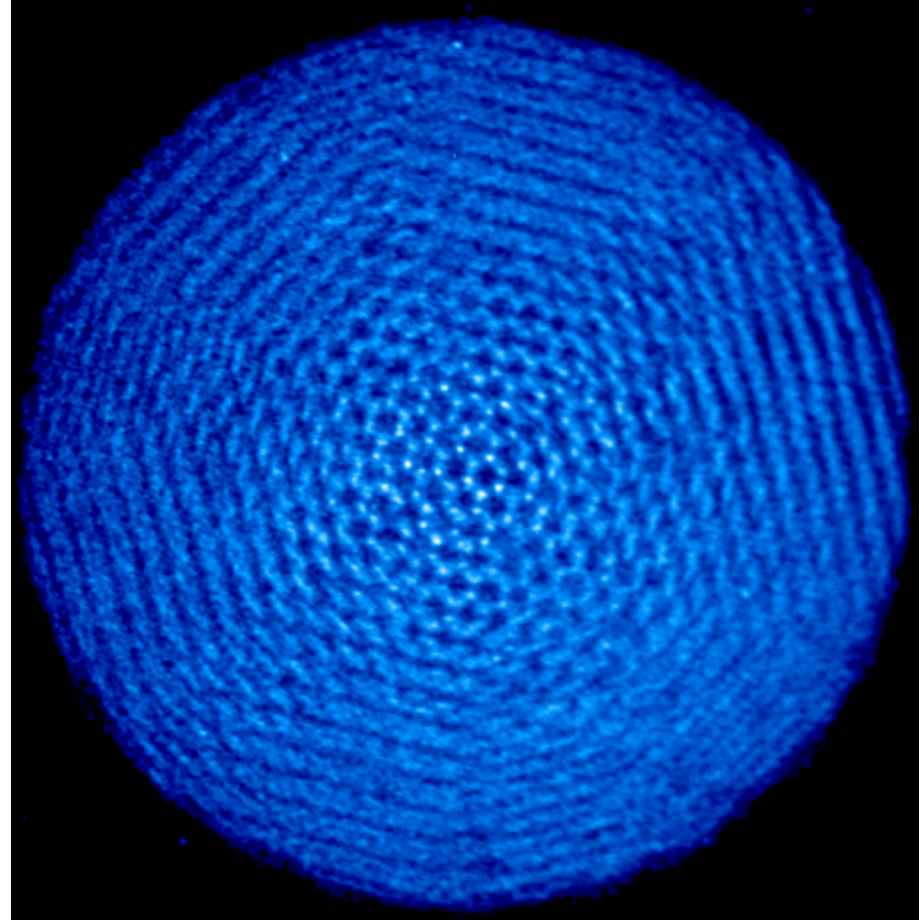


# Trapped Ion Liquids and Crystals

**John Bollinger**  
**NIST-Boulder**  
**Ion storage group**

Wayne Itano, David Wineland, Joseph Tan, Pei Huang, Brana Jelenkovic, Travis Mitchell, Brad King, Jason Kriesel, Marie Jensen, Taro Hasegawa, Dan Dubin – UCSD(theory)

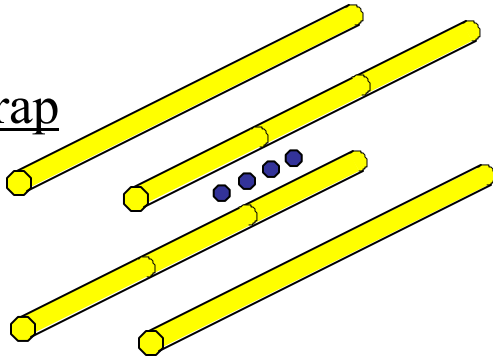


## Outline:

- Introduction:  
Penning traps, thermal equilibrium, one-component plasmas, strong correlation
  - Observation of crystalline structure:  
Bragg scattering, real imaging, structural phase transitions
  - Can we observe the predicted liquid-solid phase transition?
  - Modes
- ➔ No quantum mechanics; everything in this talk uses classical physics
- ➔ Please ask questions!!
- ➔ Ref: Dubin and O'Neil, Rev. Mod. Physics **71**, 87 (1999)

# Types of traps in atomic physics

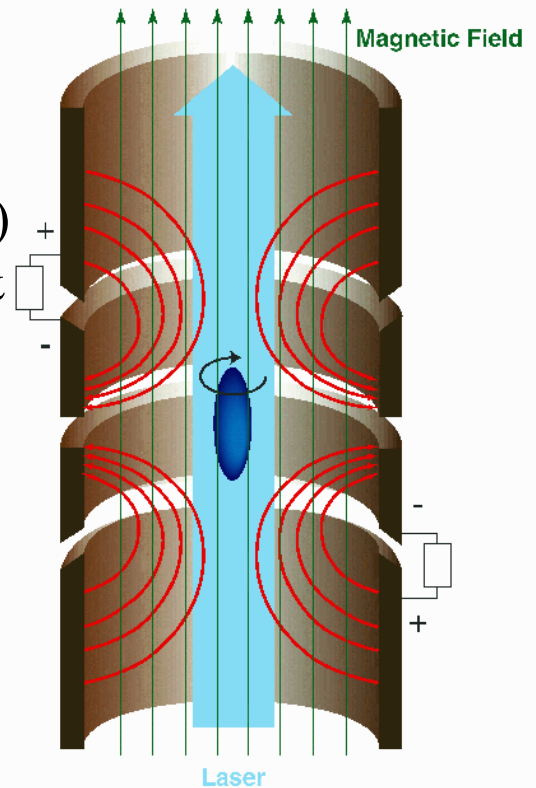
Rf or Paul Trap  
RF & DC  
Voltages



(see Wineland lectures)

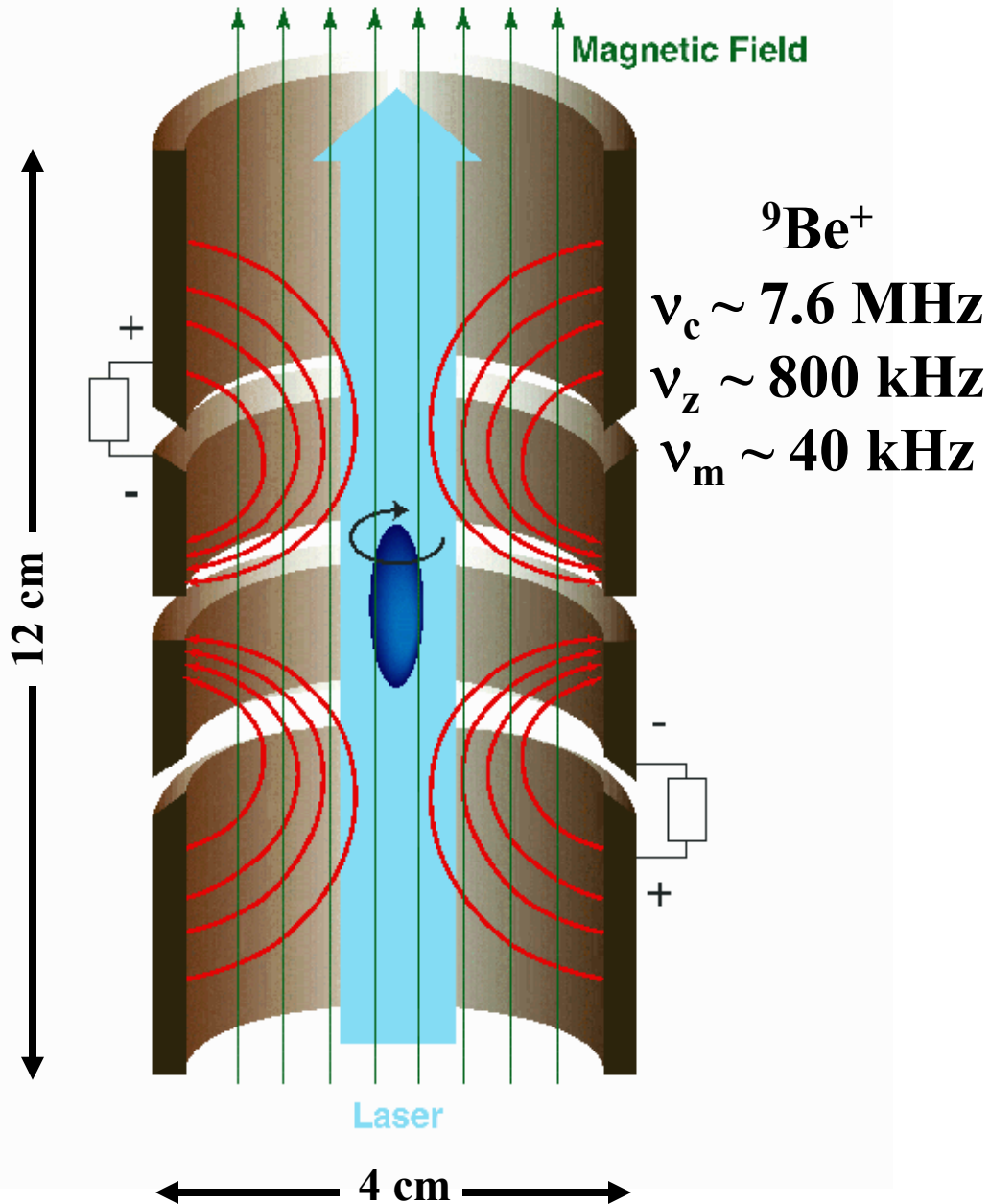
good for tight confinement  
and laser cooling smaller  
numbers of particles

Penning Trap  
(or Penning-  
Malmberg trap)  
DC Voltages &  
Static B-Field



good for laser cooling larger  
numbers of particles

# Penning –Malmberg traps



g-factors,  
atomic phys.

U of Wash., Mainz,  
Imperial College, ...

mass  
spectroscopy

U of Wash., MIT,  
Harvard,  
ISOLDE/CERN, ...

cluster studies

Mainz/Griefswald

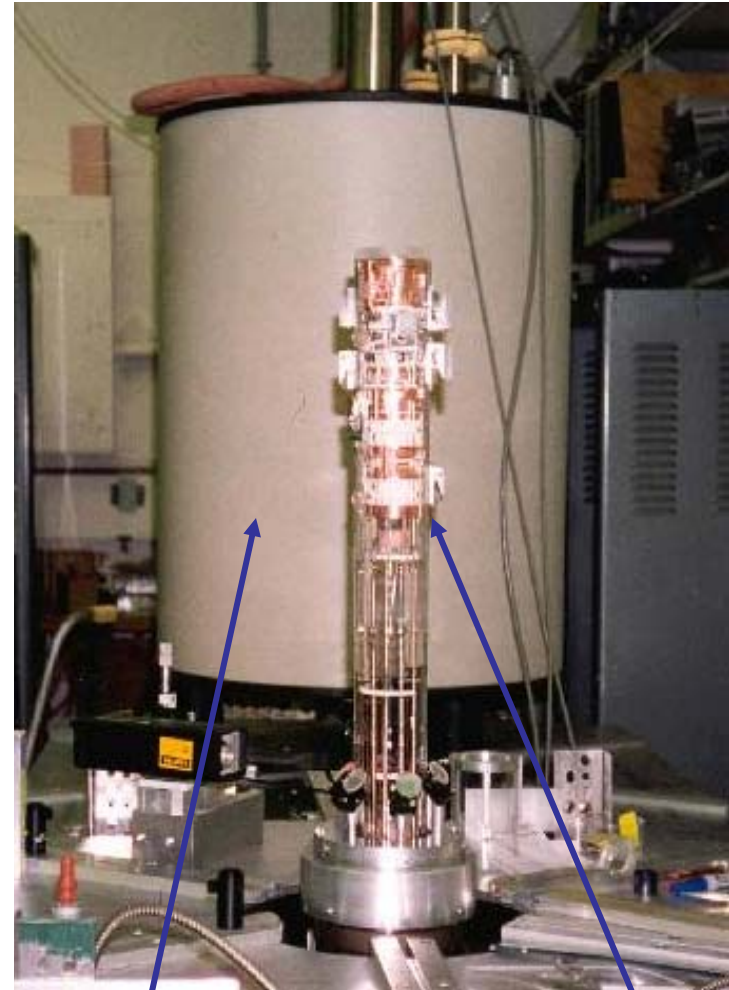
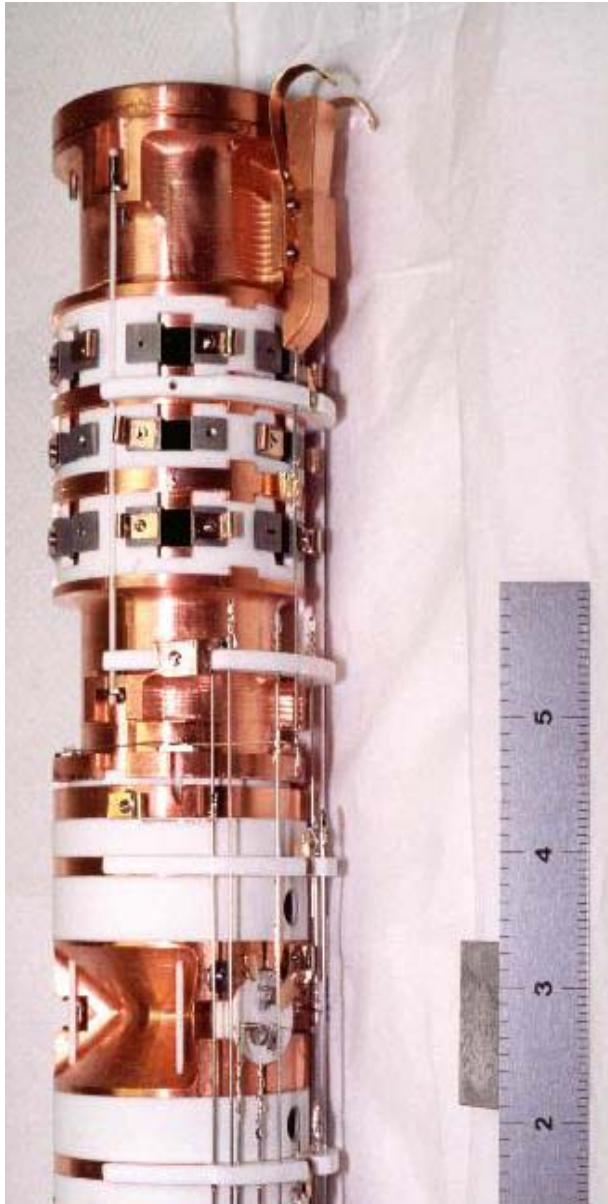
non-neutral  
plasmas

UCSD, Berkeley,  
Princeton, NIST, ...

anti-matter

UCSD, Harvard,  
CERN, Swansea, ...

# NIST Penning trap



4.5 Tesla  
Super Conducting  
Solenoid

Quartz  
Vacuum envelope  
 $P < 10^{-10}$  Torr

# Non-neutral plasmas in traps evolve into **bounded thermal equilibrium states**

thermal equilibrium, Hamiltonian and total canonical angular momentum conserved  $\Rightarrow$

$$f(\mathbf{r}, \mathbf{v}) \propto \exp[-(h + \omega_r p_\theta)/k_B T]$$

where  $h = \frac{m\mathbf{v}^2}{2} + e\phi(\mathbf{r})$  and  $p_\theta = m\mathbf{v}_\theta r + \frac{eB}{2c}r^2$

$$f(\mathbf{r}, \mathbf{v}) \propto n(r, z) \exp\left[-\frac{m}{2k_B T}(\mathbf{v} + \omega_r r \hat{\theta})^2\right]$$

density distribution plasma rotates rigidly at frequency  $\omega_r$

$$n(r, z) \propto \exp\left\{-\frac{1}{k_B T} [e\phi_p(r, z) + e\phi_T(r, z) + m\omega_r(\Omega_c - \omega_r)\frac{r^2}{2}]\right\}$$

$\Omega_c = eB/mc =$   
cyclotron frequency

plasma  
potential

trap  
potential

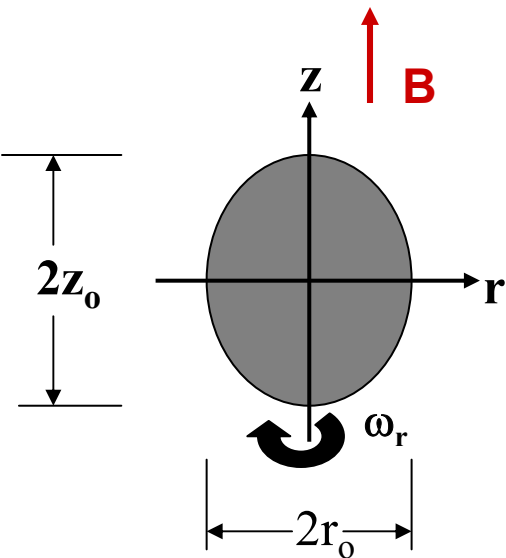
Lorentz  
force  
potential

centrifugal  
potential

**Lorentz-force potential gives radial confinement !!**

# Equilibrium plasma properties

- thermal equilibrium  $\Rightarrow$  rigid rotation  $\omega_r$
- $T \sim 0 \Rightarrow$  constant plasma density,  
 $n_0 = 2\varepsilon_0 m \omega_r (\Omega_c - \omega_r) / e^2$ ,  
 $\Omega_c =$  cyclotron frequency  
 plasma density  $\rightarrow 0$  over a Debye length  $\lambda_D = [k_B T / (4\pi n_0 e^2)]^{1/2}$
- quadratic trap potential,  $e\phi_T \sim m\omega_z^2(z^2 - r^2/2) \Rightarrow$  plasma shape is a spheroid



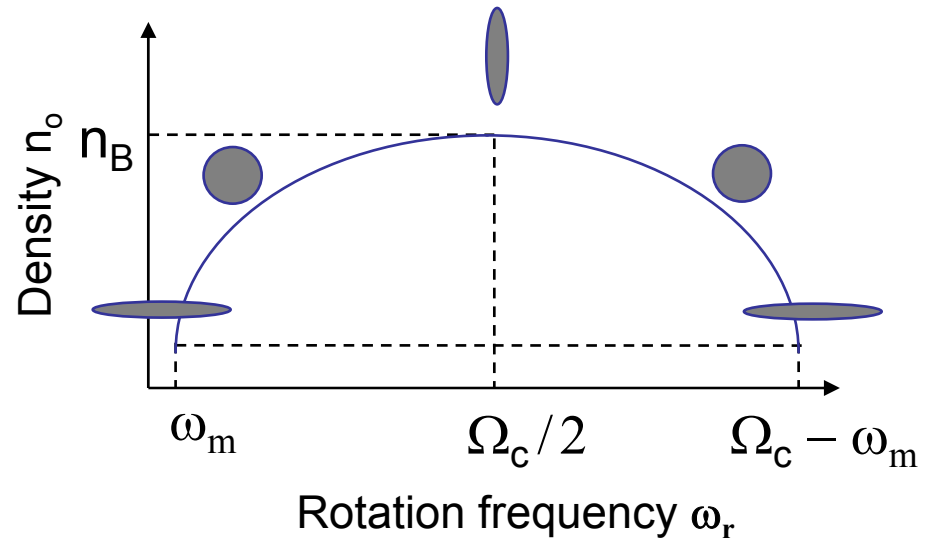
aspect ratio  $\alpha \equiv z_0/r_0$   
 determined by  $\omega_r$

$$\frac{\omega_z^2}{2\omega_r(\Omega_c - \omega_r)} = Q_1^0 \left[ \frac{\alpha}{(\alpha^2 - 1)^{1/2}} \right] / (\alpha^2 - 1)^{1/2}$$

