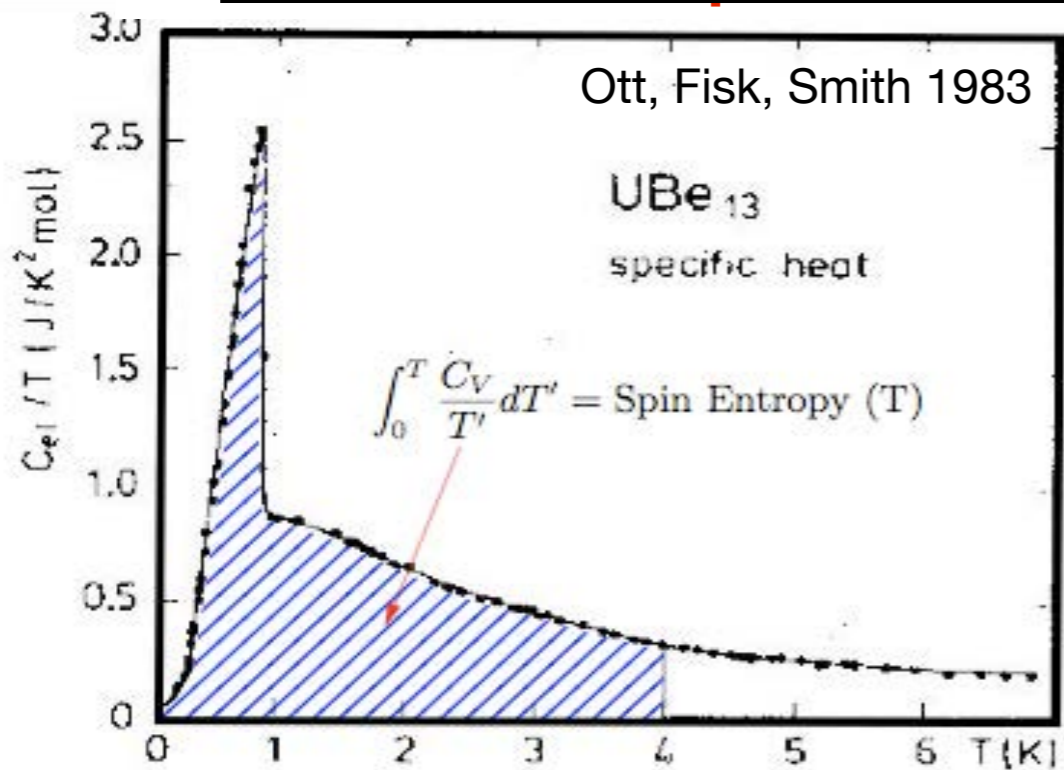


$\text{URu}_2\text{Si}_2$

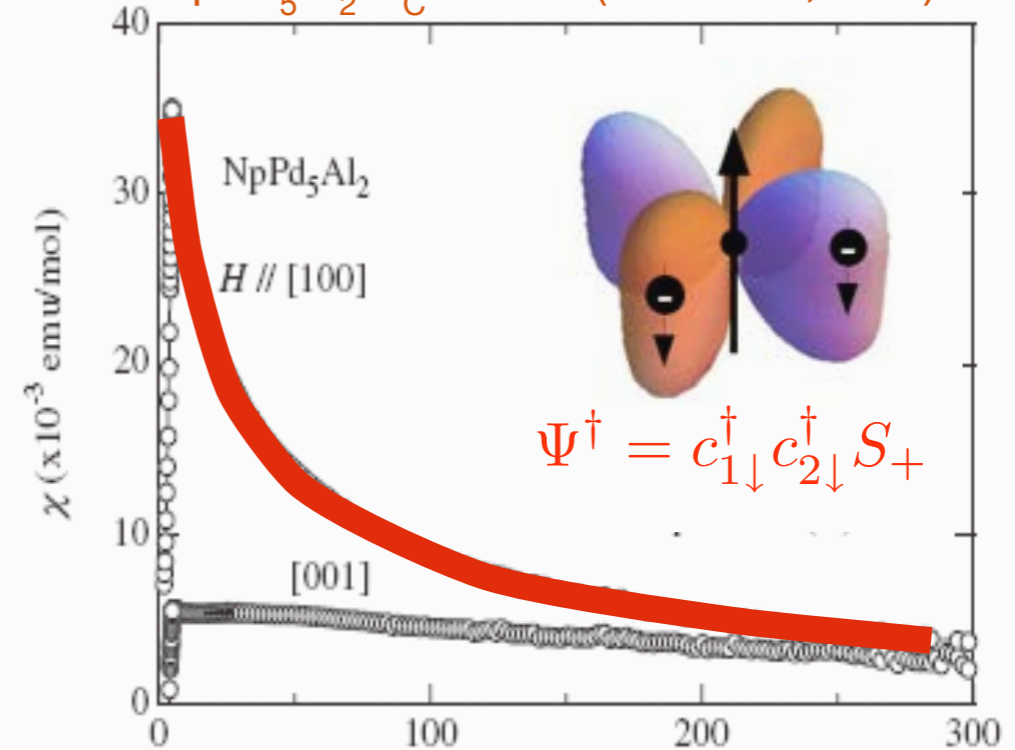
Ising Electrons: *Hastatic* order ?

→ New kinds of Electron Order

→ New kinds of superconductor



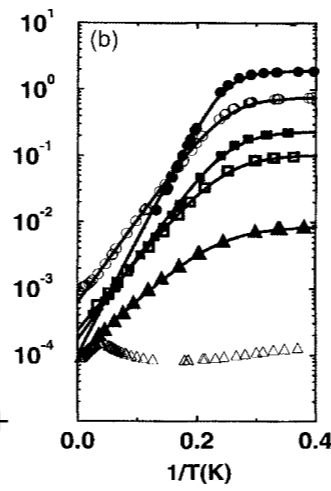
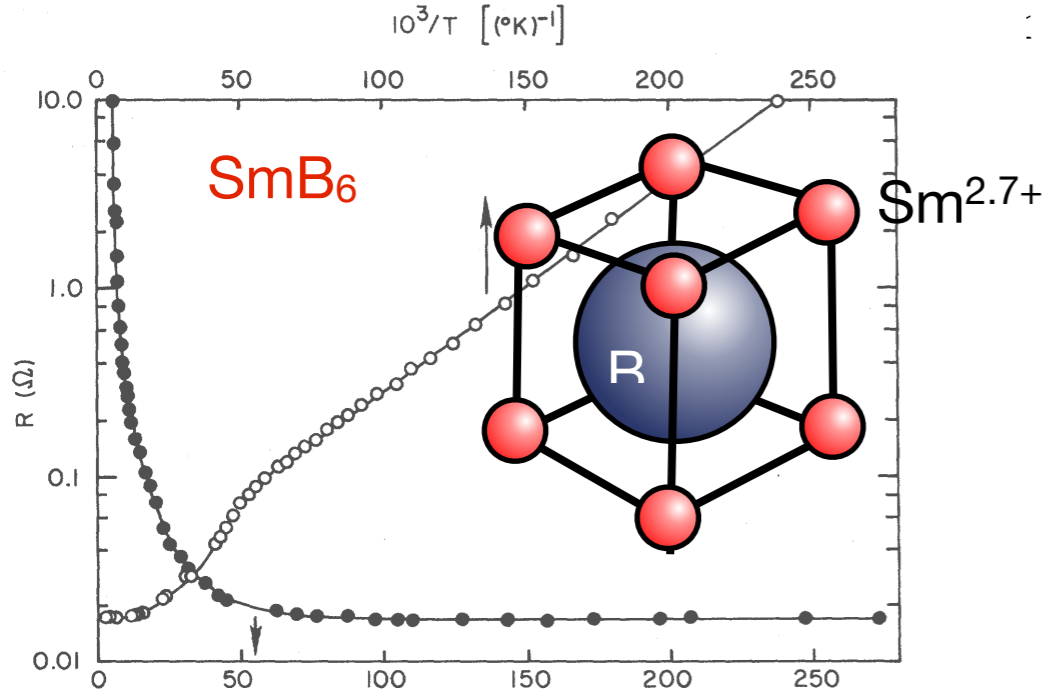
$\text{NpPd}_5\text{Al}_2$   $T_C = 4.5\text{K}$  (Aoki et al, 2009)



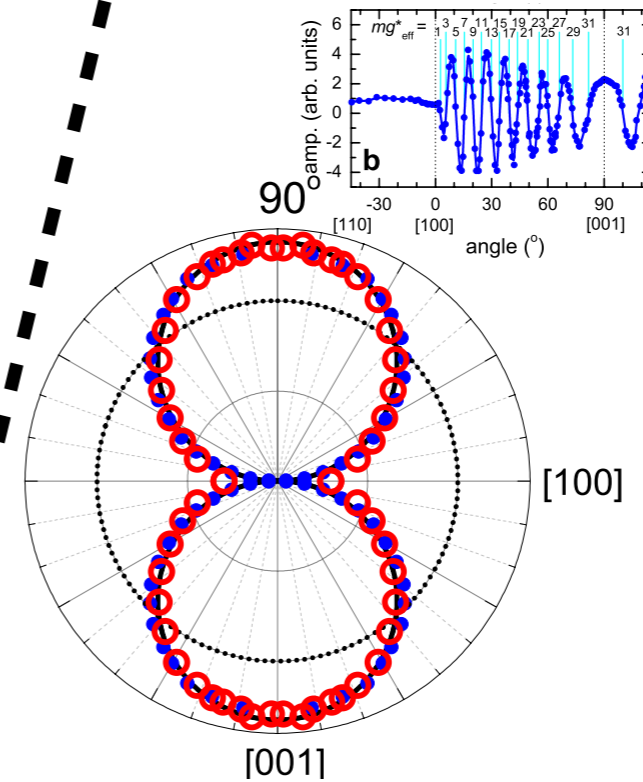
Composite Pairing

→ New kinds of insulator

Topological Kondo Insulators



Altarawneh et al., (2012)



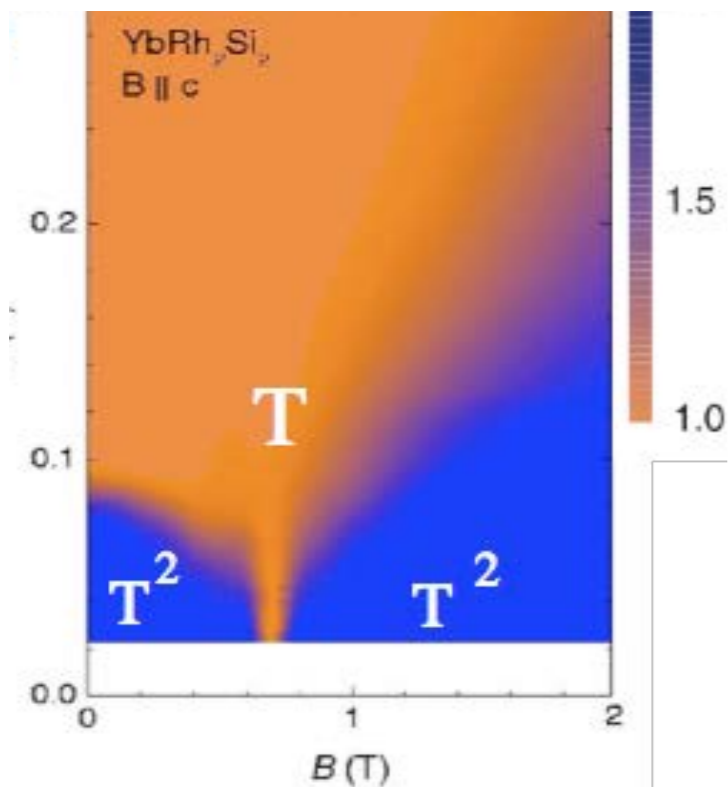
$\text{URu}_2\text{Si}_2$

$$\Psi = \begin{pmatrix} \langle \Psi_{\uparrow} \rangle \\ \langle \Psi_{\downarrow} \rangle \end{pmatrix}$$

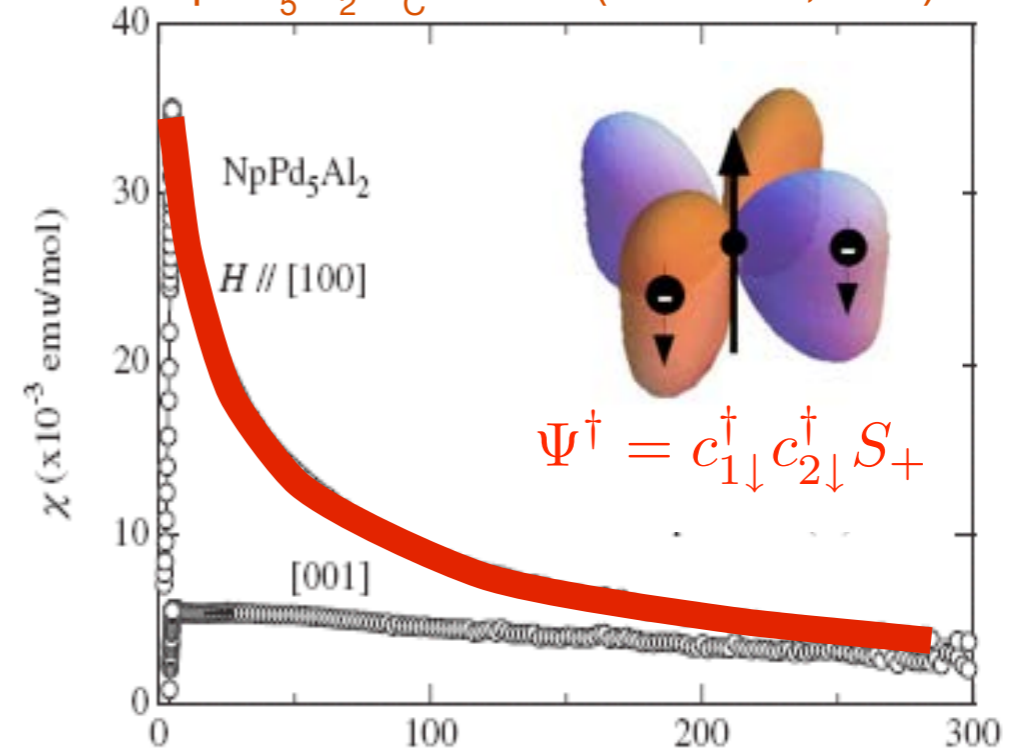
Ising Electrons: *Hastatic* order

→ New kinds of Electron Order

→ New kinds of Phase Transition



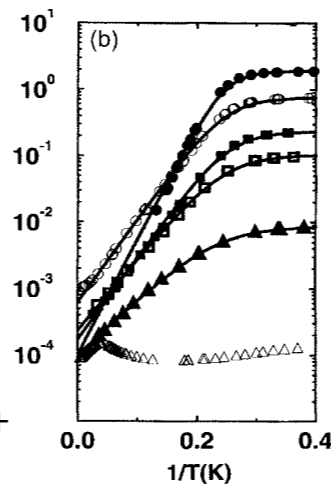
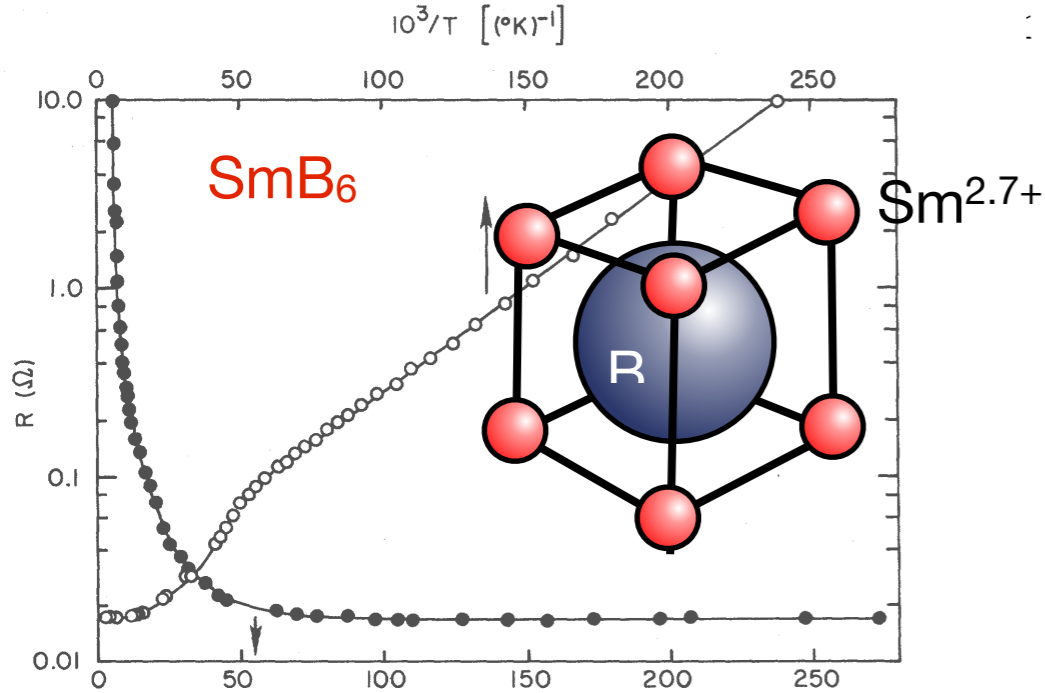
$\text{NpPd}_5\text{Al}_2$   $T_C = 4.5\text{K}$  (Aoki et al, 2009)



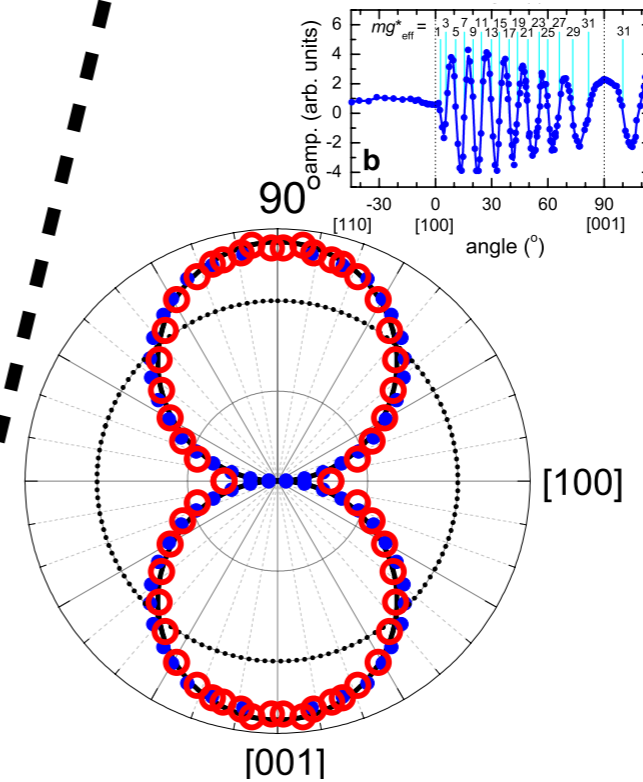
Composite Pairing

→ New kinds of insulator

Topological Kondo Insulators



Altarawneh et al., (2012)



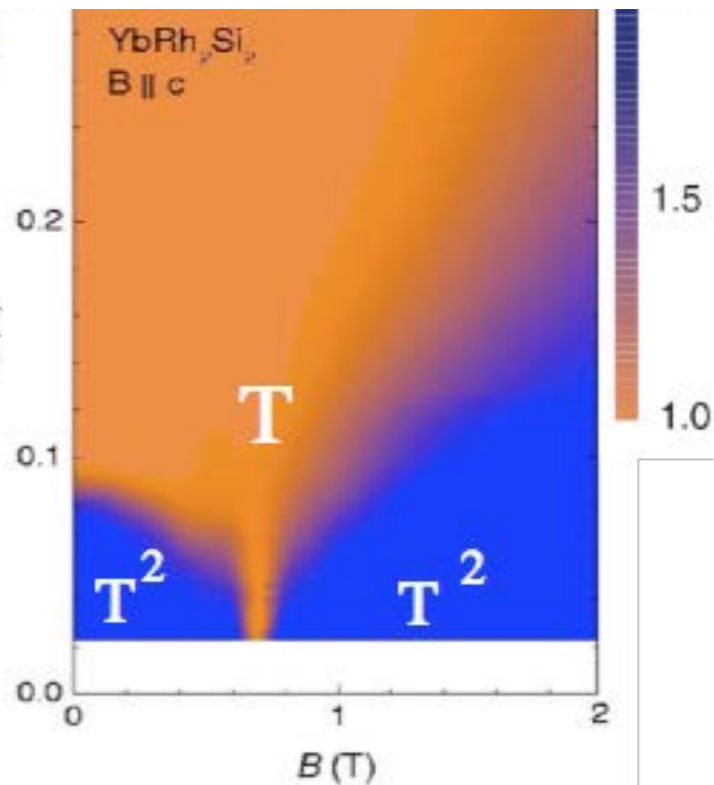
$\text{URu}_2\text{Si}_2$

$$\Psi = \begin{pmatrix} \langle \Psi_\uparrow \rangle \\ \langle \Psi_\downarrow \rangle \end{pmatrix}$$

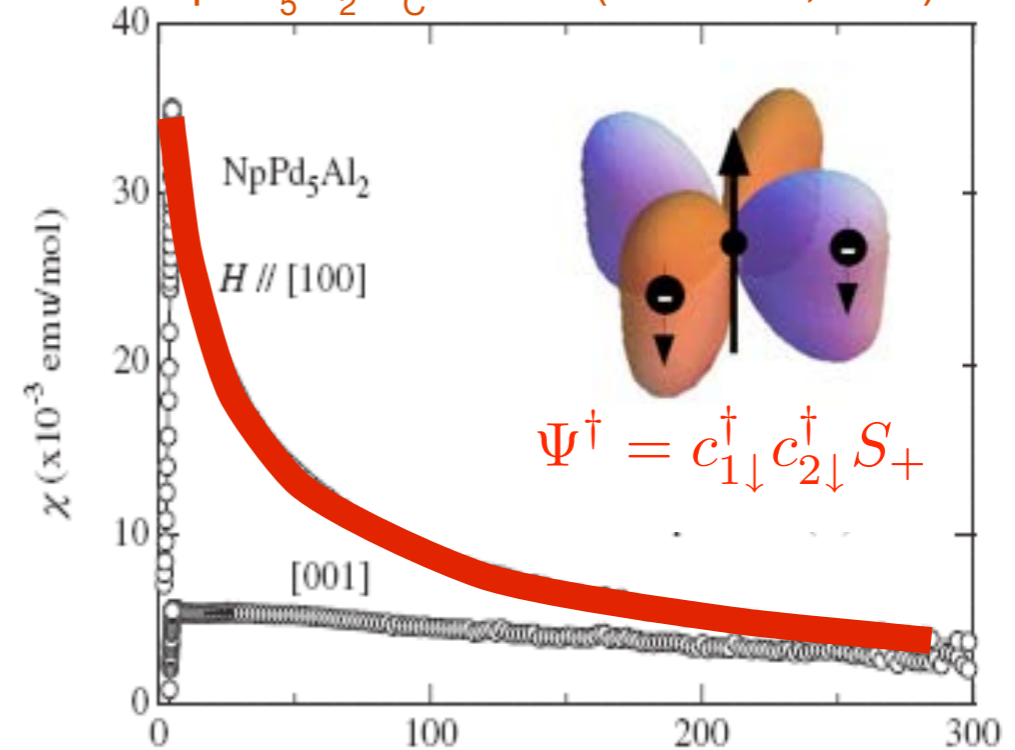
Ising Electrons: *Hastatic* order

→ New kinds of Electron Order

→ New kinds of Phase Transition



$\text{NpPd}_5\text{Al}_2$   $T_C = 4.5\text{K}$  (Aoki et al, 2009)



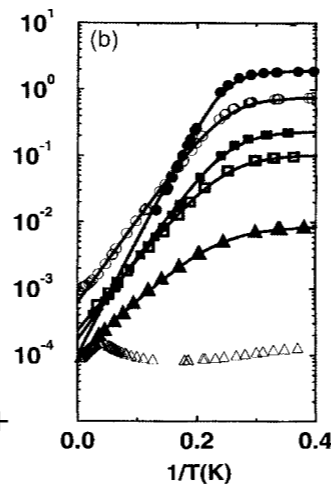
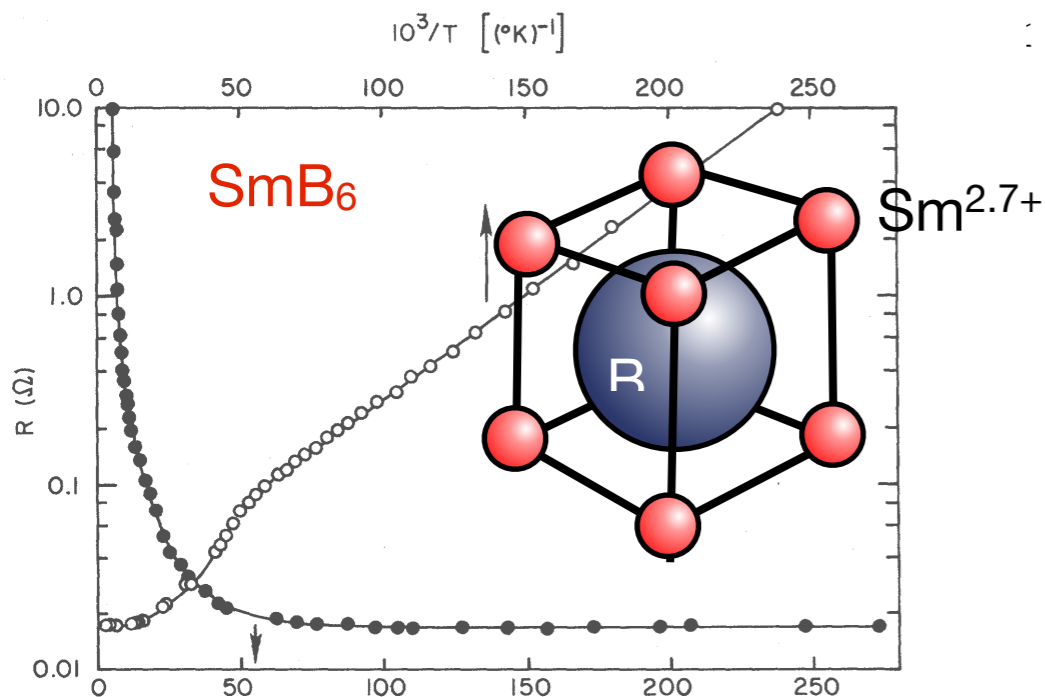
Temperature (K) Composite Pairing

→ Quantum Criticality

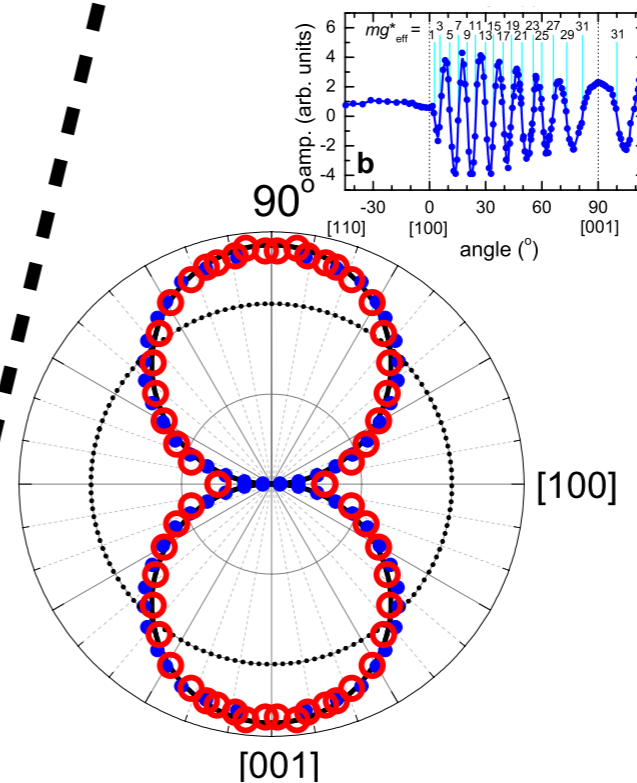


→ New kinds of insulator

Topological Kondo Insulators



Altarawneh et al., (2012)

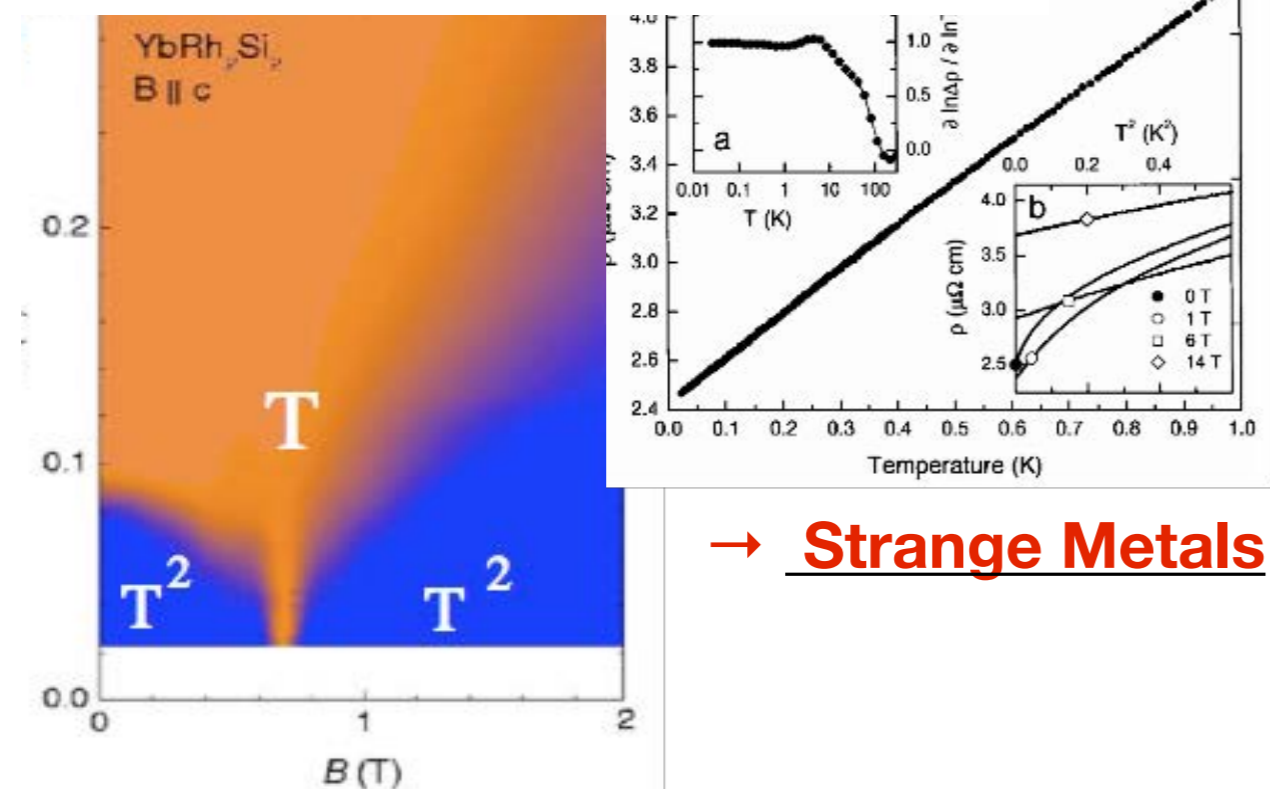


$$\Psi = \begin{pmatrix} \langle \Psi \uparrow \rangle \\ \langle \Psi \downarrow \rangle \end{pmatrix}$$

Ising Electrons: *Hastatic* order

→ New kinds of Electron Order

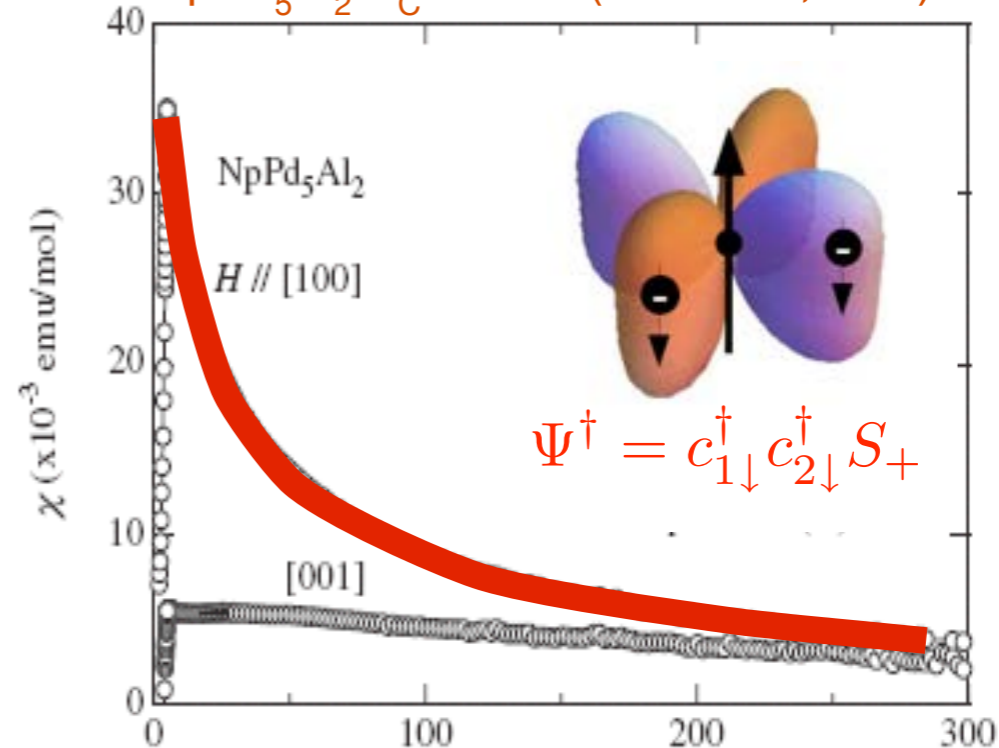
→ New kinds of Phase Transition



→ Strange Metals

→ Quantum Criticality

$NpPd_5Al_2$   $T_C = 4.5 \text{ K}$  (Aoki et al, 2009)



Composite Pairing

To whet your appetite.



