

Dynamics of Oceans: Pt 1: Vast & Diverse

Professor Baylor Fox-Kemper

Brown University

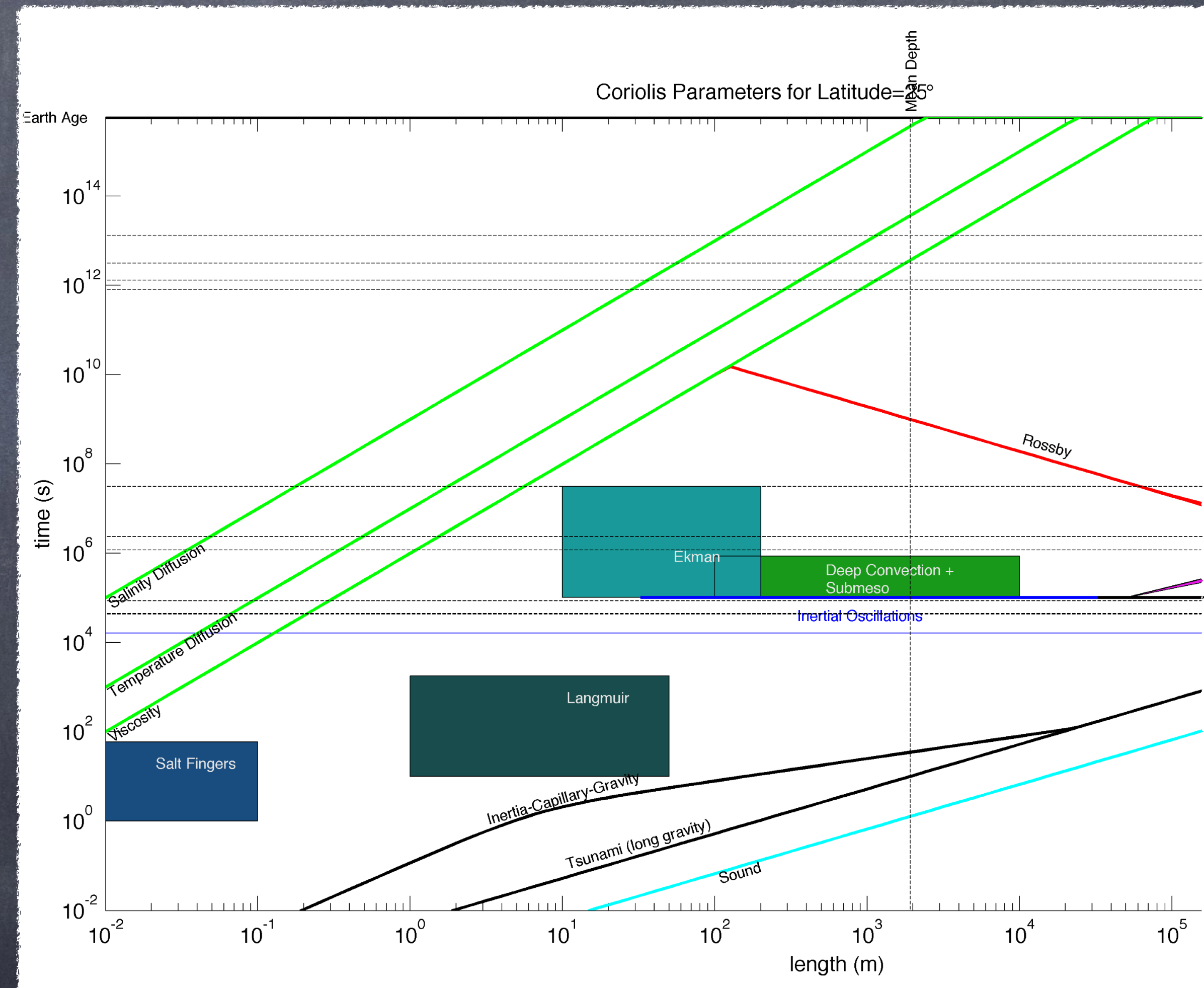
Dept. of Earth, Environmental, and Planetary Sciences
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Supported by NASA (NNX09AF38G), NSF (0934737, 1245944, 2220280), ONR (N00014-17-1-2963),
NOAA (NA19OAR4310366), Gulf of Mexico Research Initiative, Schmidt Futures

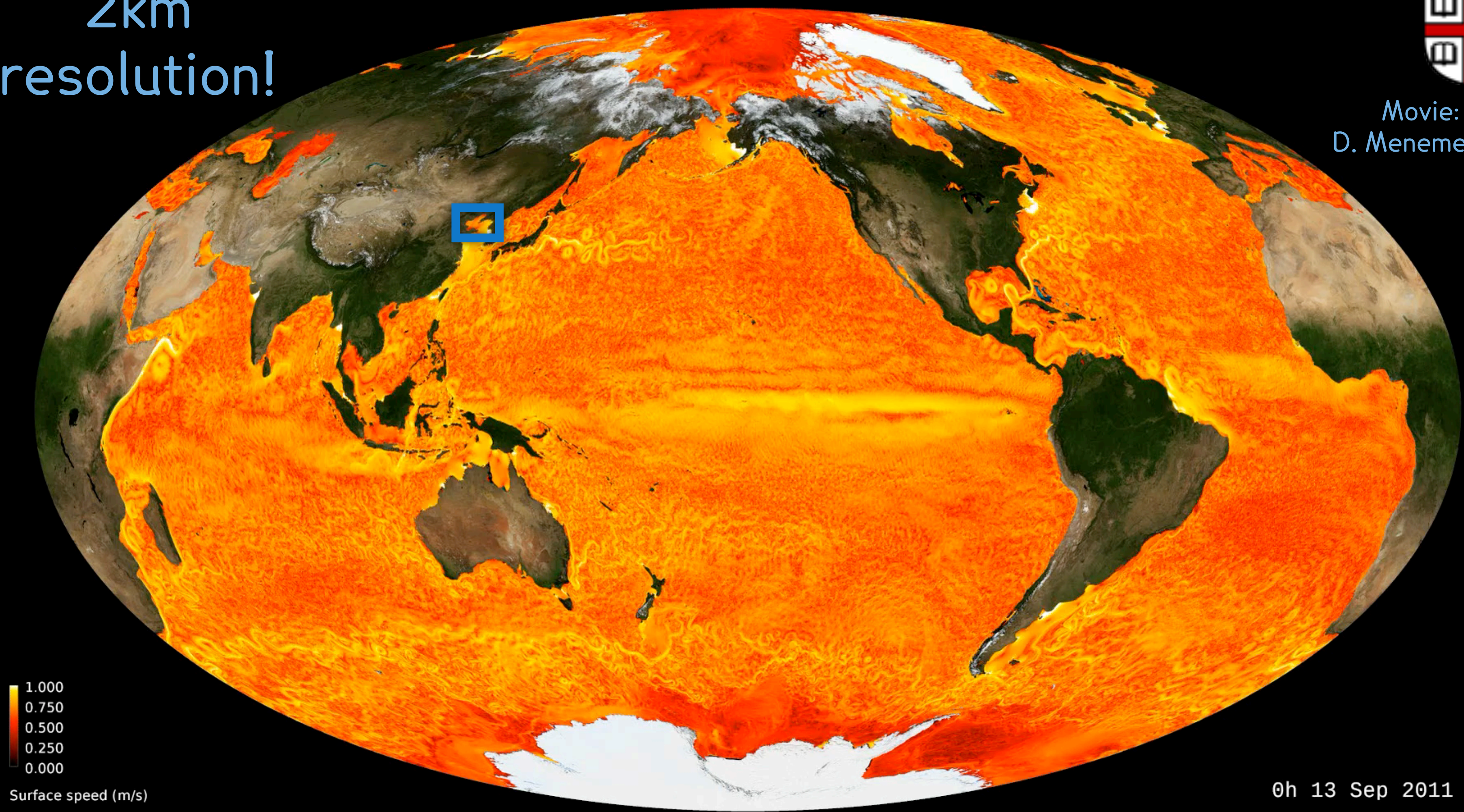
Boulder School for Condensed Matter and Materials Physics
July 18, 2022