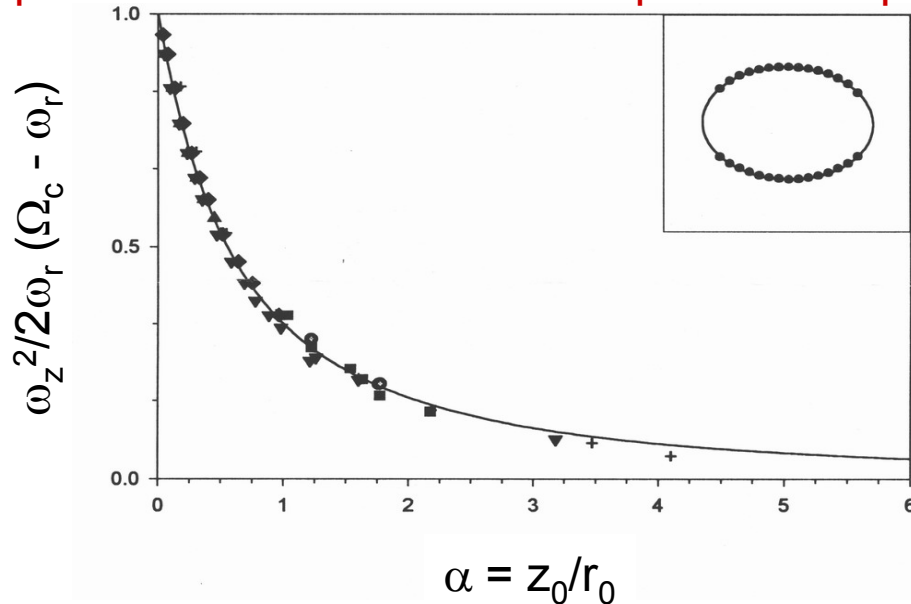
associated Legendre function

# Plasma aspect ratio determined by $\omega_r$

$$\frac{\omega_z^2}{2\omega_r(\Omega_c - \omega_r)} = \frac{Q_1^0 \left[ \frac{\alpha}{(\alpha^2 - 1)^{1/2}} \right]}{(\alpha^2 - 1)^{1/2}}$$



experimental measurements of plasma shape vs  $\omega_r$



**Simple equilibrium theory describes the plasma shapes**



# Ions in a trap are an example of a one component plasma

- one component plasma (OCP) – consists of a single species of charged particles immersed in a neutralizing background charge
- ions in a trap are an example of an OCP (Malmberg and O'Neil PRL 39, (77))

$$n(r, z) \propto \exp\left\{-\frac{1}{k_B T} \left[ e\phi_p(r, z) + e\phi_T(r, z) + m\omega_r(\Omega_c - \omega_r)\frac{r^2}{2} \right]\right\}$$

looks like neutralizing background

$$\nabla^2 \left\{ \phi_T(r, z) + \frac{1}{e} m\omega_r(\Omega_c - \omega_r)\frac{r^2}{2} \right\} = -4\pi e n_{bkgnd}$$

$$n_{bkgnd} = -\frac{m\omega_r(\Omega_c - \omega_r)}{2\pi e^2}$$

- thermodynamic state of an OCP determined by:

$$\Gamma \equiv \frac{q^2}{a_{WS} k_B T}, \quad \frac{4}{3} \pi a_{WS}^3 n \equiv 1 \quad \Gamma \approx \frac{\text{potential energy between neighboring ions}}{\text{ion thermal energy}}$$

$\Gamma > 1 \Rightarrow$  strongly coupled OCP

# Why are strongly coupled OCP's interesting?

- Strongly coupled OCP's are models of dense astrophysical matter –  
example: outer crust of a neutron star
- For an infinite OCP,  $\Gamma > 2 \Rightarrow$  liquid behavior  
 $\Gamma \sim 173 \Rightarrow$  liquid-solid phase transition to bcc lattice

Brush, Salin, Teller (1966)  $\Gamma \sim 125$

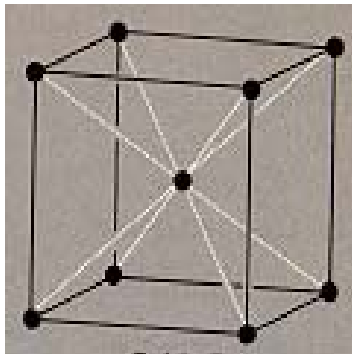
Hansen (1973)  $\Gamma \sim 155$

Slatterly, Doolen, DeWitt (1980)  $\Gamma \sim 168$

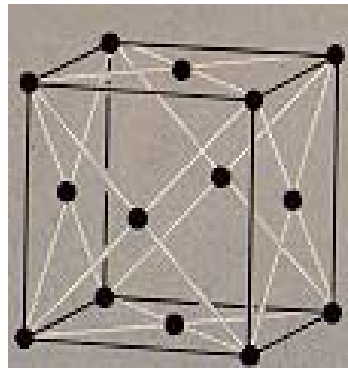
Ichimaru; DeWitt; Dubin (87-93)  $\Gamma \sim 172-174$

- Coulomb energies/ion of bulk bcc, fcc, and hcp lattices differ by  $< 10^{-4}$

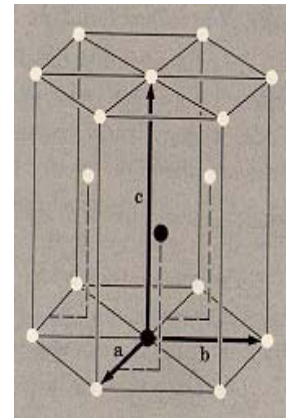
body  
centered  
cubic



face  
centered  
cubic

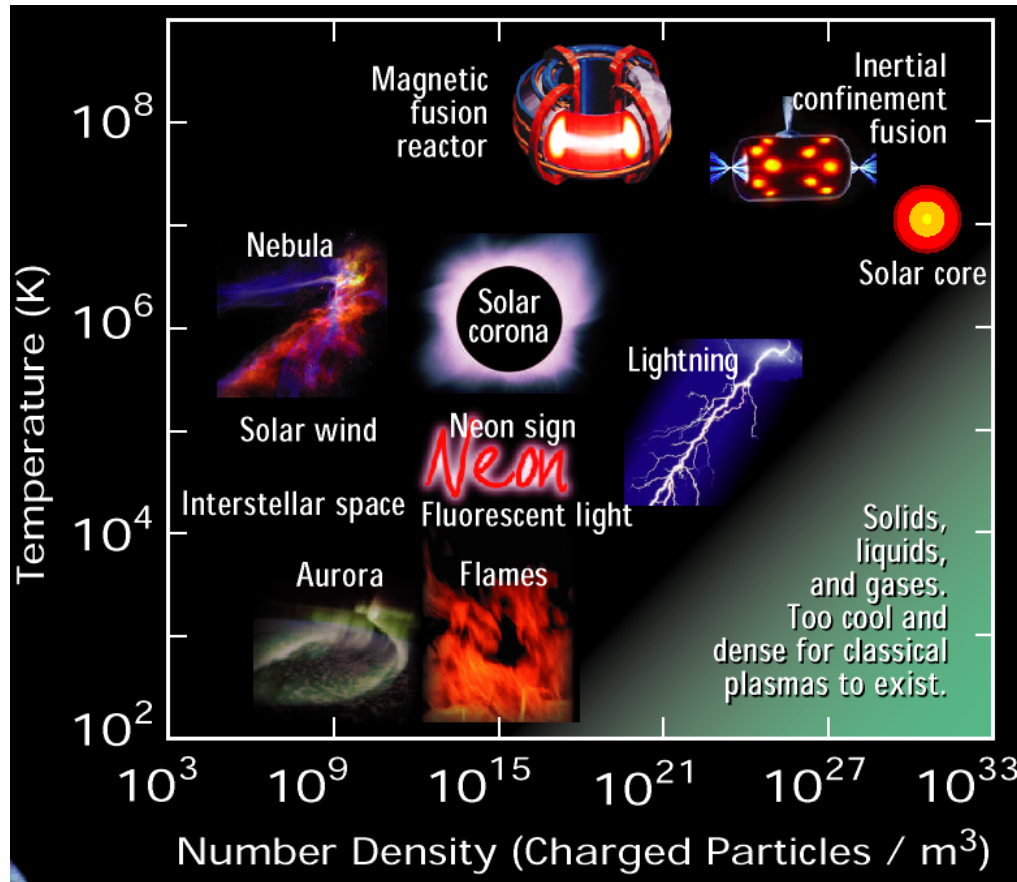


hexagonal  
close  
packed



- with trapped ions,  $n_0 \sim 10^9 \text{ cm}^{-3}$   
 $T < 5 \text{ mK} \Rightarrow \Gamma > 500$

# Plasmas vs strongly coupled plasmas



Increasing  
Correlation  $\Gamma$

$$\Gamma = 2$$

$$\Gamma = 175$$

Laser-cooled  
ion crystals

# Are there other laboratory strongly coupled OCP's?

- rf traps - Drewsen (Aarhus) – rf micromotion limits plasma size
- ion storage rings  $T_{\parallel} \sim \text{few mK} \rightarrow \text{K}$ ,  $T_{\perp} \gg T_{\parallel}$ 
  - ion strings observed in GSI (200 MeV/ $\mu$ , fully stripped ions)
  - 1 eV ion crystals observed in PALLAS (Schramm, Nature 2001)
- ultra-cold neutral plasmas  $n \geq 10^9 \text{ cm}^{-3}$ ,  $T \sim 10 \mu\text{K}$  before photo-ionization  
Rolston (NIST); Raithel (Mich.); Killian (Rice); Eyster/Gould (UConn); Bergerson (BYU); Gallagher (UVA); ...

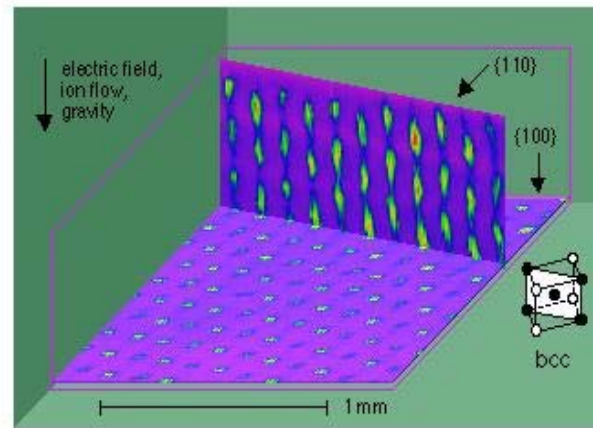
## other strongly coupled (screened) Coulomb systems

- dusty plasma crystals

Melzer, *et al.*, PRE **53**, 2757 (96)

Thomas *et al.*, Nature **379**, 806 (96)

Pieper, *et al.*, PRE **54**, 5636 (96)



3-d dusty plasma crystal; from Goree, U. of Iowa

- colloidal suspensions

Murray & Grier, American Scientist **83**, 238 (95)

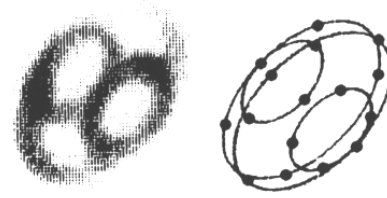
Vos *et al.*, Langmuir **13**, 6004 (97)

# Strongly coupled plasma work in ion traps

- 1987 – Coulomb clusters in Paul traps

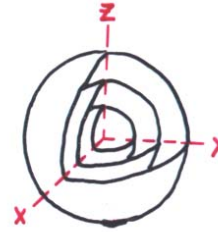
MPI Garching (Walther)

NIST (Wineland)



- 1988 – shell structures in Penning traps

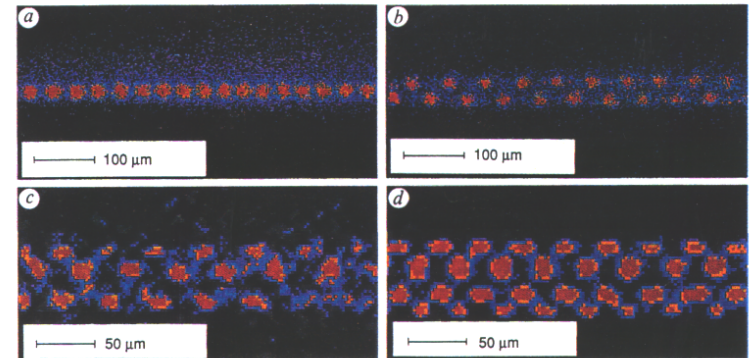
NIST group



- 1992 – 1-D periodic crystals in linear Paul traps

MPI Garching

**Nature 357, 310 (92)**

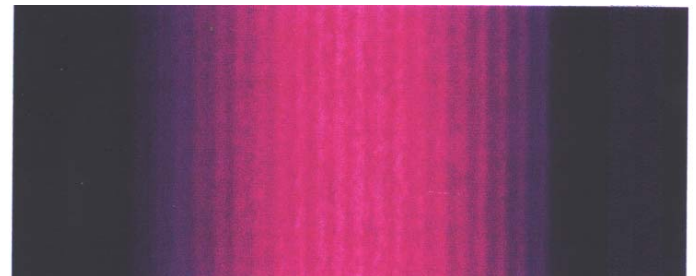


- 1998 – 1-D periodic crystals with plasma diameter

$> 30 a_{WS}$

Aarhus group

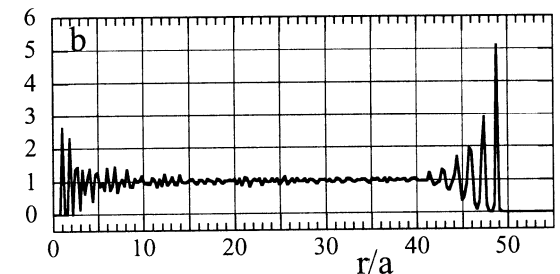
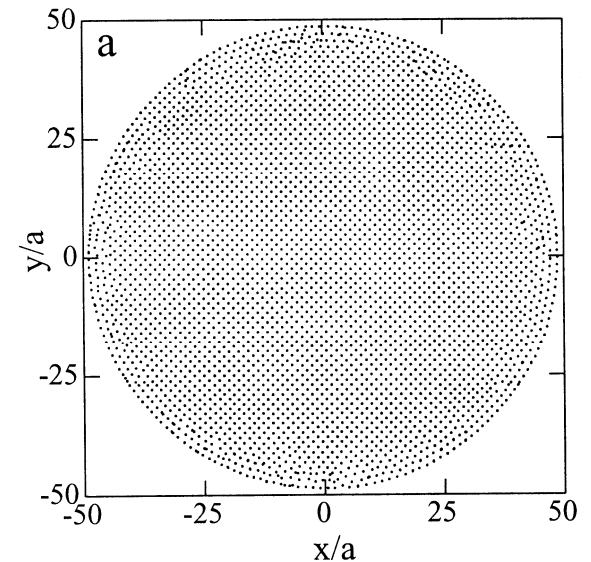
**PRL 81, 2878 (98)**



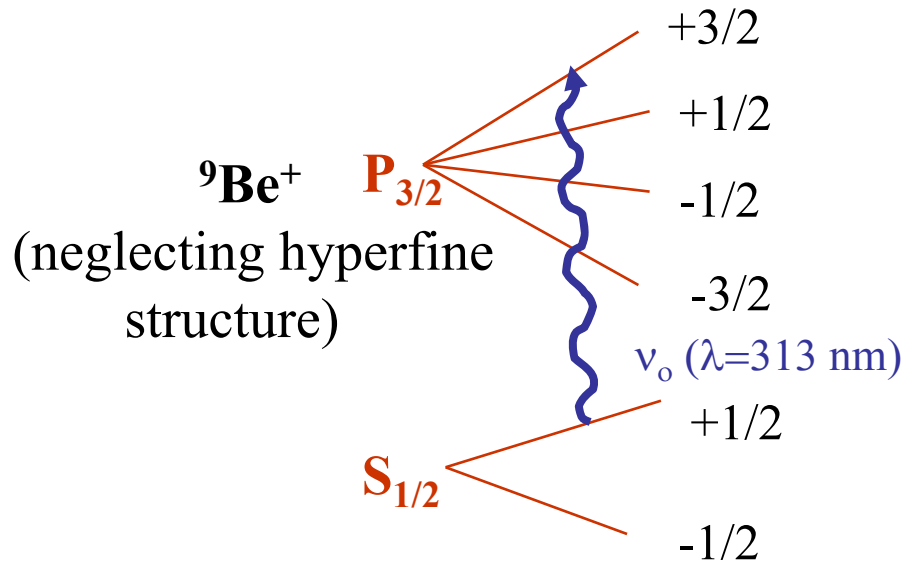
# How large must a plasma be to exhibit a bcc lattice?

1989 - Dubin, planar model [PRA 40, 1140 \(89\)](#)  
**result:** plasma dimensions  $\geq 60$  interparticle  
spacings required for bulk behavior  
 $N > 10^5$  in a spherical plasma  $\Rightarrow$  bcc lattice

2001 – Totsji, simulations, spherical  
plasmas,  $N \leq 120$  k  
[PRL 88, 125002 \(2002\)](#)  
**result:**  $N > 15$  k in a spherical plasma  
 $\Rightarrow$  bcc lattice



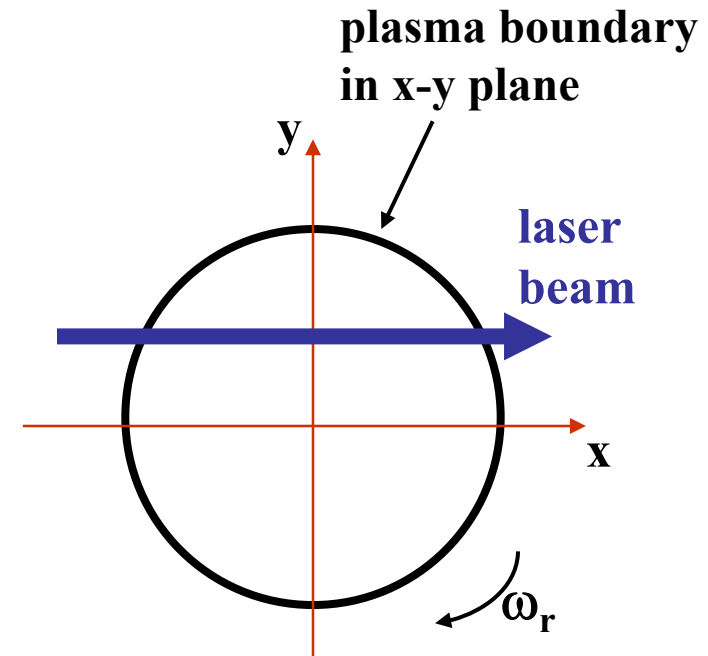
## Doppler laser cooling



$$T_{\min}({}^9\text{Be}^+) \sim 0.5 \text{ mK}$$

$$T_{\text{measured}} < 1 \text{ mK}$$

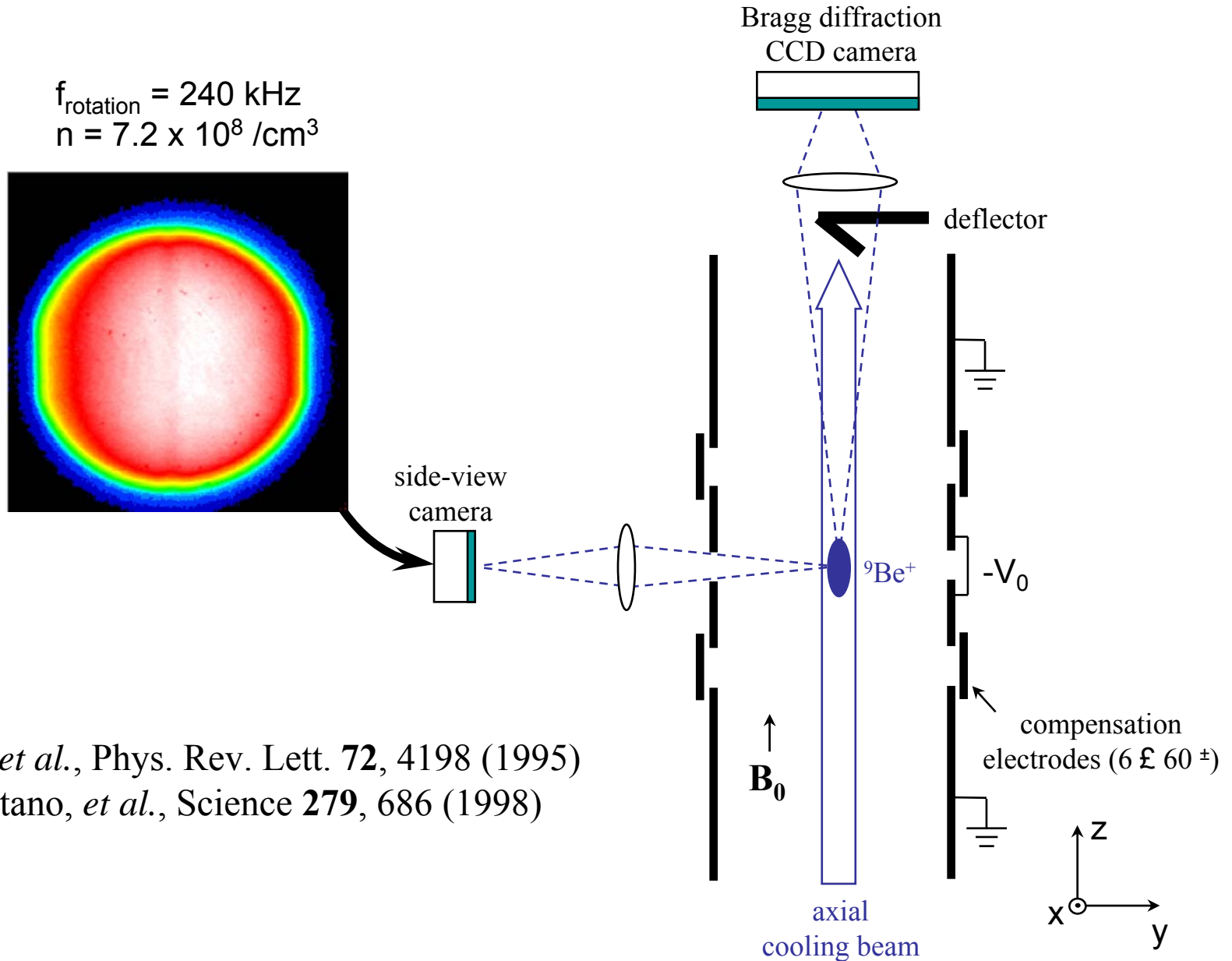
## Laser torque



The laser beam position and frequency control the torque and  $\omega_r$

With the laser beam directed as shown, increasing torque  $\Rightarrow$  increasing  $\omega_r \Rightarrow$  decreasing radius