# “115” Family

CeIn3

1K

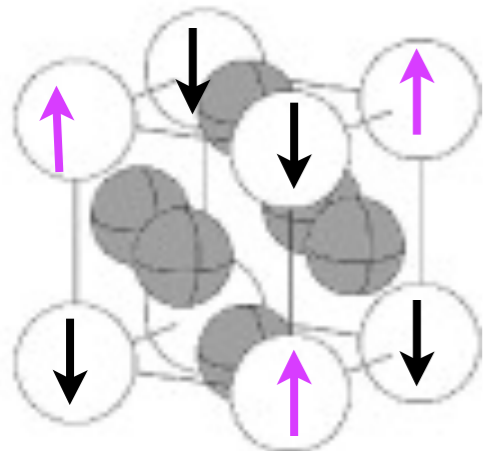
0.1K



# “115” Family

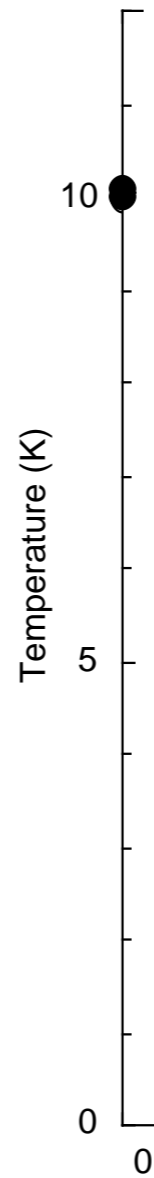
CeIn3

10K AFM



1K

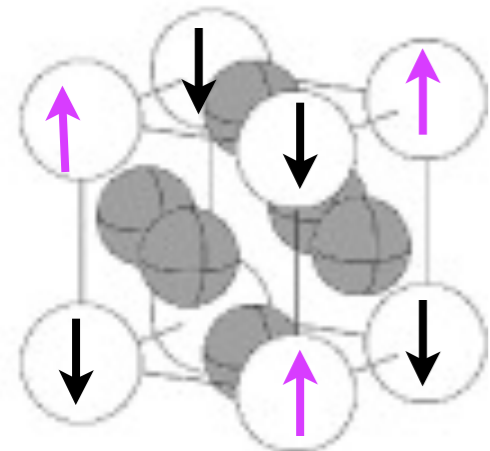
0.1K



# “115” Family

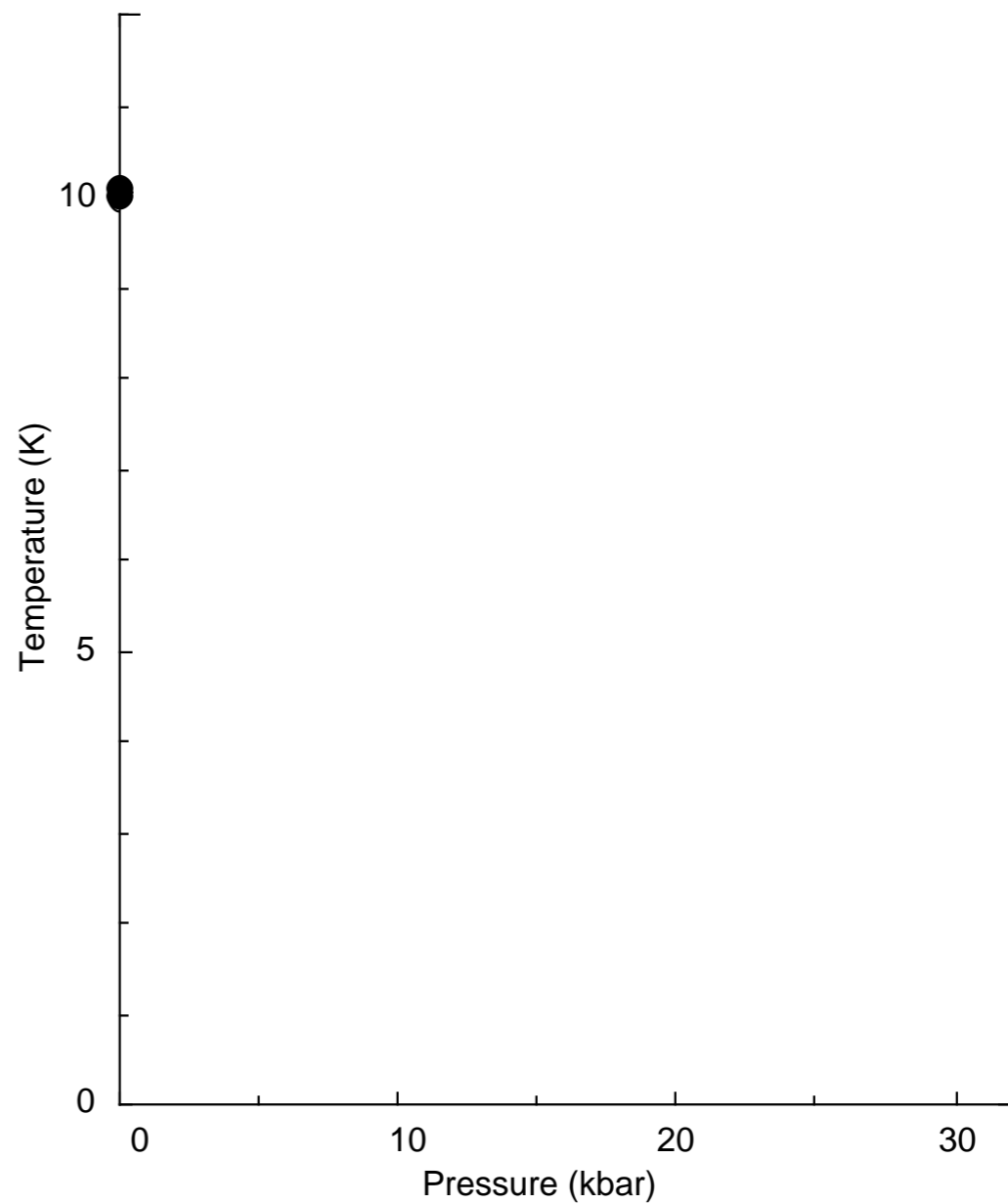
CeIn3

10K AFM



1K

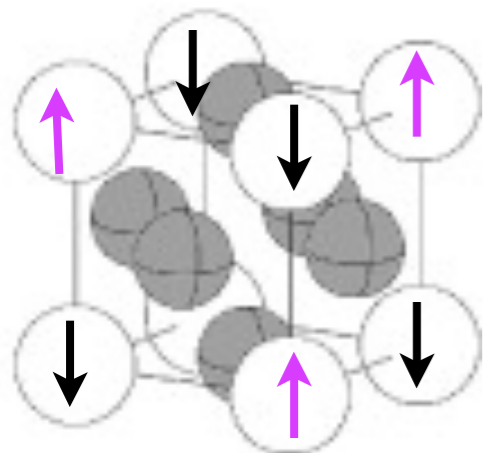
0.1K



# “115” Family

CeIn3

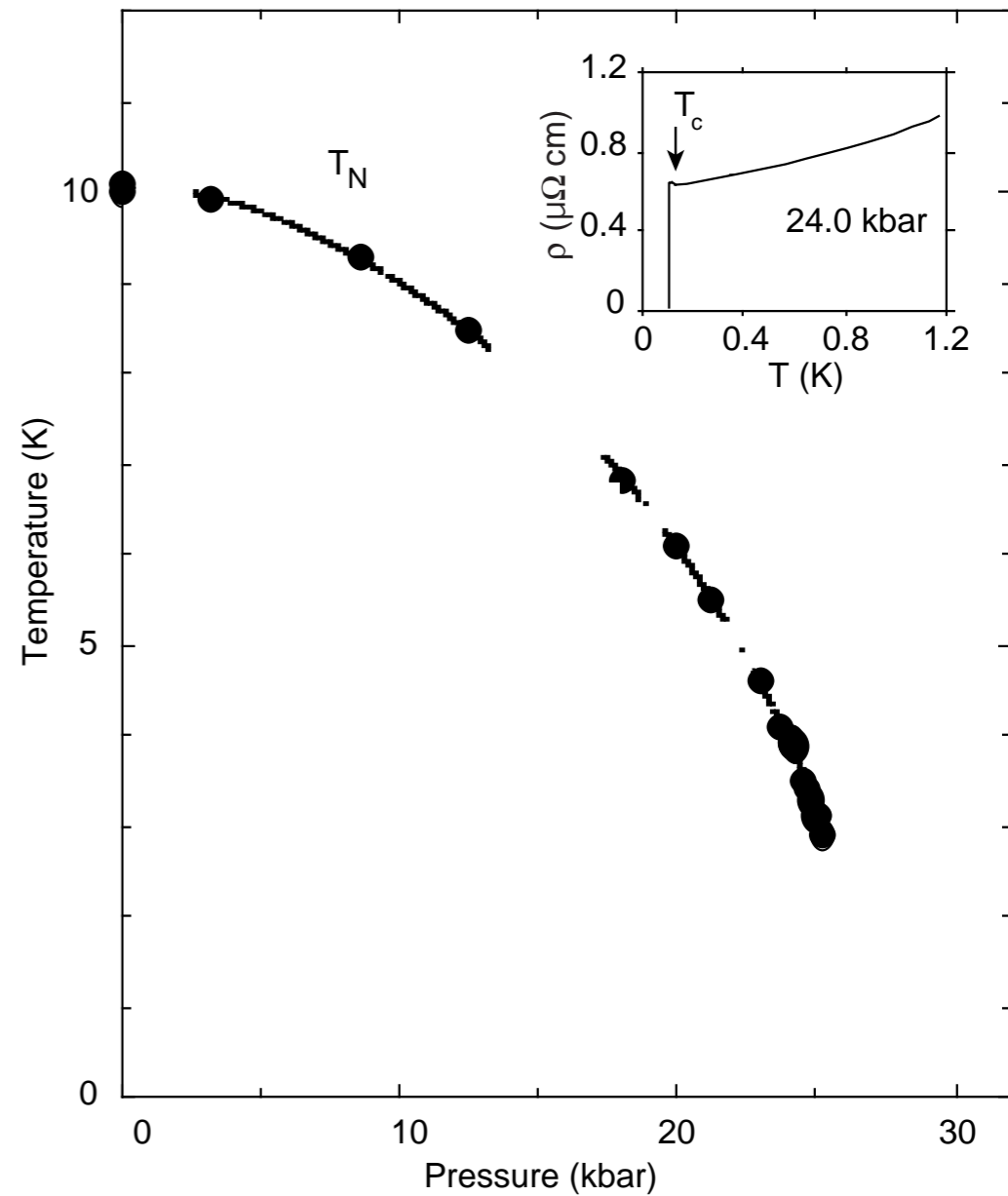
10K AFM



1K

0.1K

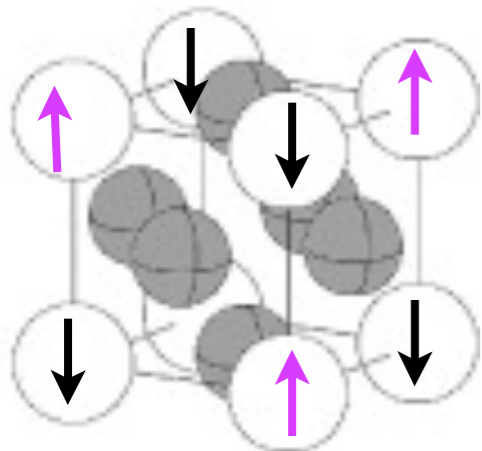
Mathur, Lonzarich et al (1998)



# “115” Family

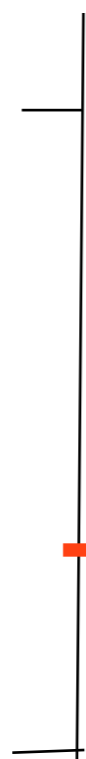
CeIn3

10K AFM



1K

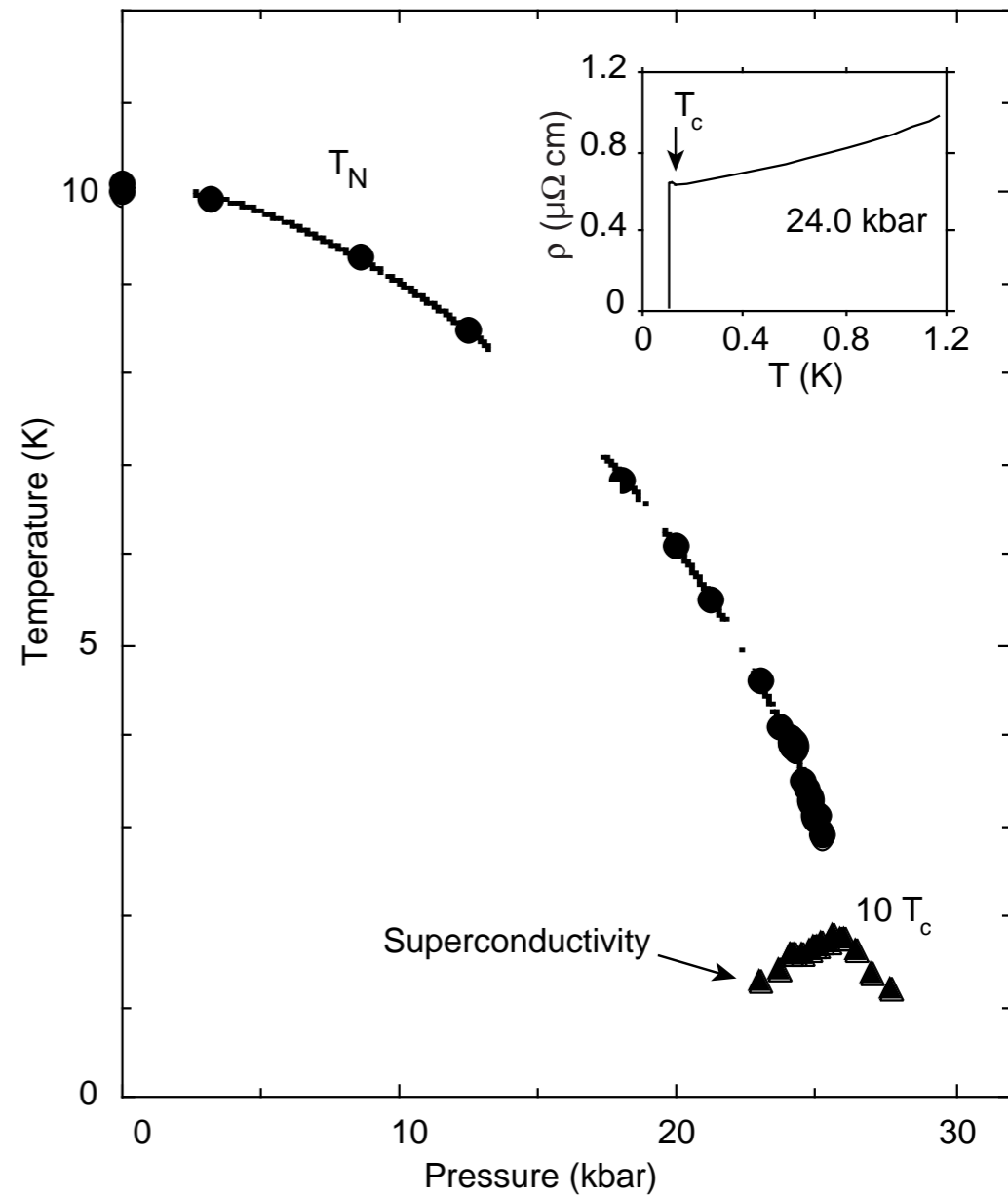
0.1K



CeIn3

(0.2K)

Mathur, Lonzarich et al (1998)

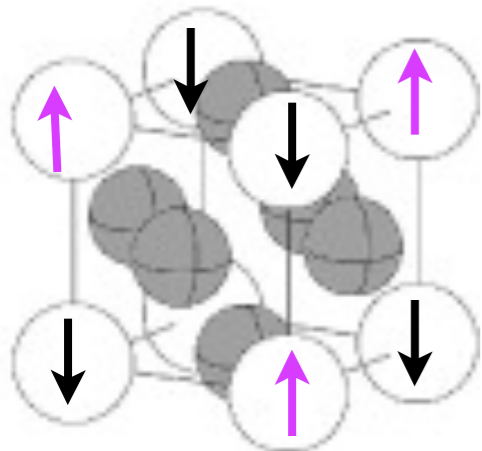




# “115” Family

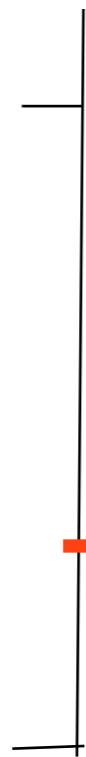
CeIn3

10K AFM



1K

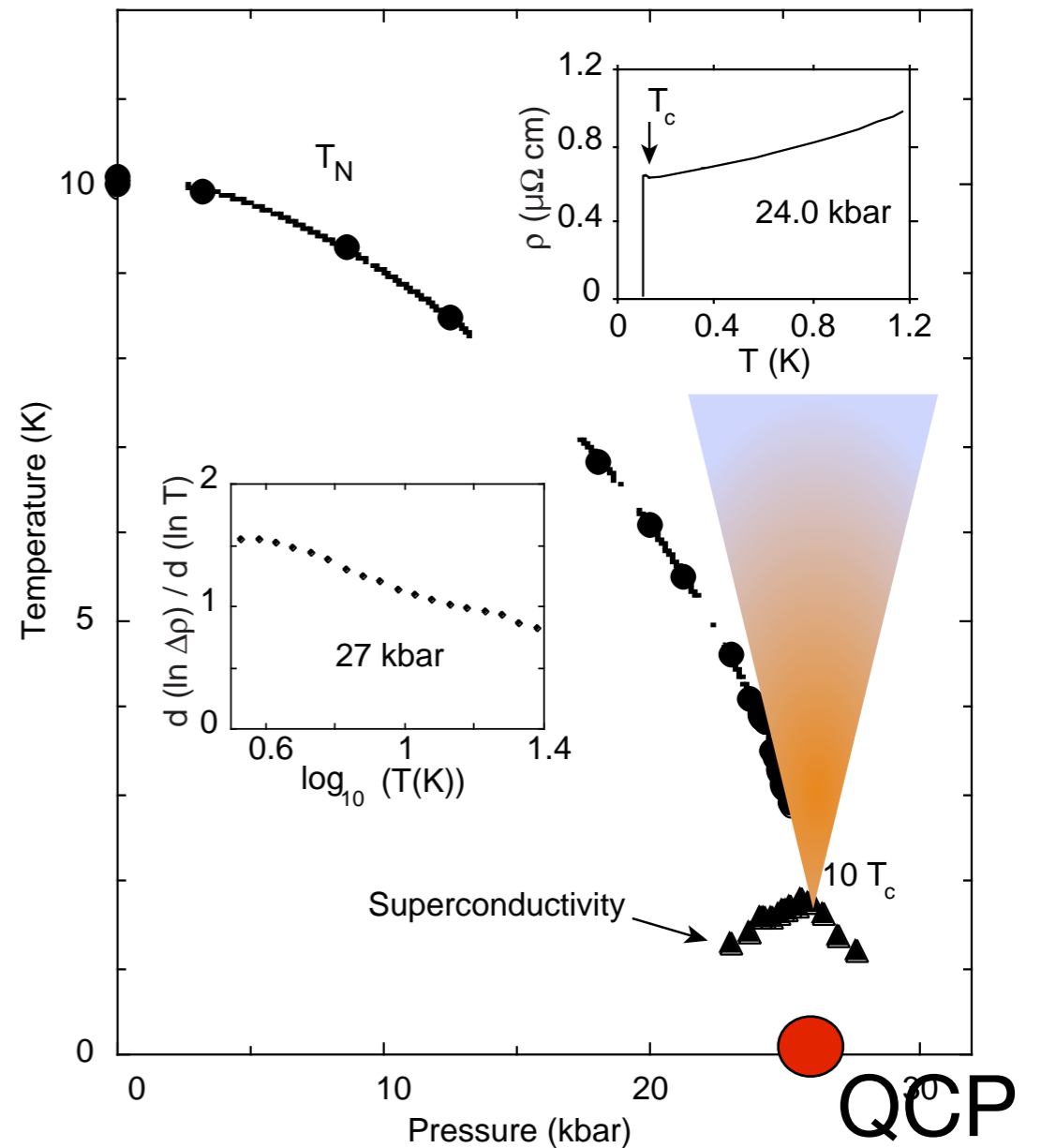
0.1K



CeIn3

(0.2K)

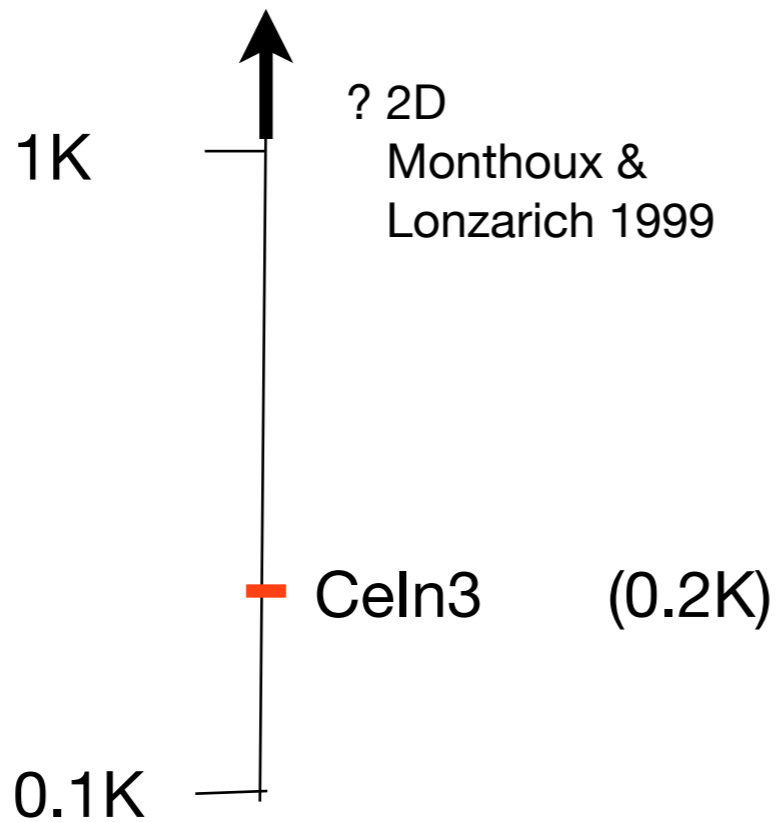
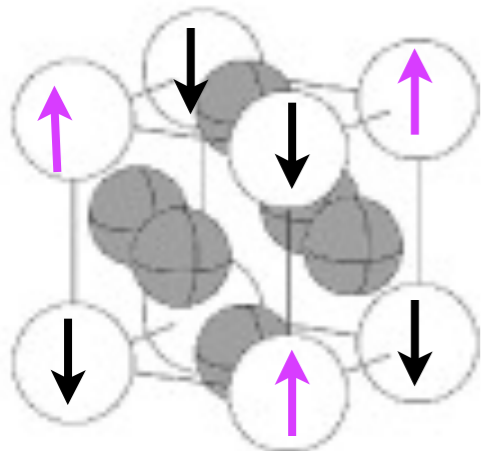
Mathur, Lonzarich et al (1998)