For Today

- Vast & Diverse
 - Turbulence
 - Waves
- Breakpoints at the Grid Scale
- Mesoscale, Submesoscale, Boundary Layer
- Navier-Stokes
- Boussinesq
 - Hydrostatic or Not?
- Quasi-Geostrophic?
- Wave-Averaged Equations for Boundary Layer to capture Langmuir turbulence



2km
resolution!



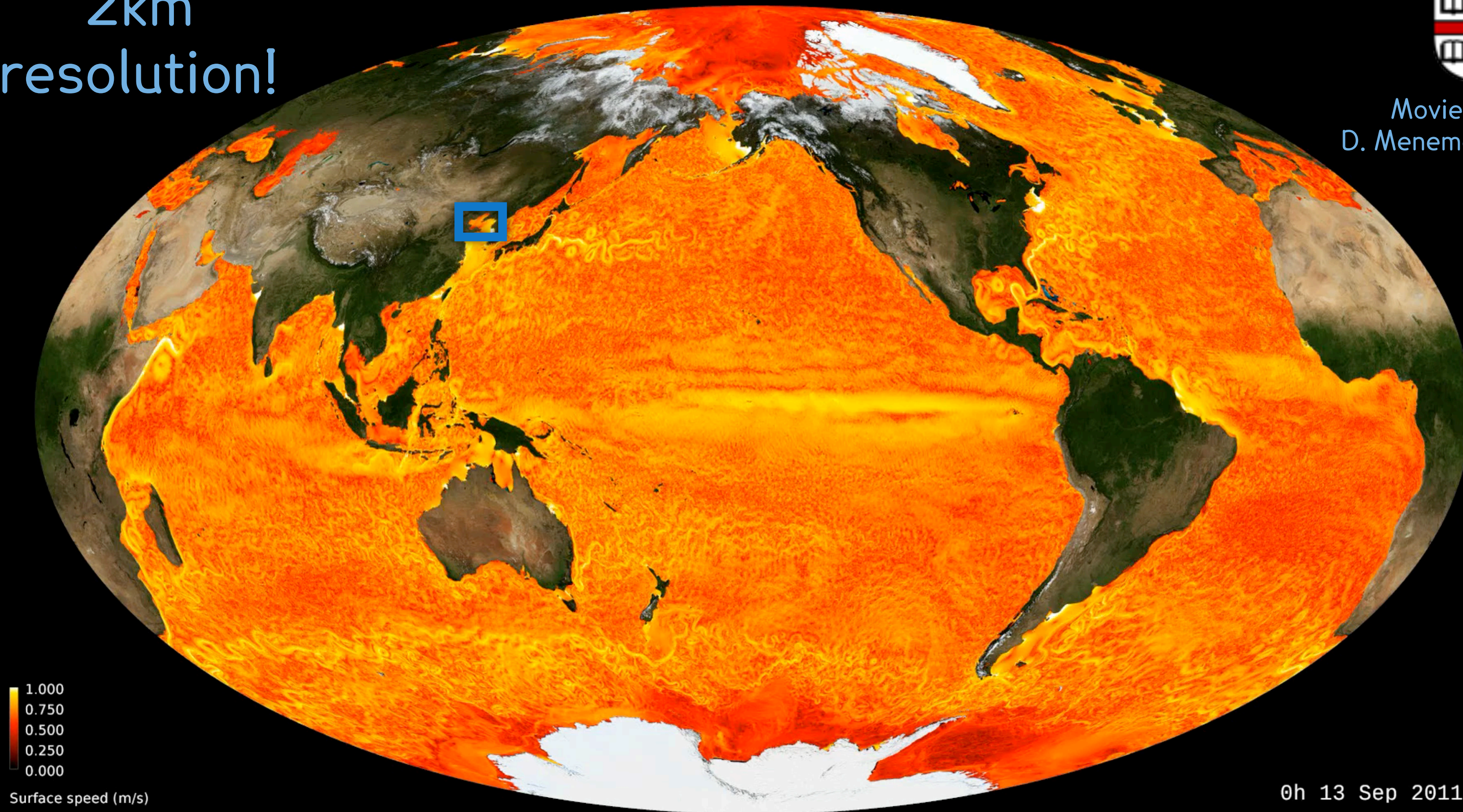
Movie:
D. Menemenlis

1.000
0.750
0.500
0.250
0.000
Surface speed (m/s)

0h 13 Sep 2011

BFK, S. Bachman, B. Pearson, and S. Reckinger. Principles and advances in subgrid modeling for eddy-rich simulations. CLIVAR Exchanges, 19(2):42-46, 2014.

2km
resolution!