# NIST Bragg scattering set-up

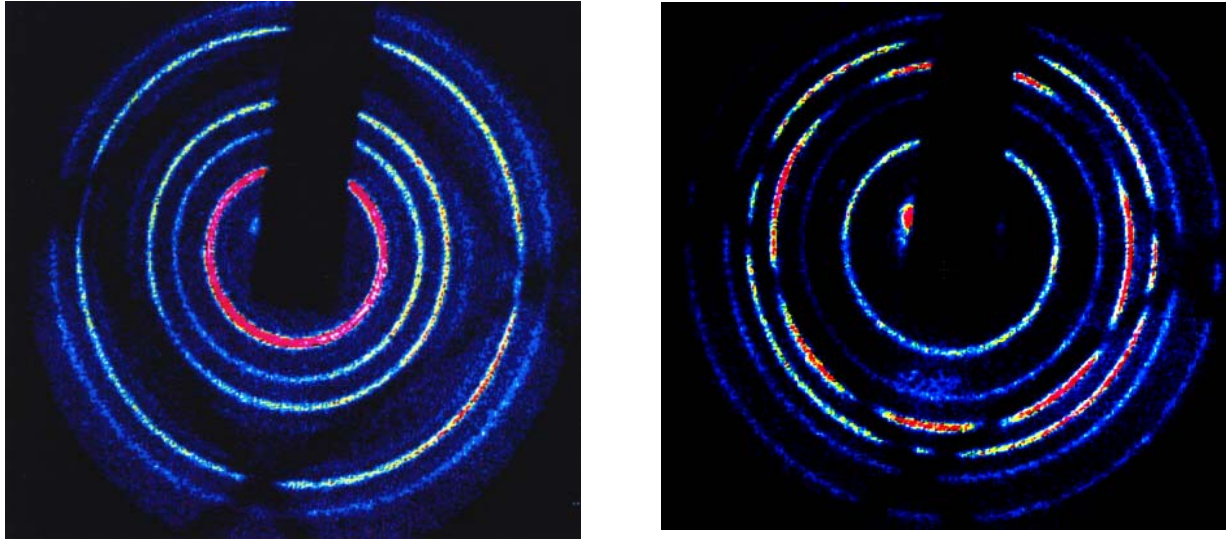


J.N. Tan, *et al.*, Phys. Rev. Lett. **72**, 4198 (1995)

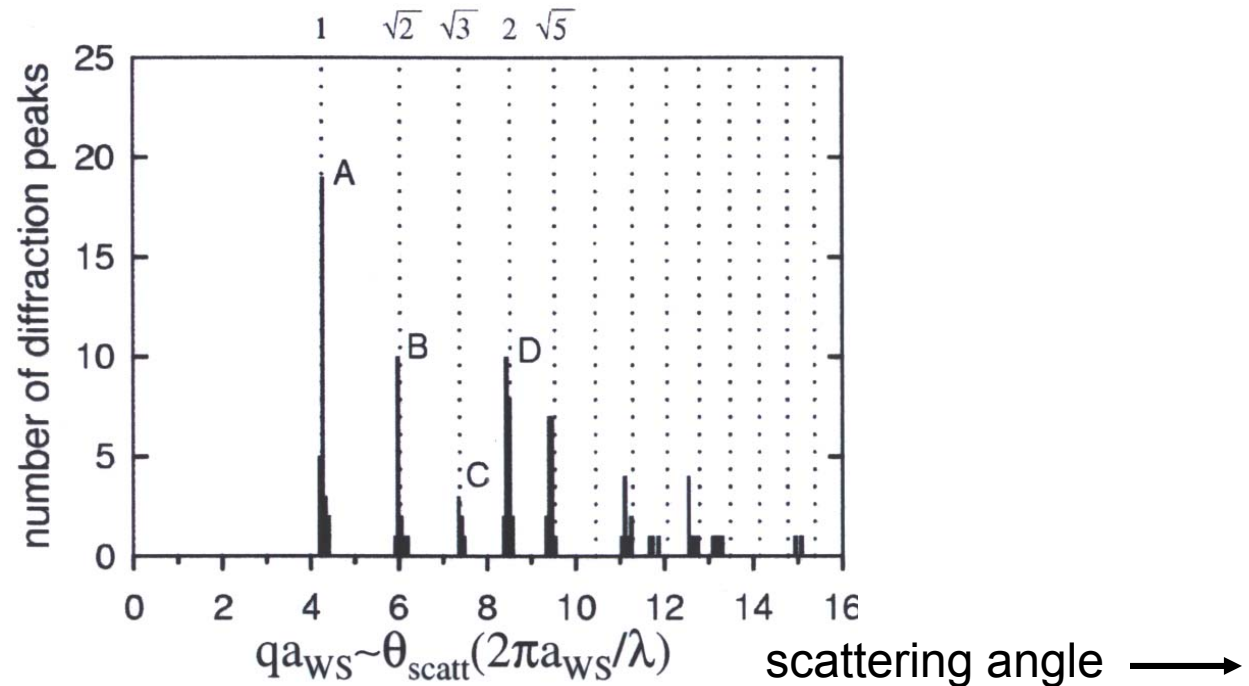
W.M. Itano, *et al.*, Science **279**, 686 (1998)



# Bragg scattering from spherical plasmas with $N \sim 270$ k ions

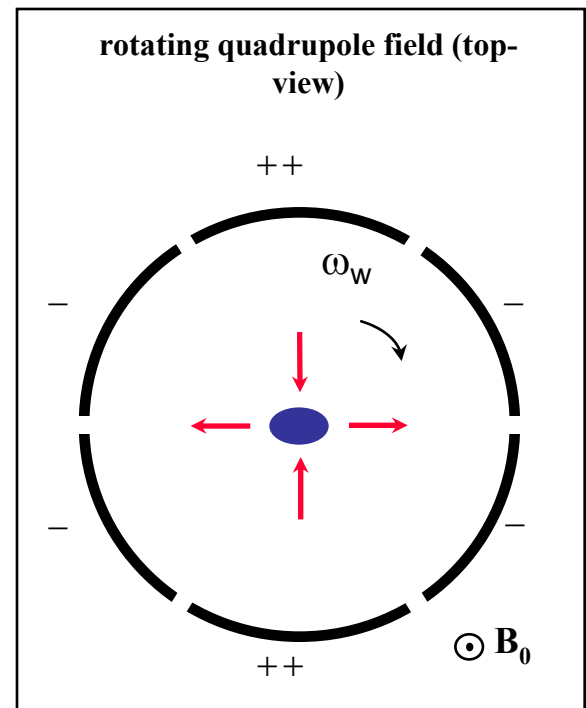
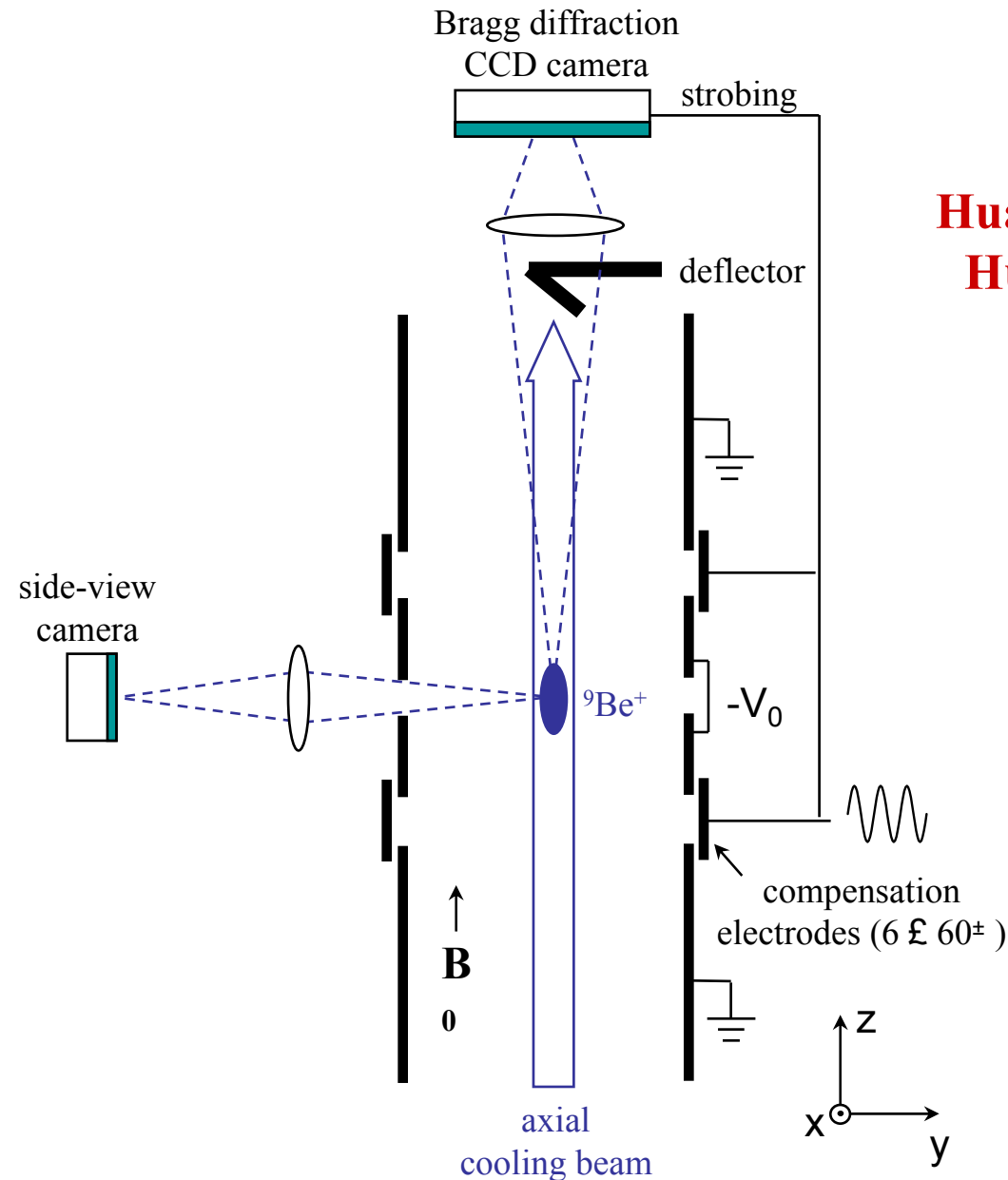


Evidence for  
bcc crystals



# Rotating wall control of the plasma rotation frequency

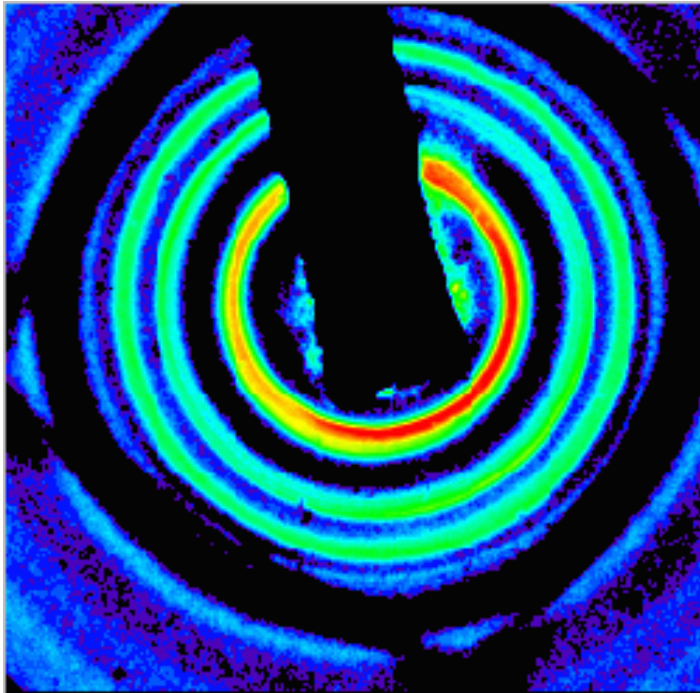
Huang, *et al.* (UCSD), PRL 78, 875 (97)  
Huang, *et al.* (NIST), PRL 80, 73 (98)



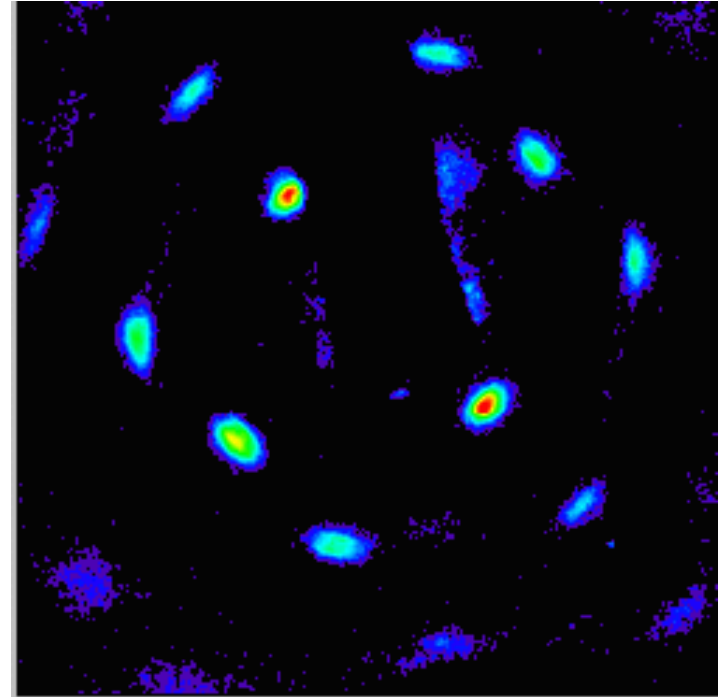
# Phase-locked control of the plasma rotation frequency

Huang, *et al.*, Phys. Rev. Lett. 80, 73 (98)

time averaged Bragg scattering



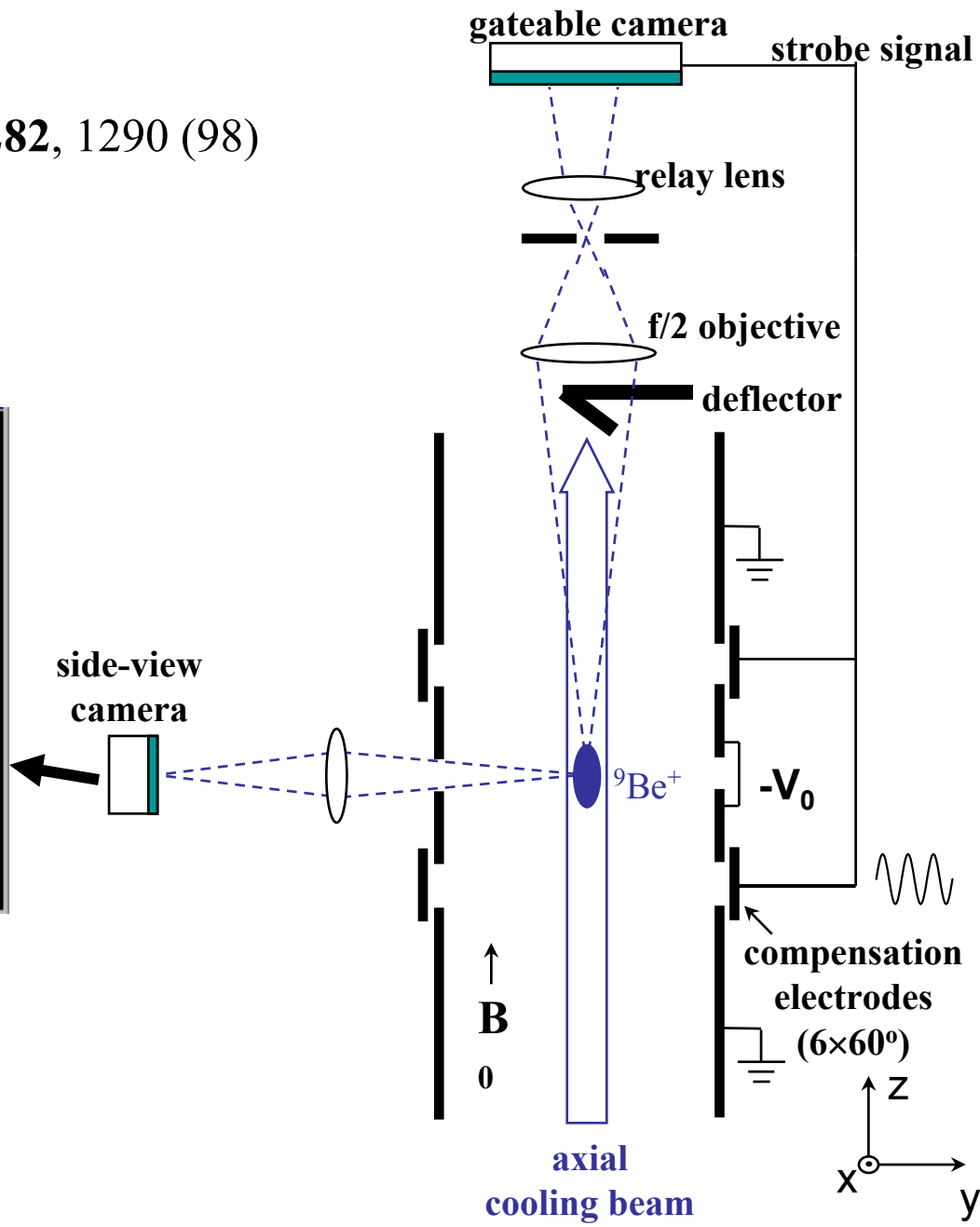
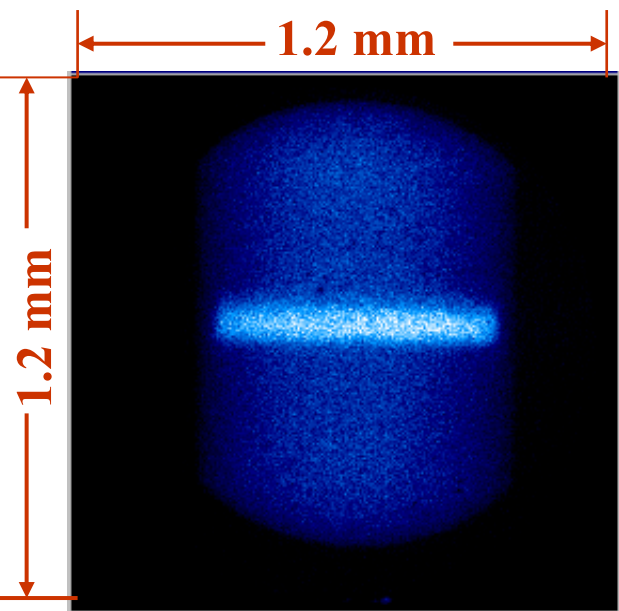
camera strobed by the rotating wall