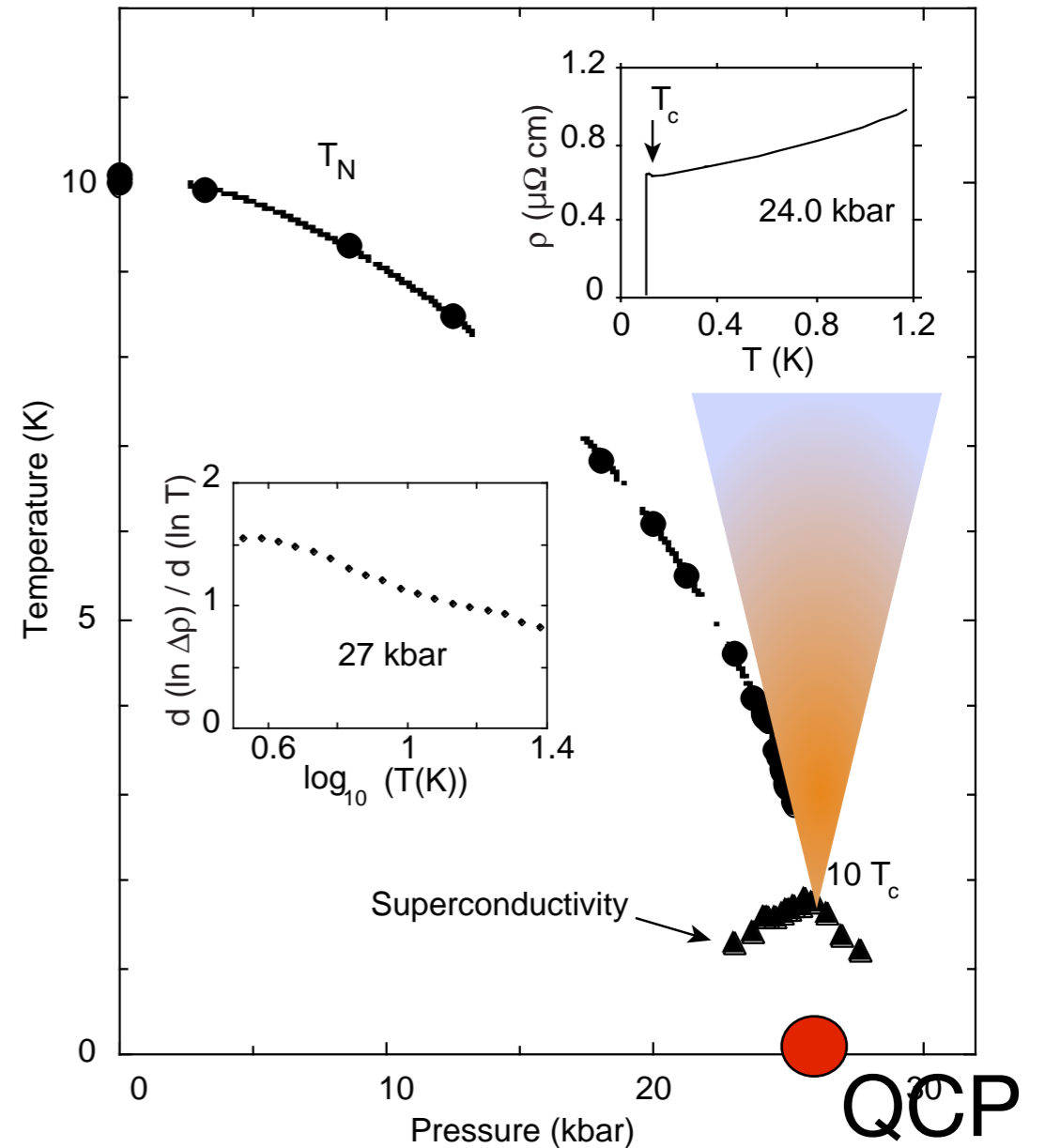
# “115” Family

CeIn3

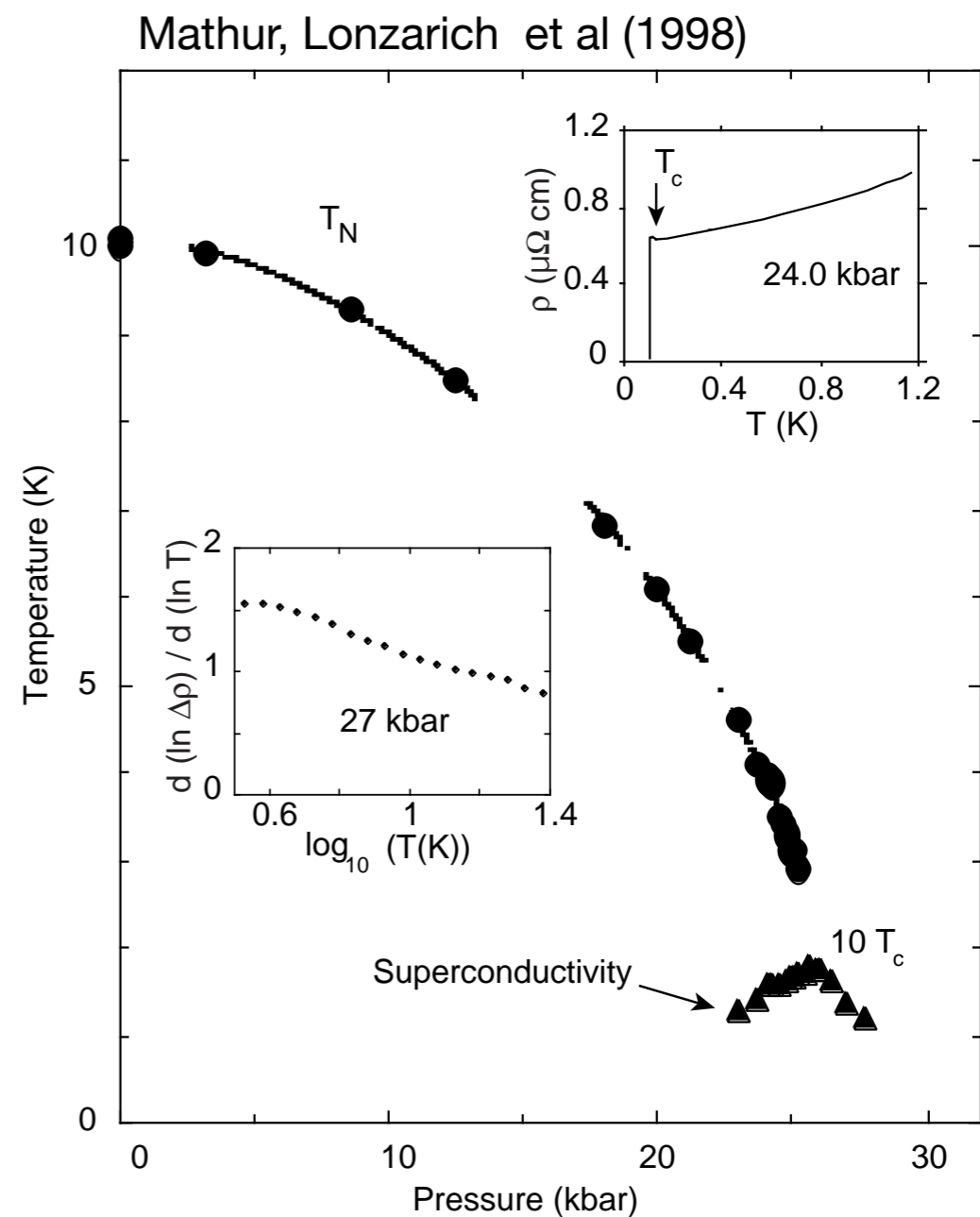
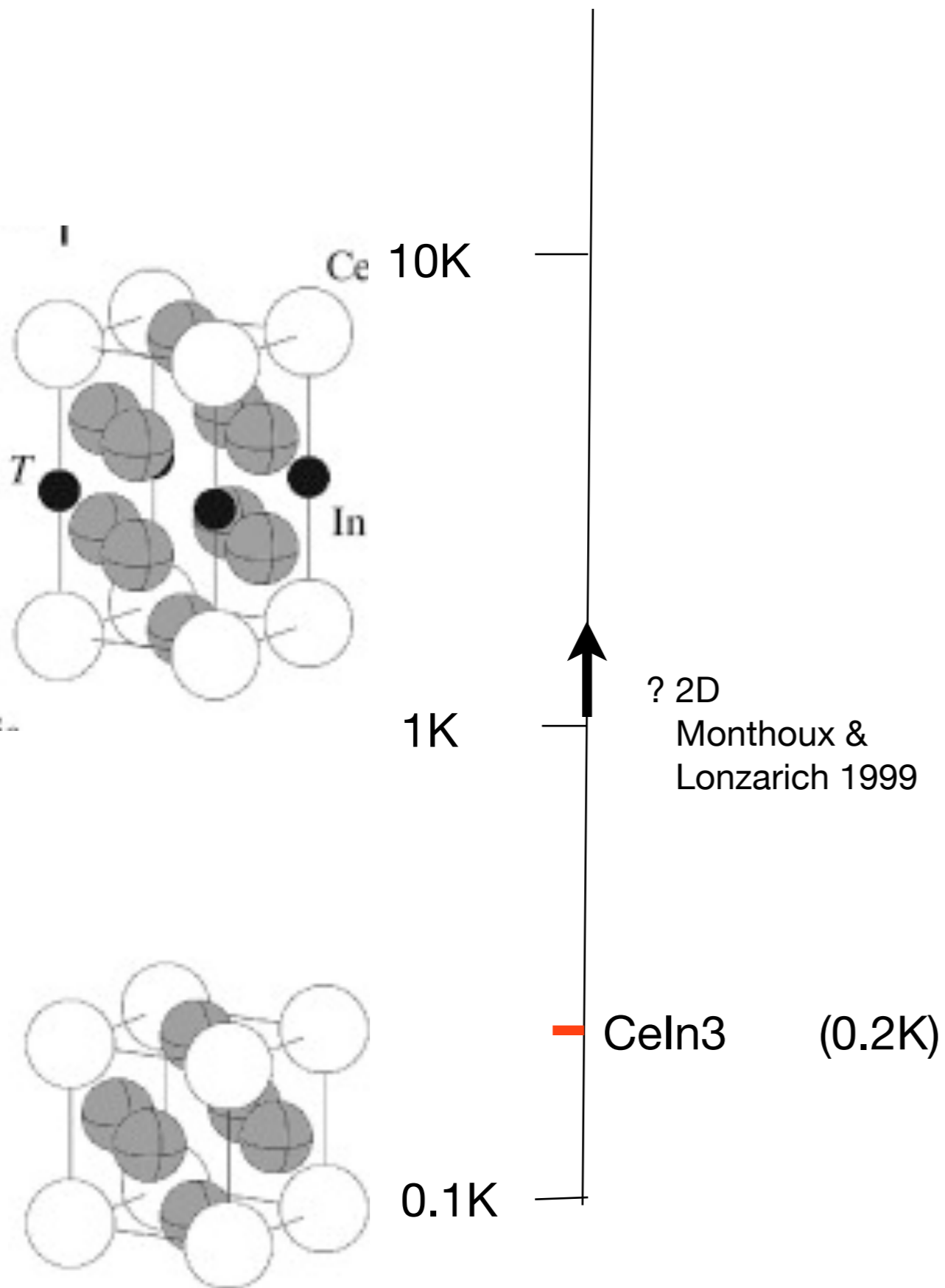
10K AFM



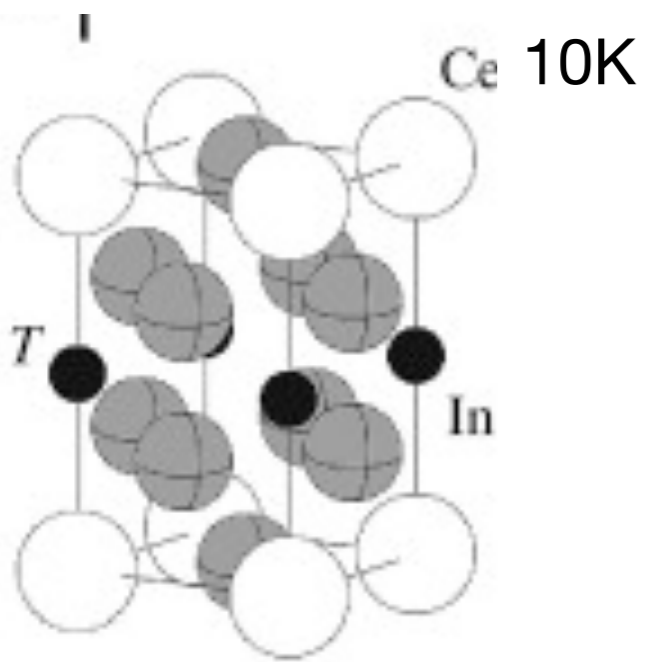
Mathur, Lonzarich et al (1998)



# "115" Family



# "115" Family



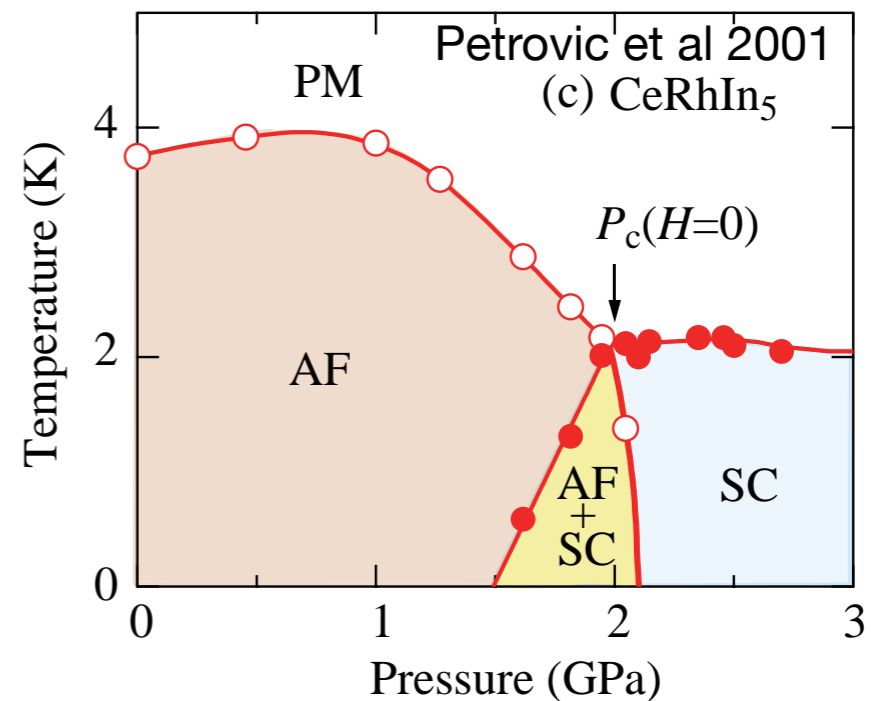
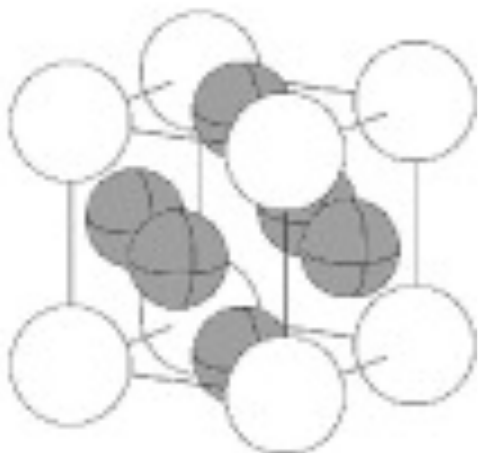
1K

— CeRhIn5 (2K)

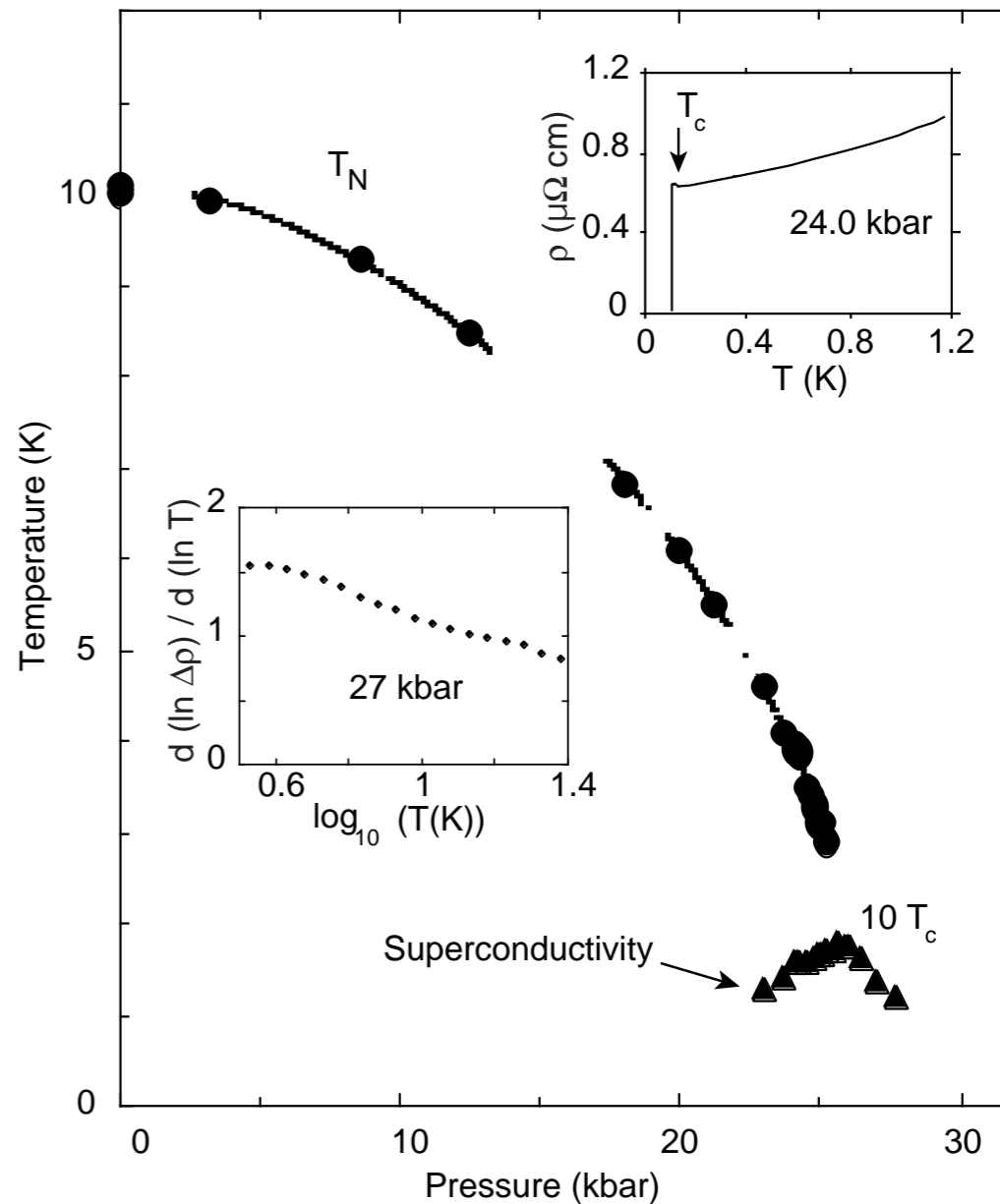
? 2D  
Monthoux &  
Lonzarich 1999

0.1K

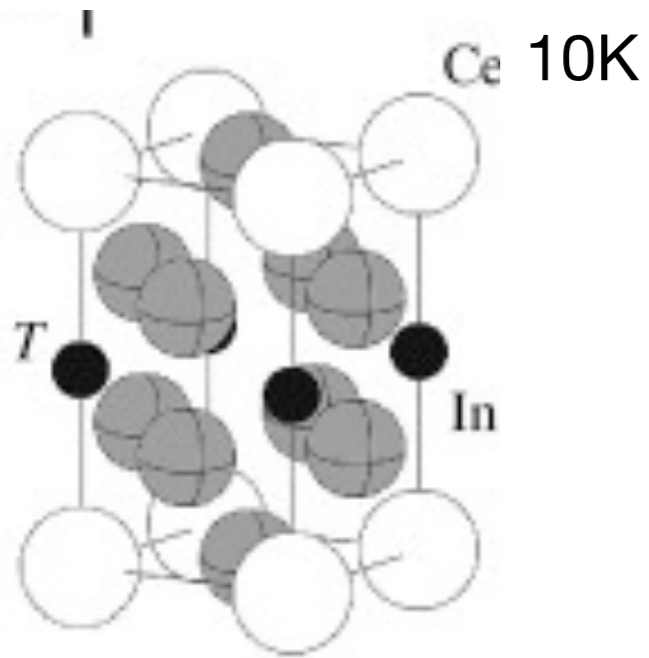
— CeIn3 (0.2K)



Mathur, Lonzarich et al (1998)



# "115" Family



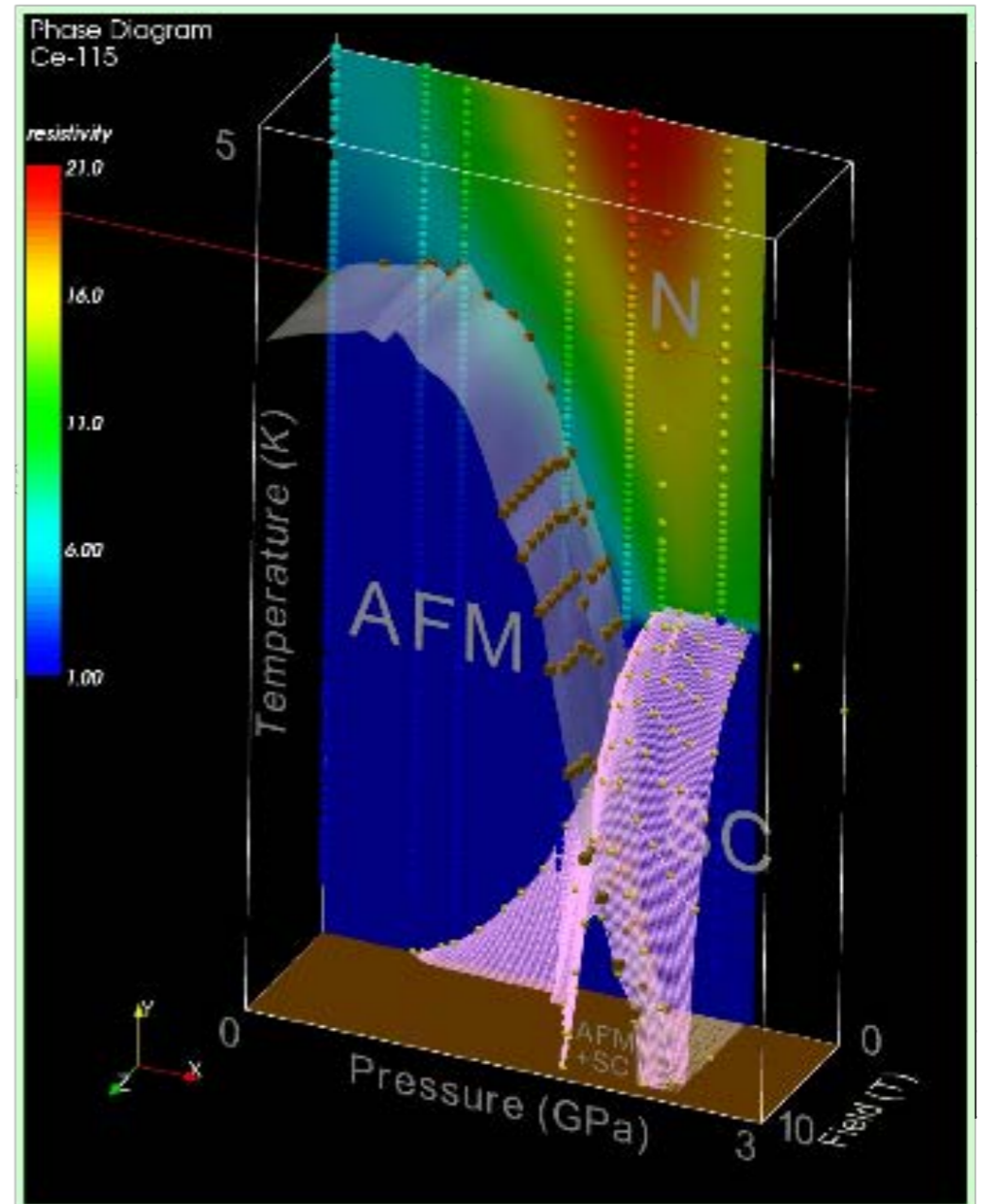
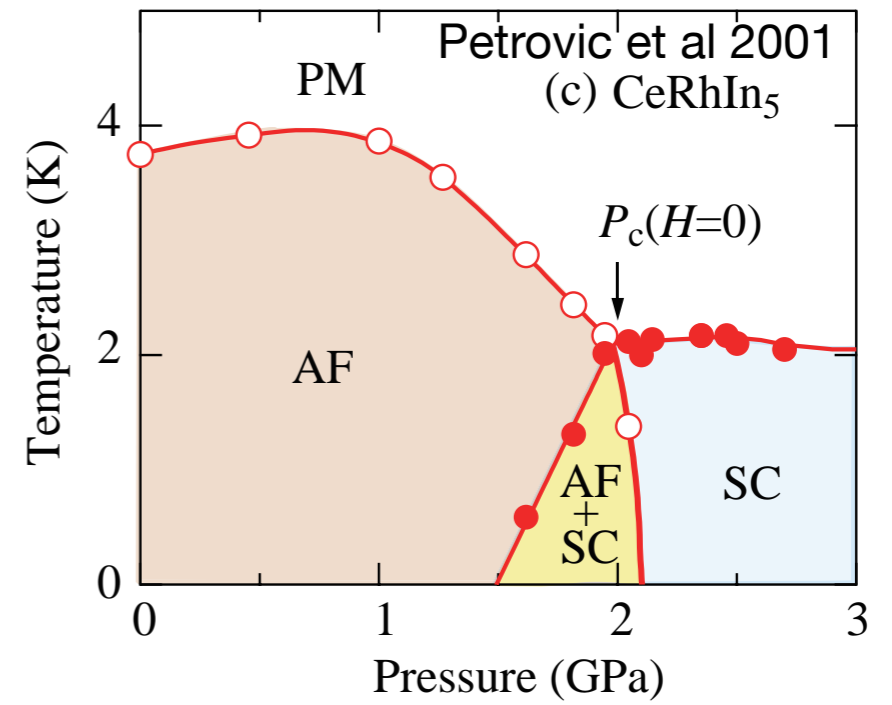
1K

— CeRhIn5 (2K)

? 2D  
Monthoux &  
Lonzarich 1999

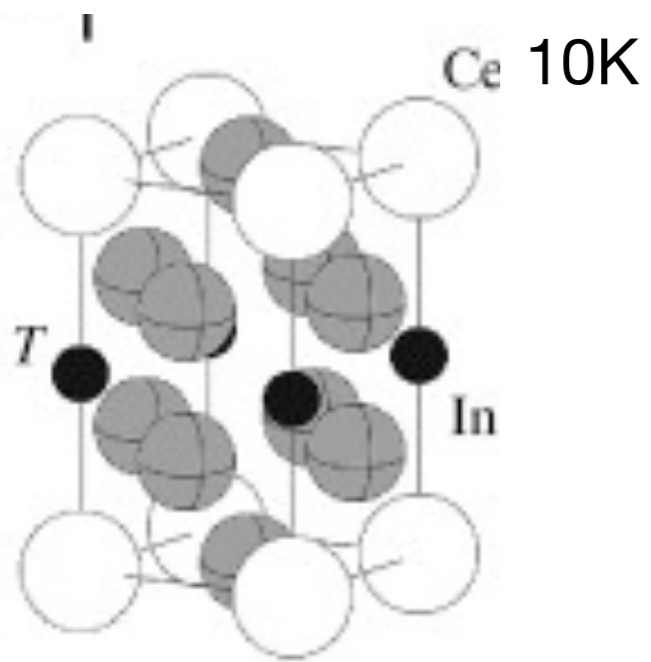
0.1K

— CeIn3 (0.2K)





# “115” Family



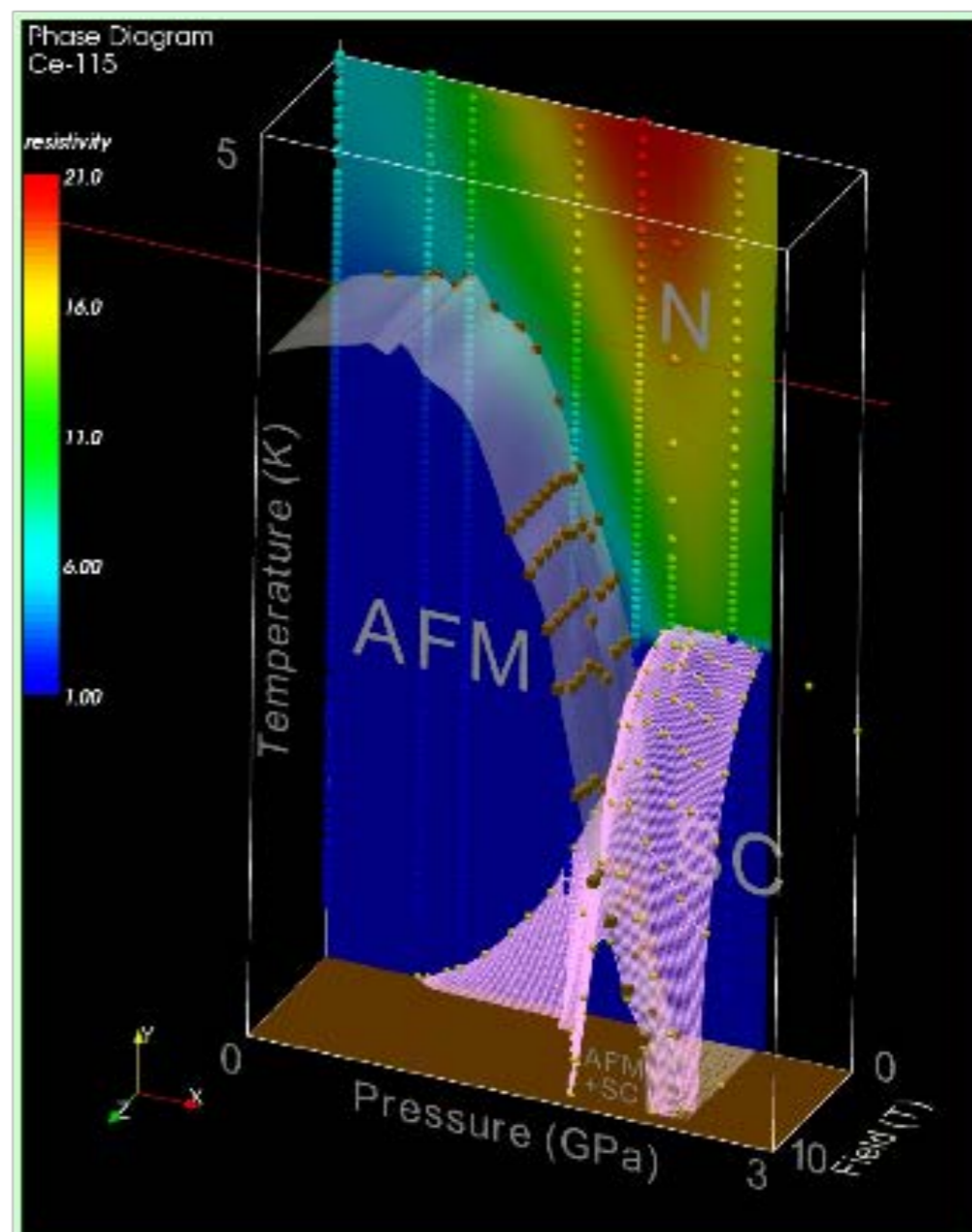
1K

— CeRhIn<sub>5</sub> (2K)