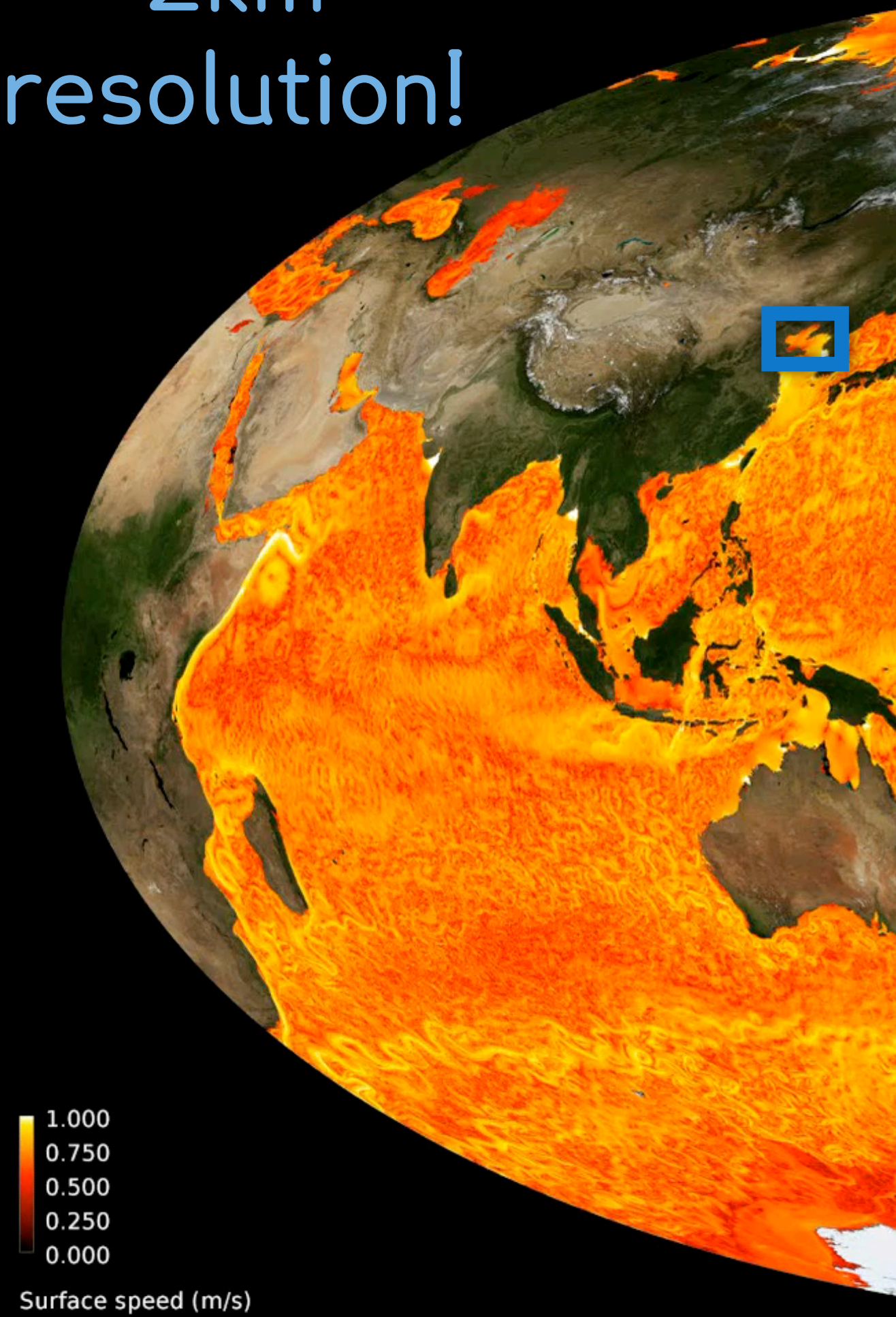


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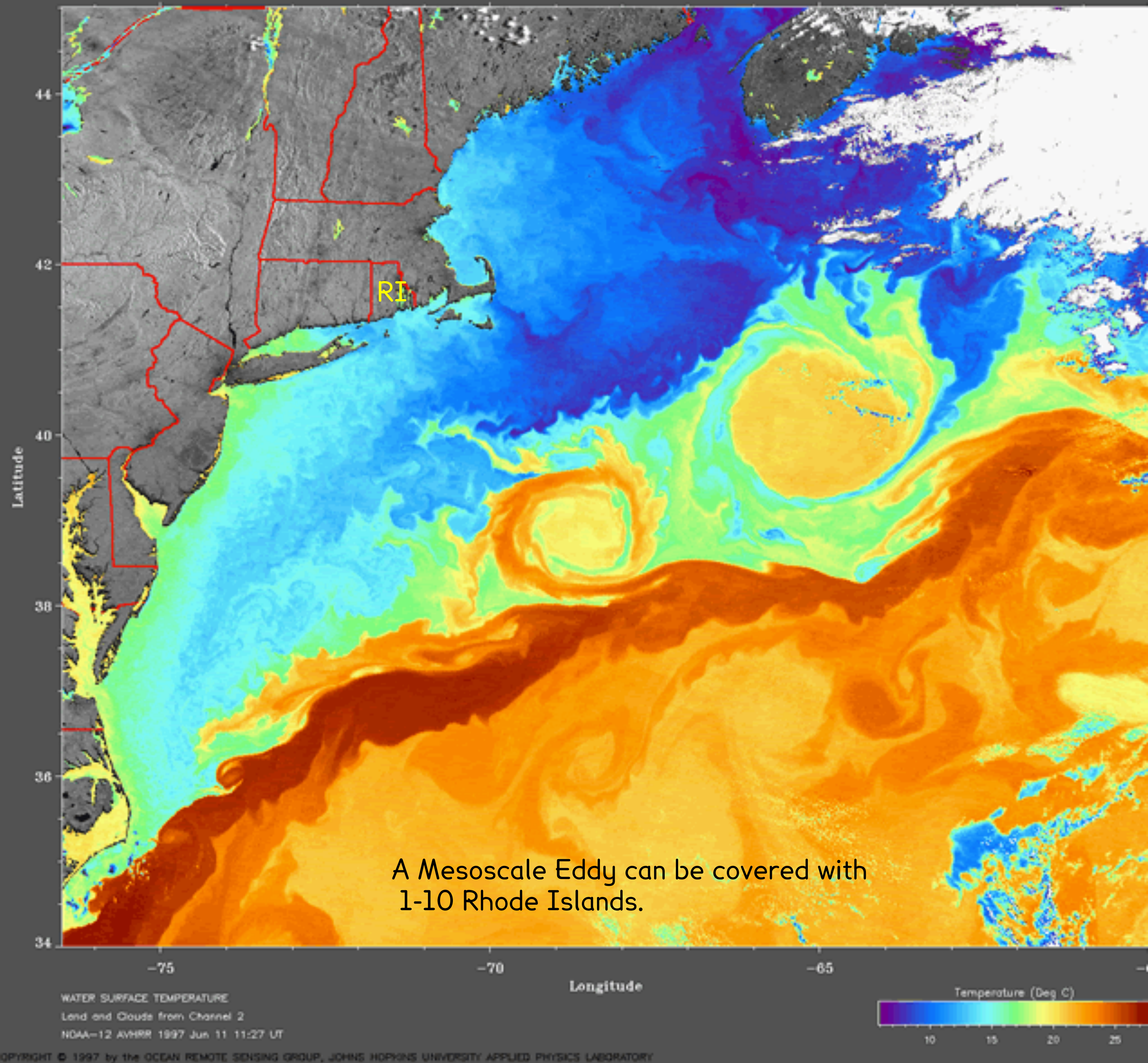
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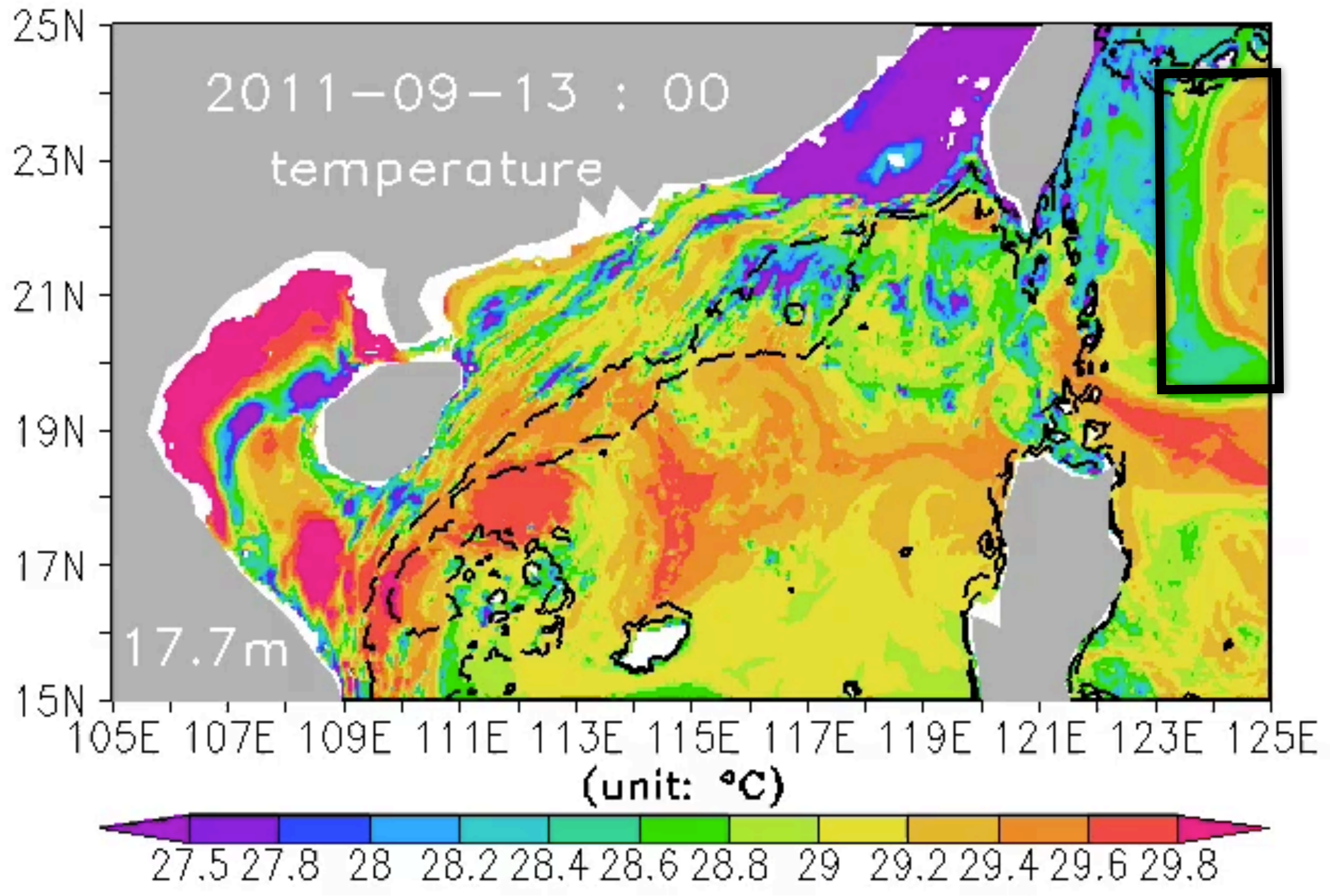