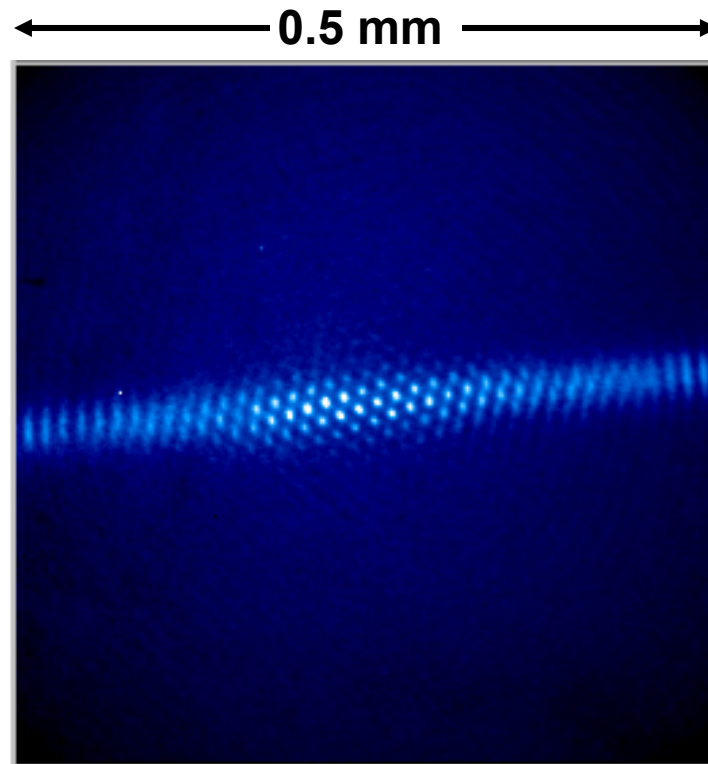
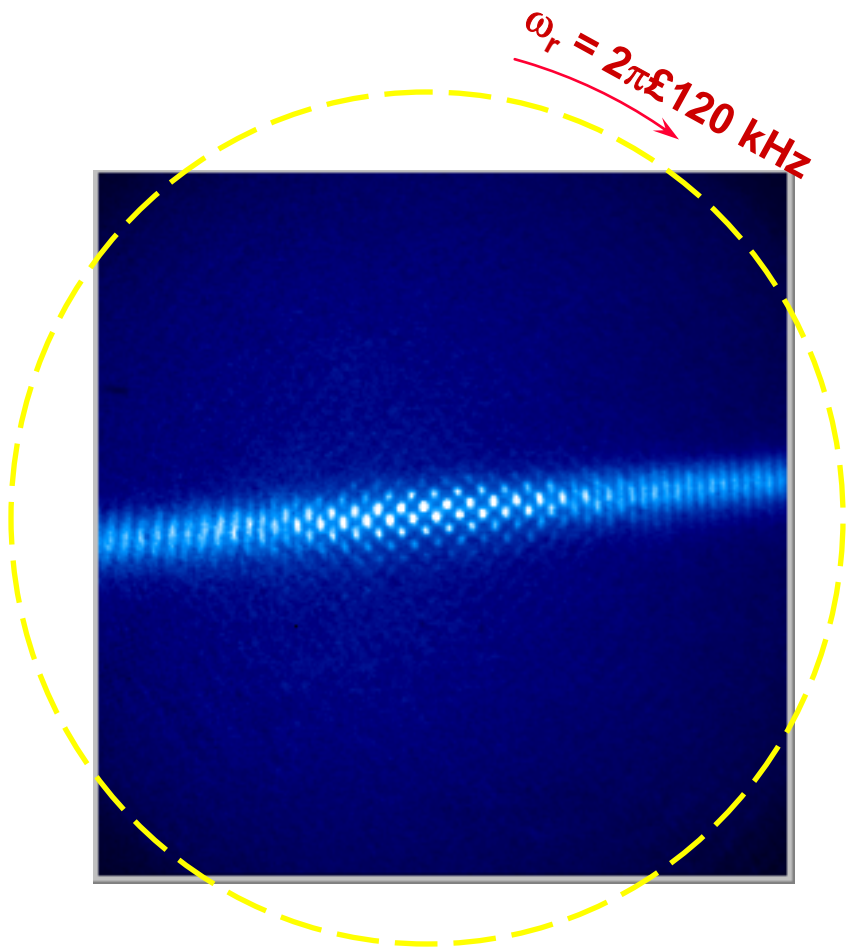
- $N > 200,000$  ions  $\Rightarrow$  always observe bcc crystalline patterns
- $100,000 > N > 20,000 \Rightarrow$  observe fcc, hcp?, in addition to bcc

# Real space imaging

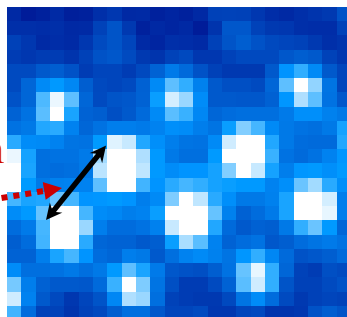
Mitchell. *et al.*, Science **282**, 1290 (98)



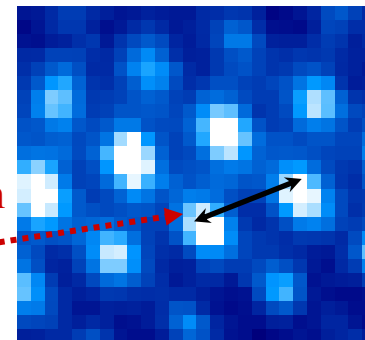
# Top-view images in a spherical plasma of ~180,000 ions



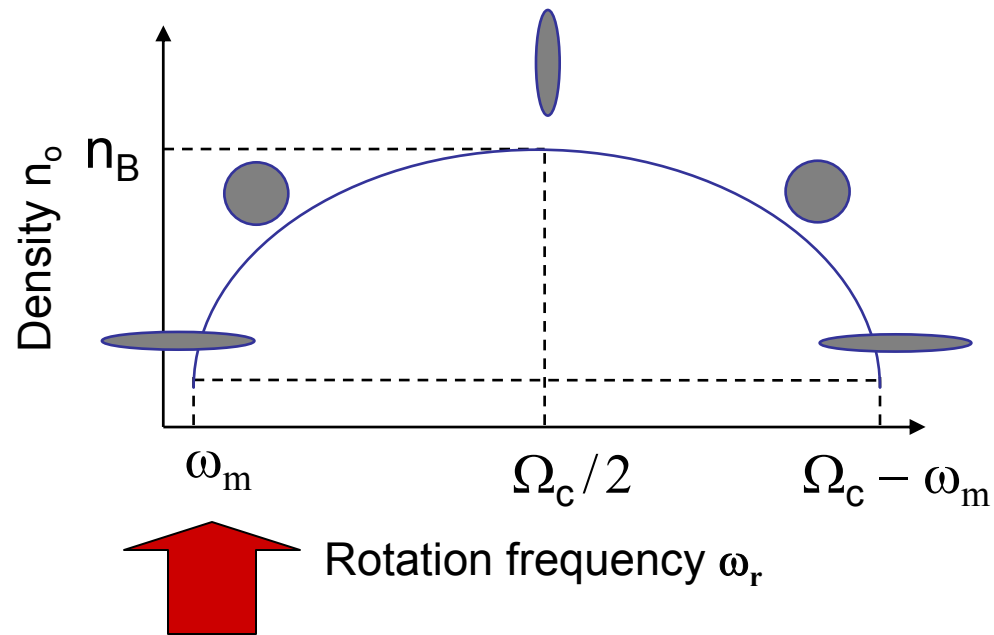
**bcc (100) plane**  
predicted spacing:  $12.5 \mu\text{m}$   
measured:  $12.8 \pm 0.3 \mu\text{m}$



**bcc (111) plane**  
predicted spacing:  $14.4 \mu\text{m}$   
measured:  $14.6 \pm 0.3 \mu\text{m}$



# Real-space images with planar plasmas

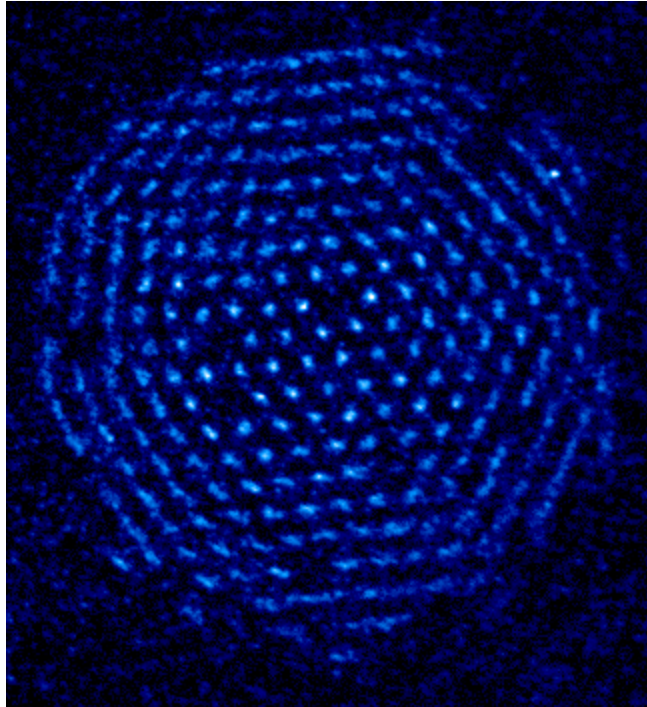


with planar plasmas all the ions can reside within the depth of focus

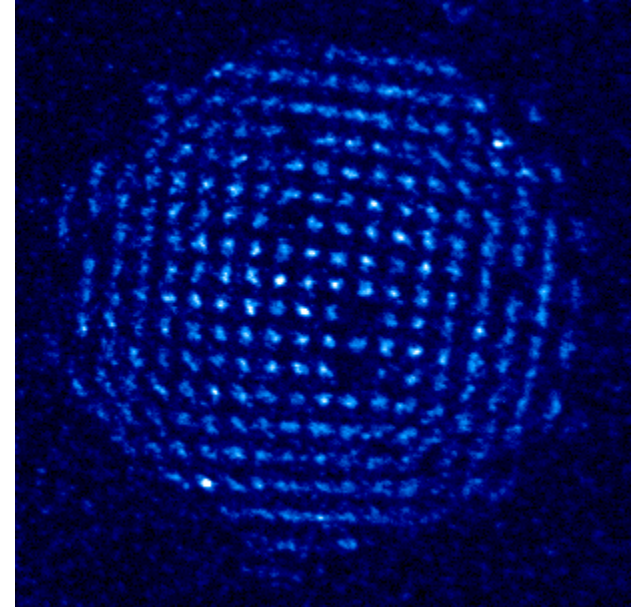
# Planar structural phases can be 'tuned' by changing $\omega_r$