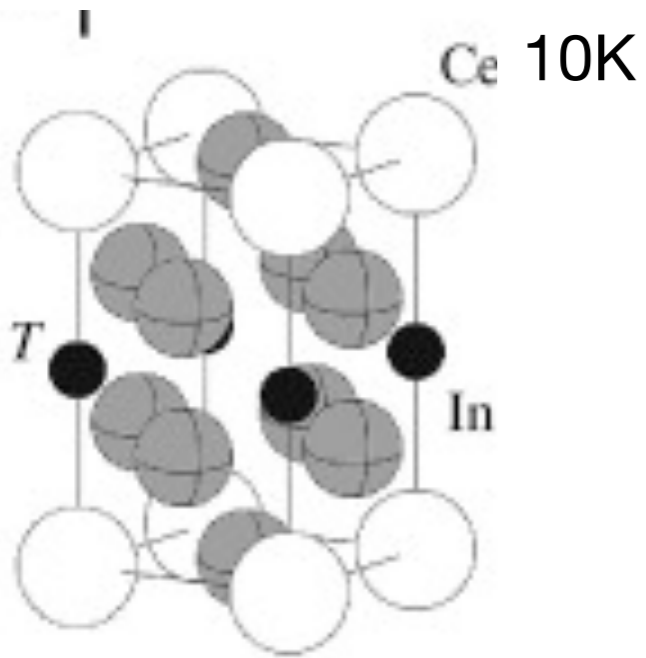


0.1K

— CeIn<sub>3</sub> (0.2K)



# “115” Family



1K



0.1K

— PuCoGa<sub>5</sub> (18.5K)

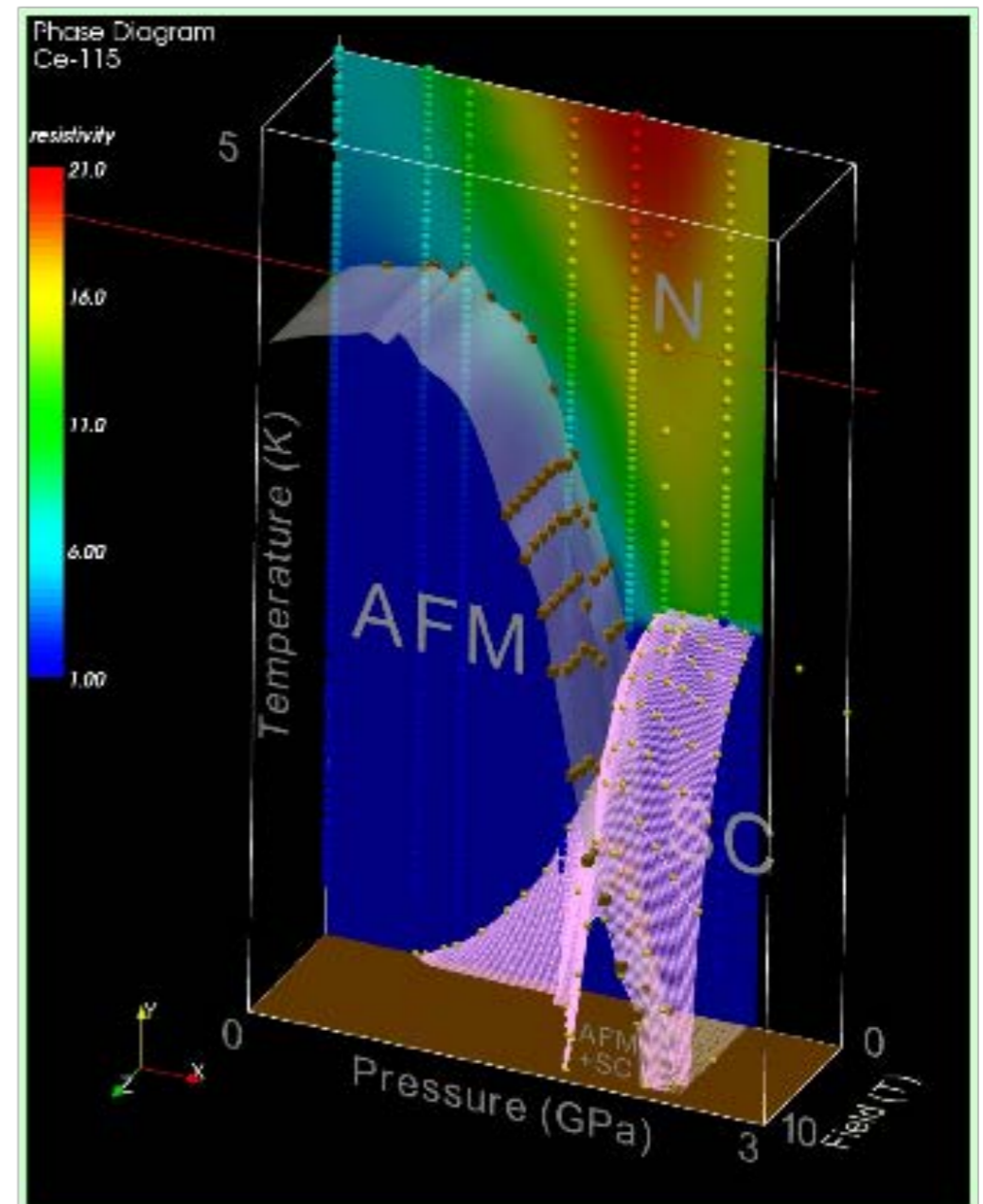
— PuRhGa<sub>5</sub> (9K)

— NpAl<sub>2</sub>Pd<sub>5</sub> (4.5K)

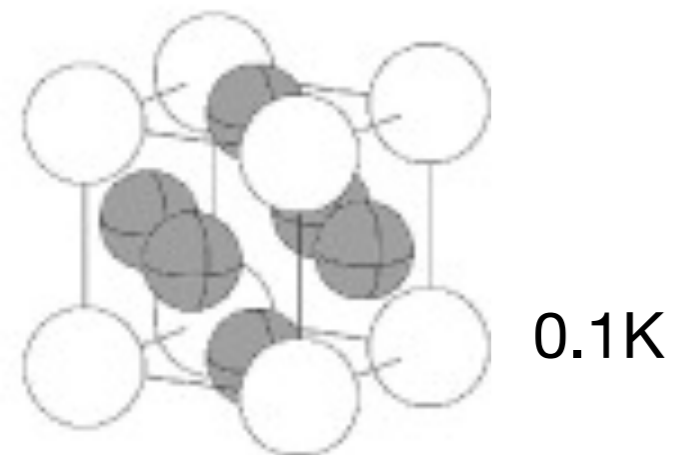
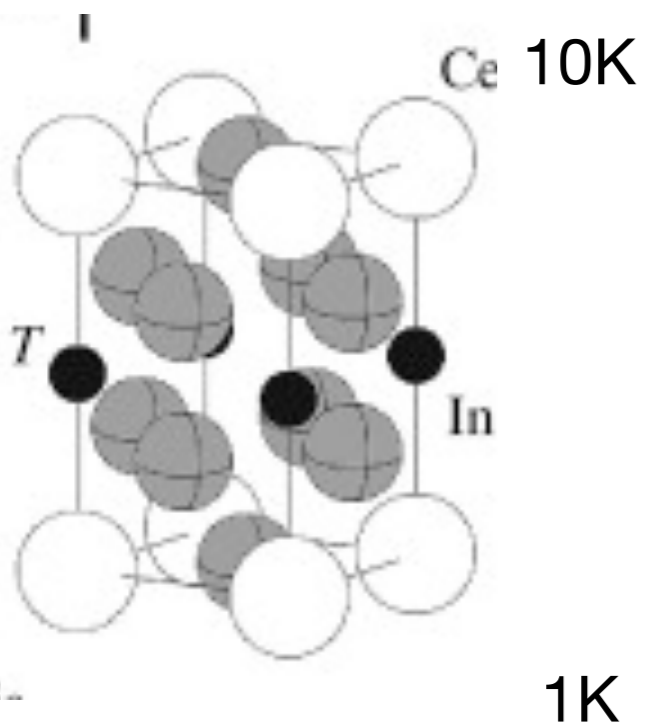
— CeCoIn<sub>5</sub> (2.5K)

— CeRhIn<sub>5</sub> (2K)

— CeIn<sub>3</sub> (0.2K)



# “115” Family



— PuCoGa5 (18.5K)

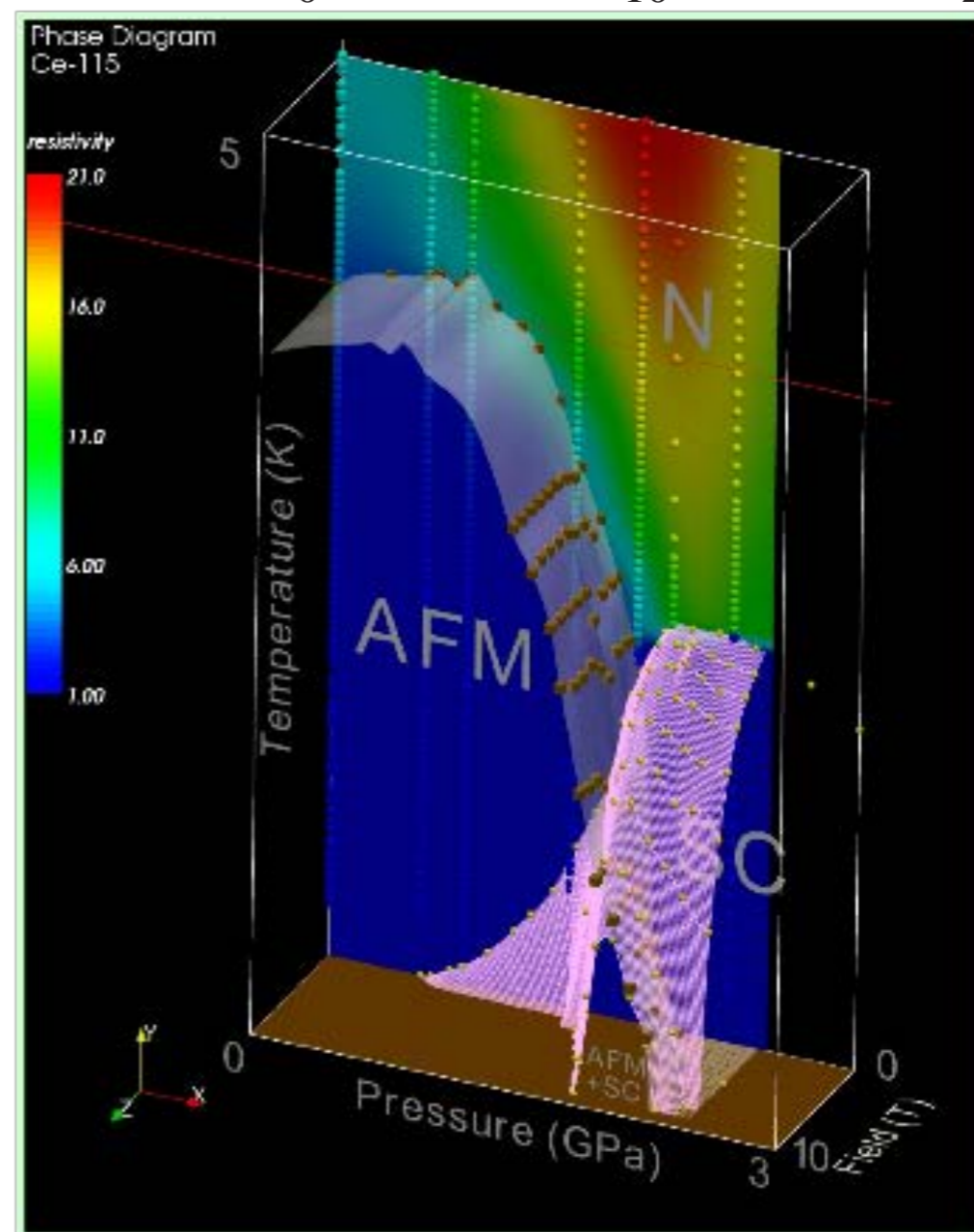
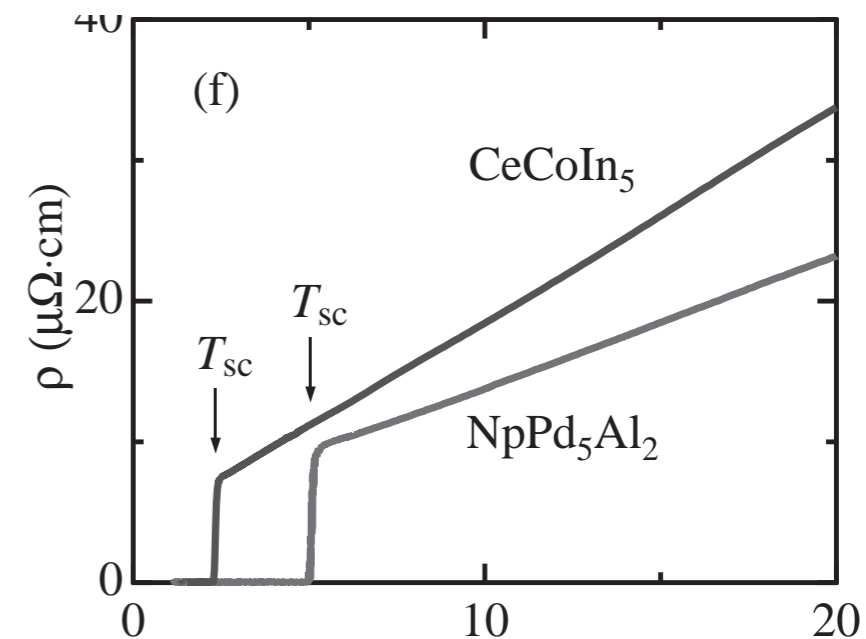
— PuRhGa5 (9K)

— NpAl2Pd5 (4.5K)

— CeCoIn5 (2.5K)

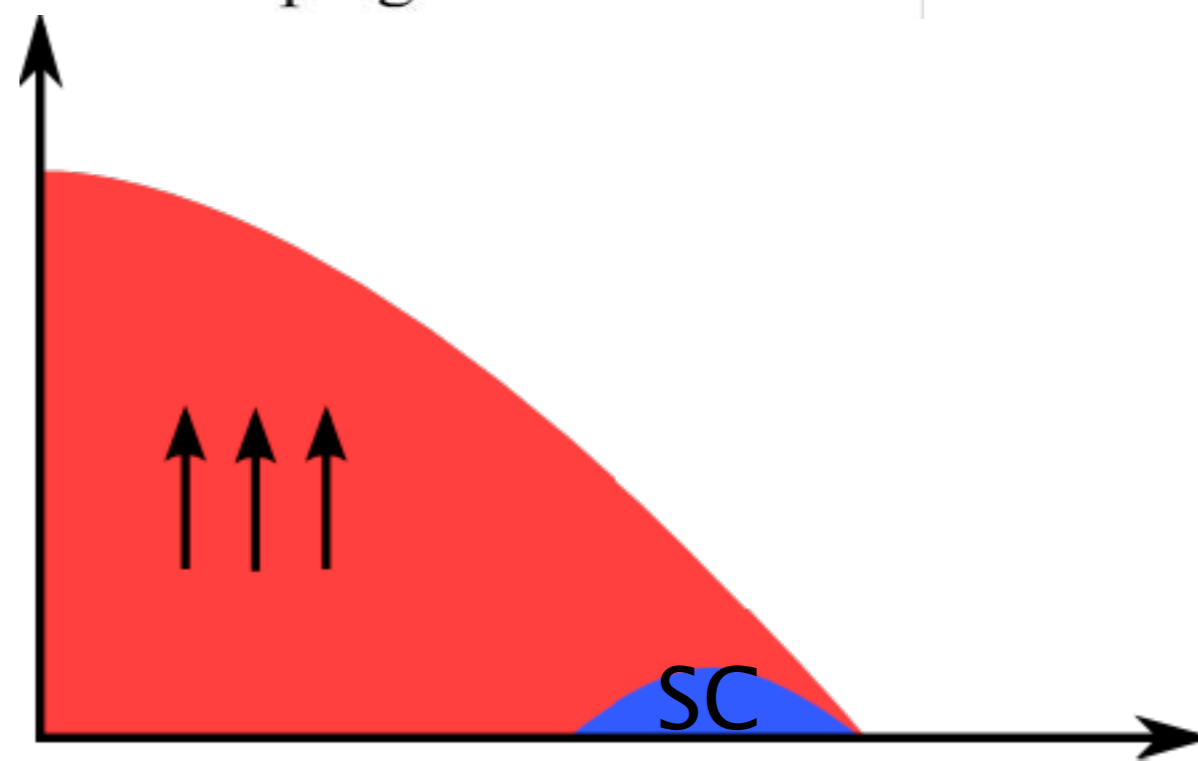
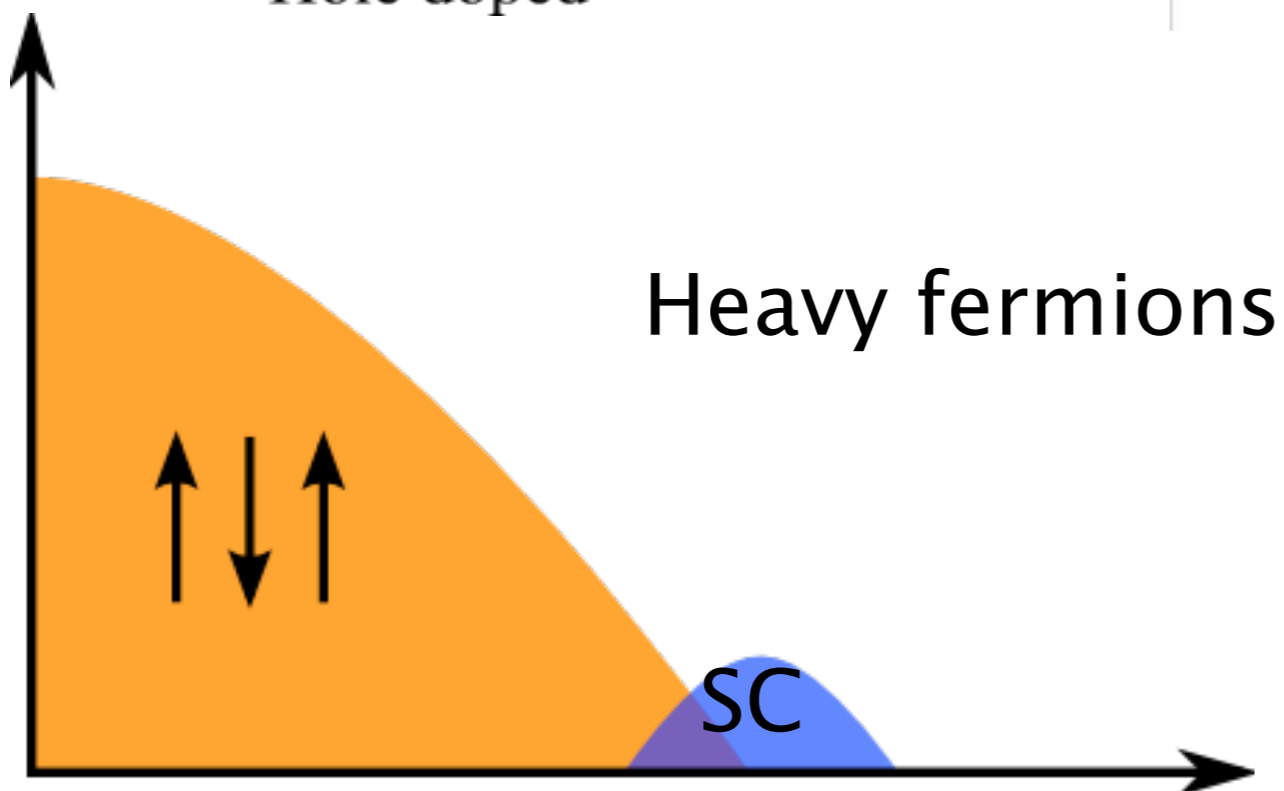
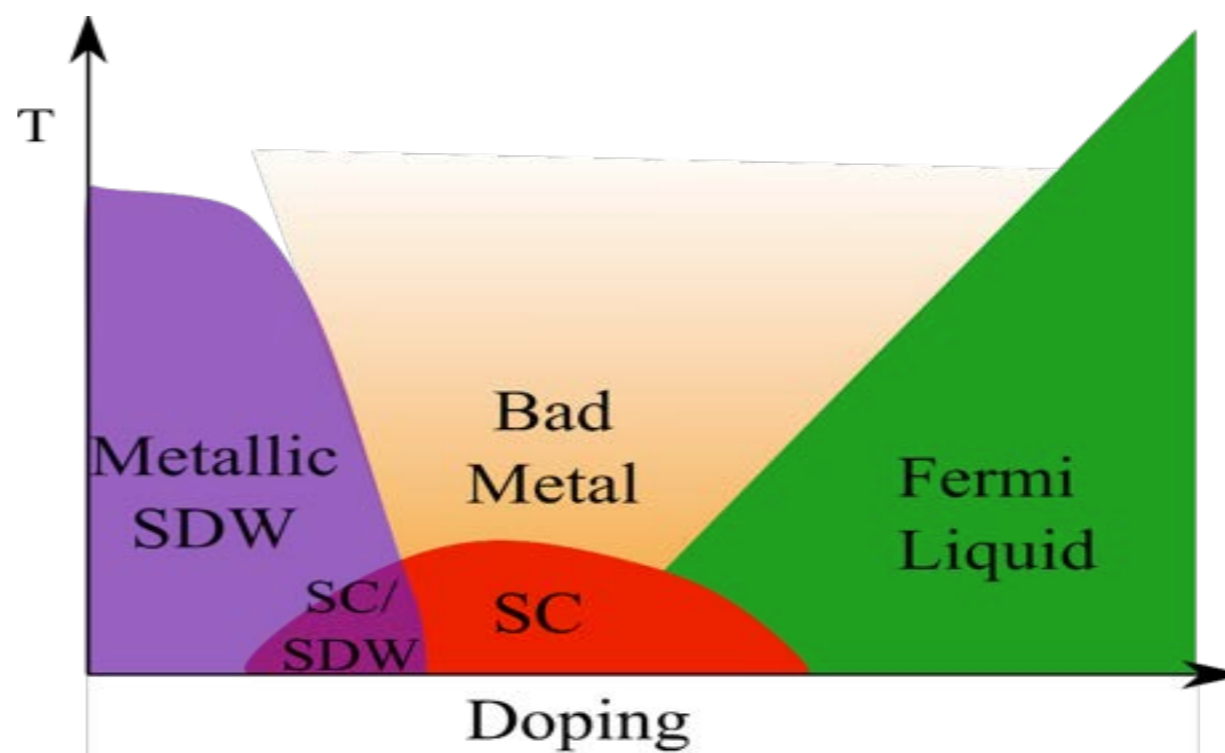
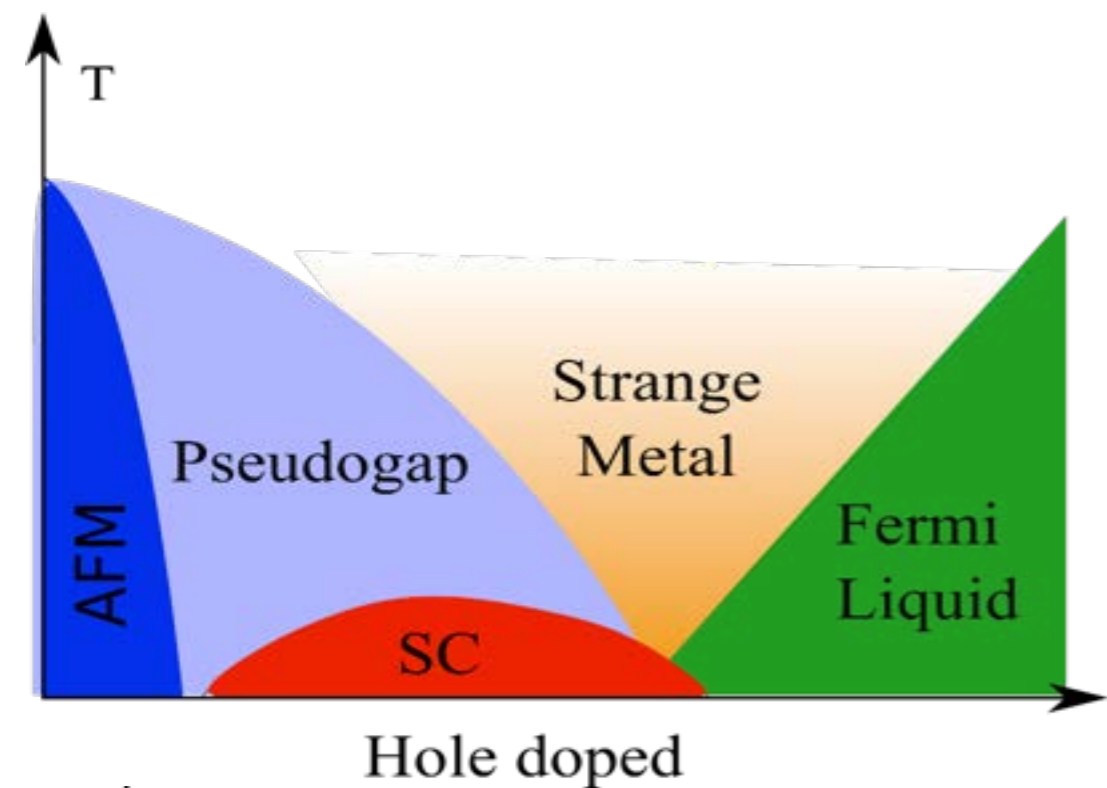
— CeRhIn5 (2K)

— CeIn3 (0.2K)



# Cuprates

# Iron-based superconductors



# Important Points about HF Materials

# Important Points about HF Materials

- Classic strongly correlated materials.



# Important Points about HF Materials

- Classic strongly correlated materials.

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)



# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)
- Clean separation between conduction and spin degrees of freedom

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)
- Clean separation between conduction and spin degrees of freedom

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)
- Clean separation between conduction and spin degrees of freedom
- System where gauge theory approach to strongly correlated electrons is reasonably well established.



# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)
- Clean separation between conduction and spin degrees of freedom
- System where gauge theory approach to strongly correlated electrons is reasonably well established.

# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)
- Clean separation between conduction and spin degrees of freedom
- System where gauge theory approach to strongly correlated electrons is reasonably well established.

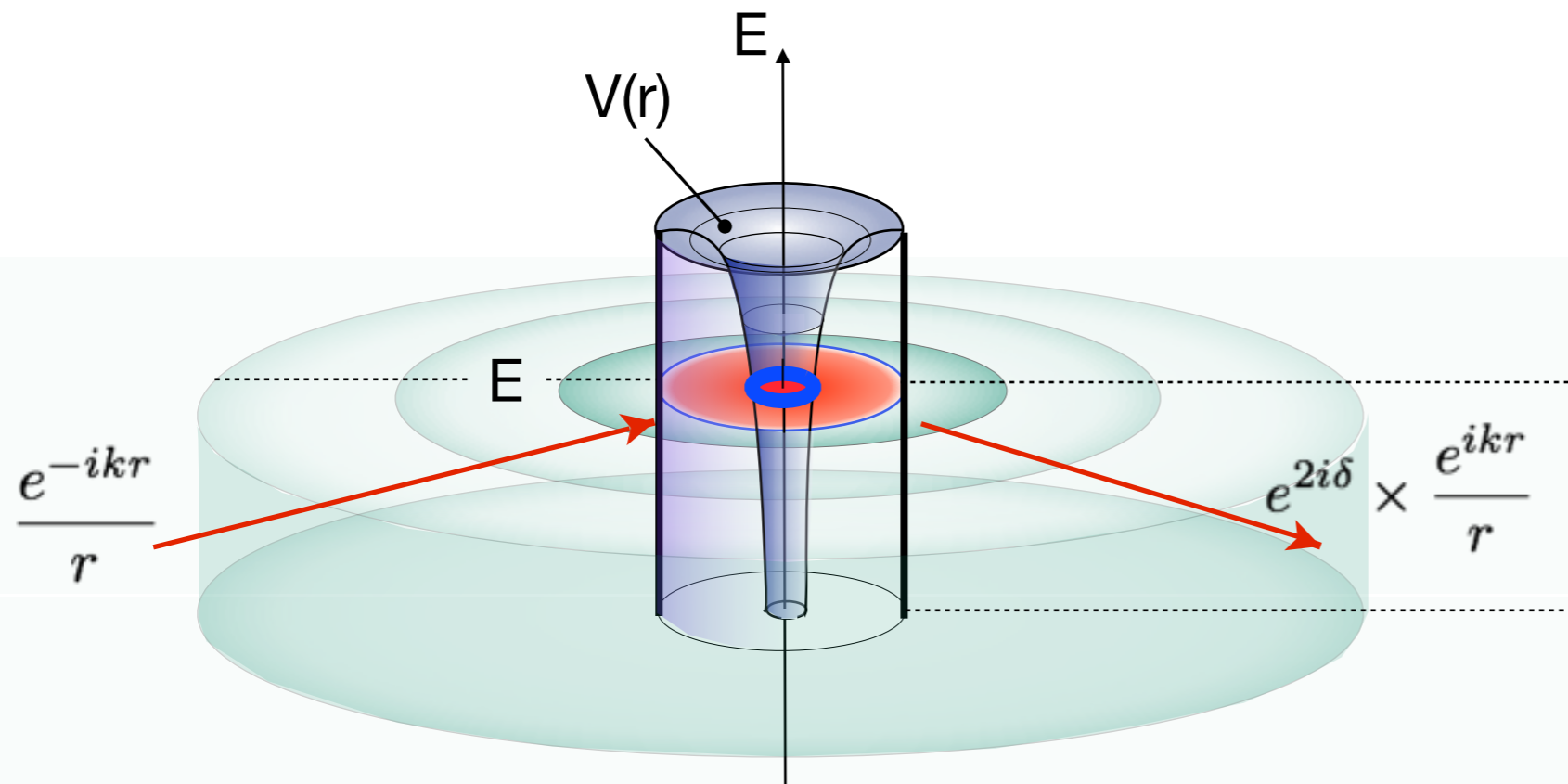
# Important Points about HF Materials

- Classic strongly correlated materials.
- Birth of many ideas - gauge theory approach, d-wave driven by AFM.
- Many families of materials, intermetallics easily synthesized, continue to provide major surprises (eg Topological Kondo insulators).
- Highly tunable (“Fruit Fly” of correlated e systems)
- Share common behavior with high  $T_c$  materials (eg strange metals with linear resistivity)
- Clean separation between conduction and spin degrees of freedom
- System where gauge theory approach to strongly correlated electrons is reasonably well established.