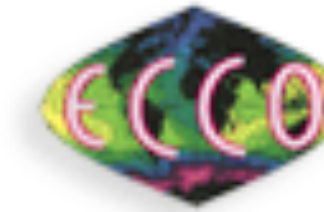
Estimating the Circulation & C

2km
resolution!



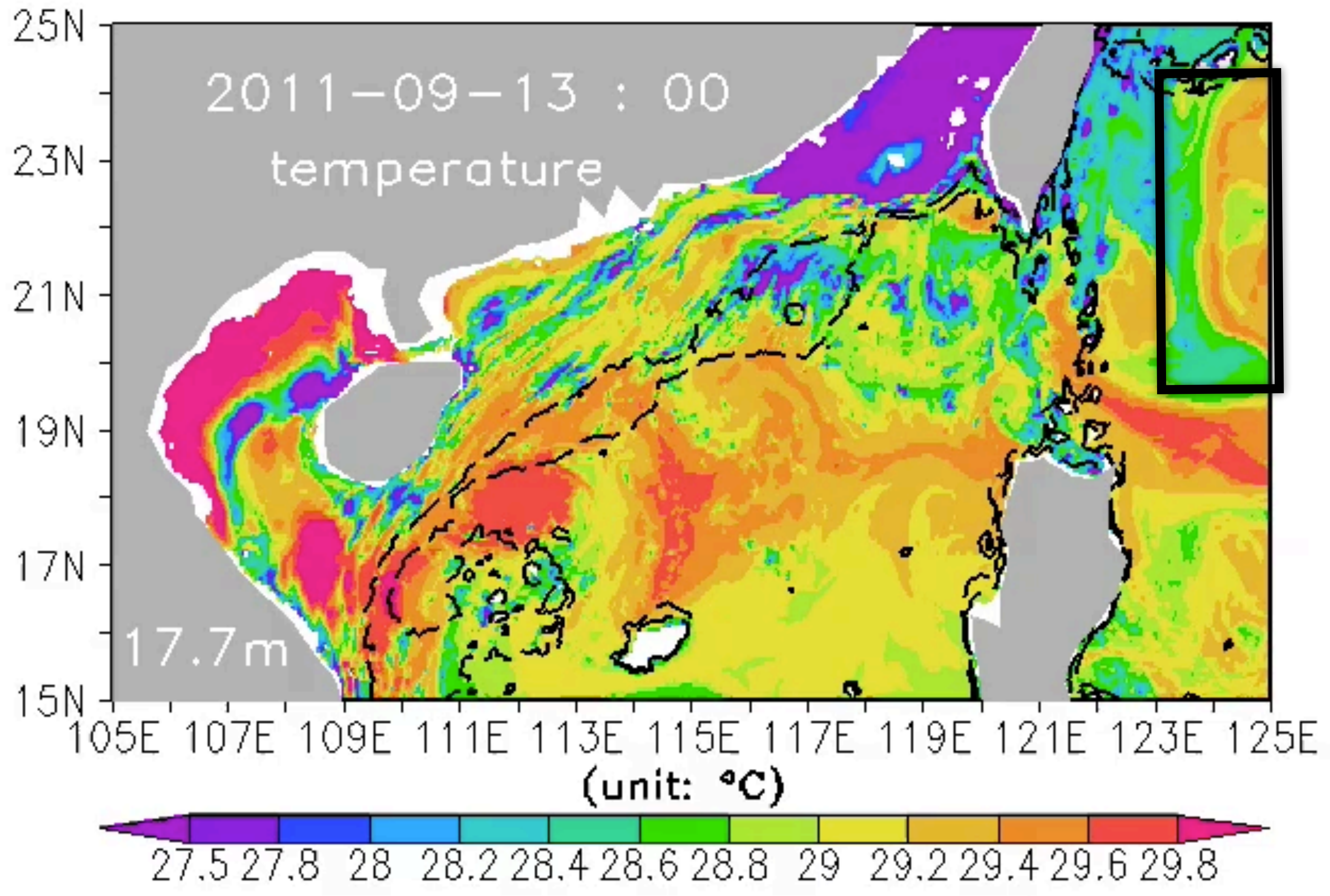
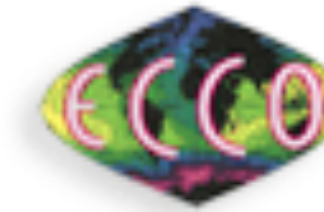
BFK, S. Bachman, B. Pearson, and S. R
simulations. CLIVAR Exchanges, 19(2)