top-views

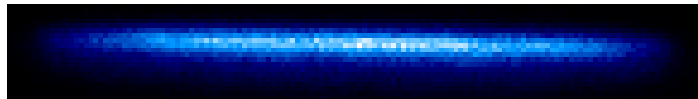
65.70 kHz



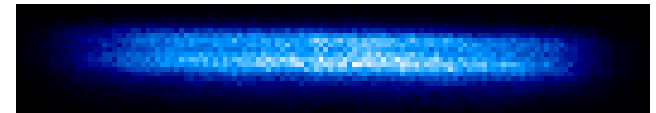
66.50 kHz



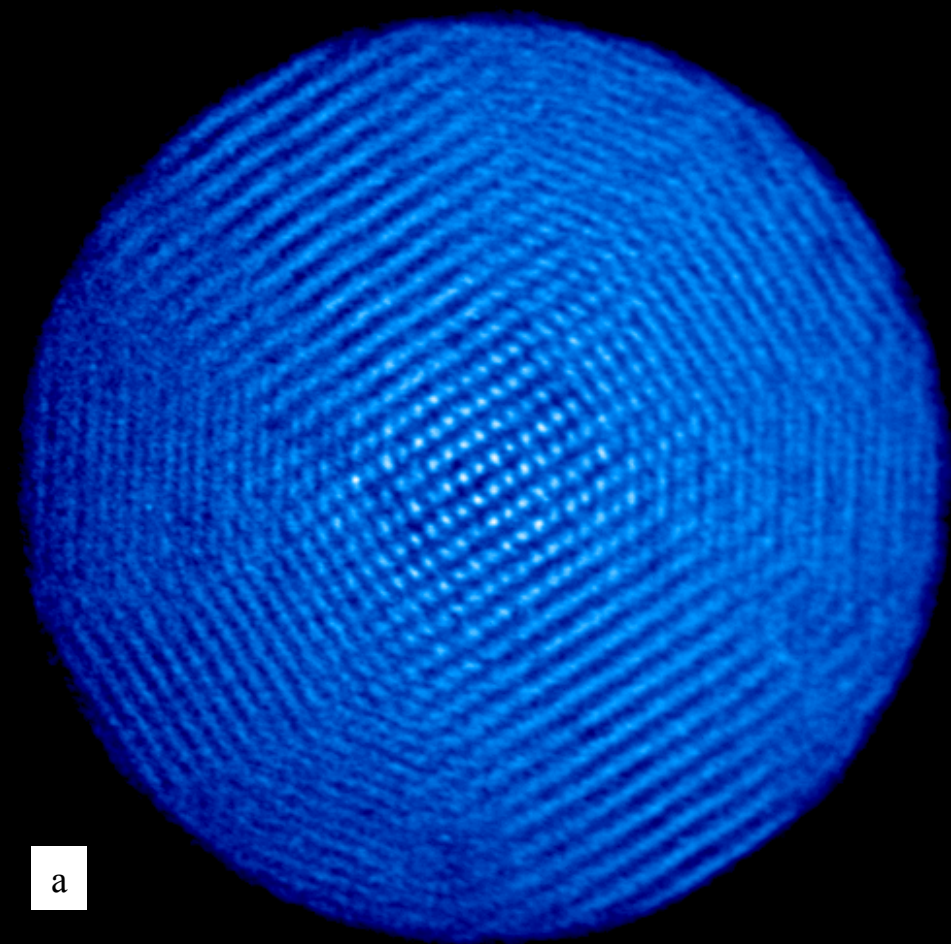
side-views



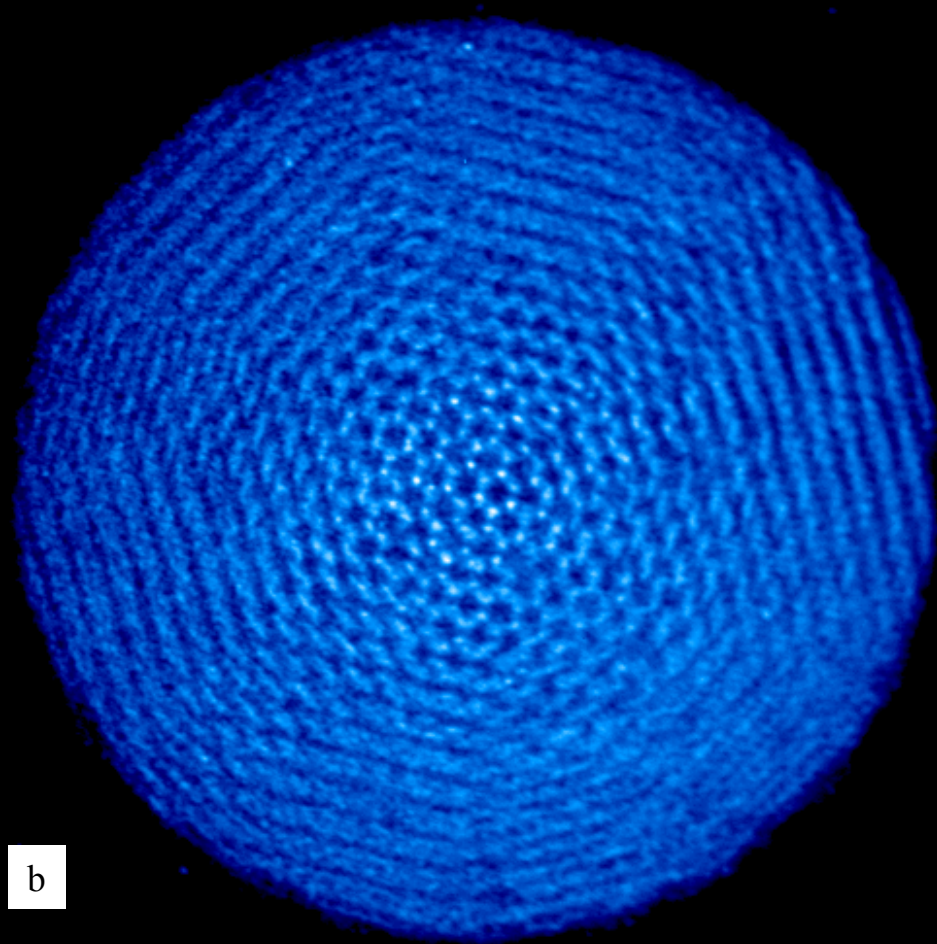
1 lattice plane, hexagonal order



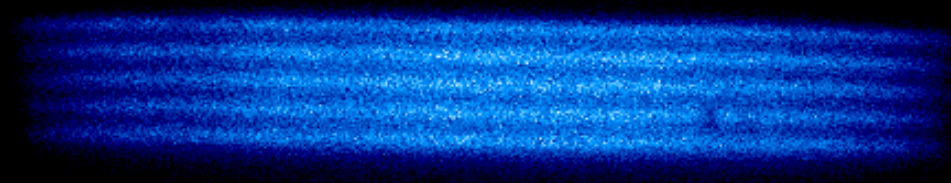
2 planes, cubic order



a



b

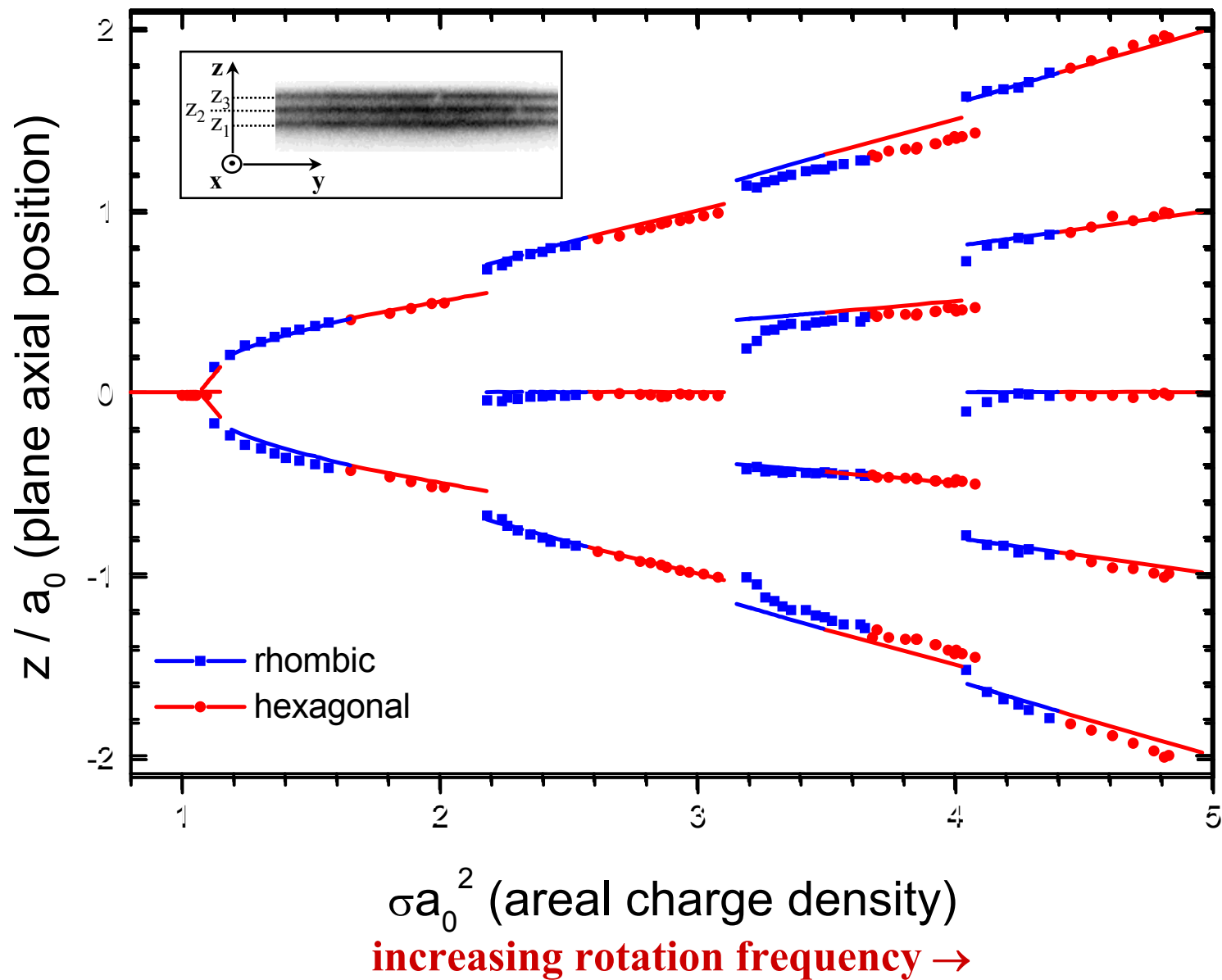


c

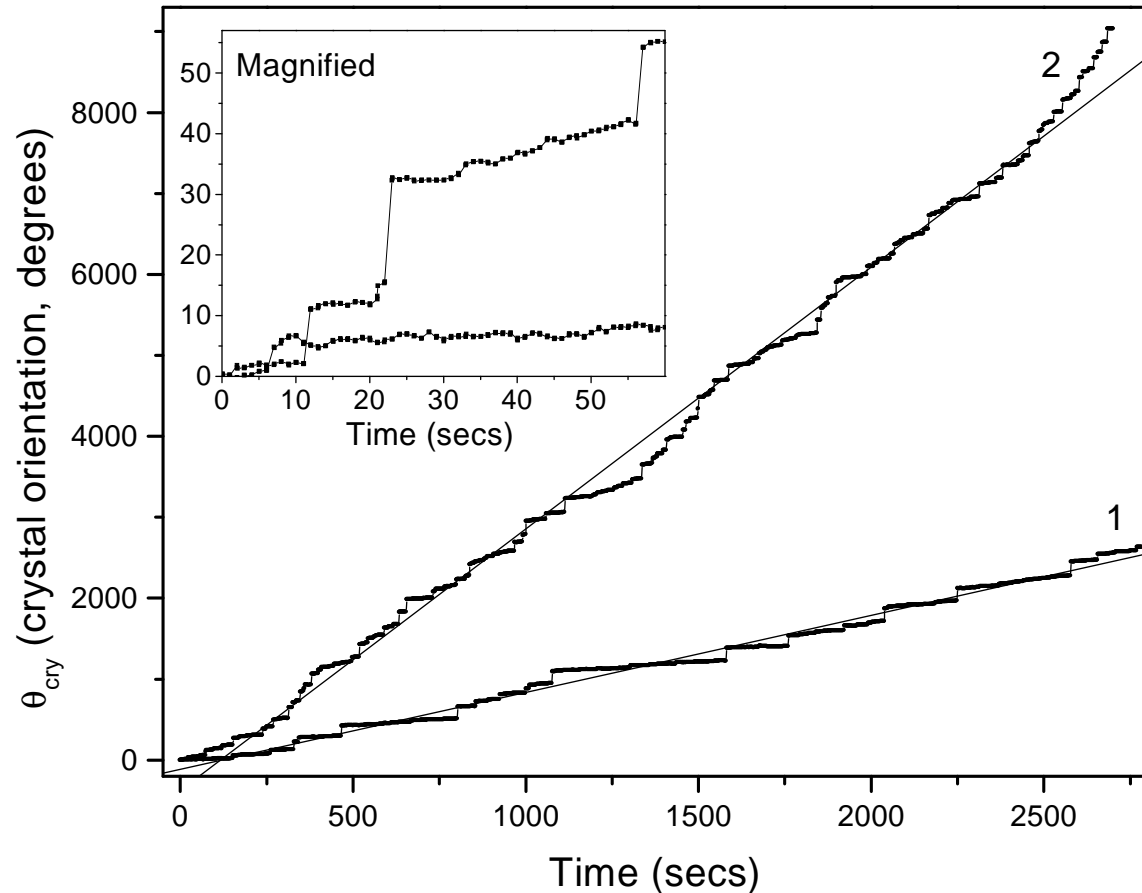
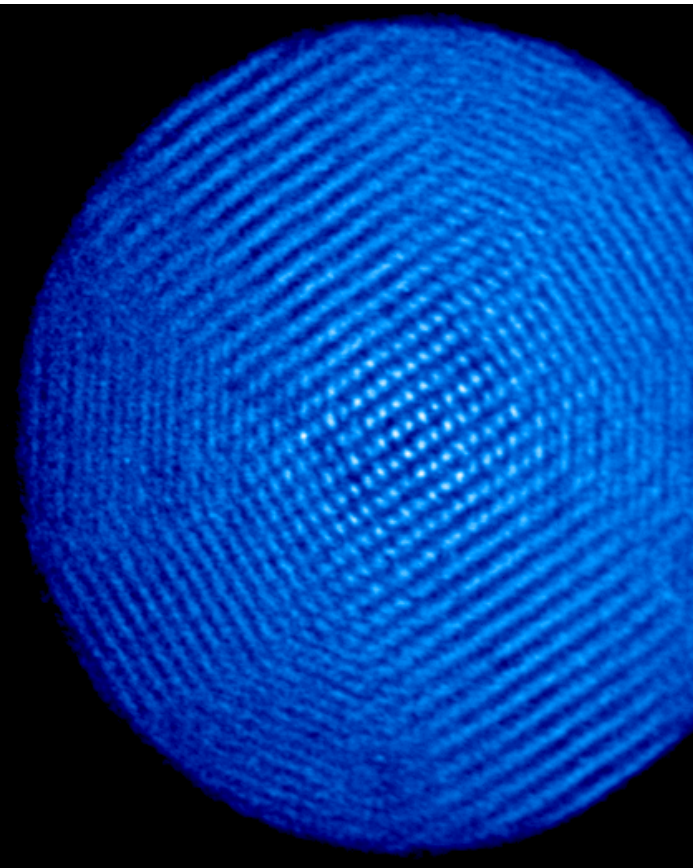
Top- (a,b) and side-view (c) images of crystallized  ${}^9\text{Be}^+$  ions contained in a Penning trap. The energetically favored phase structure can be selected by changing the density or shape of the ion plasma. Examples of the (a) staggered rhombic and (b) hexagonal close packed phases are shown.



## Theoretical curve from Dan Dubin, UCSD



# Stick-slip motion of the crystal rotation



- not a true phase lock!
- frequency offset ( $\omega_r - \omega_{\text{wall}}$ ) due to creep of 2 -18 mHz
- regions of phase-locked separated by sudden slips in the crystal orientation
- stick-slip motion due to competition between ? laser and rotating wall torques
- mean time between slips ~10 s; what triggers the slips?

# Summary of crystal observations

## spherical plasmas

- bcc crystals observed with  $N > 200$  k ions
- other crystal types (fcc, hcp) observed for  $20 \text{ k} < N < 200 \text{ k}$
- shell structure observed for  $N < 20$  k ions

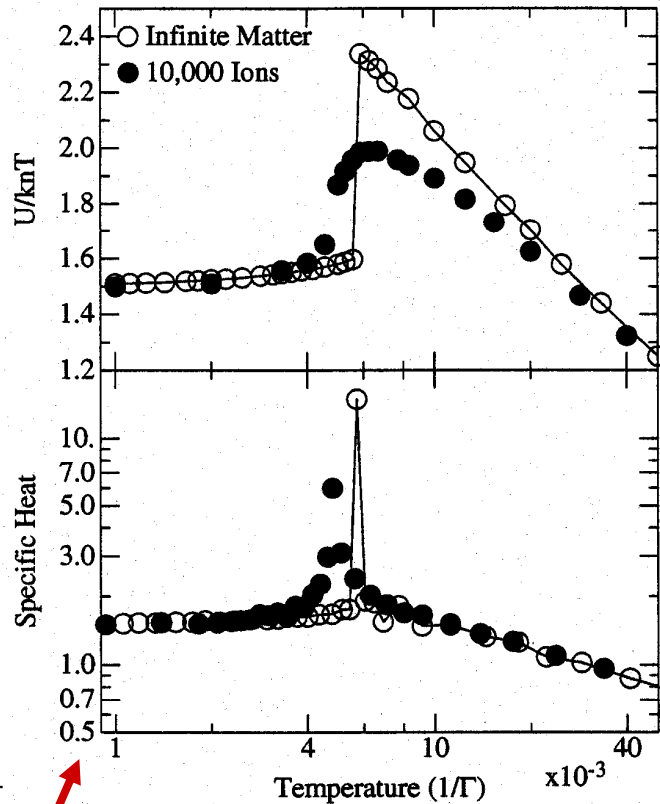
## planar plasmas

- structural phase transitions between rhombic planes (bcc-like) and hexagonal planes (fcc-like or bcc-like)
- good agreement with the predicted  $T=0$  minimum energy lattice for plasmas  $< 10$  lattice planes thick

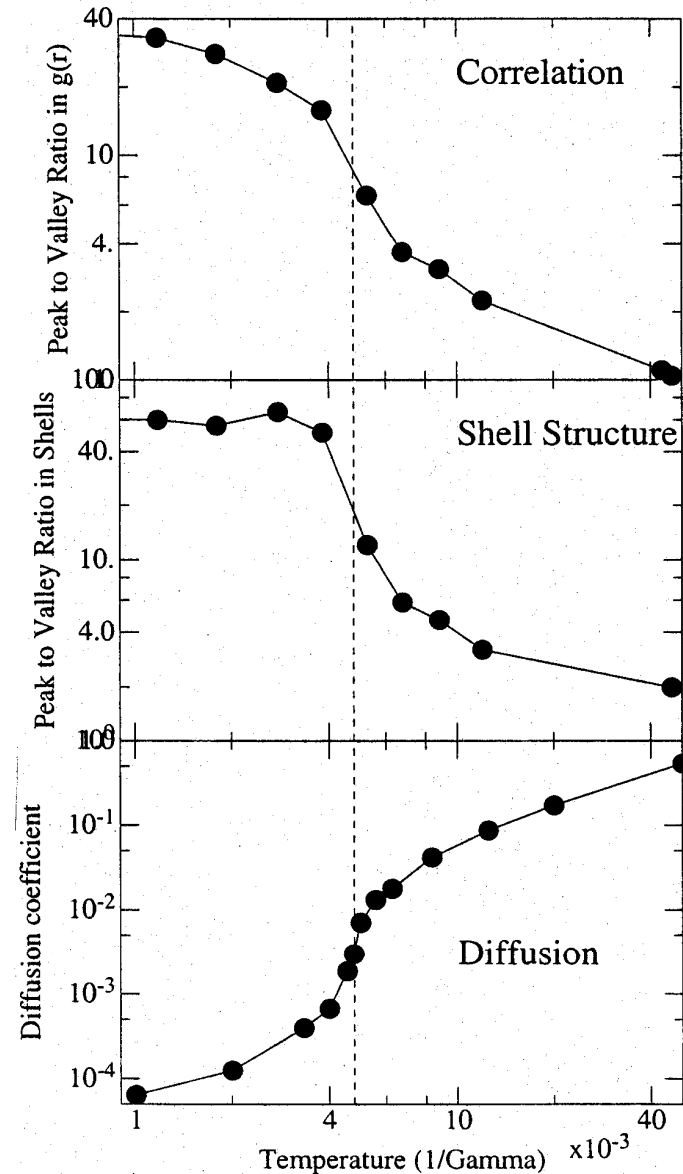
**Can we observe the predicted thermodynamic phase transition at  $\Gamma \sim 172$ ?**

# Phase transition can be determined from the specific heat

- details of specific heat at the phase transition appear to only weakly depend on  $N$  and the type of structure. **Schiffer, PRL 88, 205003 (2002)**

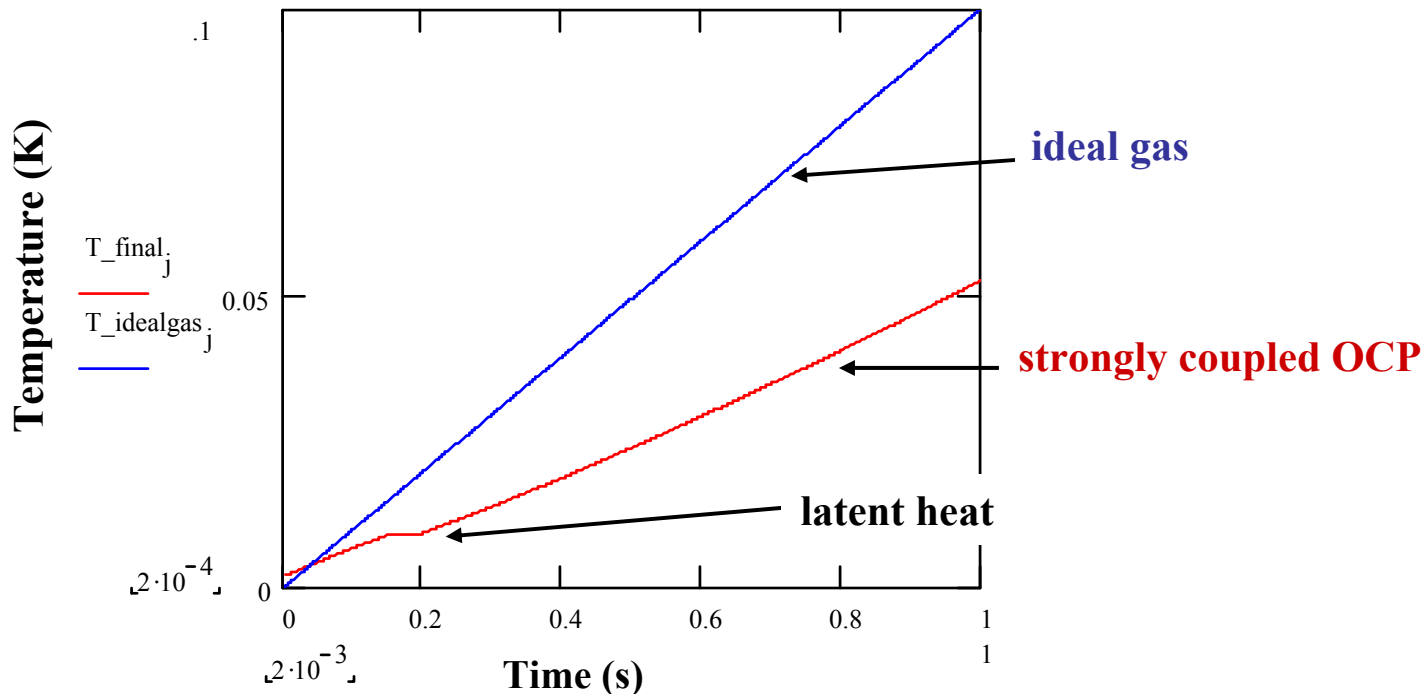


molecular dynamics simulation  
with a spherical plasma with 10 000  
ions in shells



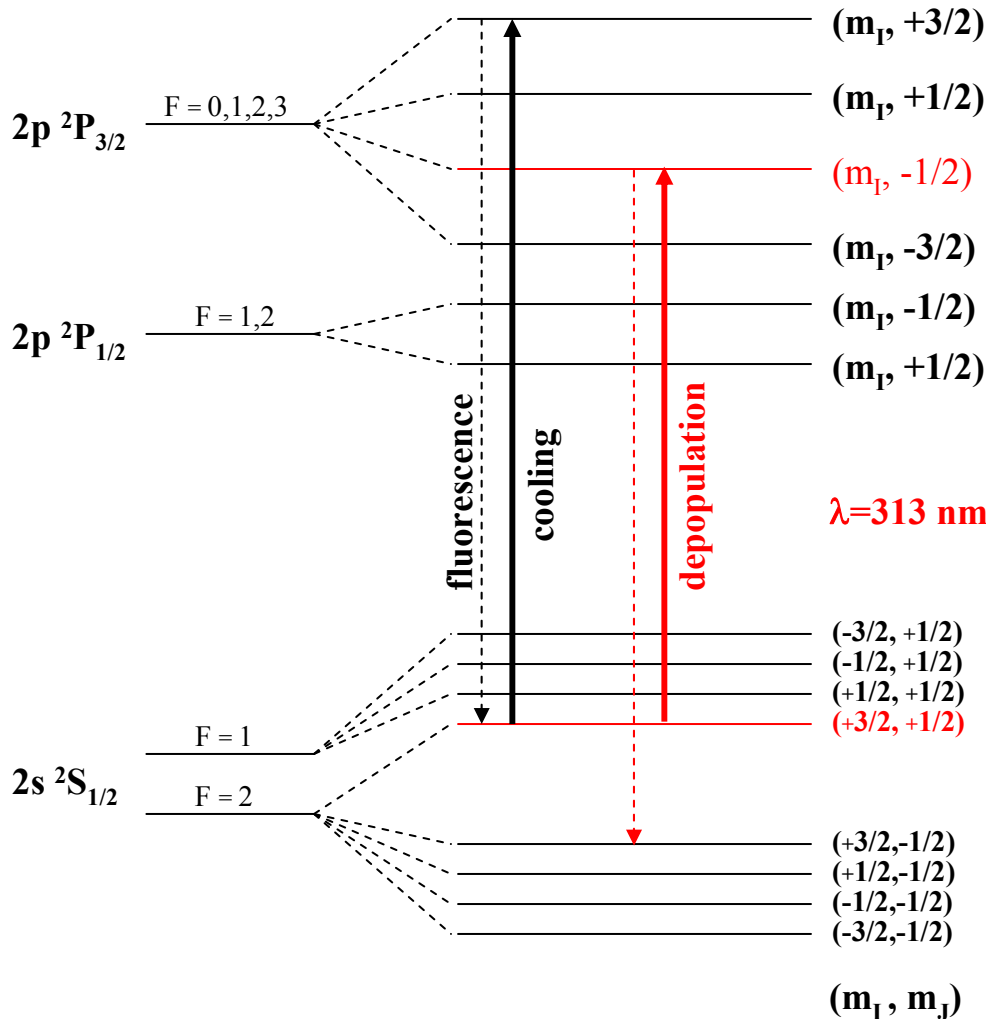
## Measure specific heat with a constant (or known) $dE/dt$

- our dominant heating rate appears to be due to background collisions  
 $\Rightarrow$  constant energy input  $dE/dt$  to the plasma, independent of liquid or solid state
- For a sufficiently large plasma where surface effects can be neglected,  $E_R = E_R^{(0)} + U$   
 where  $E_R$  = energy of the plasma in the rotating frame  
 $E_R^{(0)}$  = zero-temperature mean-field plasma energy (no correlations, fluid description  
 depends only on  $\omega_r$  and trap parameters)  
 $U = 3NkT/2 + U_{\text{corr}} =$  energy of infinite OCP
- Assume constant  $\omega_r$ ,  $dE/dt = (3 \cdot k \cdot 0.1 \text{ K/s})/2$ , theoretical expression for U