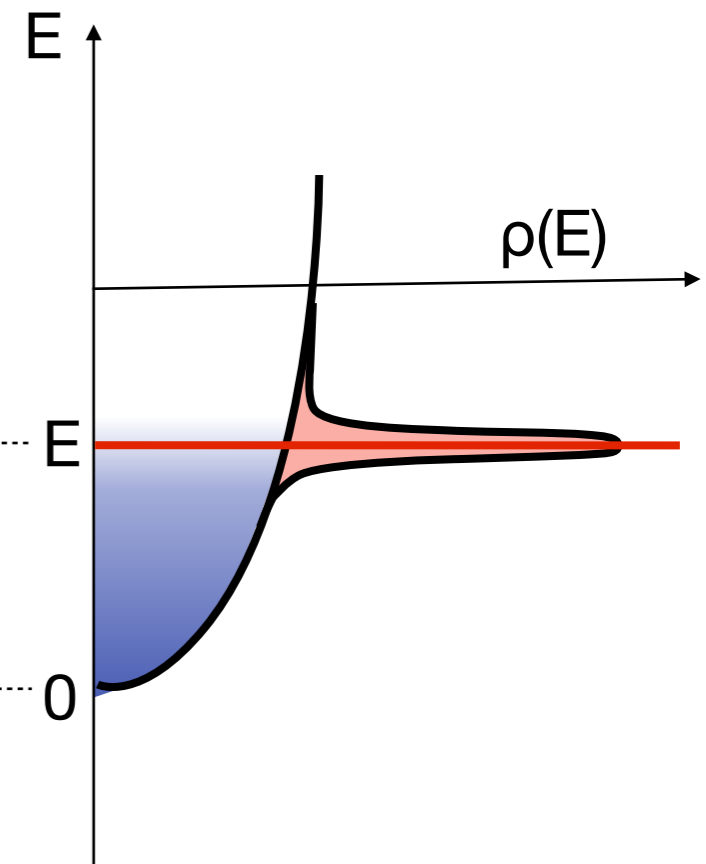
Anderson, Kondo and Doniach.

# The Anderson Model.

(a)

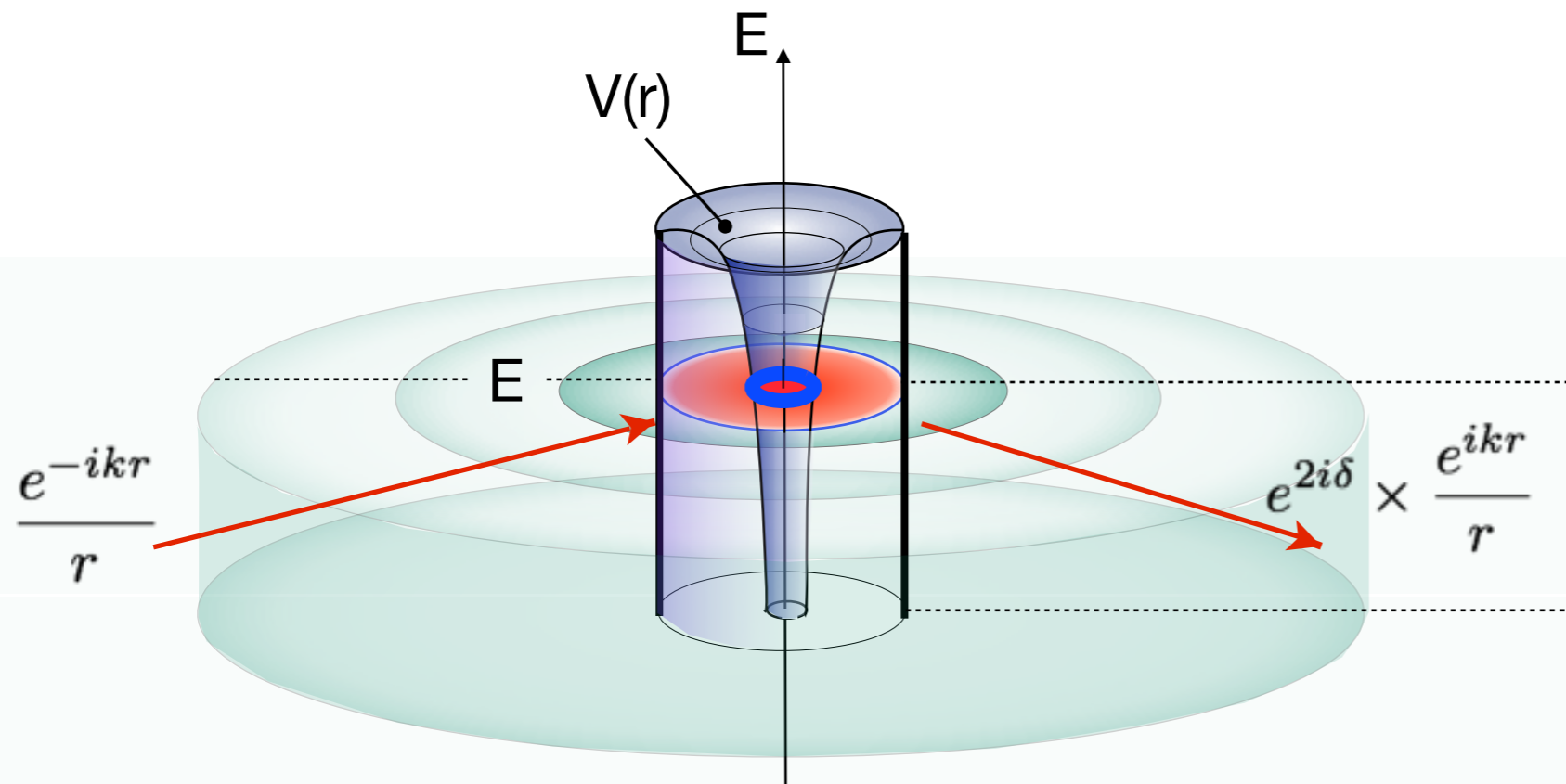


(b)

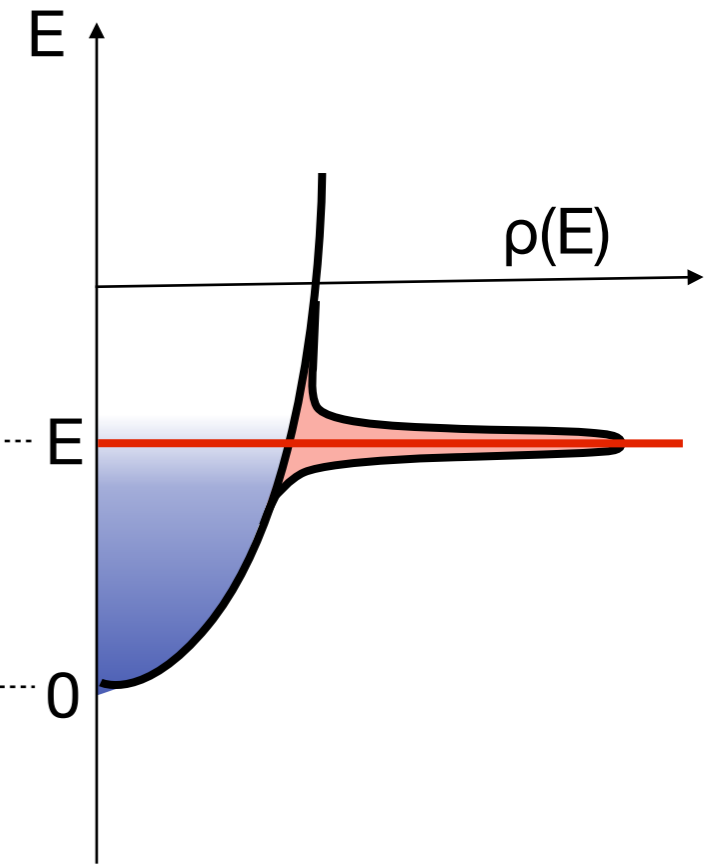


# The Anderson Model.

(a)



(b)



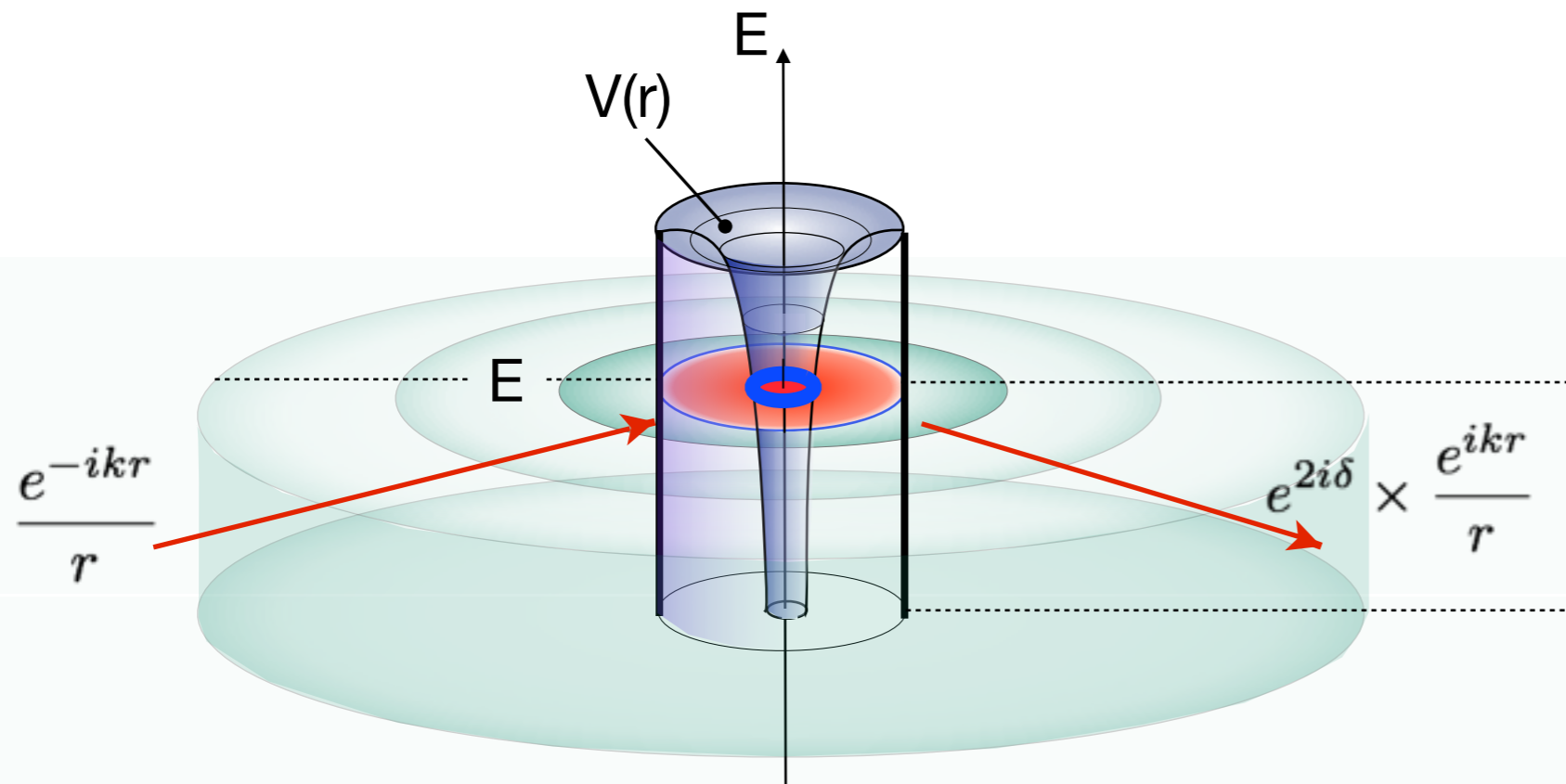
$H_{resonance}$

$$H = \underbrace{\sum_{\mathbf{k}, \sigma} \epsilon_{\mathbf{k}} n_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \sigma} \left[ V(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger f_\sigma + V^*(\mathbf{k}) f_\sigma^\dagger c_{\mathbf{k}\sigma} \right]}_{H_{resonance}} + \underbrace{E_f n_f + U n_{f\uparrow} n_{f\downarrow}}_{H_{atomic}},$$

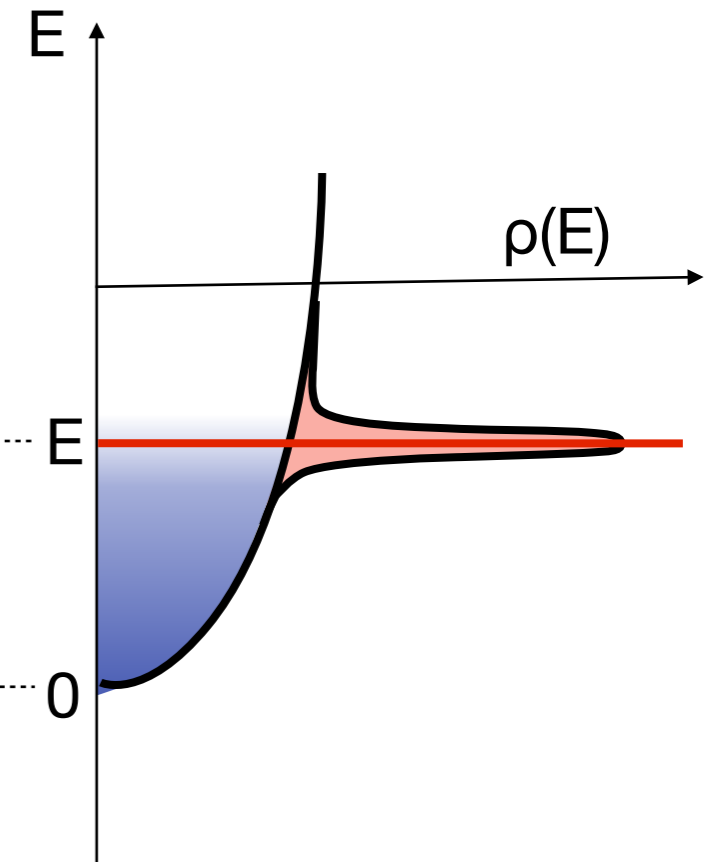


# The Anderson Model.

(a)



(b)



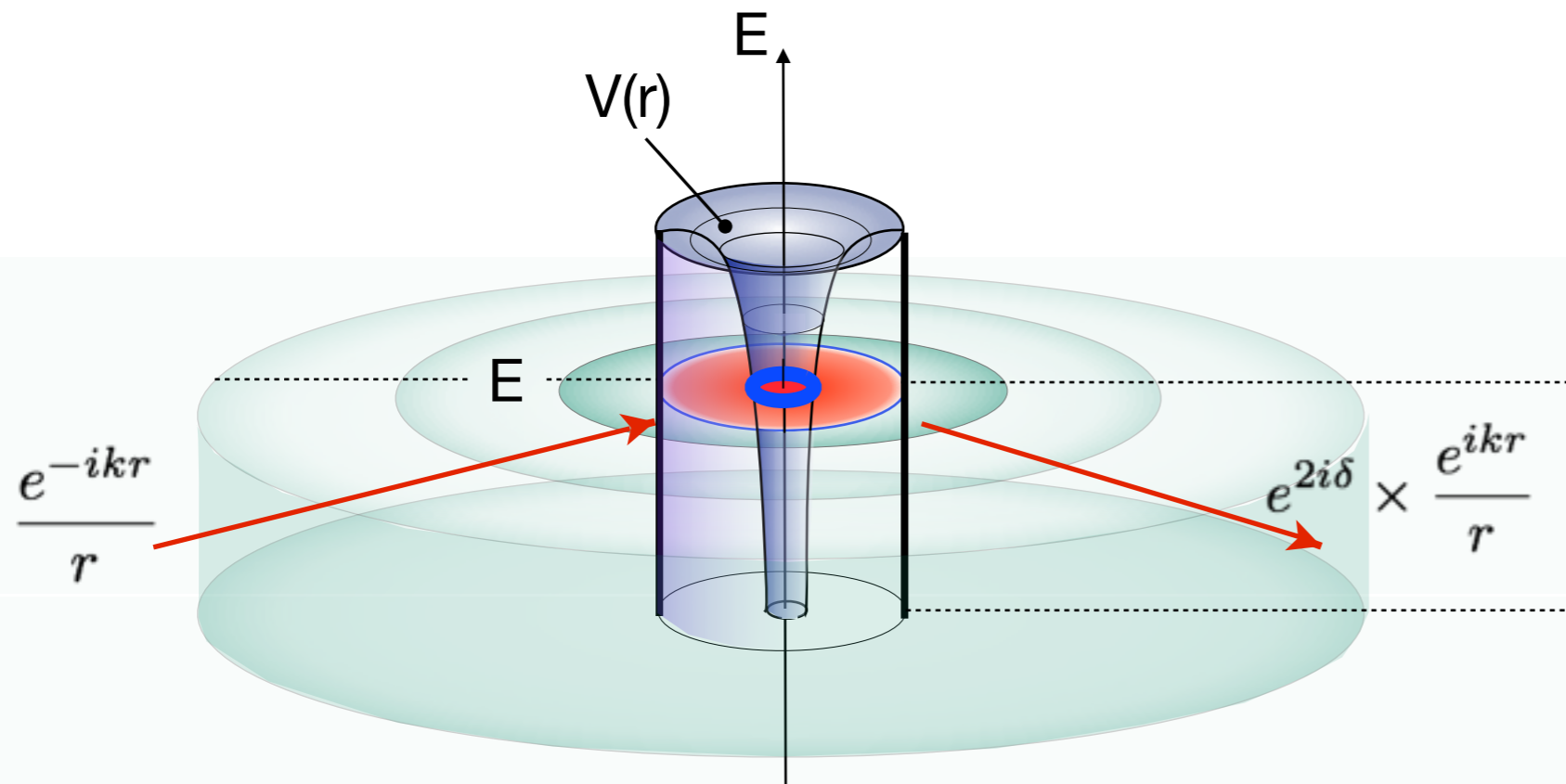
$H_{resonance}$

$$H = \underbrace{\sum_{\mathbf{k}, \sigma} \epsilon_{\mathbf{k}} n_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \sigma} \left[ V(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger f_\sigma + V^*(\mathbf{k}) f_\sigma^\dagger c_{\mathbf{k}\sigma} \right]}_{H_{resonance}} + \underbrace{E_f n_f + U n_{f\uparrow} n_{f\downarrow}}_{H_{atomic}},$$

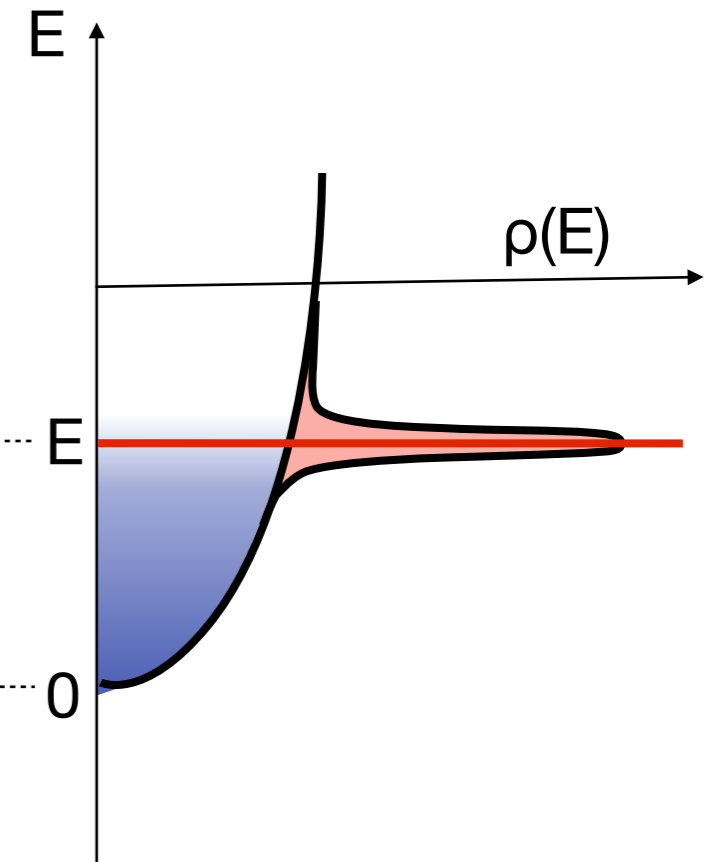
$$f_\sigma^\dagger = \int_{\mathbf{r}} \Psi_f(\mathbf{r}) \hat{\psi}_\sigma^\dagger(r),$$

# The Anderson Model.

(a)



(b)



$H_{resonance}$

$$H = \underbrace{\sum_{\mathbf{k}, \sigma} \epsilon_{\mathbf{k}} n_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \sigma} \left[ V(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger f_\sigma + V^*(\mathbf{k}) f_\sigma^\dagger c_{\mathbf{k}\sigma} \right]}_{H_{resonance}} + \underbrace{E_f n_f + U n_{f\uparrow} n_{f\downarrow}}_{H_{atomic}},$$

$$f_\sigma^\dagger = \int_{\mathbf{r}} \Psi_f(\mathbf{r}) \hat{\psi}_\sigma^\dagger(\mathbf{r}),$$

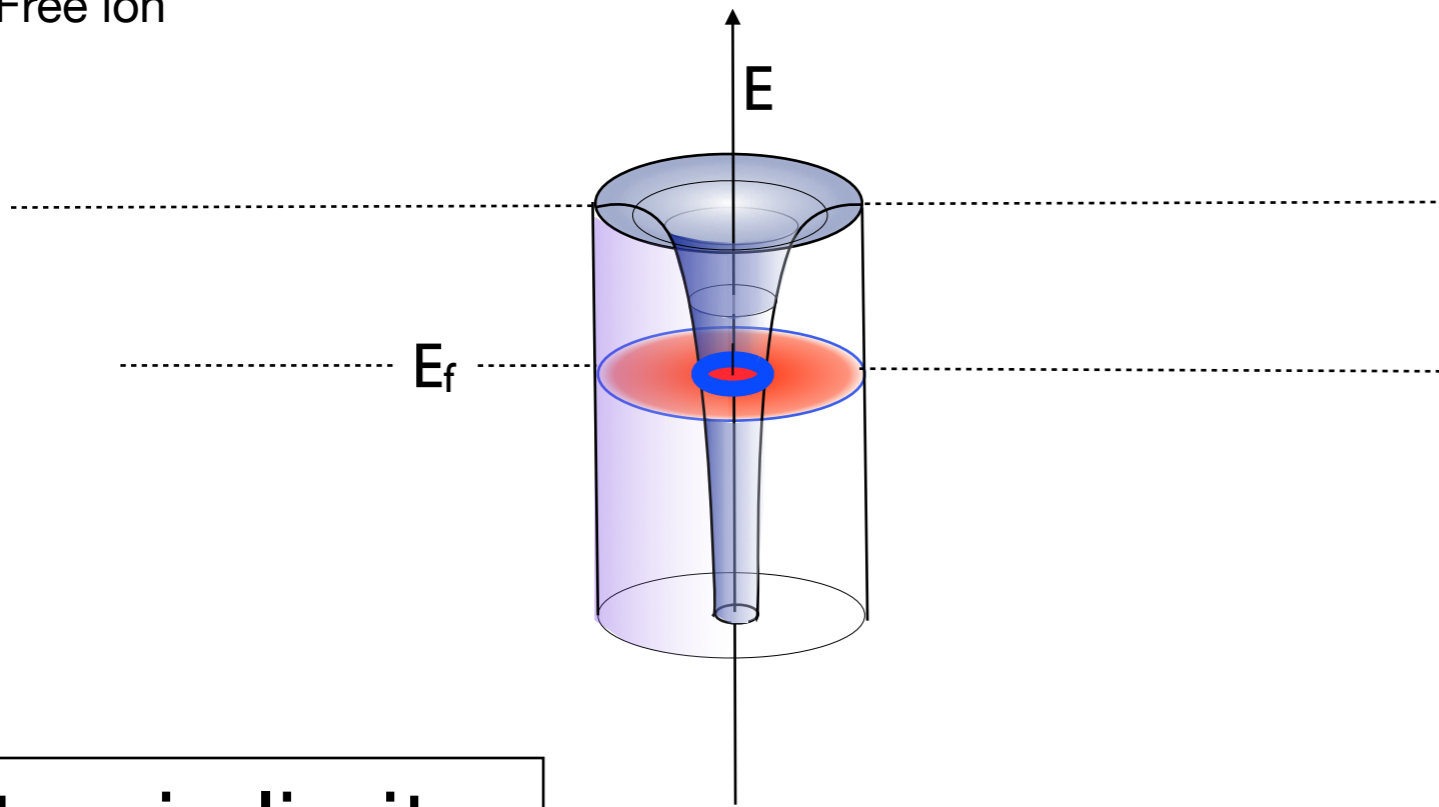
$$U = \frac{e^2}{4\pi\epsilon_0} \int_{\mathbf{r}, \mathbf{r}'} \frac{1}{|\mathbf{r} - \mathbf{r}'|} \rho_f(\mathbf{r}) \rho_f(\mathbf{r}')$$

Atomic limit  
( $V=0$ )

$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$

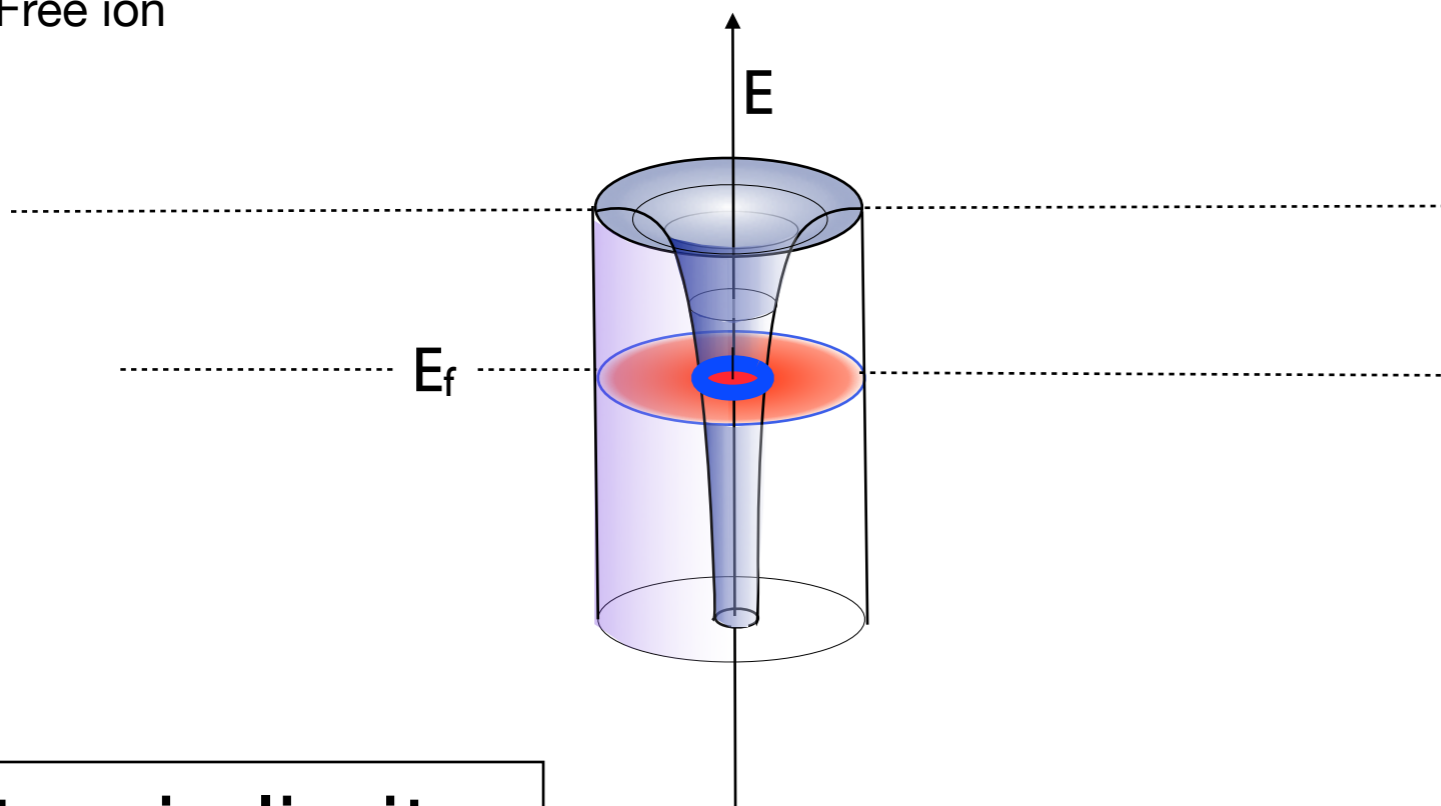


Atomic limit  
( $V=0$ )