Local Analysis and Movie: Z. Jing, Y. Qi, BFK, Y. Du, and S. Lian. Seasonal thermal fronts and their associations with monsoon forcing on the continental shelf of northern South China Sea: Satellite measurements and three repeated field surveys in winter, spring and summer. *JGR-Oceans*, 121:1914-1930, 2016.

H. Cao, Z. Jing, BFK, T. Yan, and Y. Qi. Scale transition from geostrophic motions to internal waves in the northern South China Sea. *JGR-Oceans*, 124, 2019.



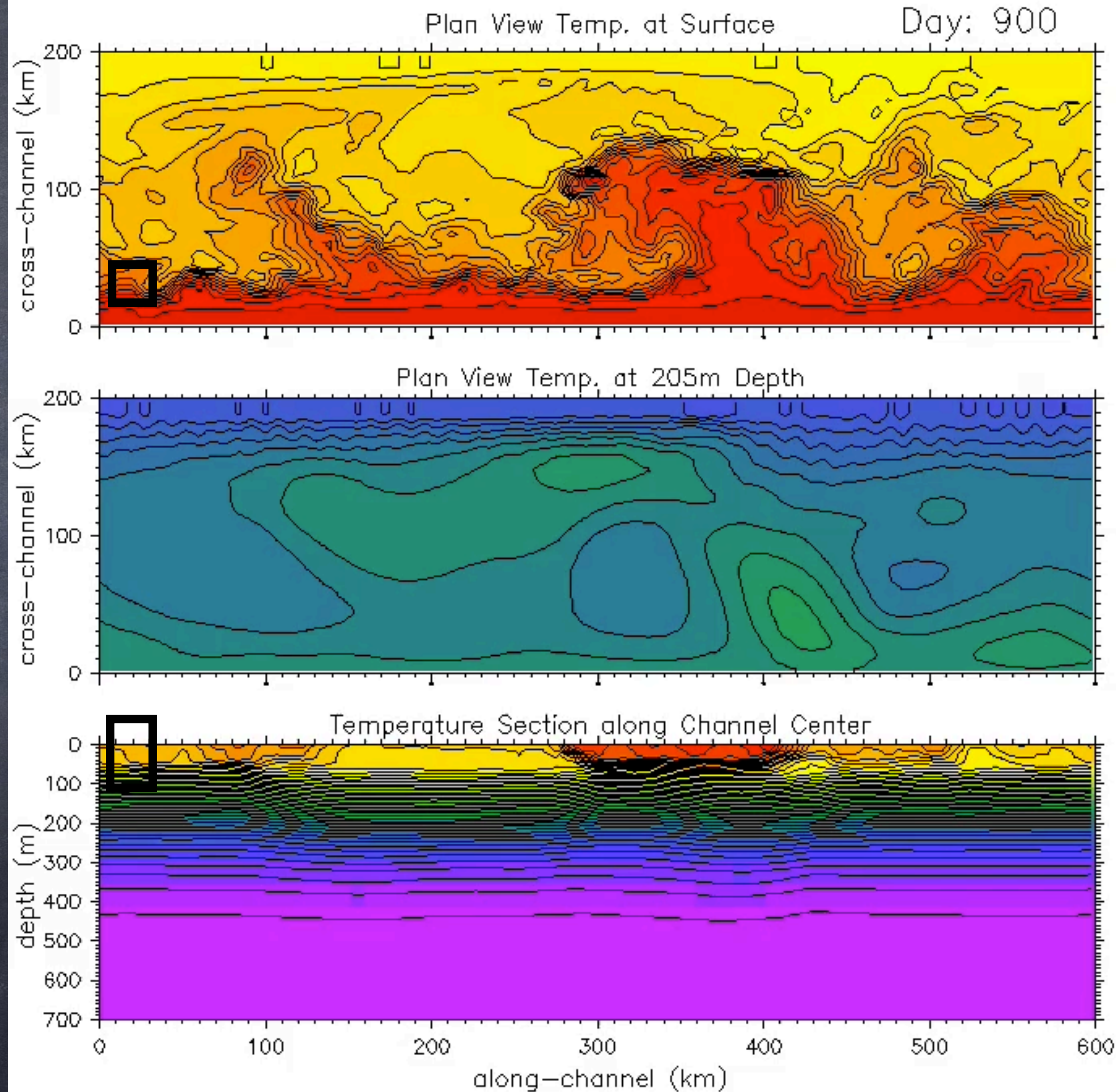
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200km x 600km
x 700m
domain