# Energy level diagram for ${}^9\text{Be}^+$ in high magnetic field

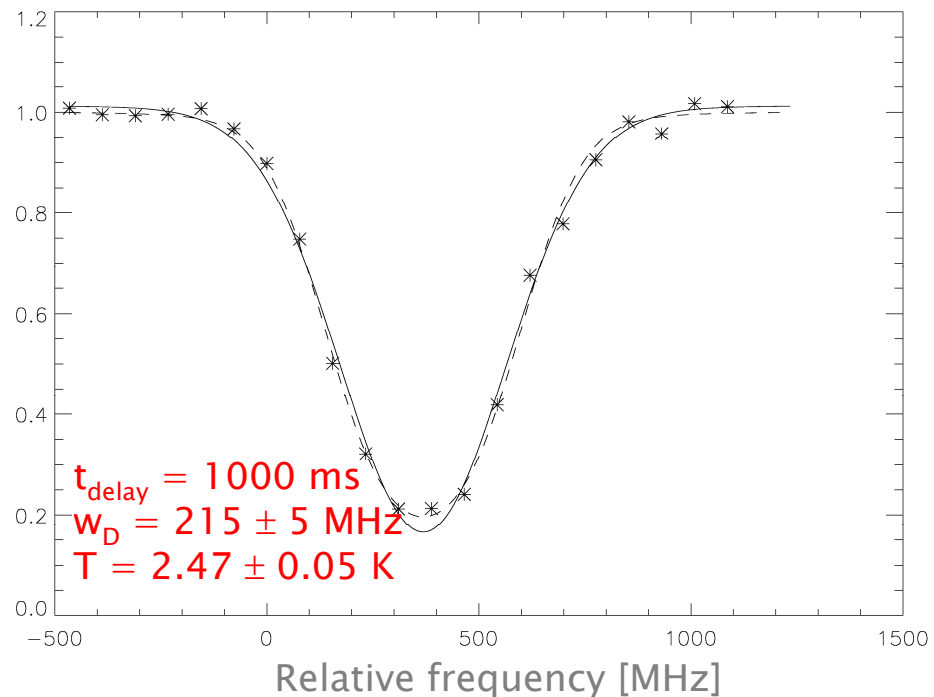
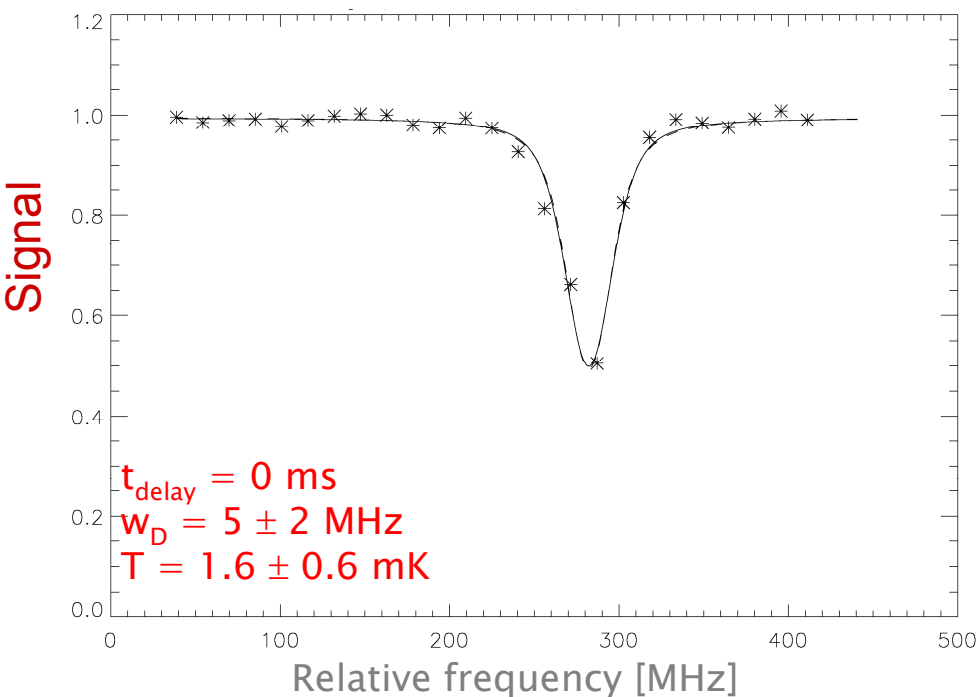
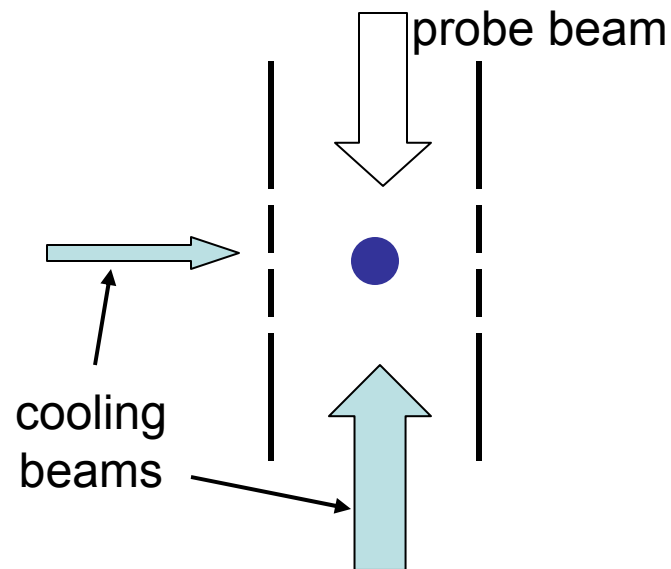
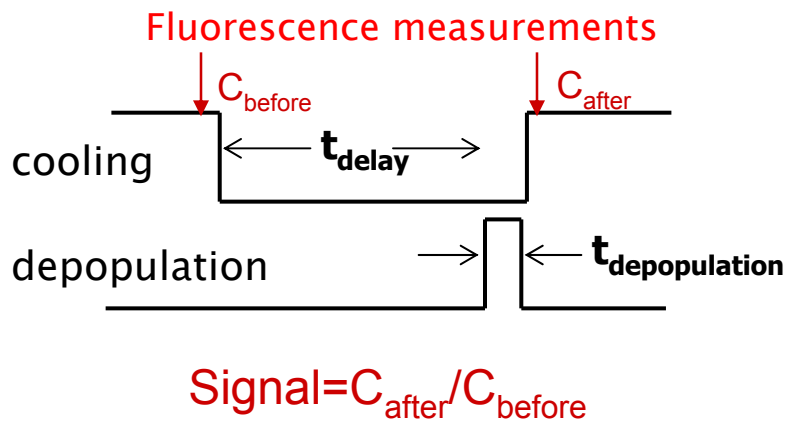
B high  $\Rightarrow$   $(m_I, m_J)$  basis



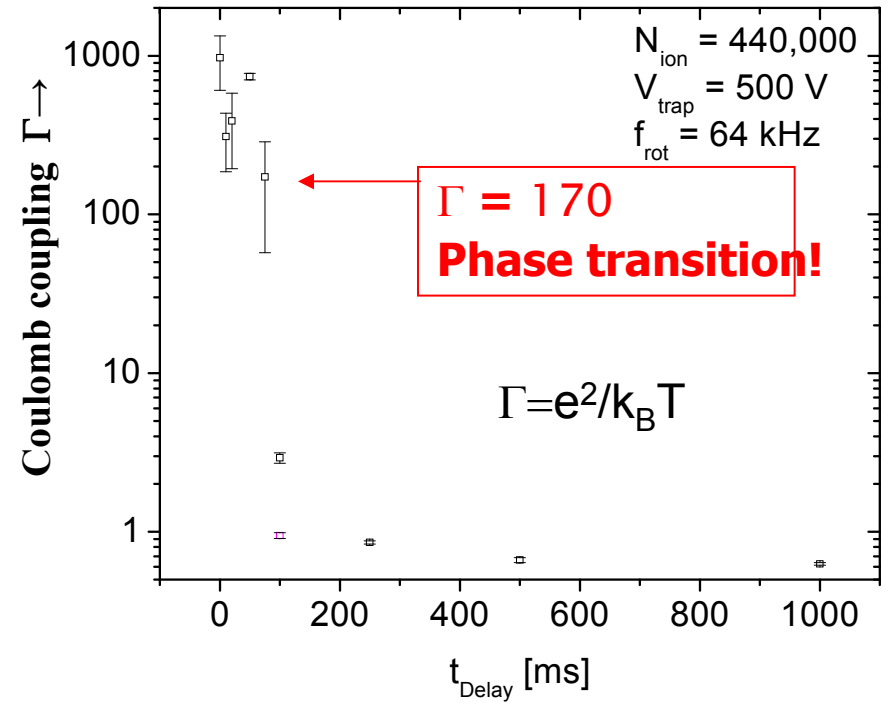
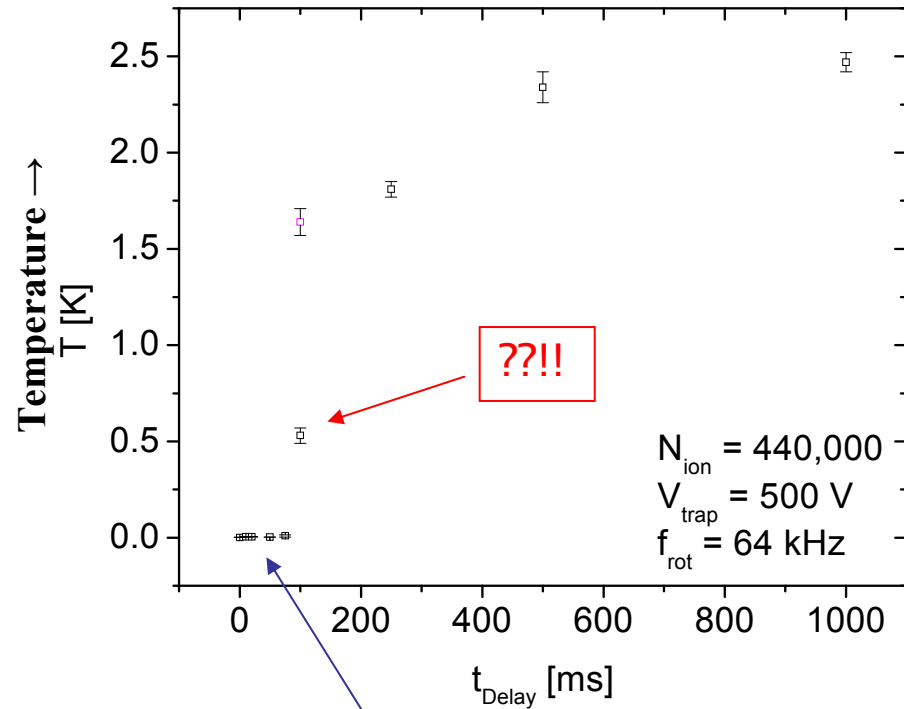
## Temperature measurement

- Monitor cooling laser fluorescence
- Depopulation laser resonant  $\Rightarrow$  decrease in fluorescence
- Measure Doppler broadening  $\Rightarrow T$

# Measurement of the ion temperature



# Heating rate measurements



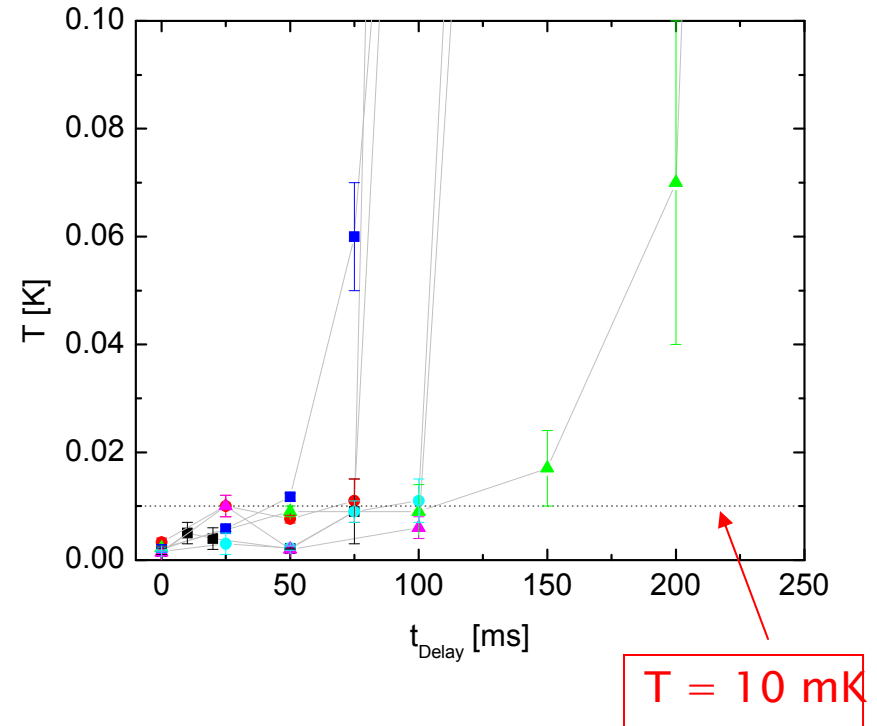
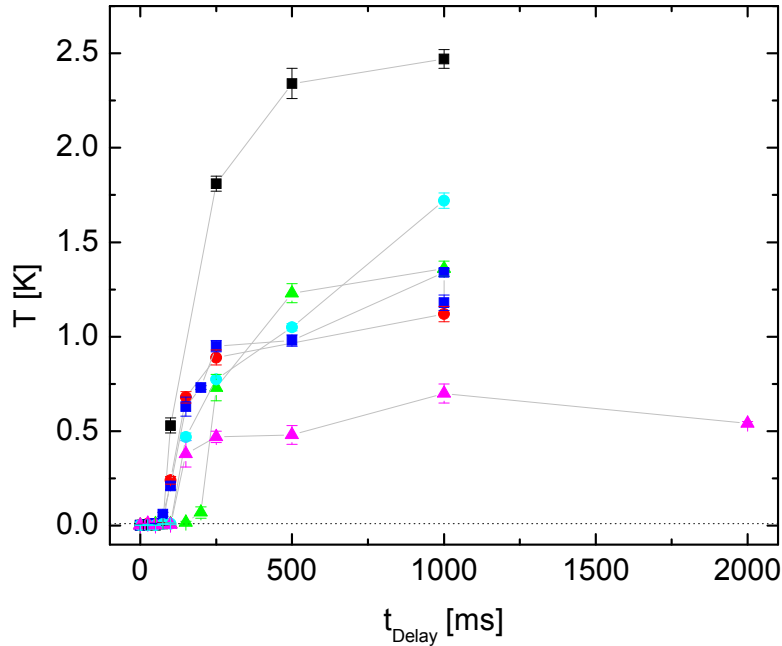
Slow heating at short times: 50-100 mK/s

Miniature RF-traps:  $\sim 50$  mK/s



# More heating curves

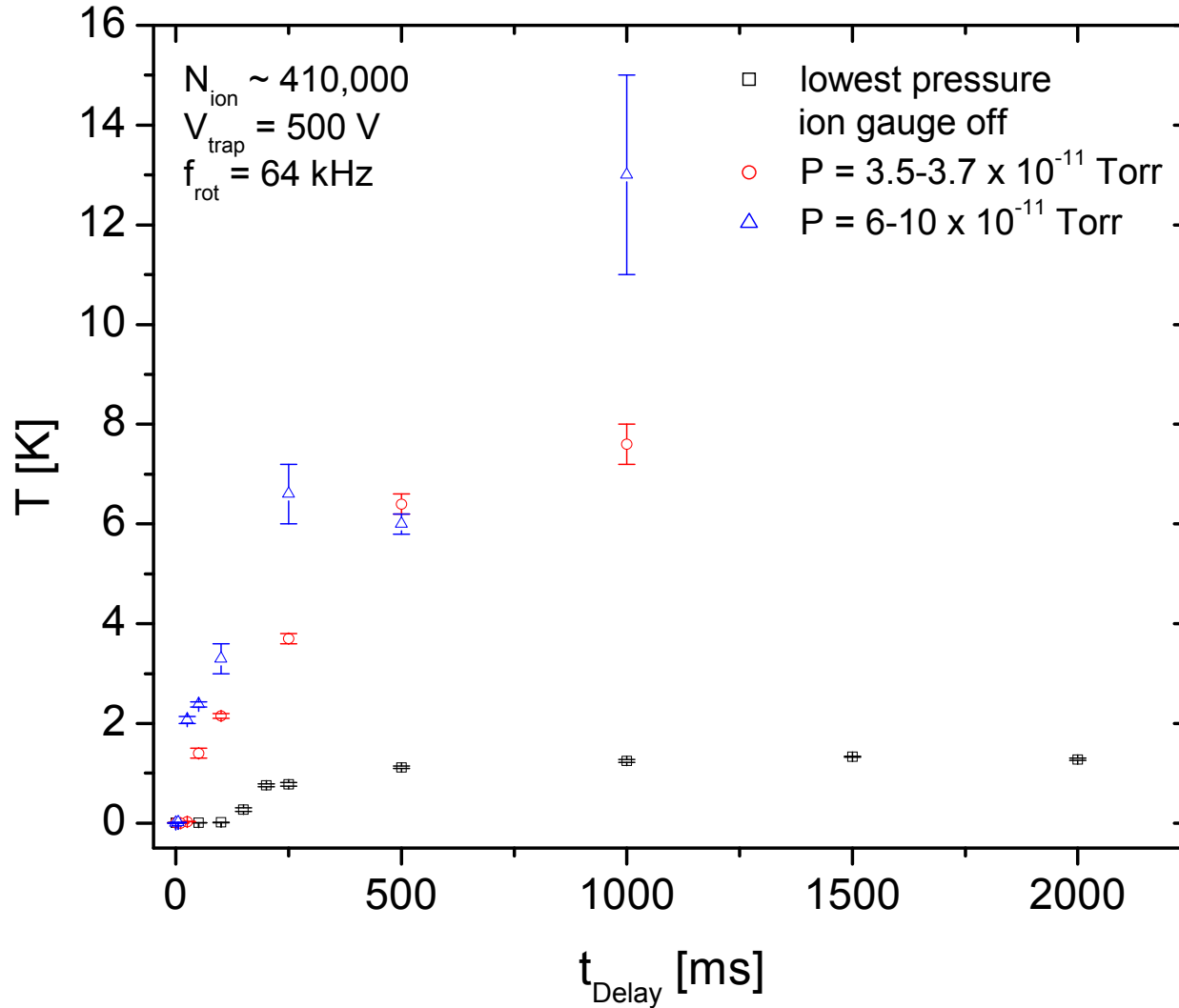
Many heating rate curves later...



Onset of abnormal heating is at  $T = 10$  mK

- the temperature of the solid-liquid phase transition
- but what is the explanation?

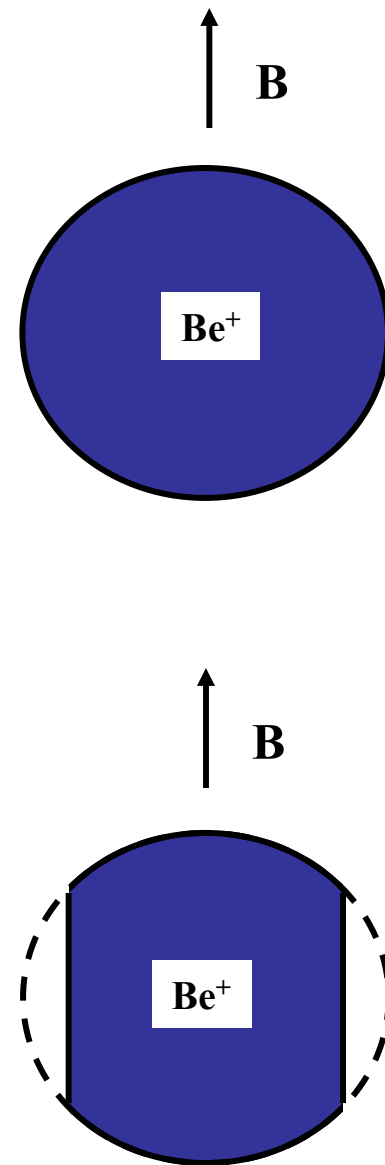
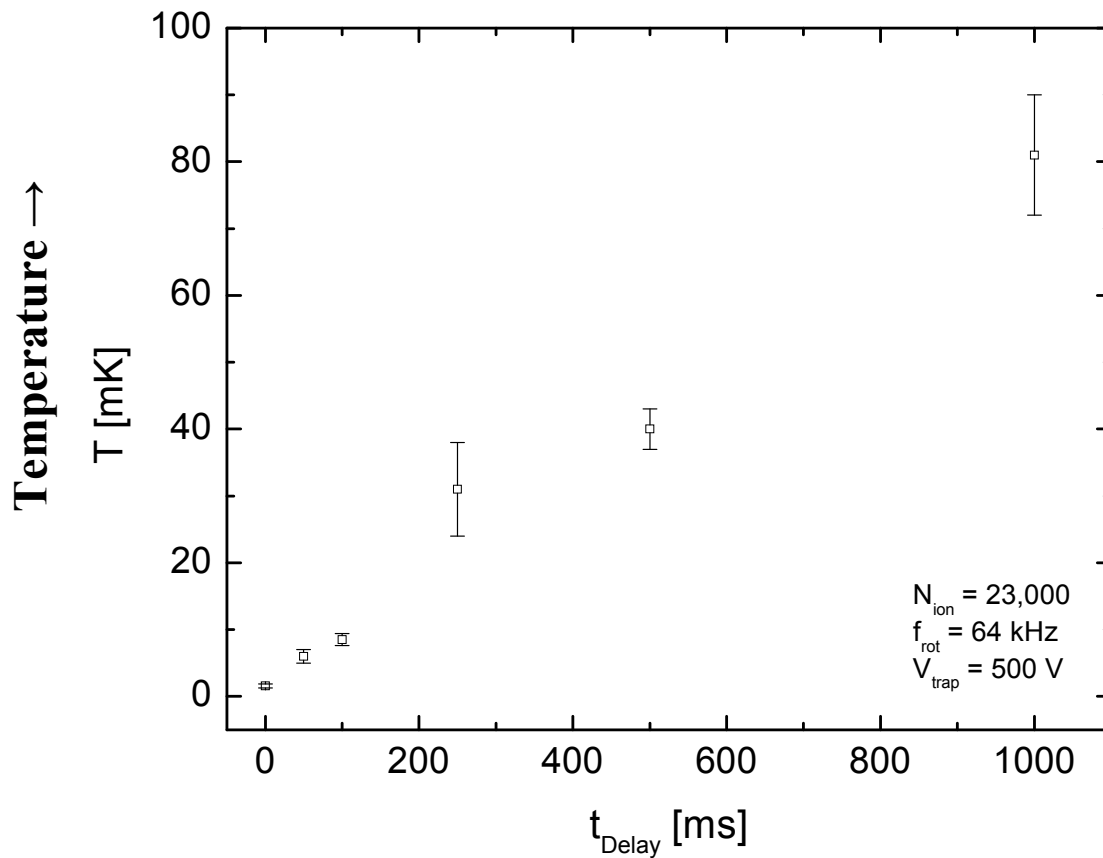
# Pressure dependence



**Clear correlation between pressure and heating!**

**Pressure increase  $\Rightarrow$  “step” occurs at earlier time  
larger step**

# Heating rate measurements on a clean cloud of $\text{Be}^+$ ions



- no anomalous heating at the solid-liquid phase transition!!
- anomalous heating requires heavy mass ions

# Sympathetic cooling of impurity ion cyclotron motion

- impurity ions heated by residual gas collisions; sympathetically cooled by laser-cooled Be<sup>+</sup> ions

$$\frac{dT_i}{dt} = r(T_i - T_{Be}) + h \quad T_i = \text{impurity ion temperature}$$