$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$



Atomic limit  
( $V=0$ )

$$f^0 \text{ ————— }$$

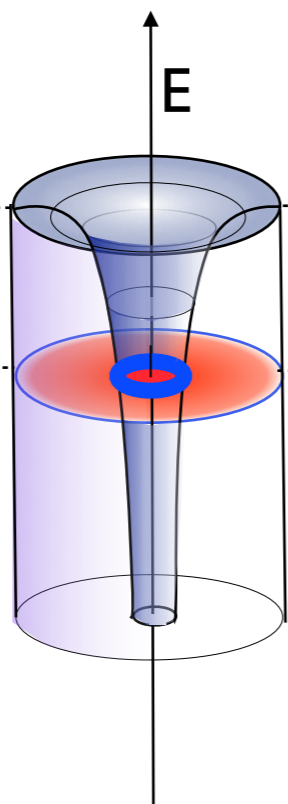
$$f^2 \text{ ————— } \uparrow\downarrow \text{ ————— } 2E_f + U$$

$$E_f \text{ — } \uparrow \text{ — } \text{ — } \downarrow \text{ — } f^1$$

$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$



Atomic limit  
( $V=0$ )

$$f^0 \text{ ————— }$$

$$f^2 \text{ ————— } \uparrow\downarrow \text{ ————— } 2E_f + U$$

$$E_f \text{ — } \uparrow \text{ — } \text{ — } \downarrow \text{ — } f^1$$

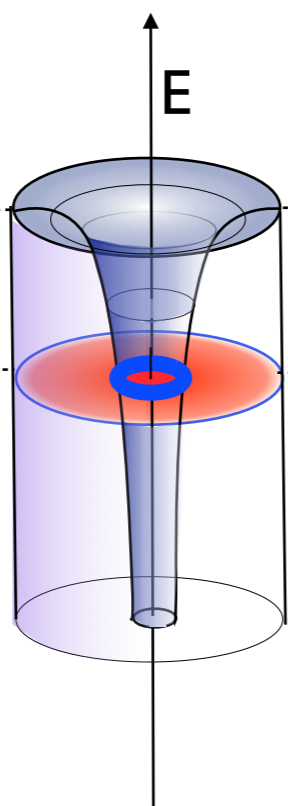
$$\left. \begin{array}{l} E_f < 0 \\ U + E_f > 0 \end{array} \right\}$$



$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$



$E_f$

Atomic limit  
( $V=0$ )

$$f^0 \text{ ————— }$$

$$f^2 \text{ ————— } \uparrow\downarrow \text{ ————— } 2E_f + U$$

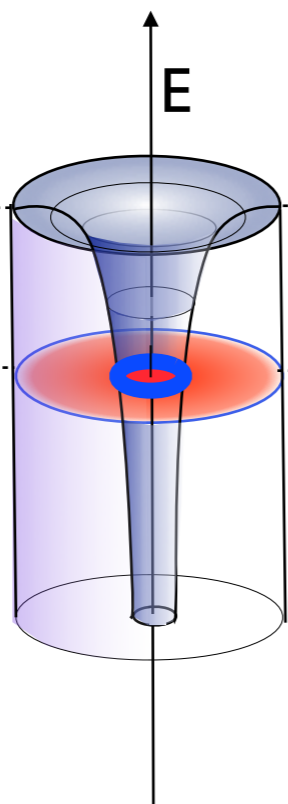
$$E_f \text{ — } \uparrow \text{ — } \text{ — } \downarrow \text{ — } f^1$$

$$\left. \begin{array}{l} E_f < 0 \\ U + E_f > 0 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} E_f + U/2 < U/2 \\ U/2 > -(E_f + U/2) \end{array} \right.$$

$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$



$E_f$

Atomic limit  
( $V=0$ )

$$f^0 \text{ —————}$$

$$f^2 \text{ ————— } \uparrow\downarrow \text{ ————— } 2E_f + U$$

$$E_f \text{ — } \uparrow \text{ — } \text{ — } \downarrow \text{ — } f^1$$

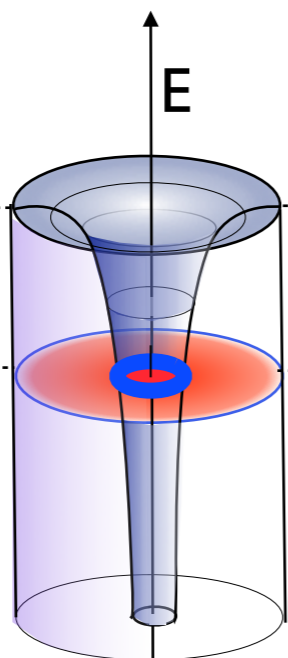
$U/2 > |E_f + U/2|$   
Magnetic GS

$$\left. \begin{array}{l} E_f < 0 \\ U + E_f > 0 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} E_f + U/2 < U/2 \\ U/2 > -(E_f + U/2) \end{array} \right.$$

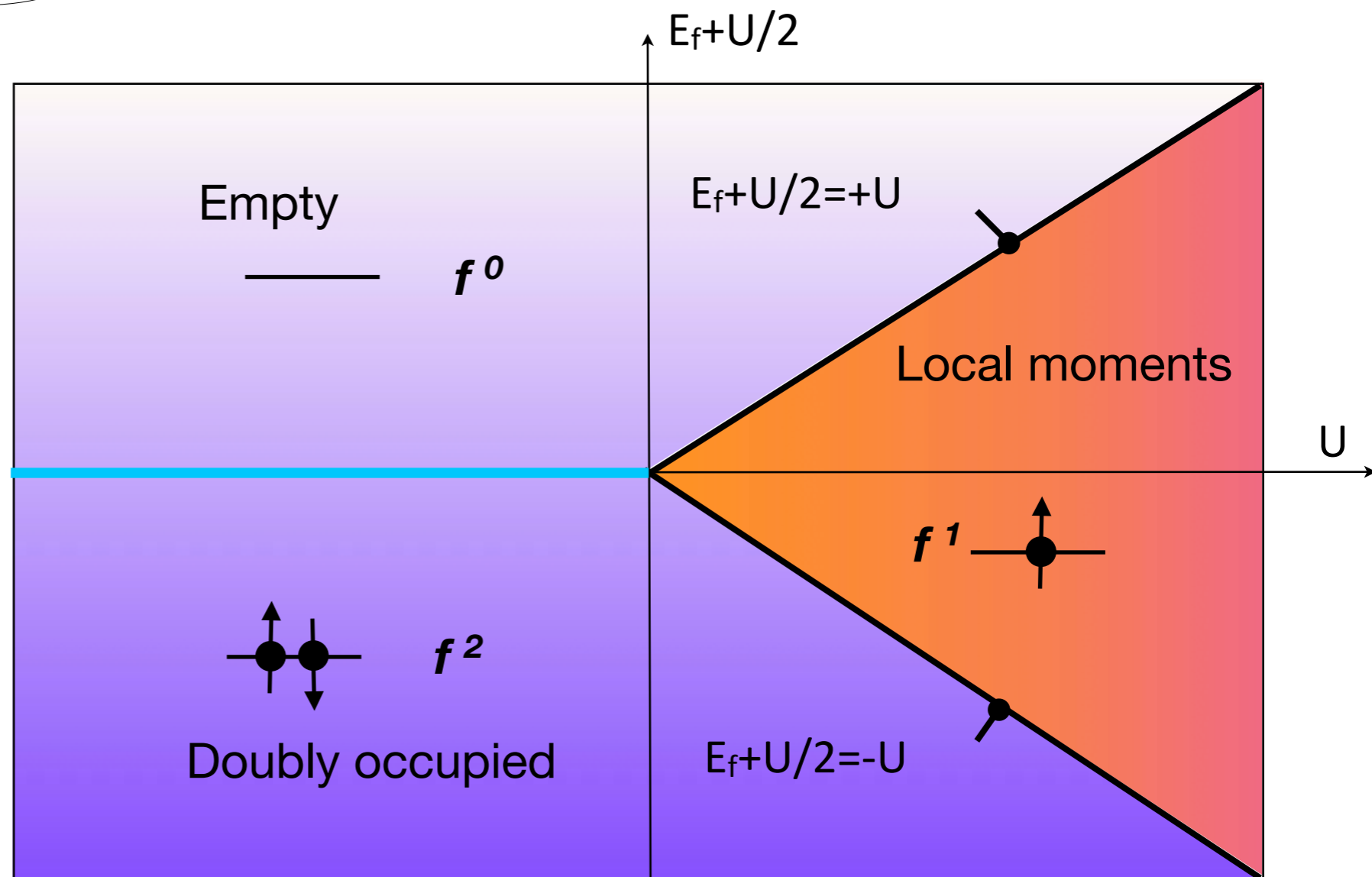
$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$



Atomic limit  
( $V=0$ )

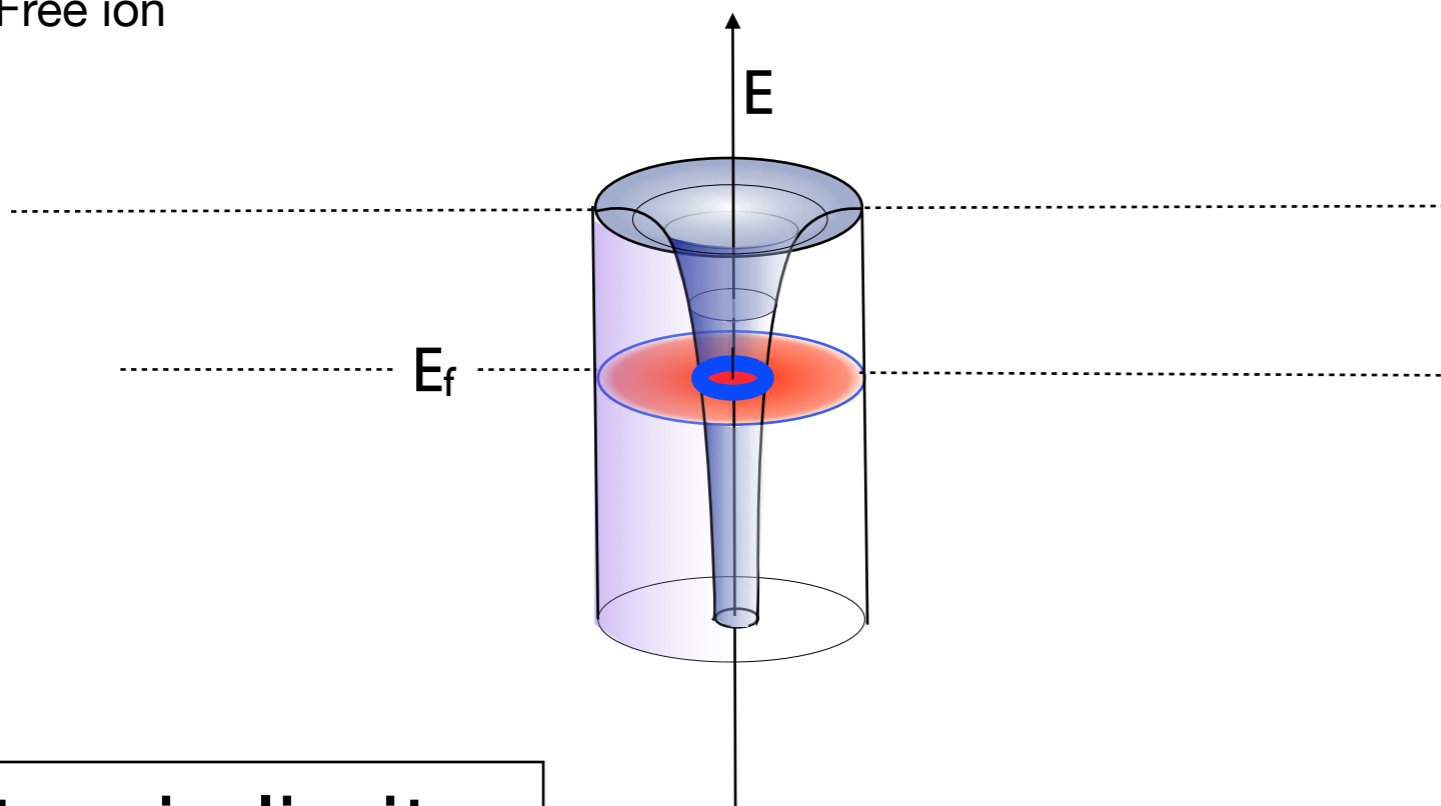


$U/2 > |E_f + U/2|$   
Magnetic GS

$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$



Atomic limit  
( $V=0$ )

$$f^0 \text{ ————— }$$

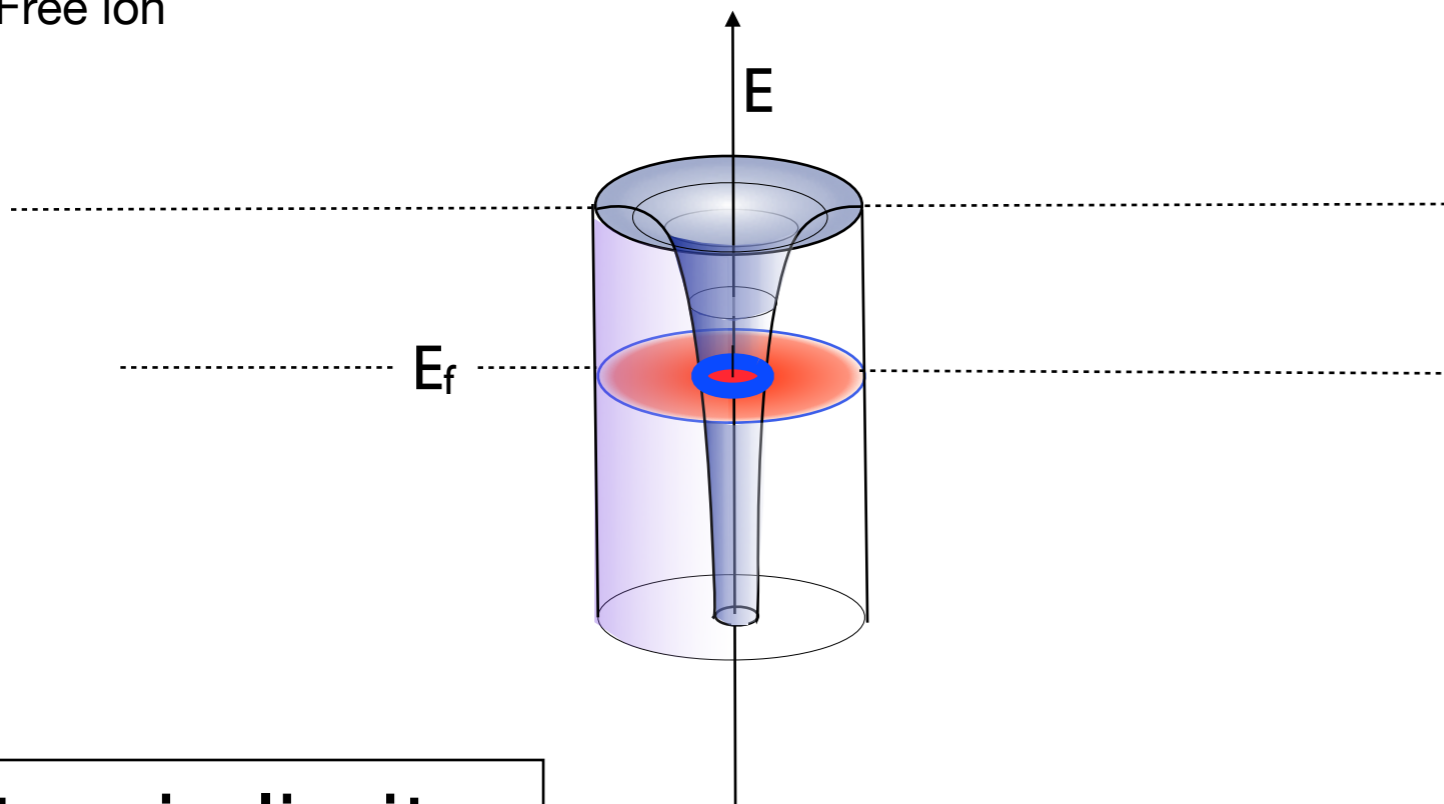
$$f^2 \text{ ————— } \uparrow\downarrow \text{ ————— } 2E_f + U$$

$$E_f \text{ — } \uparrow \text{ — } \text{ — } \downarrow \text{ — } f^1$$

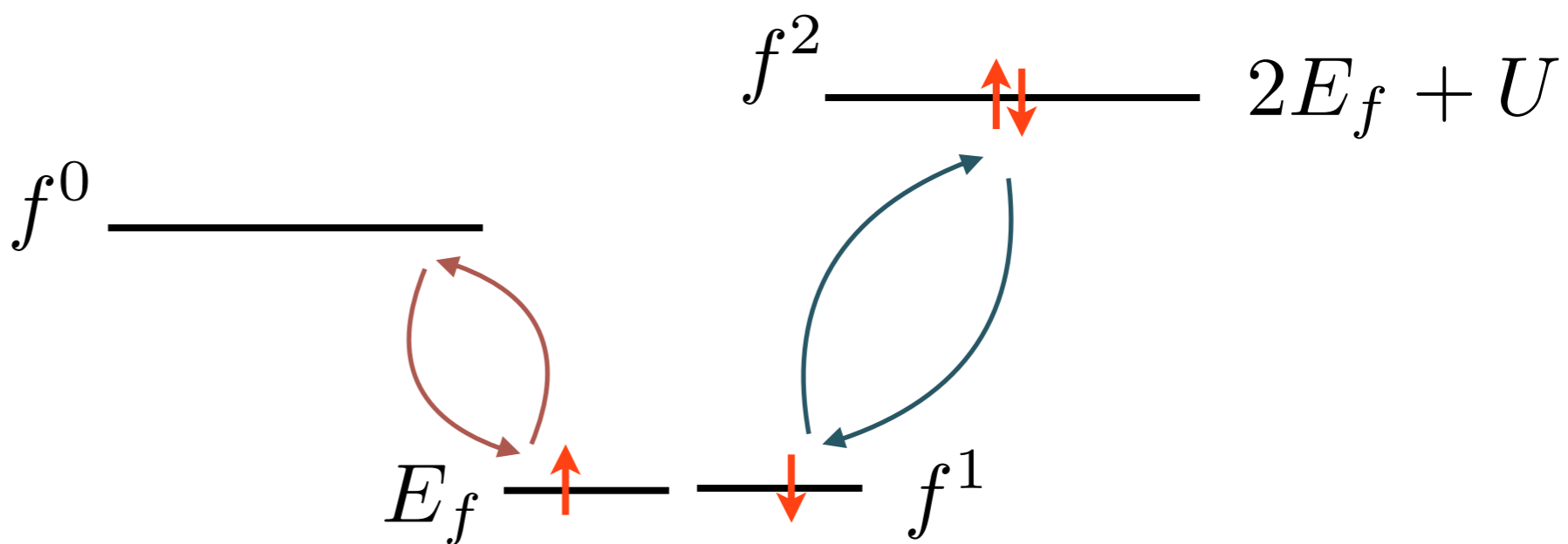
$$\left[ -\nabla^2 + \hat{V}_{ion} \right] |f\rangle = E_f^{ion} |f\rangle,$$

Free ion

$$H_{atomic} = E_f n_f + U n_{f\uparrow} n_{f\downarrow}.$$

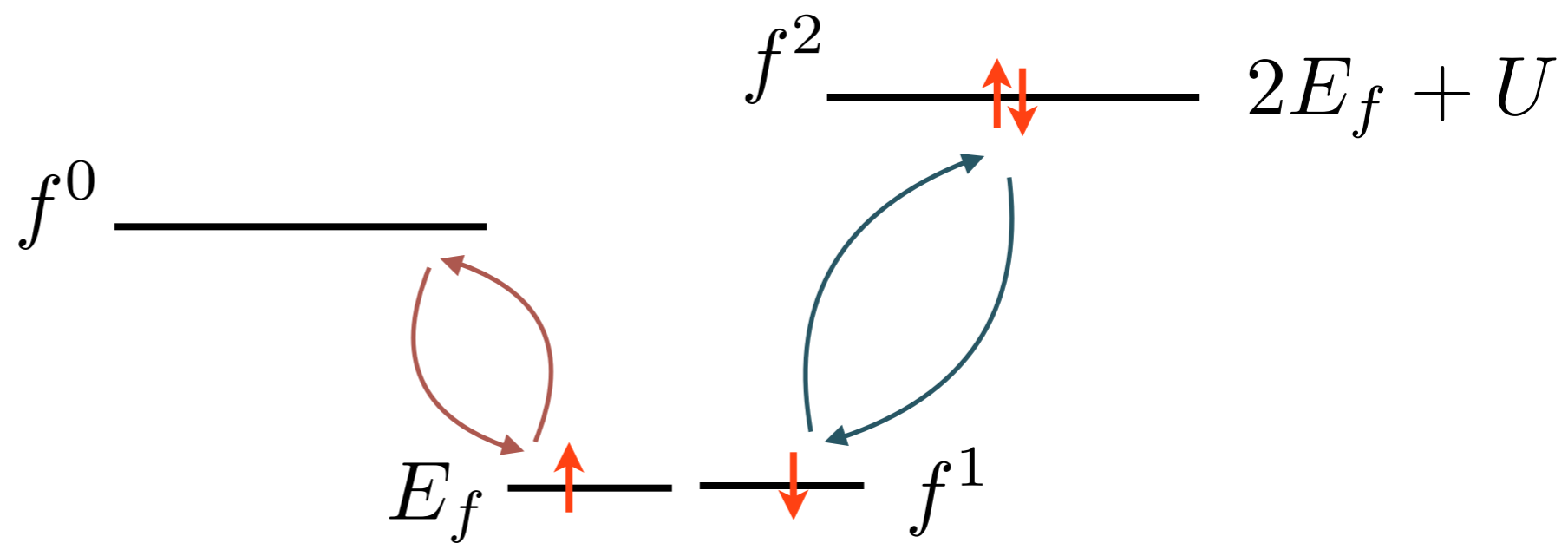


Atomic limit  
( $V=0$ )



**Valence Fluctuations**

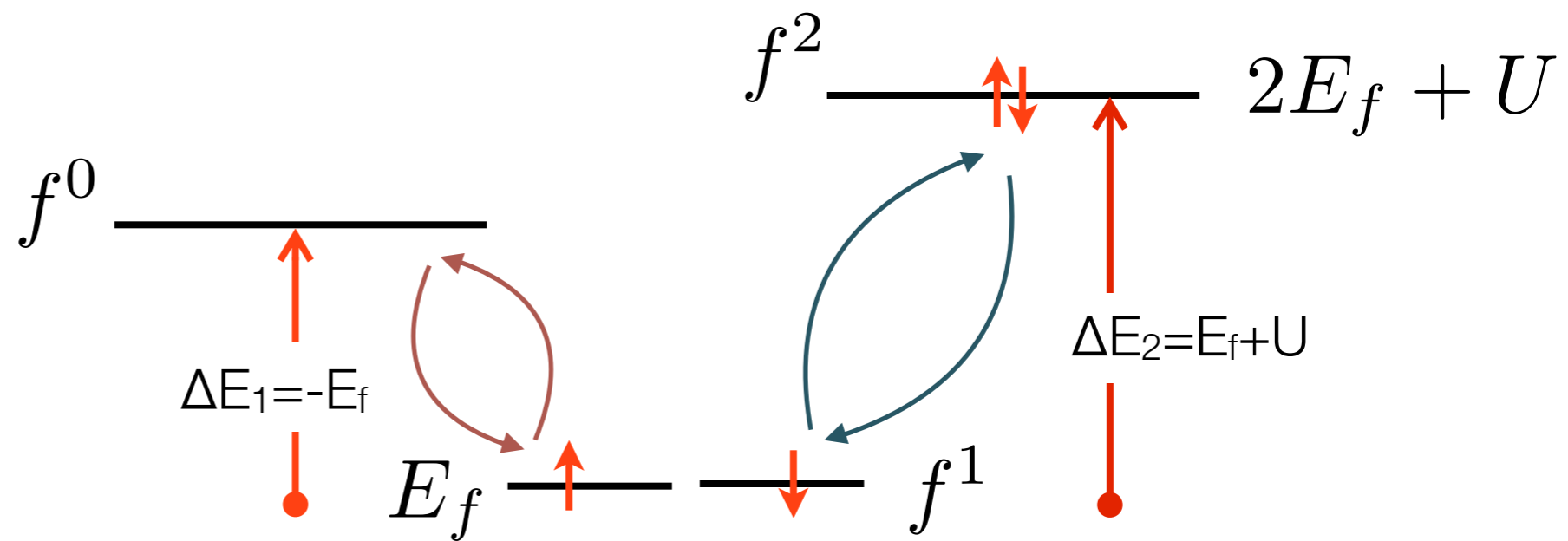
Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.



**Virtual Valence Fluctuations**



Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.

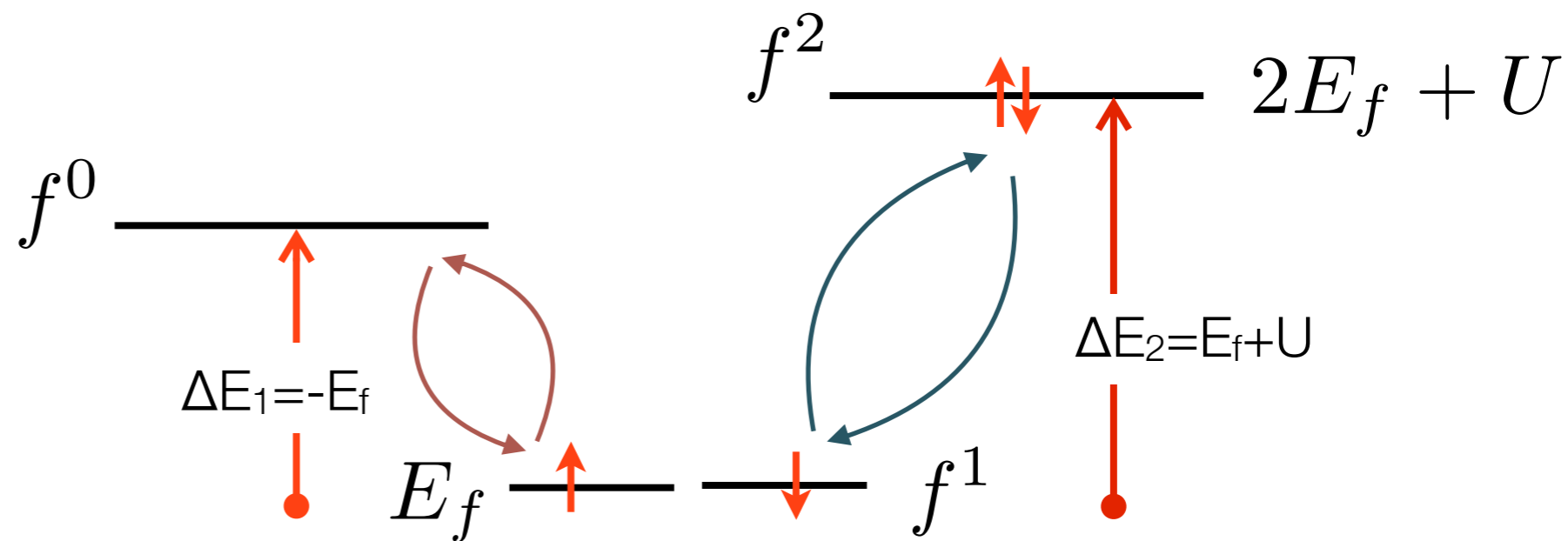


**Virtual Valence Fluctuations**

# Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.

Virtual Valence fluctuations in the singlet channel, induced by hybridization

$$\begin{array}{ll}
 e_{\uparrow}^{-} + f_{\downarrow}^1 \leftrightarrow f^2 \leftrightarrow e_{\downarrow}^{-} + f_{\uparrow}^1 & \Delta E_I \sim U + E_f \\
 h_{\uparrow}^+ + f_{\downarrow}^1 \leftrightarrow f^0 \leftrightarrow h_{\downarrow}^+ + f_{\uparrow}^1 & \Delta E_{II} \sim -E_f
 \end{array}$$



**Virtual Valence Fluctuations**

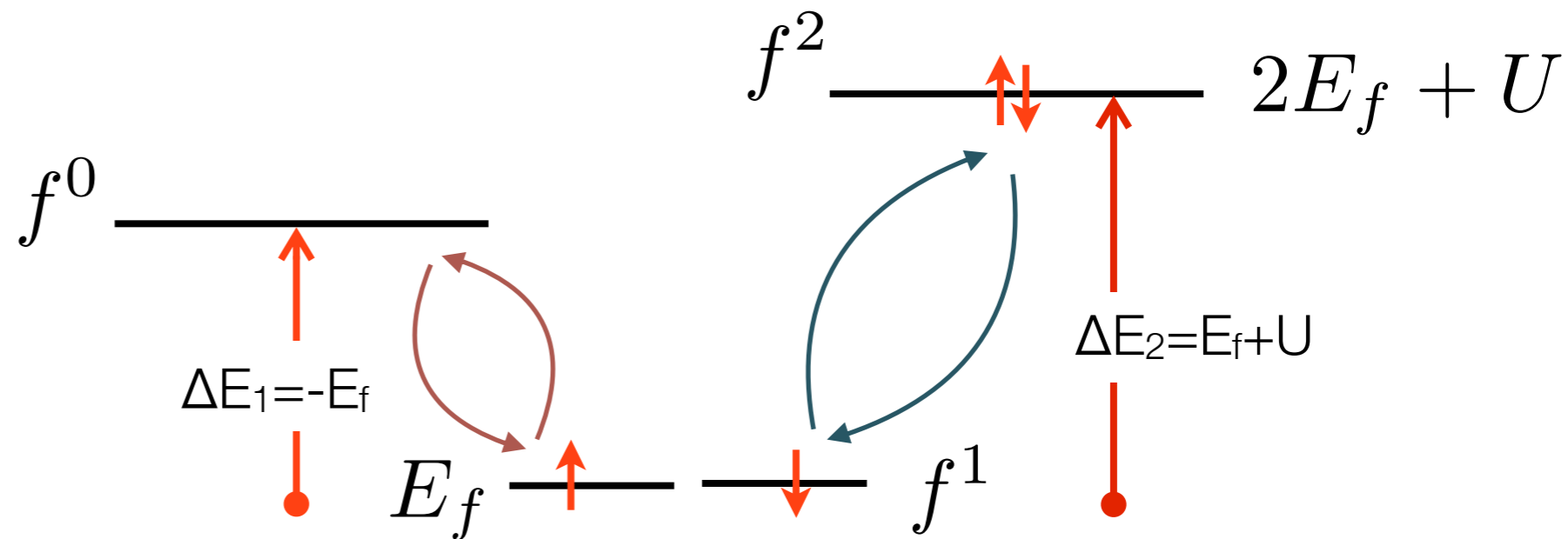
# Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.