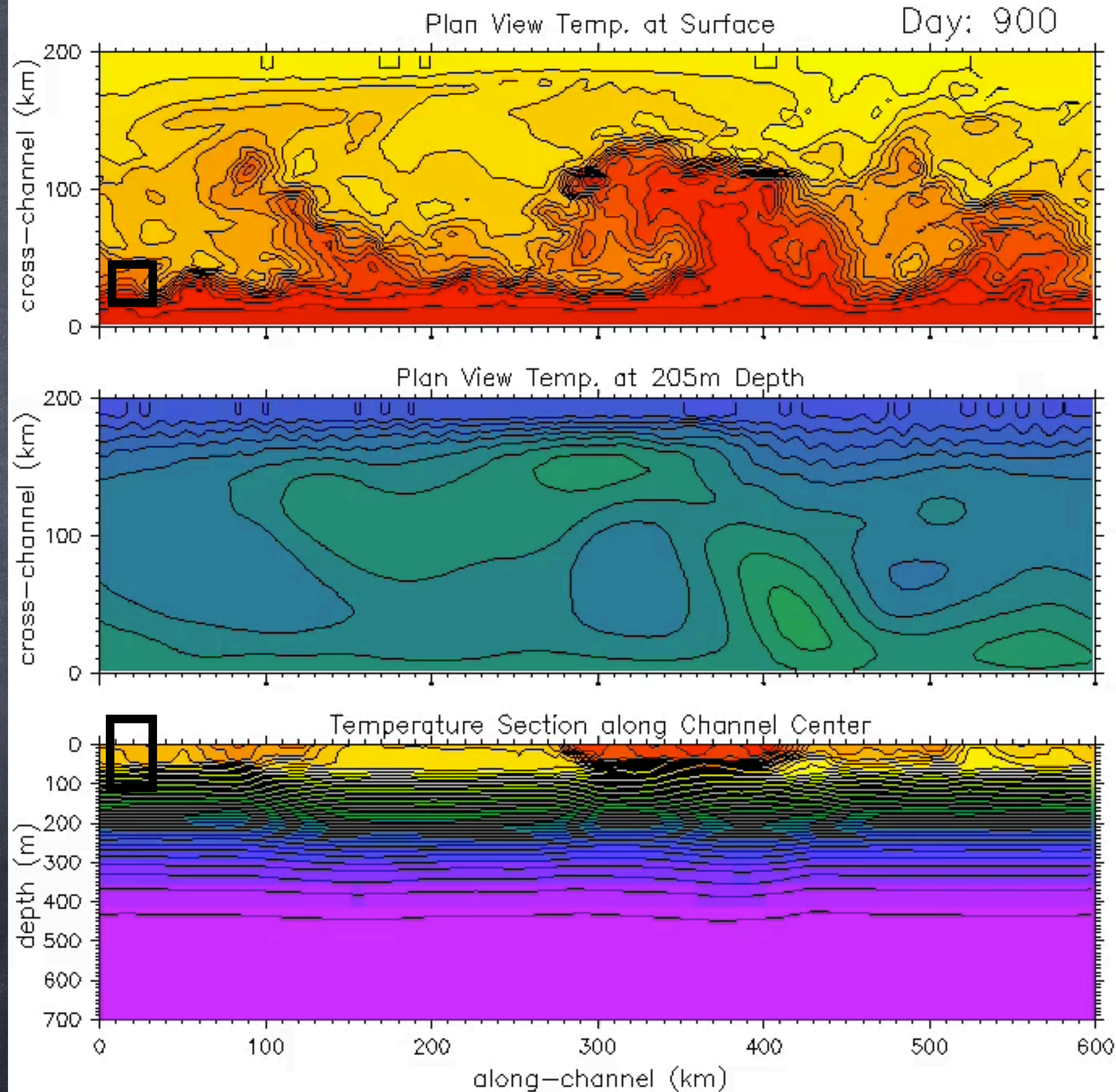
1000 Day
Simulation



G. Boccaletti, R. Ferrari, and BFK. Mixed layer instabilities and restratification. *Journal of Physical Oceanography*, 37(9):2228-2250, 2007.