$\swarrow$   
 $\sim 0.1 \text{ K/s}$

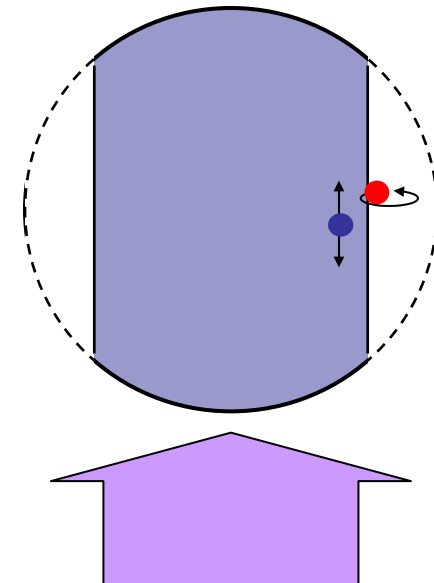
- phase-locked control of  $\omega_r \Rightarrow$  heavy ions crystallized  $\Rightarrow T_i < 10 \text{ mK}$
- but large magnetic field  $\Rightarrow r_c = \langle v \rangle / \Omega_c \ll a_{ws} \Rightarrow$  cyclotron motion decouples from parallel
- sympathetic cooling rate in crystalline state approximately given by (Dan Dubin, UCSD):

$$r_{\perp} \sim \frac{w_p^4}{\Omega_c^4} \gamma \sim 5 \times 10^{-4} \gamma \text{ for impurity ion cyclotron motion}$$

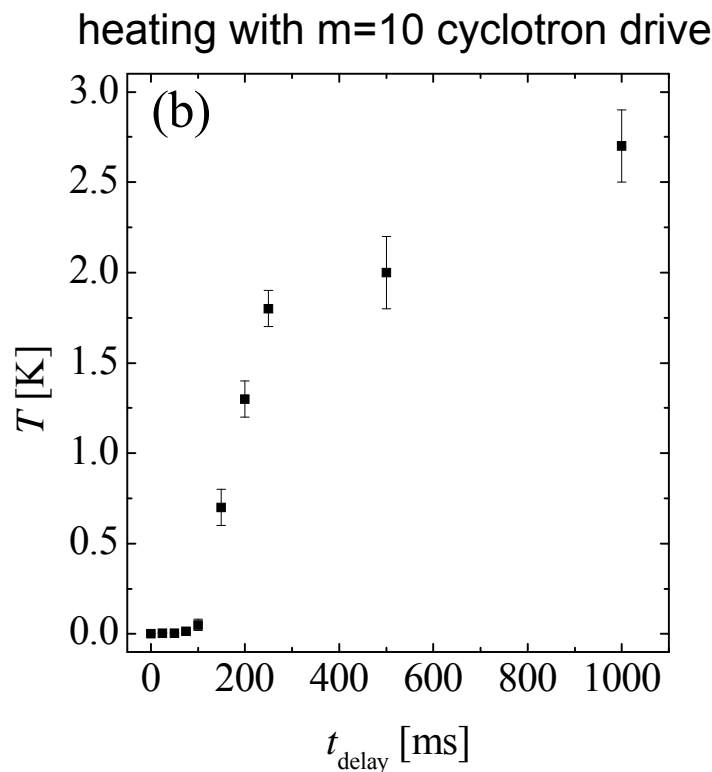
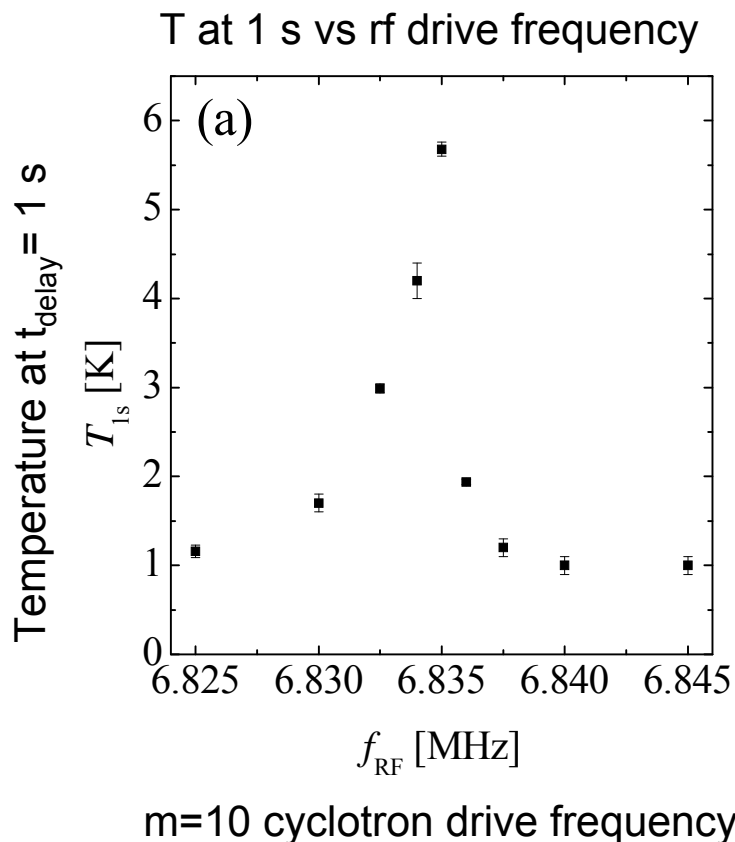
$$r_{\parallel} \sim \gamma \text{ for impurity ion motion parallel to } \mathbf{B}$$

$\gamma =$  laser damping rate for Be<sup>+</sup> < 1 kHz for current experimental work

- $h \sim 0.1 \text{ K/s}$ ,  $r_{\perp} < 0.1 \text{ Hz} \Rightarrow T_{\perp,i} > 1 \text{ K}$  which is the correct order of magnitude to account for the observed heating



# Impurity ion cyclotron motion drive increases the heating



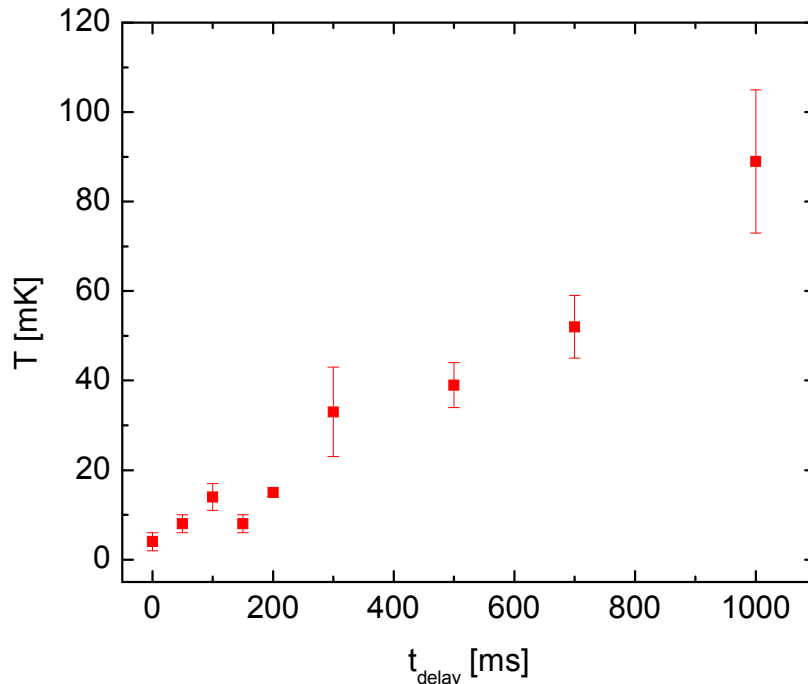
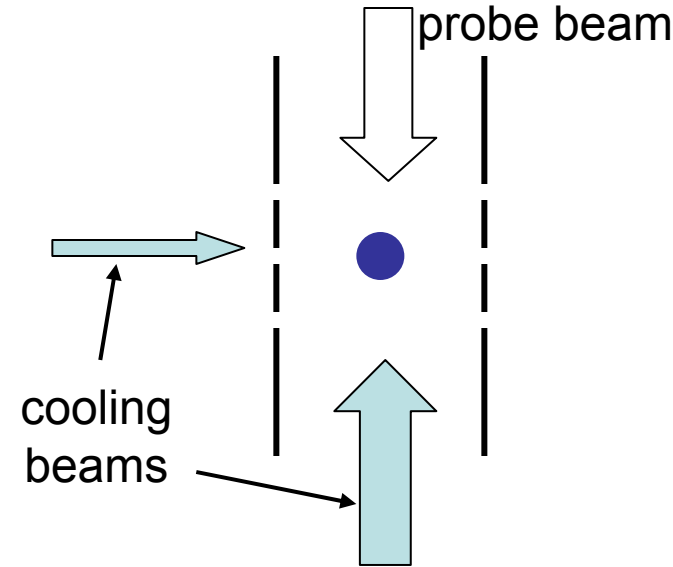
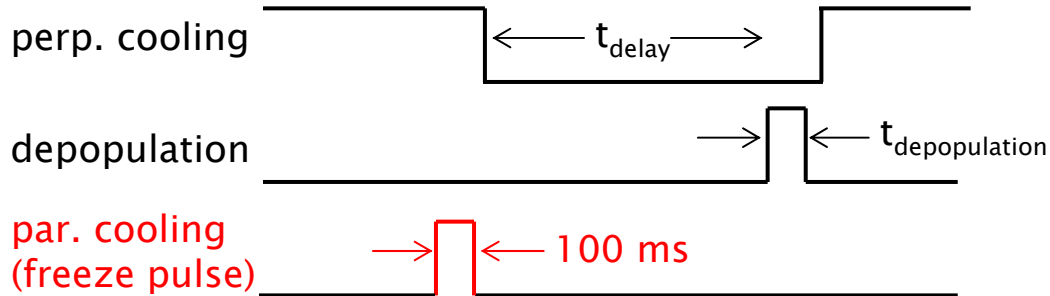
**Rapid heating explained by a release of the impurity ions cyclotron energy when the parallel ion temperature increases above 10 mK, the temperature of the solid-liquid phase transition.**

Is there a theory for why the impurity ion cyclotron energy is released for  $T > 10 \text{ mK}$  ?

Can we get rid of the heating?

# Can we get rid of the heating?

- work with clean plasmas with no impurity ions
- stay in the liquid phase for as long as possible



Plasma spends less than 100 ms in the solid phase prior to measurement  
 $\Rightarrow$  No additional energy is released at the solid-liquid phase transition

# Is there a theory for why the impurity ion cyclotron energy is released for $T > 10$ mK ?

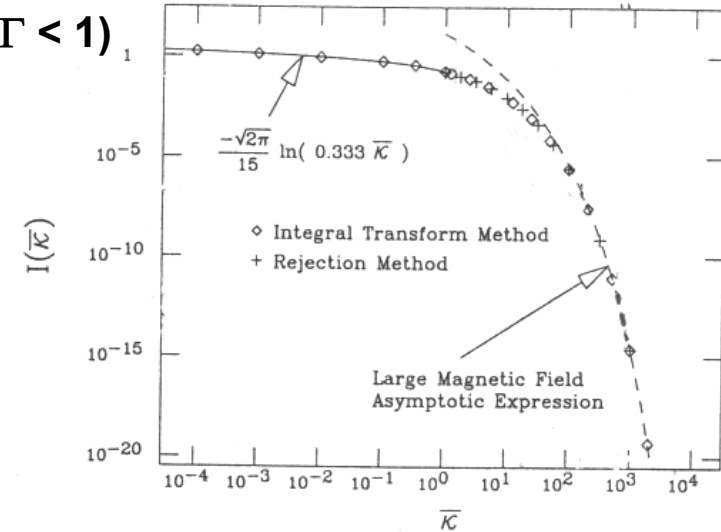
- need a theory of perp/parallel energy equipartition
- such a theory exists in the absence of correlations ( $\Gamma < 1$ )

O'Neil, Glinsky, Rosenbluth, Ichimaru,... (1992)

$$\frac{T_{\parallel}}{dt} = n_o \bar{v} \bar{b}^2 I(\bar{\kappa}) (T_{\perp} - T_{\parallel})$$

$$\bar{b} = \frac{2e^2}{k_B T_{\parallel}}, \quad \bar{v} = \sqrt{\frac{2k_B T_{\parallel}}{m}}$$

$$\bar{\kappa} = \frac{\Omega_c \bar{b}}{\bar{v}} = \frac{\text{distance of closest approach}}{\text{cyclotron radius}}$$



- O'Neil/Glinsky theory is more than  $10^7$  too small to explain our observed heating rate
- rare close collisions between energetic particles are responsible for the equipartition
- close collisions can be enhanced in a correlated plasma where the Coulomb repulsion is screened by the neutralizing background

Salt peter and Van Horn, *Astrophys. J* **155** (1969) – nuclear reaction rates in stellar interiors

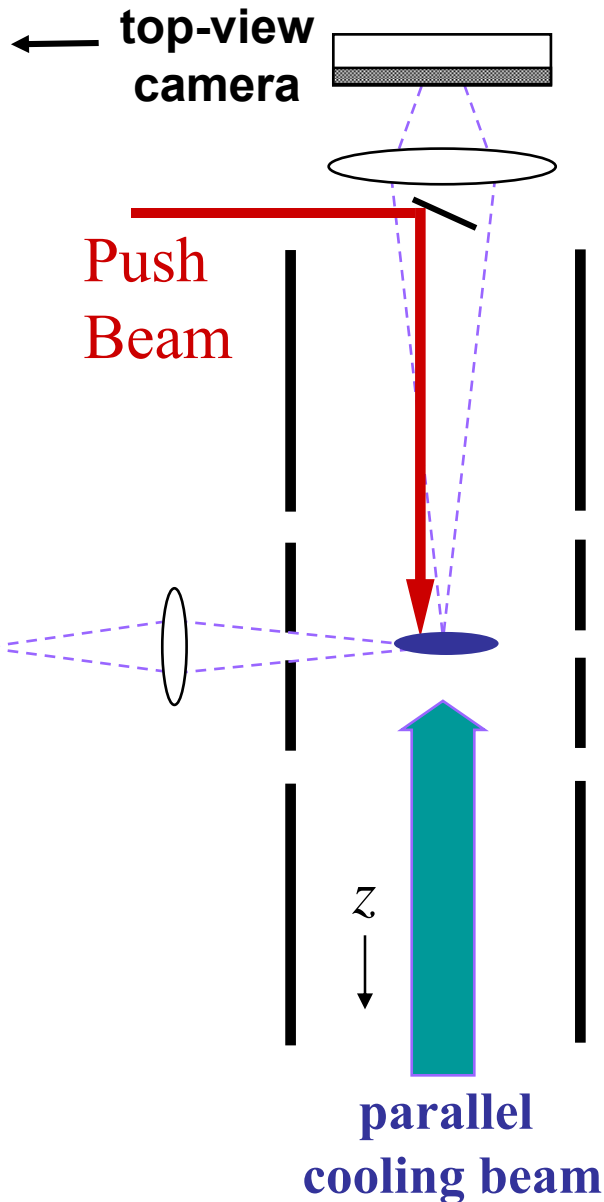
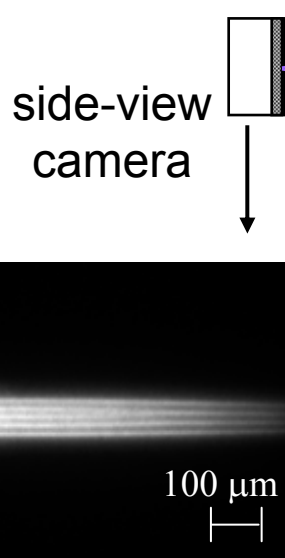
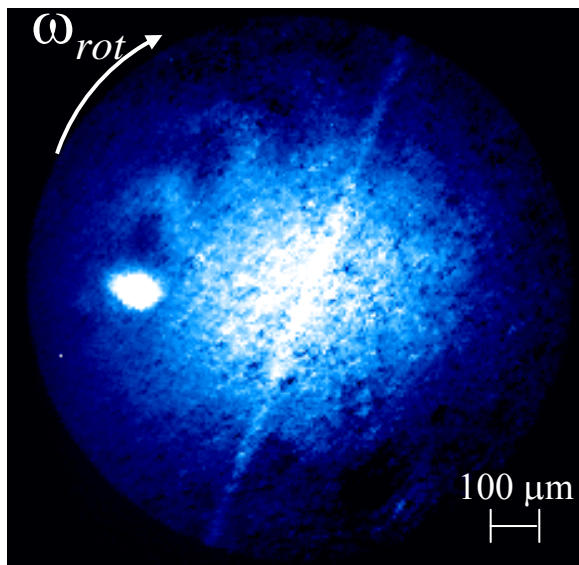
nuclear reaction rate ( $\Gamma > 1$ )  $\sim$   $\exp(\Gamma)$  nuclear reaction rate ( $\Gamma \ll 1$ )

# Summary of can we observe the phase transition?

- the first-order liquid-solid phase transition at  $\Gamma \sim 170$  has never been directly observed in an experiment.
- this should be possible by measuring the latent heat or possibly through Bragg scattering
- perp/parallel energy equipartition studies can measure the enhancement of close collisions for  $\Gamma > 1$  for the first time



# Plasma waves excited by laser radiation pressure

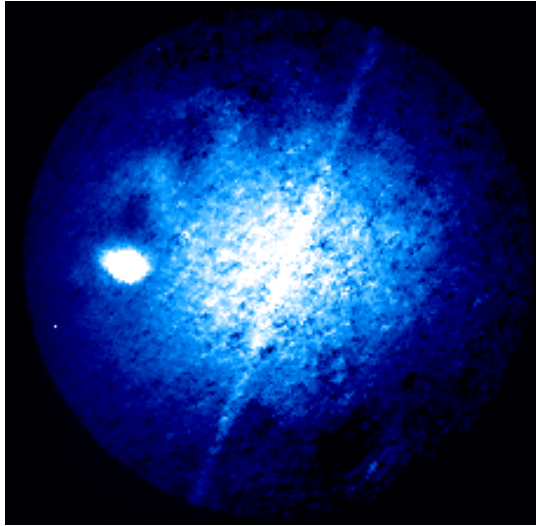


Push on the top of a rotating ion crystal with a laser beam.

The waves which are excited interfere to form a "wake".

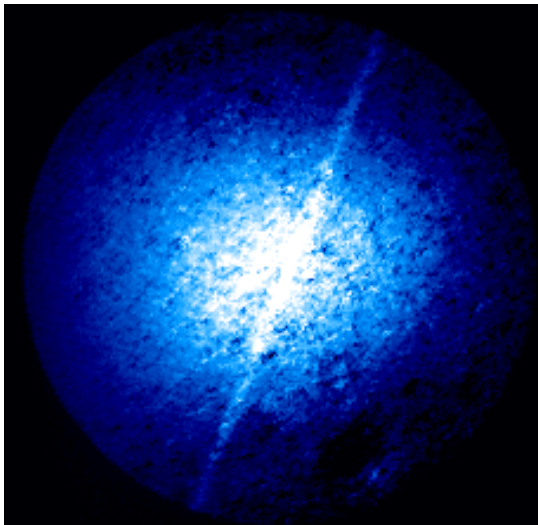
# Doppler image of wakes

Image w/ push beam

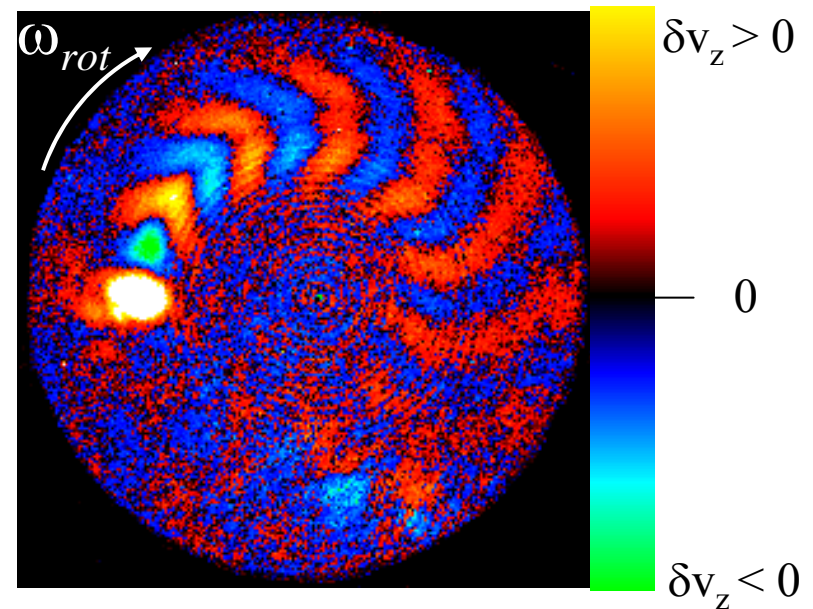


Variations in image intensity (shown with a false color scale) correspond to variations in the axial motion of the ions in the crystal.