Virtual Valence fluctuations in the singlet channel, induced by hybridization

$$\begin{array}{l} e_{\uparrow}^{-} + f_{\downarrow}^1 \leftrightarrow f^2 \leftrightarrow e_{\downarrow}^{-} + f_{\uparrow}^1 \quad \Delta E_I \sim U + E_f \\ h_{\uparrow}^+ + f_{\downarrow}^1 \leftrightarrow f^0 \leftrightarrow h_{\downarrow}^+ + f_{\uparrow}^1 \quad \Delta E_{II} \sim -E_f \end{array}$$

From second order perturbation theory, the energy of c-f singlets **reduces** by an amount **2J**, where

$$J = V^2 \left[ \frac{1}{\Delta E_1} + \frac{1}{\Delta E_2} \right]$$



**Virtual Valence Fluctuations**

# Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.

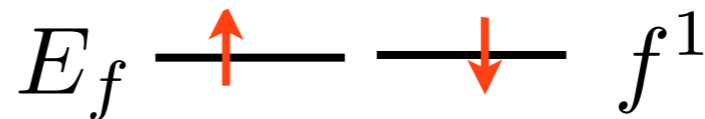
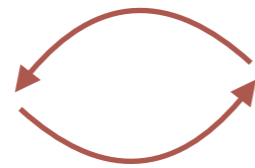
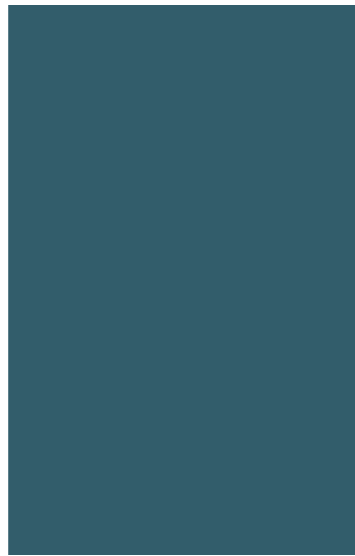
Virtual Valence fluctuations in the singlet channel, induced by hybridization

$$\begin{array}{l} e_{\uparrow}^{-} + f_{\downarrow}^1 \leftrightarrow f^2 \leftrightarrow e_{\downarrow}^{-} + f_{\uparrow}^1 \quad \Delta E_I \sim U + E_f \\ h_{\uparrow}^{+} + f_{\downarrow}^1 \leftrightarrow f^0 \leftrightarrow h_{\downarrow}^{+} + f_{\uparrow}^1 \quad \Delta E_{II} \sim -E_f \end{array}$$

From second order perturbation theory, the energy of c-f singlets **reduces** by an amount **2J**, where

$$J = V^2 \left[ \frac{1}{\Delta E_1} + \frac{1}{\Delta E_2} \right]$$

Conduction sea



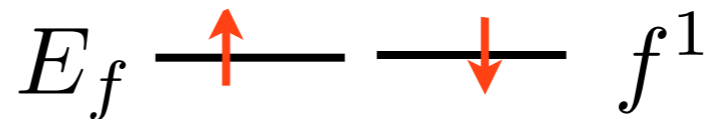
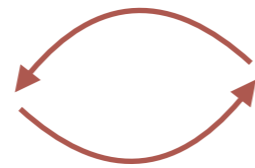
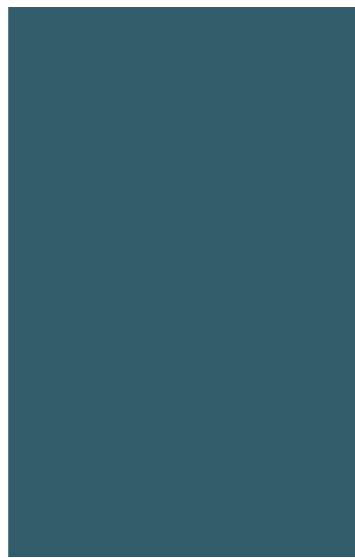
$$H_K = -2JP_{S=0} = -2J \left[ \frac{1}{4} - \frac{1}{2} \vec{\sigma}_c(0) \cdot \vec{S}_f \right] \rightarrow J \vec{\sigma}_c(0) \cdot \vec{S}_f$$

Antiferromagnetic Kondo interaction

Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \frac{J}{\mathcal{N}} \sum_j \vec{S}_j \cdot c_{\mathbf{k}\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}'\beta} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}_j}$$

Conduction sea



$$H_K = -2JP_{S=0} = -2J \left[ \frac{1}{4} - \frac{1}{2} \vec{\sigma}_c(0) \cdot \vec{S}_f \right] \rightarrow J \vec{\sigma}_c(0) \cdot \vec{S}_f$$

Antiferromagnetic Kondo interaction

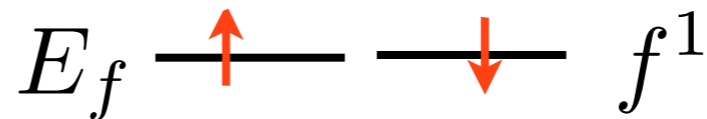
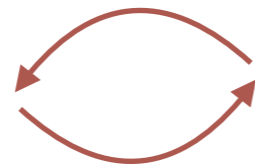
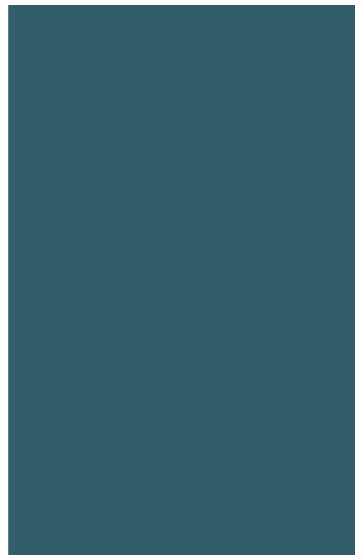
# Schrieffer Wolff Transformation: integrate out high frequency valence fluctuations.

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \frac{J}{\mathcal{N}} \sum_j \vec{S}_j \cdot c_{\mathbf{k}\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}'\beta} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}_j}$$

Note: can also write Kondo interaction in the “Coqblin Schrieffer” form

$$H_K = -J \sum_{j,\alpha,\beta} (c_{j\alpha}^\dagger f_{j\alpha})(f_{j\beta}^\dagger c_{j\beta})$$

Conduction sea



$$H_K = -2JP_{S=0} = -2J \left[ \frac{1}{4} - \frac{1}{2} \vec{\sigma}_c(0) \cdot \vec{S}_f \right] \rightarrow J \vec{\sigma}_c(0) \cdot \vec{S}_f$$

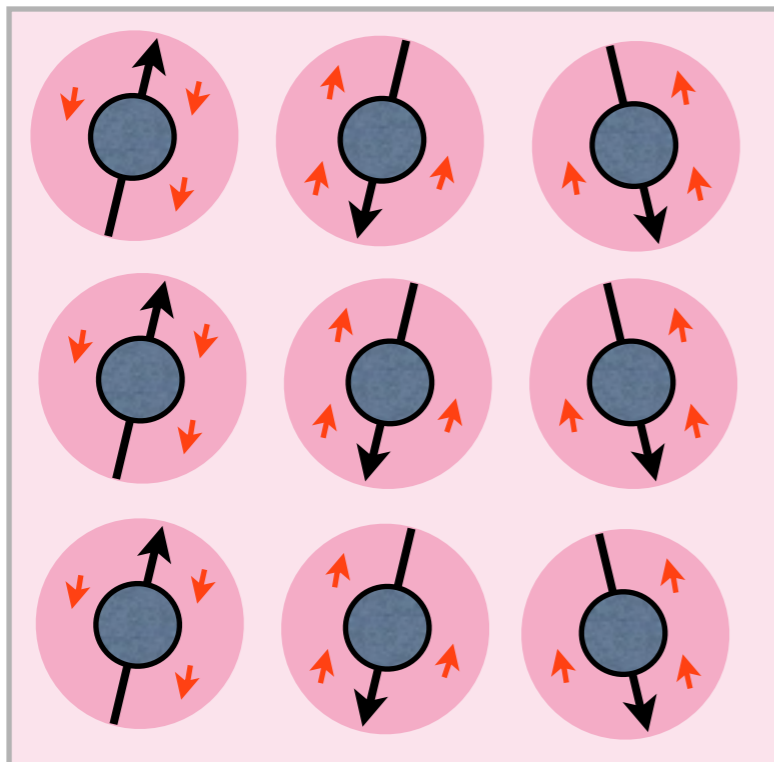
Antiferromagnetic Kondo interaction



# THE KONDO LATTICE

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \frac{J}{\mathcal{N}} \sum_j \vec{S}_j \cdot c_{\mathbf{k}\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}'\beta} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}_j}$$

T. Kasuya (1951)



“Kondo Lattice”

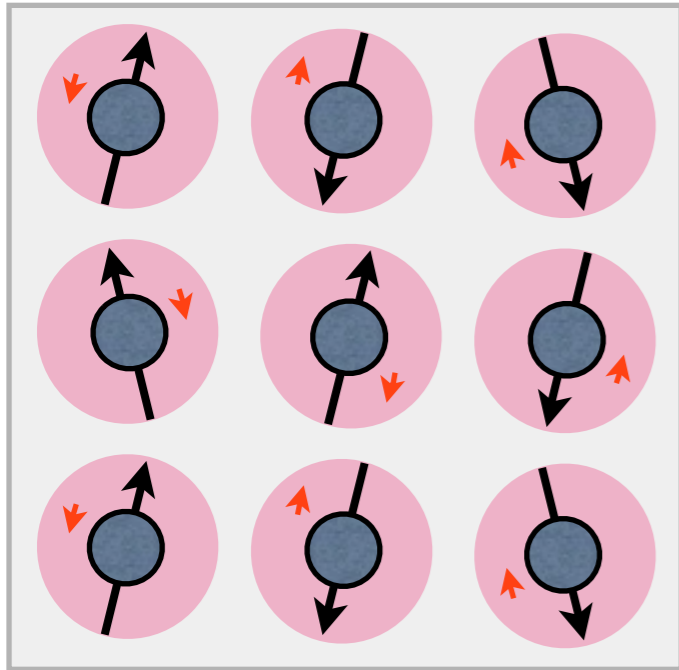
Doniach Hypothesis.

Strong coupling Kondo Lattice  $J \gg t$

$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

Strong coupling Kondo Lattice  $J \gg t$

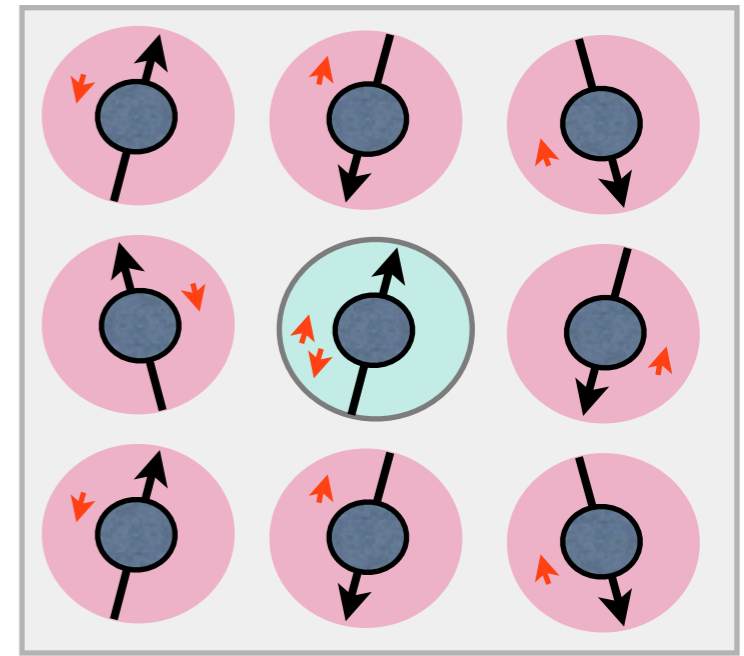
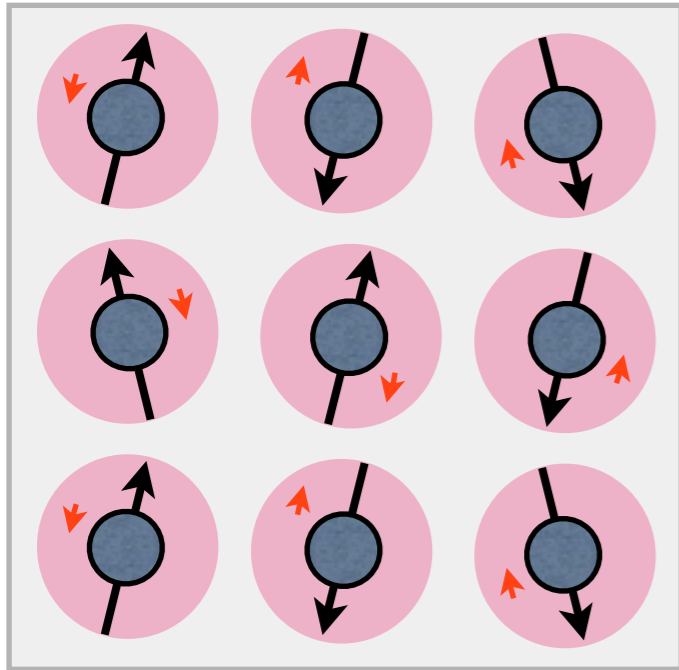
$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$



$n_e = n_{\text{spins}}$   
Kondo insulator

Strong coupling Kondo Lattice  $J \gg t$

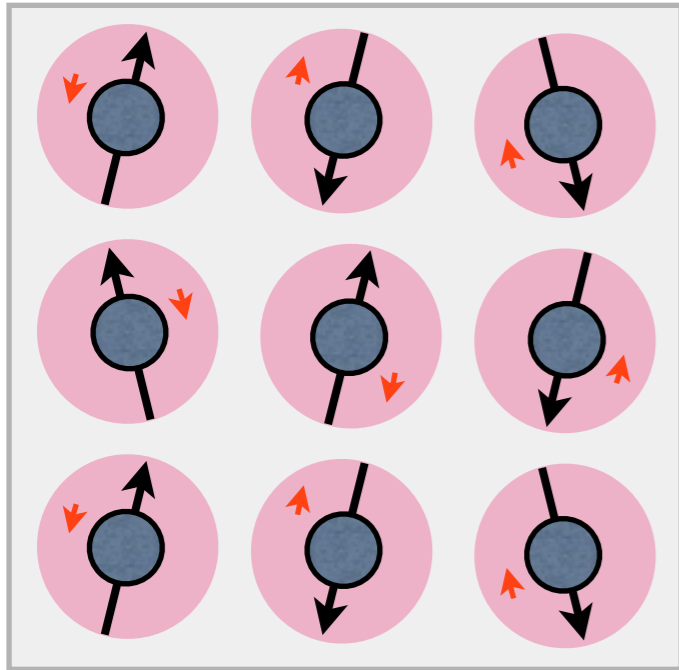
$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$



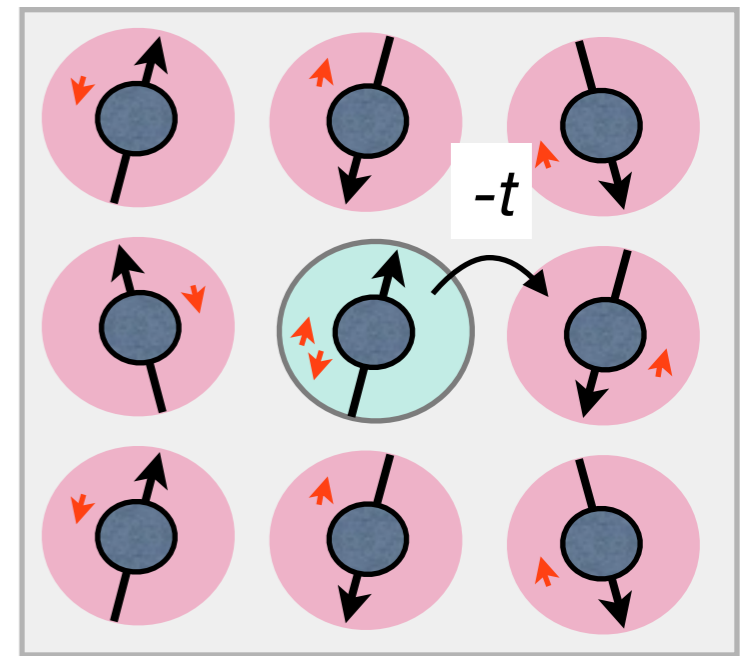
Electron doping

Strong coupling Kondo Lattice  $J \gg t$

$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$



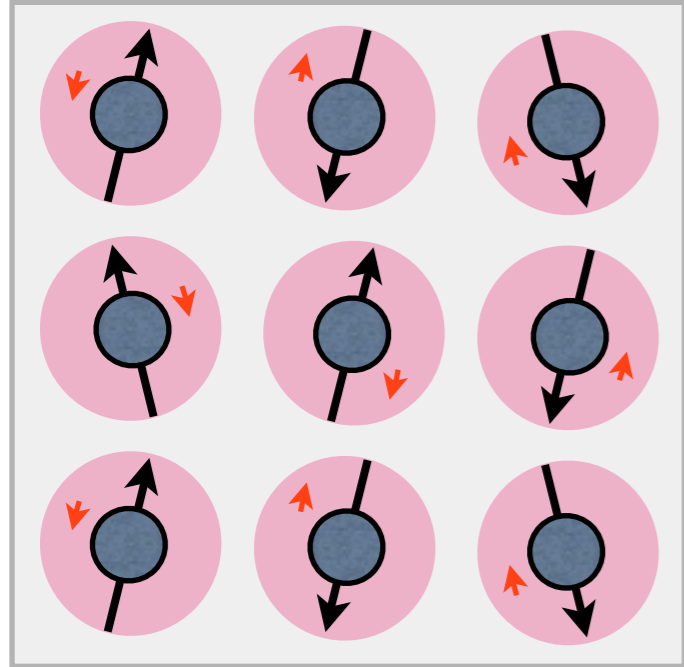
$n_e = n_{\text{spins}}$   
Kondo insulator



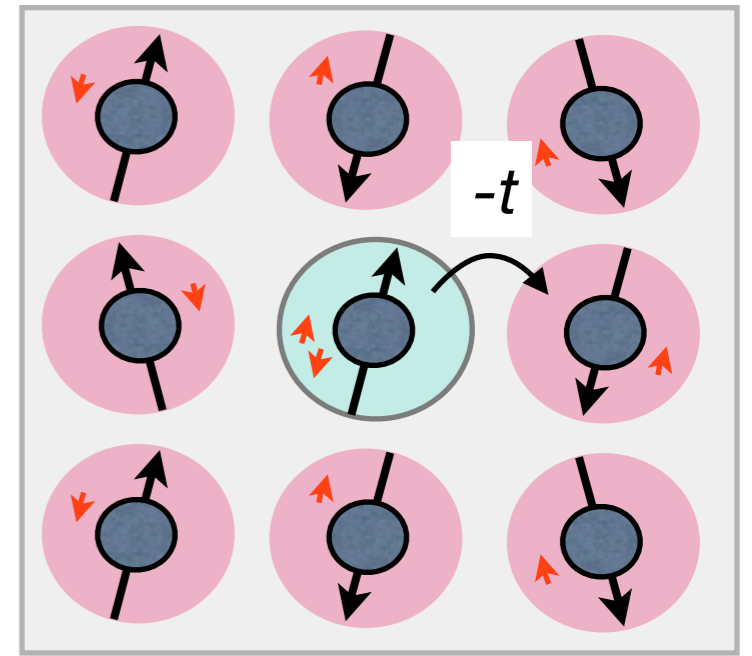
Electron doping

Strong coupling Kondo Lattice  $J \gg t$

$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$



$n_e = n_{\text{spins}}$   
Kondo insulator

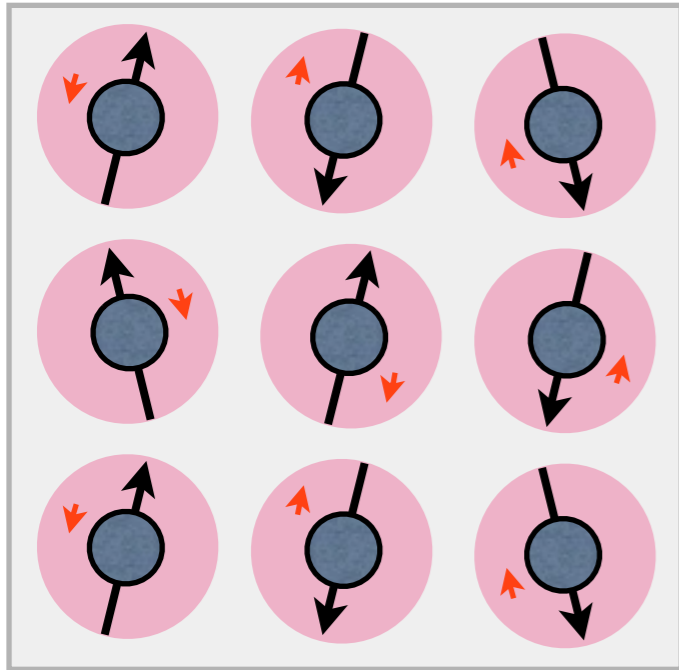


Electron doping  
Mobile  
“Heavy Electrons”

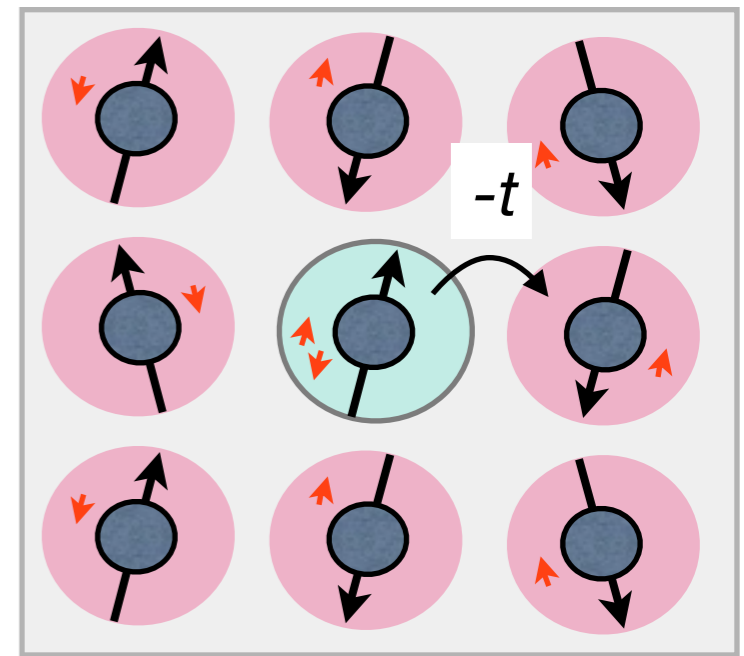


Strong coupling Kondo Lattice  $J \gg t$

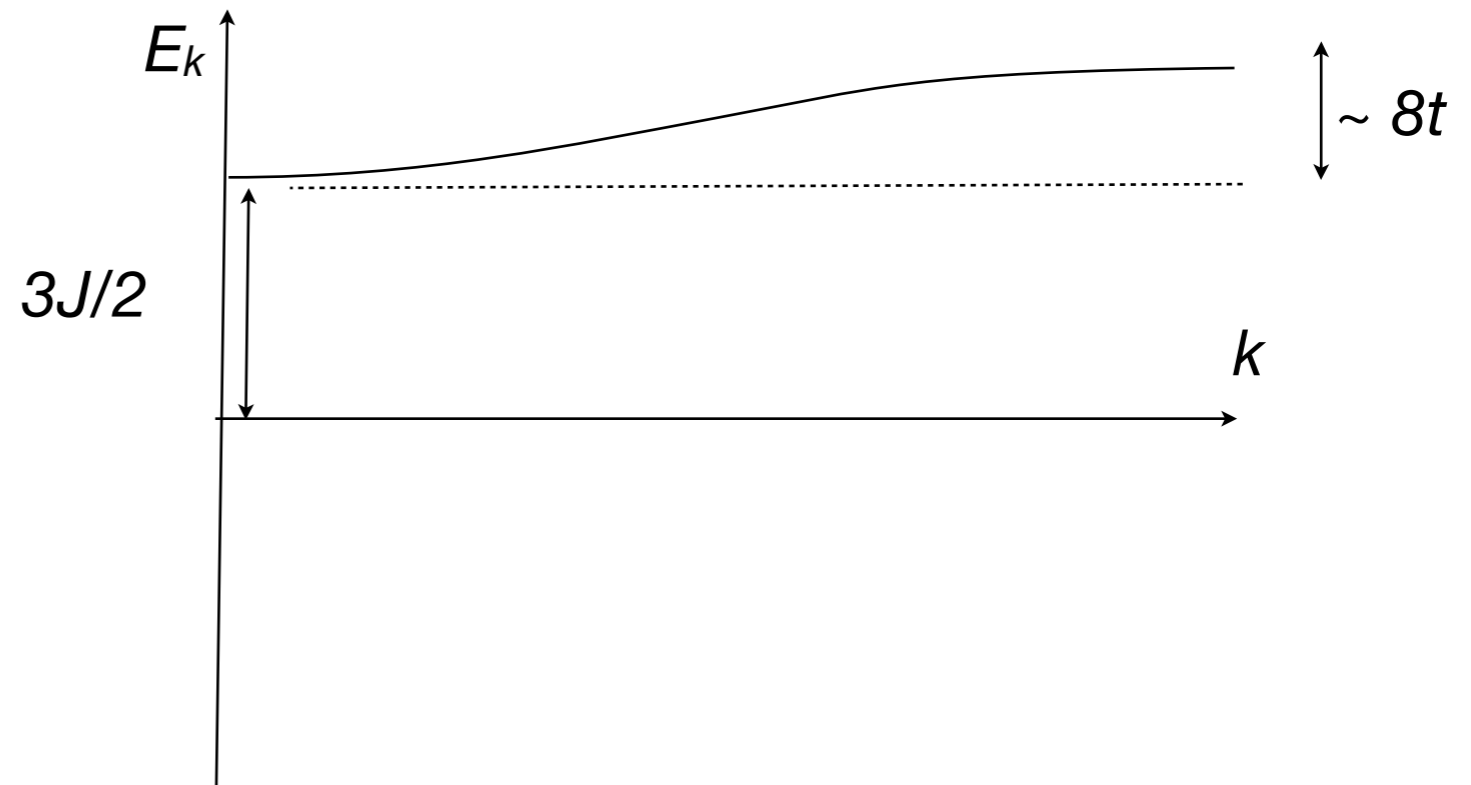
$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$



$n_e = n_{\text{spins}}$   
Kondo insulator



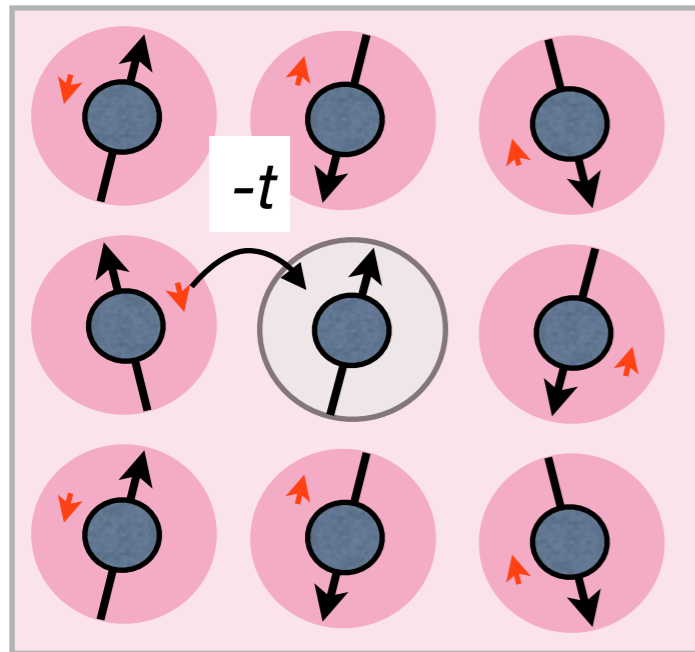
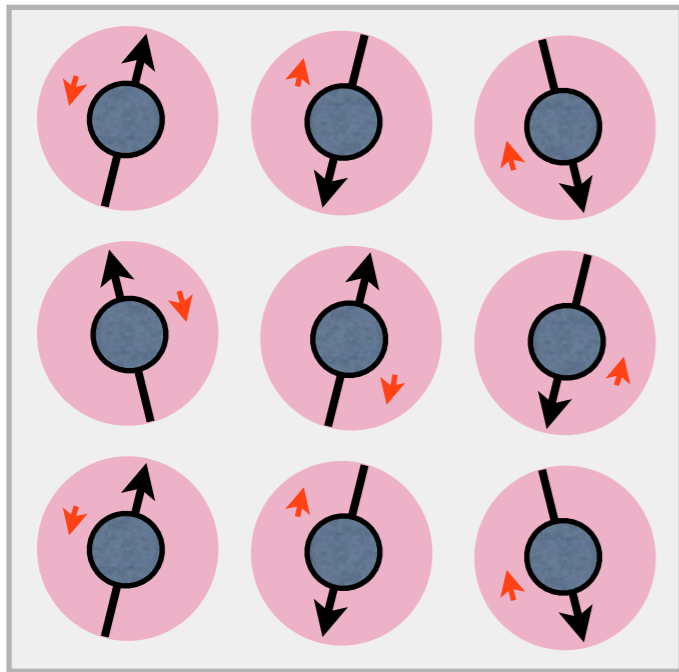
Electron doping  
Mobile  
"Heavy Electrons"