200km x 600km
x 700m
domain

1000 Day
Simulation

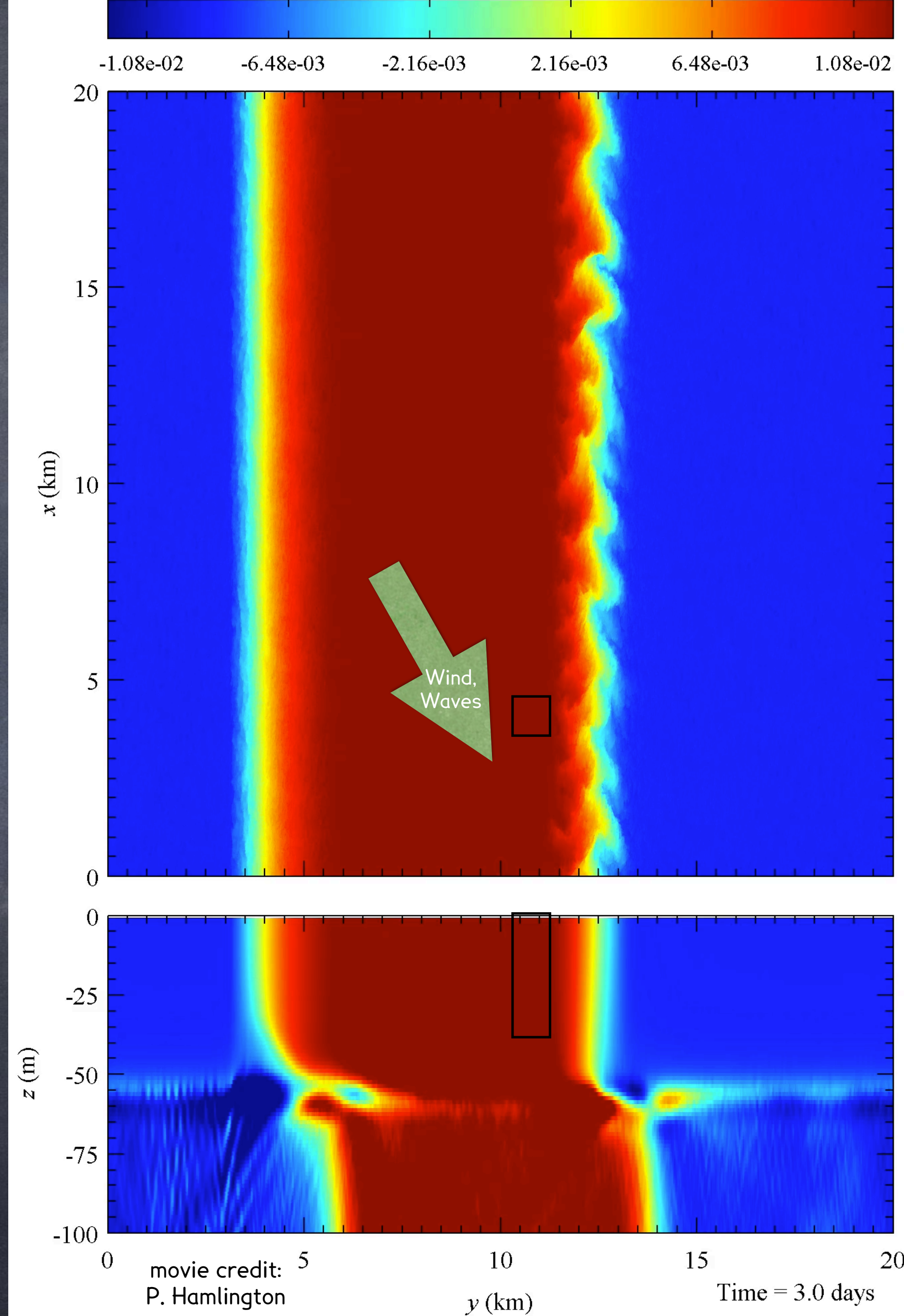


G. Boccaletti, R. Ferrari, and BFK. Mixed layer instabilities and restratification. *Journal of Physical Oceanography*, 37(9):2228-2250, 2007.

20km x 20km x 150m
domain

15 Day Simulation

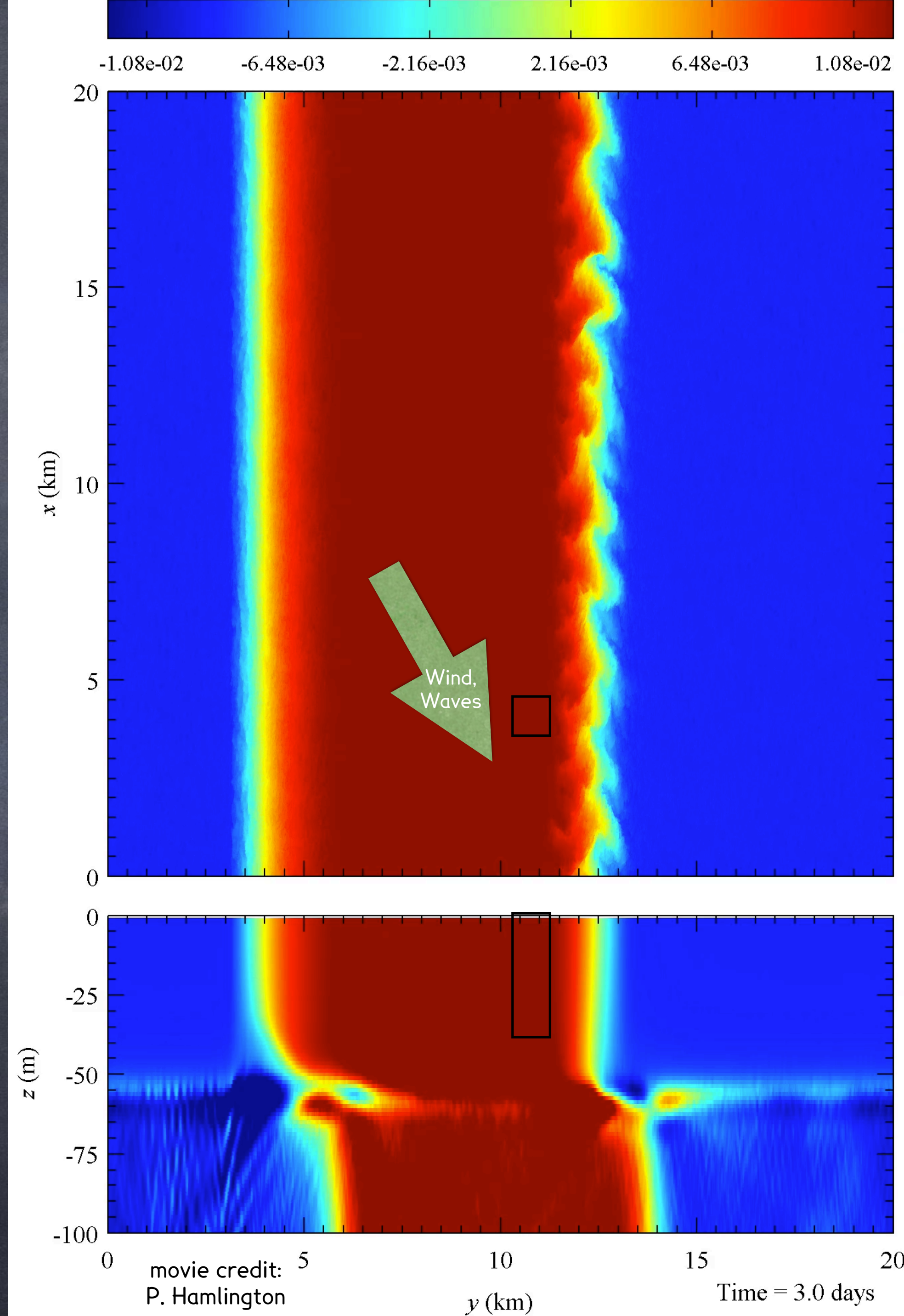
P. E. Hamlington, L. P. Van Roekel, BFK, K. Julien, and G. P. Chini. Langmuir-submesoscale interactions: Descriptive analysis of multiscale frontal spin-down simulations. *Journal of Physical Oceanography*, 44(9):2249-2272, September 2014.