Image w/o push beam

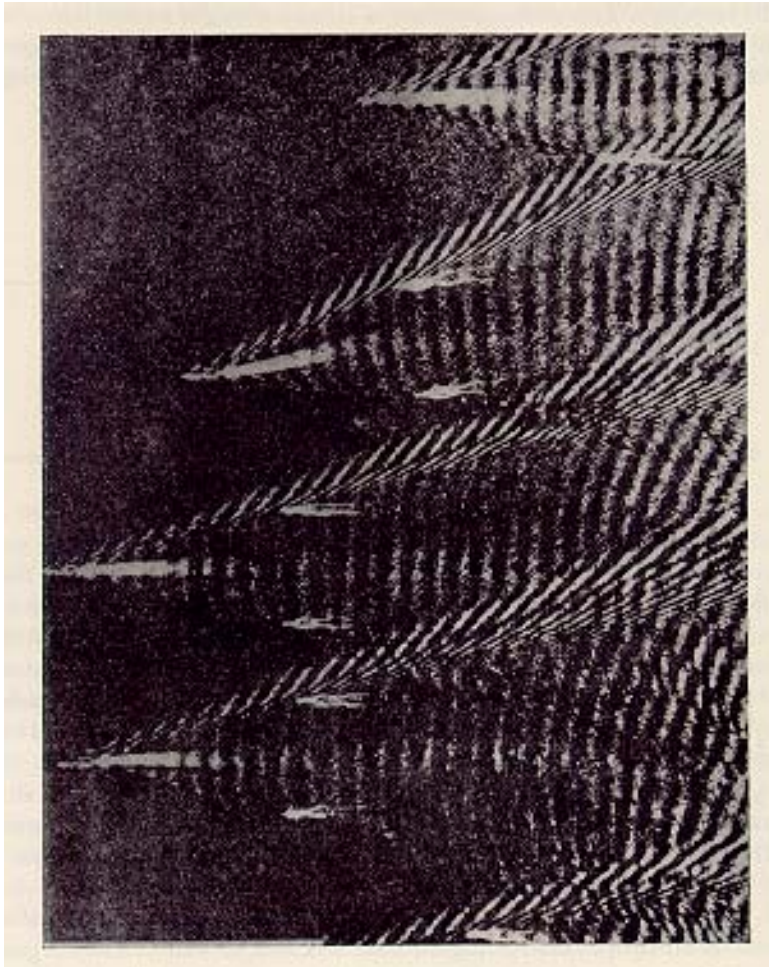


Subtract the two images

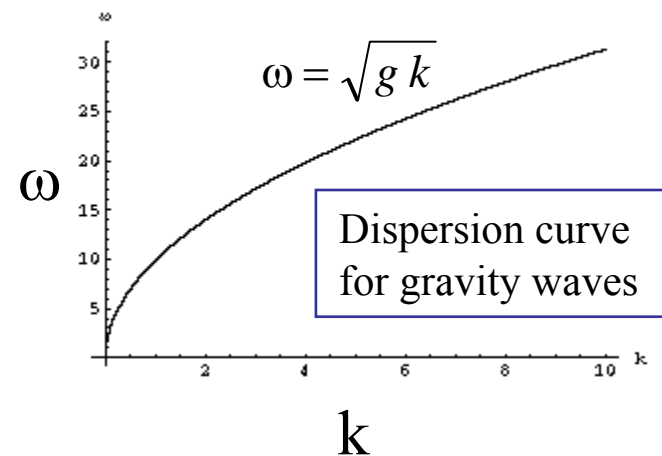
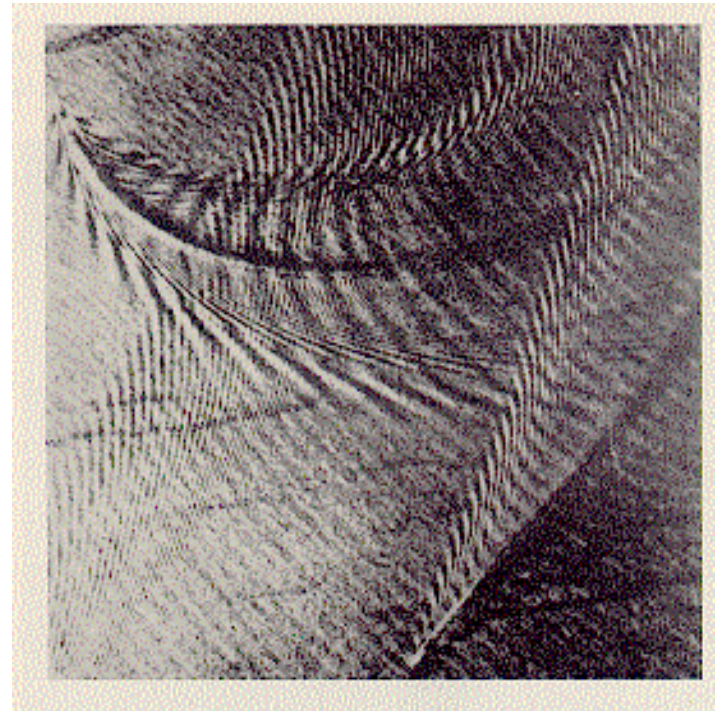


A large spectrum of modes are excited, which interfere to form a wake that is stationary in the source (lab) frame.

# Analogous to wakes in water

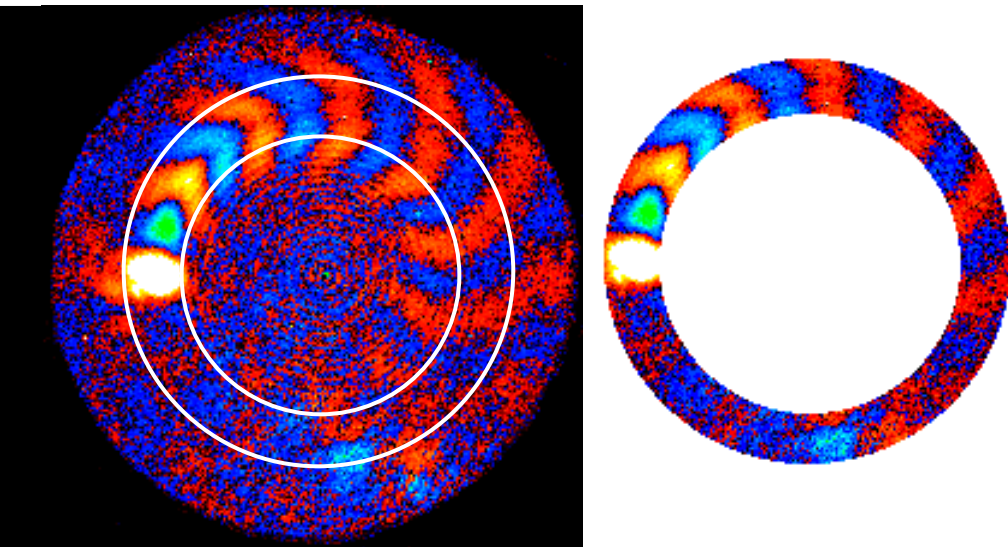


Wakes are Stationary in the frame of the source (ship).



# Analyze image to obtain $\lambda$ and $\omega$

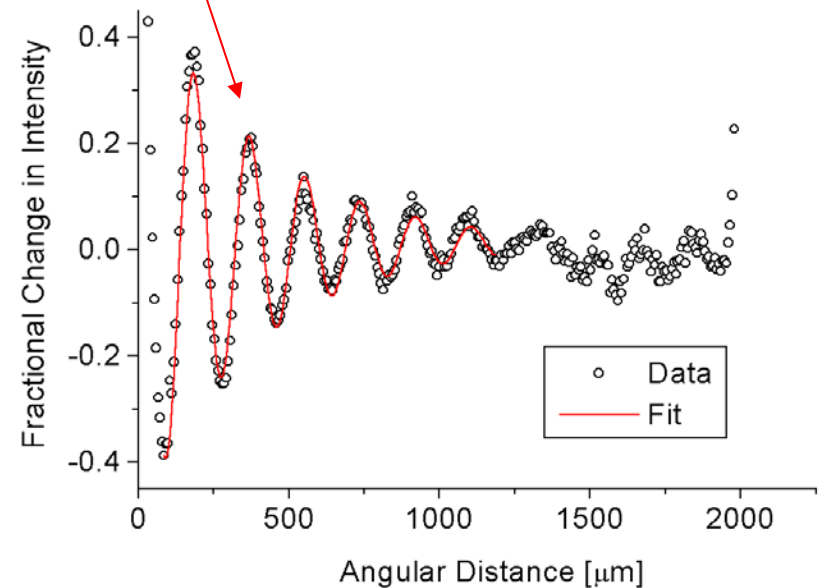
Analyze wake pattern in an annular region that is directly “behind” the push beam.



Fit to damped sinusoid to get  $\lambda$ :

$$y = C_0 + C_1 \text{Sin}(C_2 x + C_3) e^{-C_4 x}$$

$$C_2 = k = 2\pi/\lambda$$



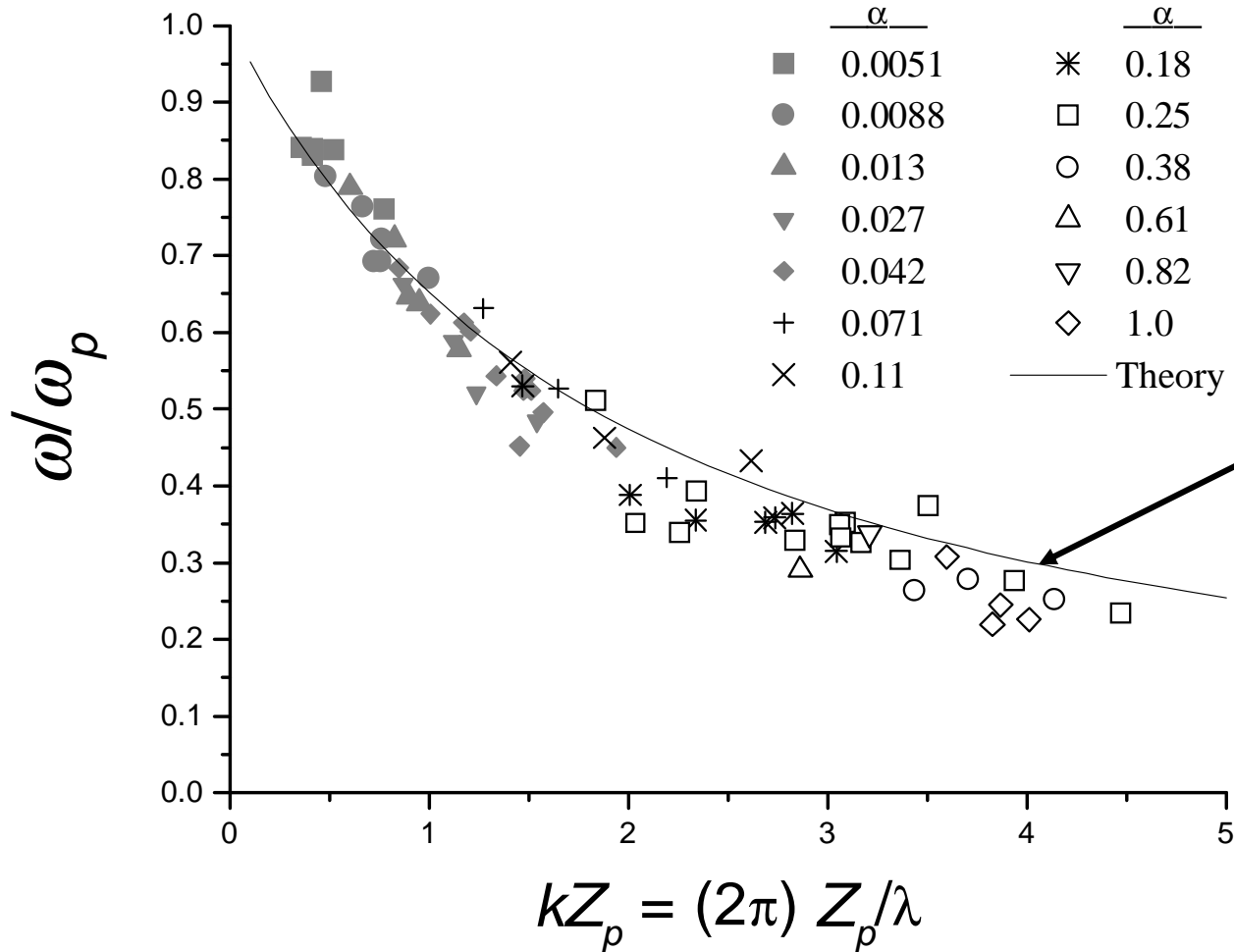
Directly behind the beam, the stationary phase condition gives:

$$V_{source} = \omega_{Rot} r_{source} = \omega/k$$

For this case:

$$\begin{aligned} \lambda &= 180 \mu\text{m} & \delta v_z &< 1 \text{ m/s} \\ \omega/2\pi &= 500 \text{ kHz} & \delta z &< 0.3 \mu\text{m} \end{aligned}$$

# Dispersion relationship

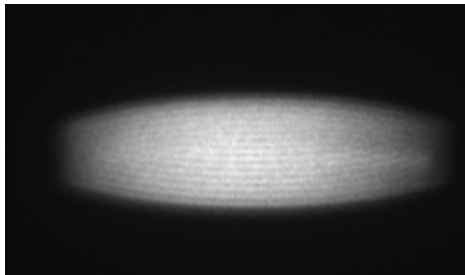
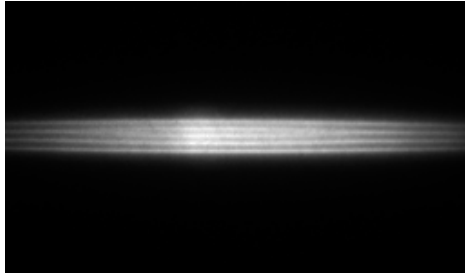


**The data agrees with the theoretical dispersion relationship for drumhead waves in a plasma slab of thickness  $2Z_p$ .**

$$\tan \left[ \frac{kZ_p}{\sqrt{\omega_p^2 / \omega^2 - 1}} \right] = \sqrt{\omega_p^2 / \omega^2 - 1}$$

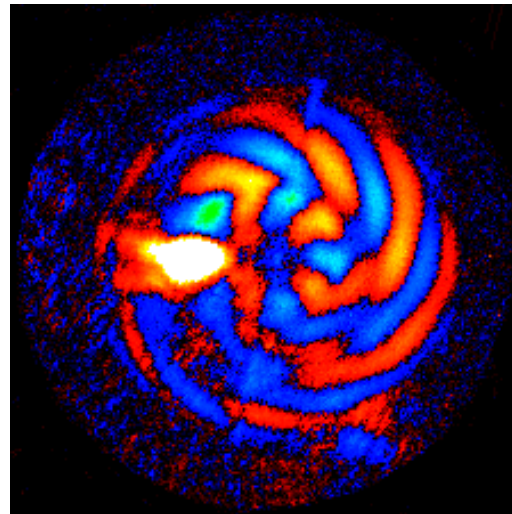
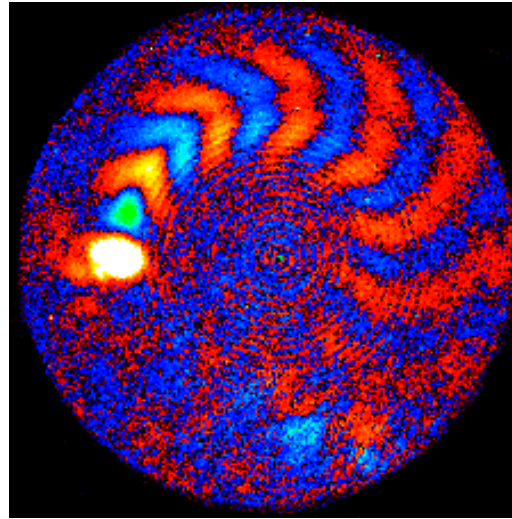
# Theory replicates experiment

Side View

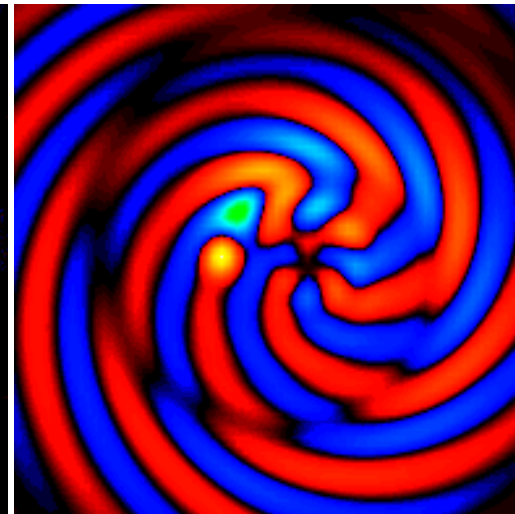
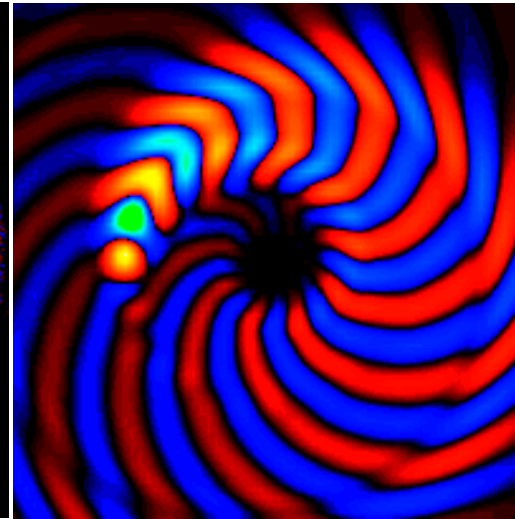


Top View

Experiment



Theory -Dubin



$\delta v_z > 0$

0

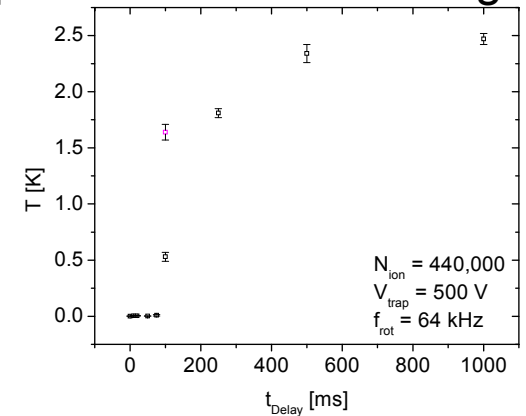
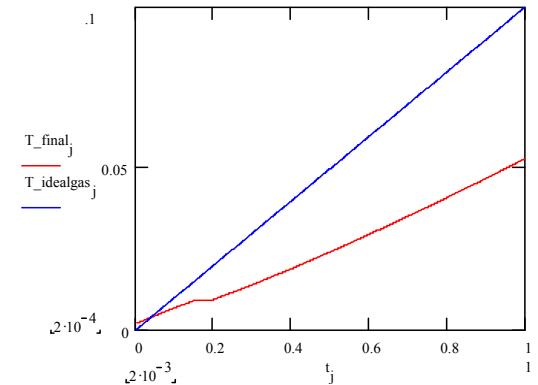
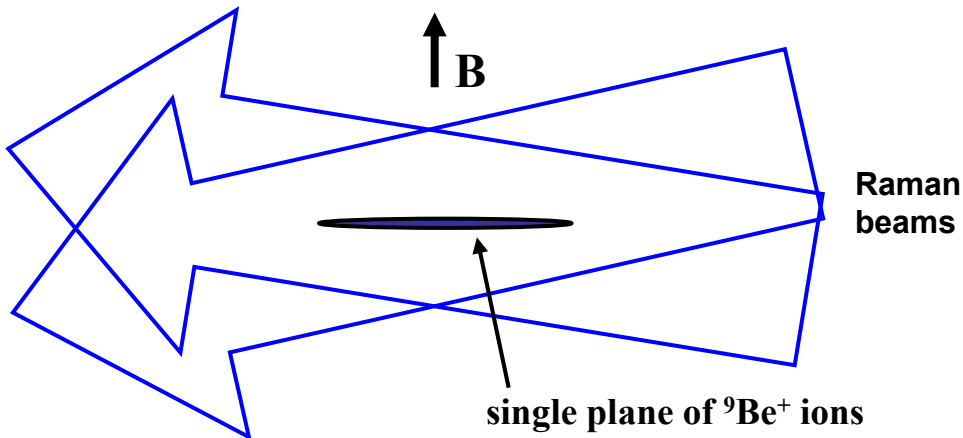
$\delta v_z < 0$

# Future areas of work

- Observation of the liquid-solid phase transition
- Studies of the enhancement of the perp/parallel equilibration due to strong correlation
- Shear modes

Application:

- Entangled states of trapped ions



**Deterministic entanglement  
through an  $\exp(i\chi J_z^2 t)$   
interaction**