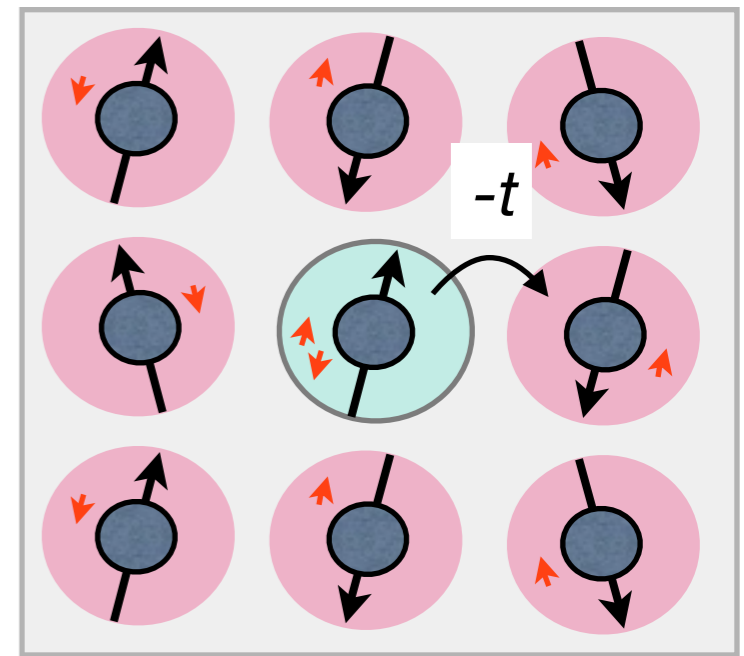
Strong coupling Kondo Lattice  $J \gg t$

$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

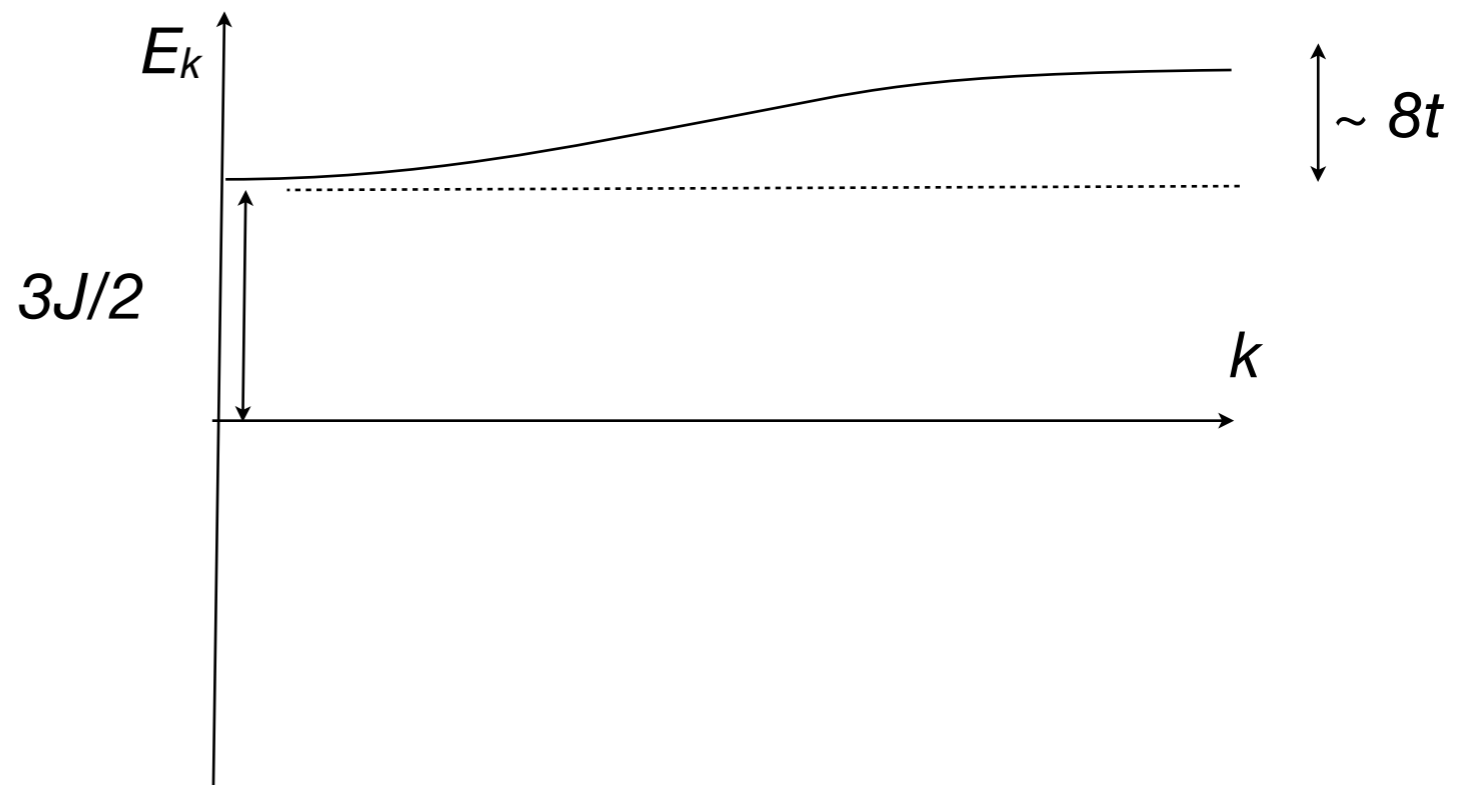
$n_e = n_{\text{spins}}$   
Kondo insulator



Hole doping: mobile heavy  
holes  $n_e = n_{\text{spins}} - \delta$



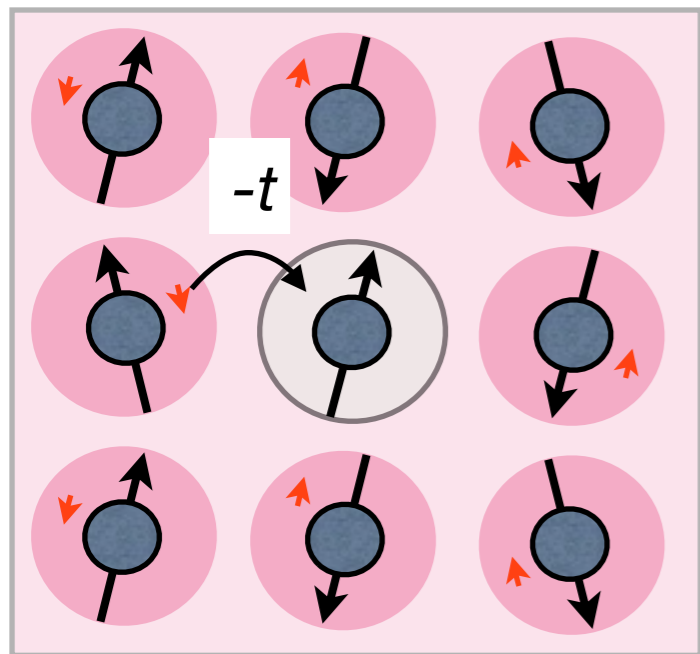
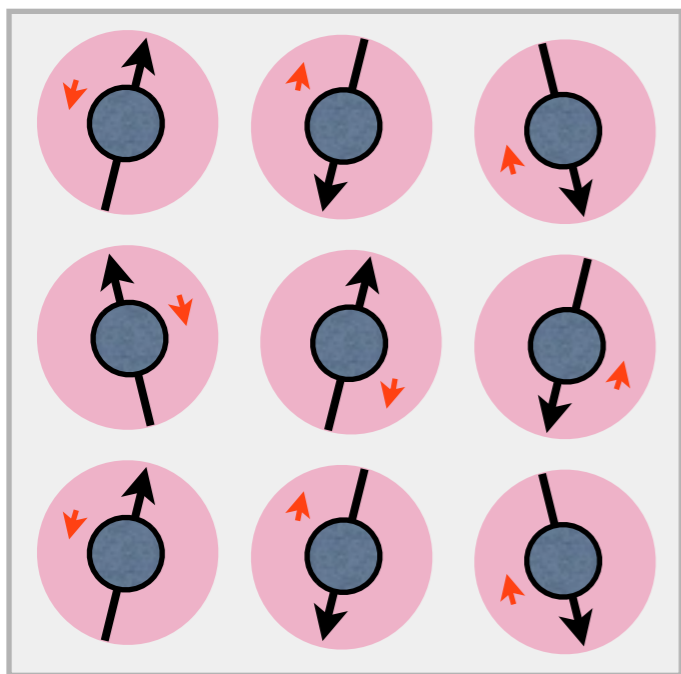
Electron doping  
Mobile  
"Heavy Electrons"



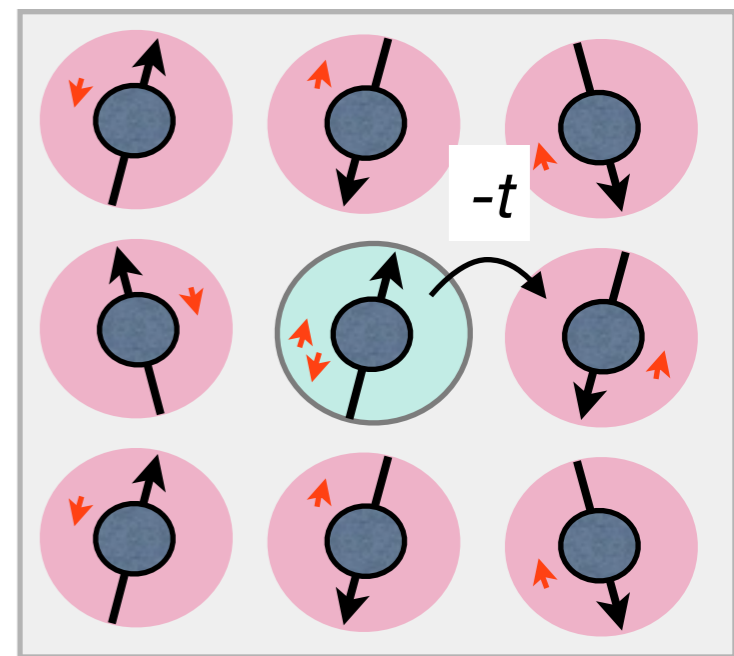
Strong coupling Kondo Lattice  $J \gg t$

$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

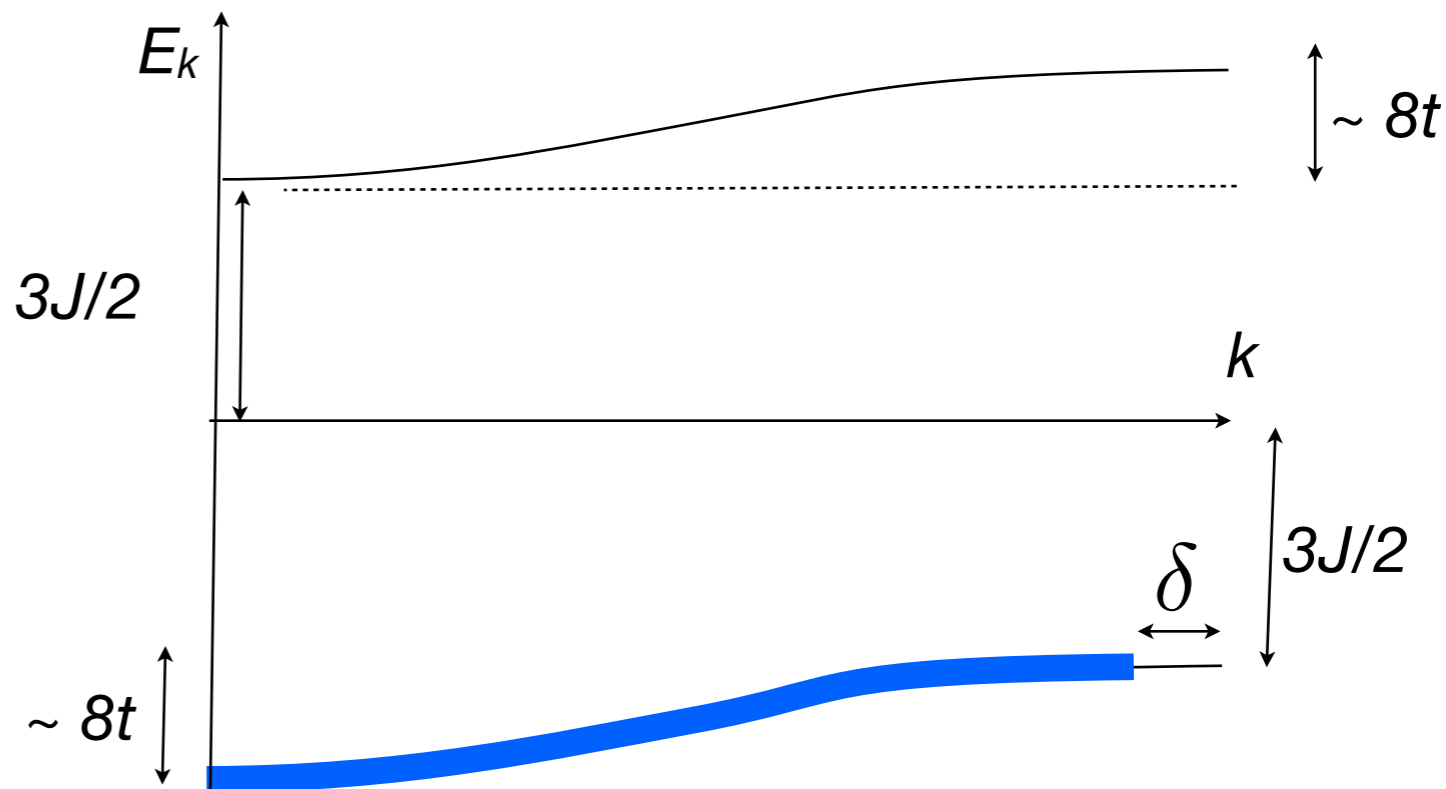
$n_e = n_{\text{spins}}$   
Kondo insulator



Hole doping: mobile heavy holes  $n_e = n_{\text{spins}} - \delta$



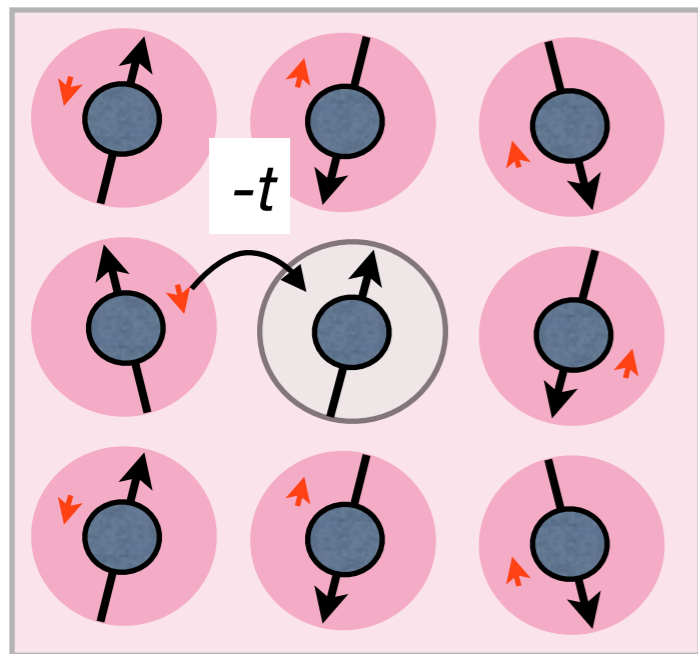
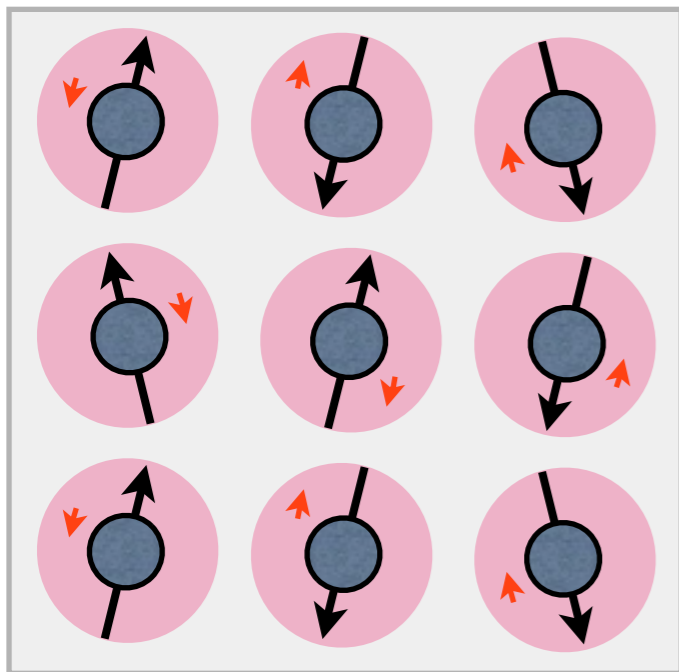
Electron doping  
Mobile  
"Heavy Electrons"



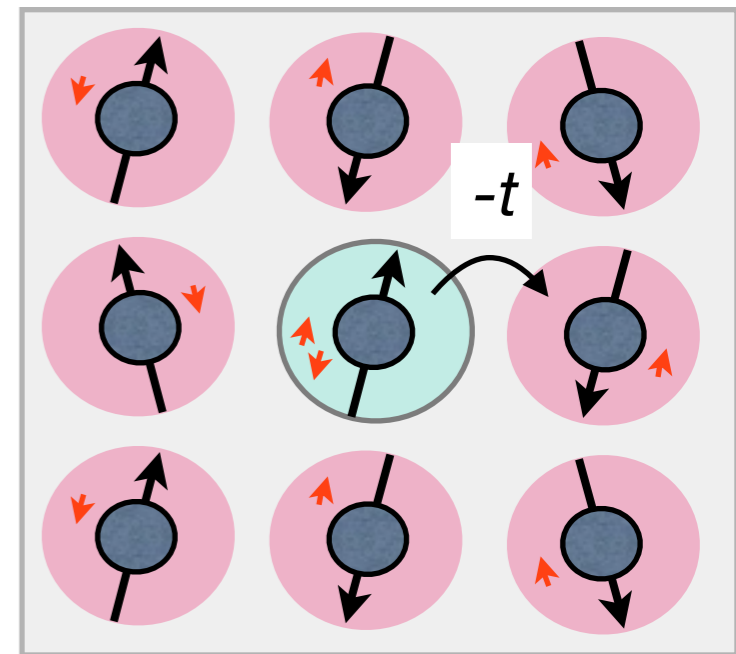
Strong coupling Kondo Lattice  $J \gg t$

$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

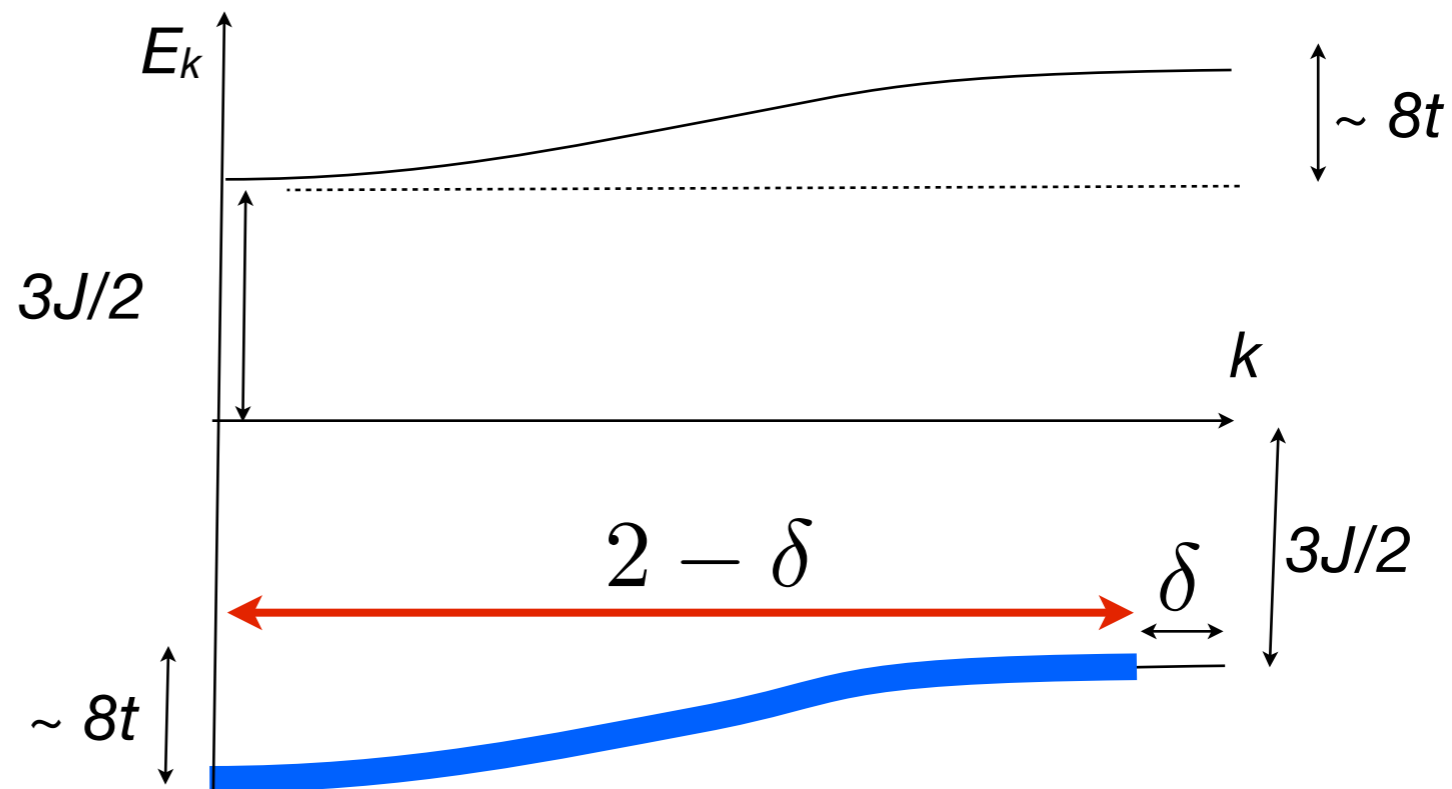
$n_e = n_{\text{spins}}$   
Kondo insulator



Hole doping: mobile heavy holes  $n_e = n_{\text{spins}} - \delta$

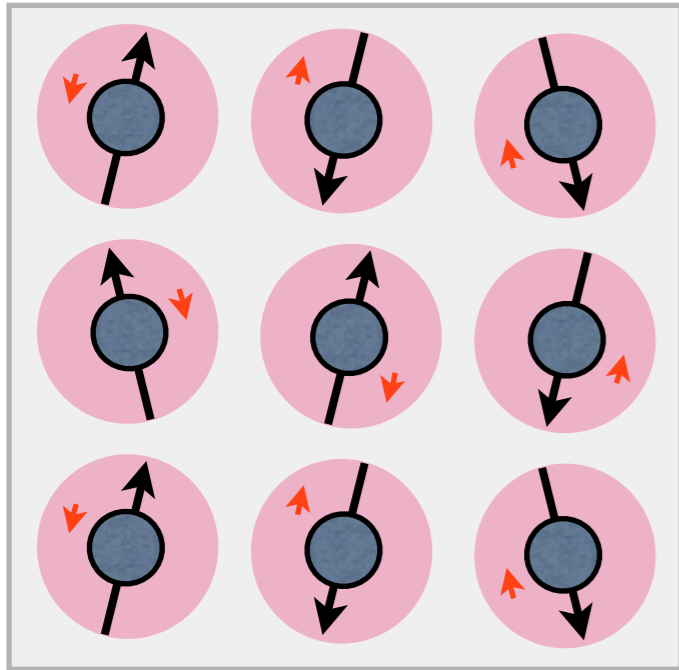


Electron doping  
Mobile  
"Heavy Electrons"



Strong coupling Kondo Lattice  $J \gg t$

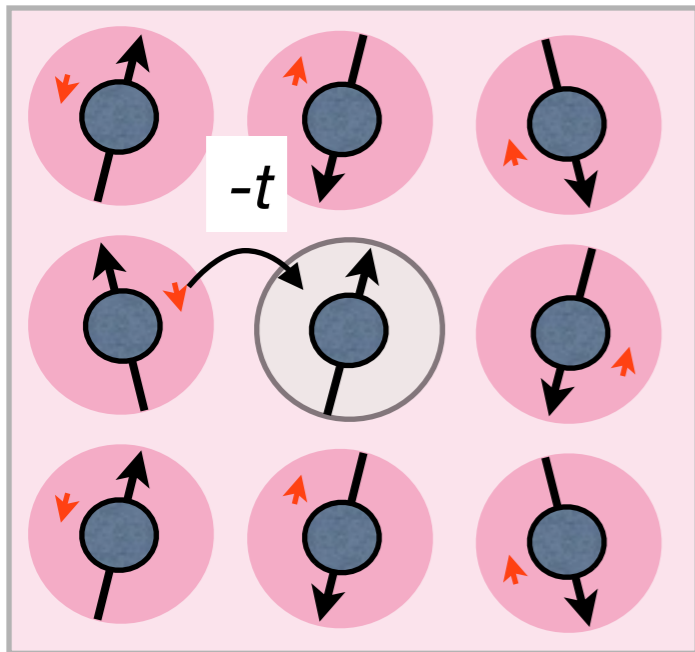
$$H = J \sum \vec{\sigma}(j) \cdot \vec{S}_j - t \sum_{(i,j)} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$



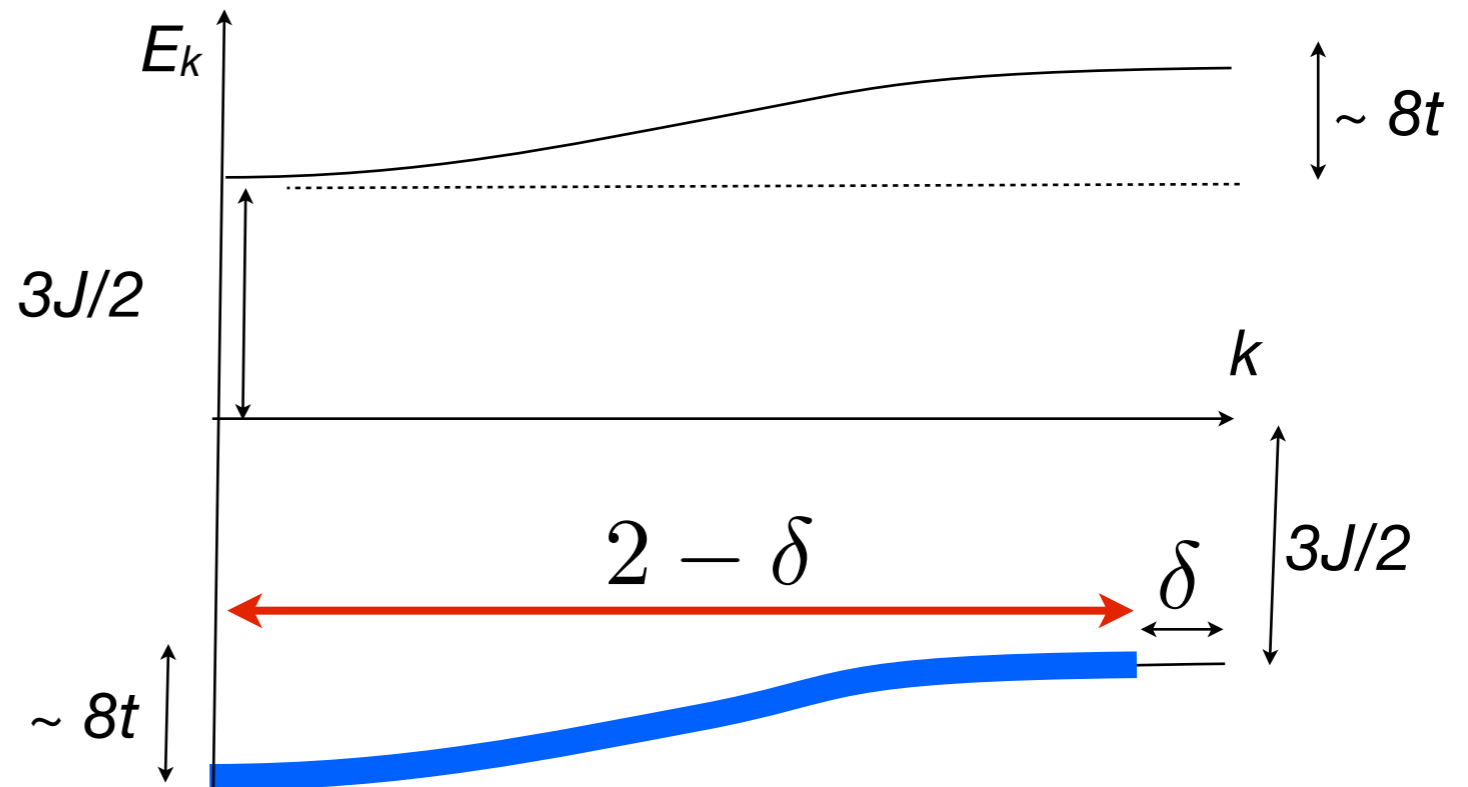
$n_e = n_{\text{spins}}$   
Kondo insulator

$$2 \left( \frac{v_{\text{FS}}}{(2\pi)^D} \right) = 2 - \delta = n_{\text{spins}} + n_e$$

FS sum rule counts spins as charged qp.



Hole doping: mobile heavy holes  $n_e = n_{\text{spins}} - \delta$



# Large Fermi surface and the charge of the f-electron