20km x 20km x 150m
domain

15 Day Simulation

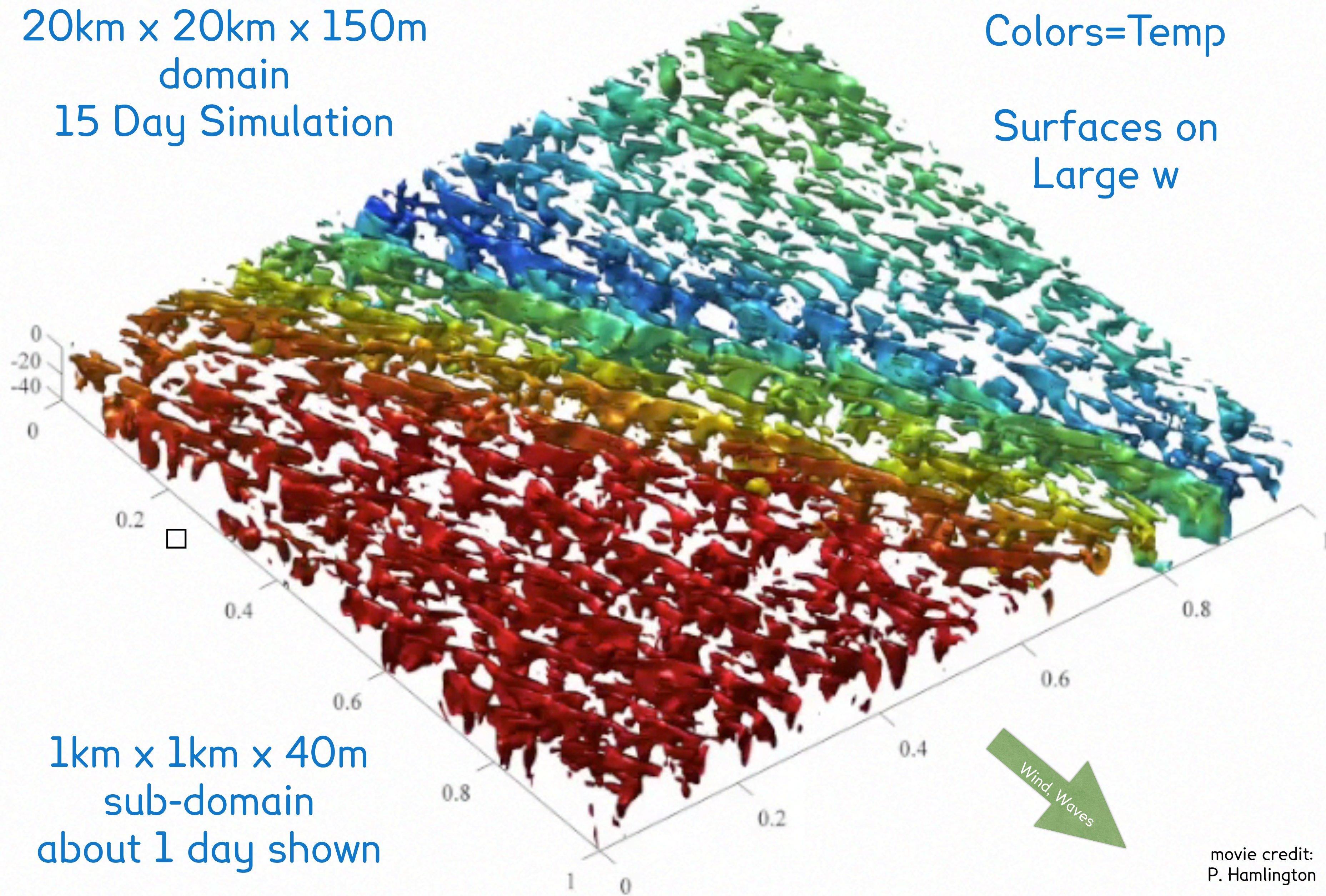
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20km x 20km x 150m
domain
15 Day Simulation

Colors=Temp
Surfaces on
Large w

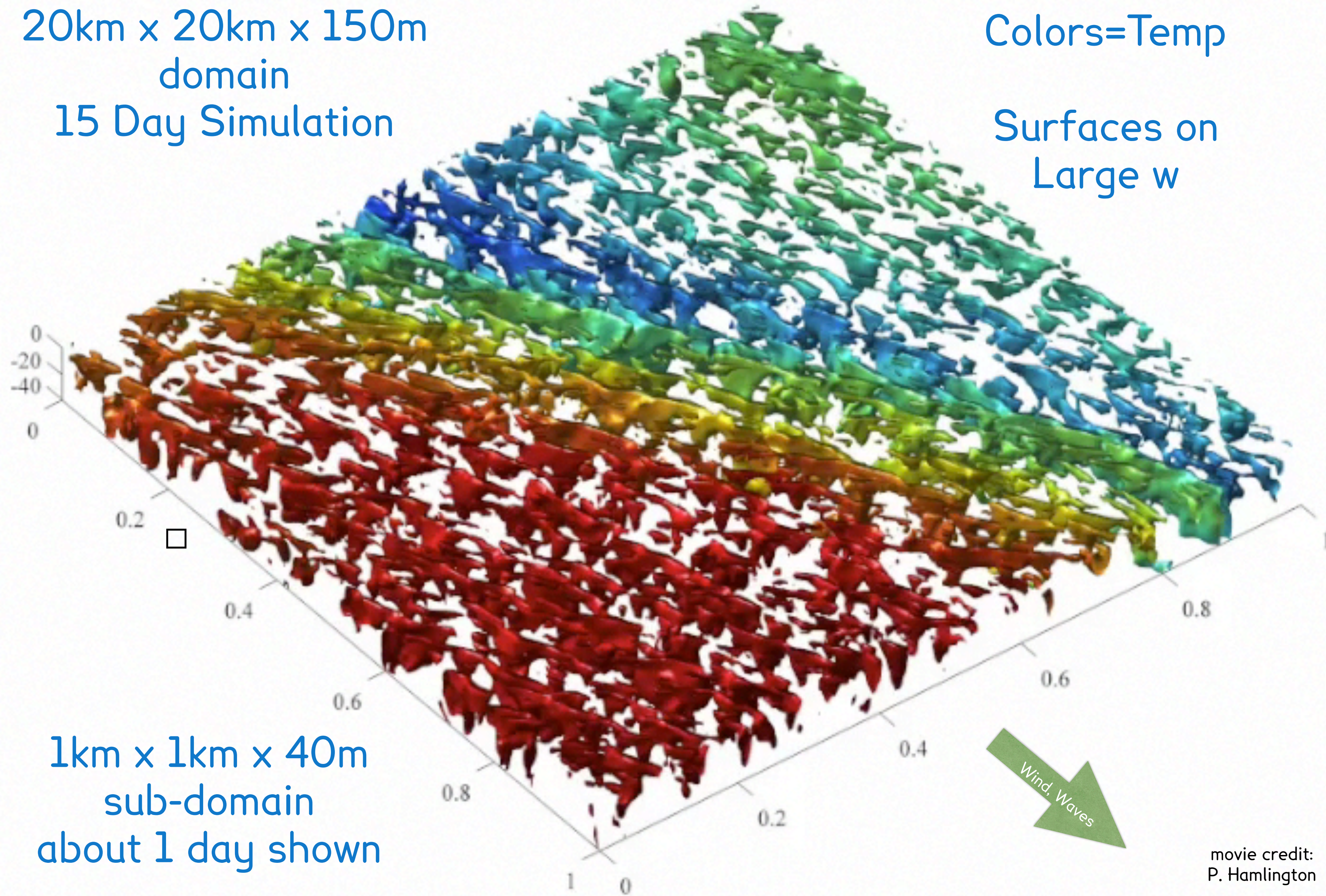


1km x 1km x 40m
sub-domain
about 1 day shown

movie credit:
P. Hamlington

20km x 20km x 150m
domain
15 Day Simulation

Colors=Temp
Surfaces on
Large w



1km x 1km x 40m
sub-domain
about 1 day shown

movie credit:
P. Hamlington



Temperature

Warm

Cold

High Molecular
Diffusivity

Salinity

Salty

Fresh

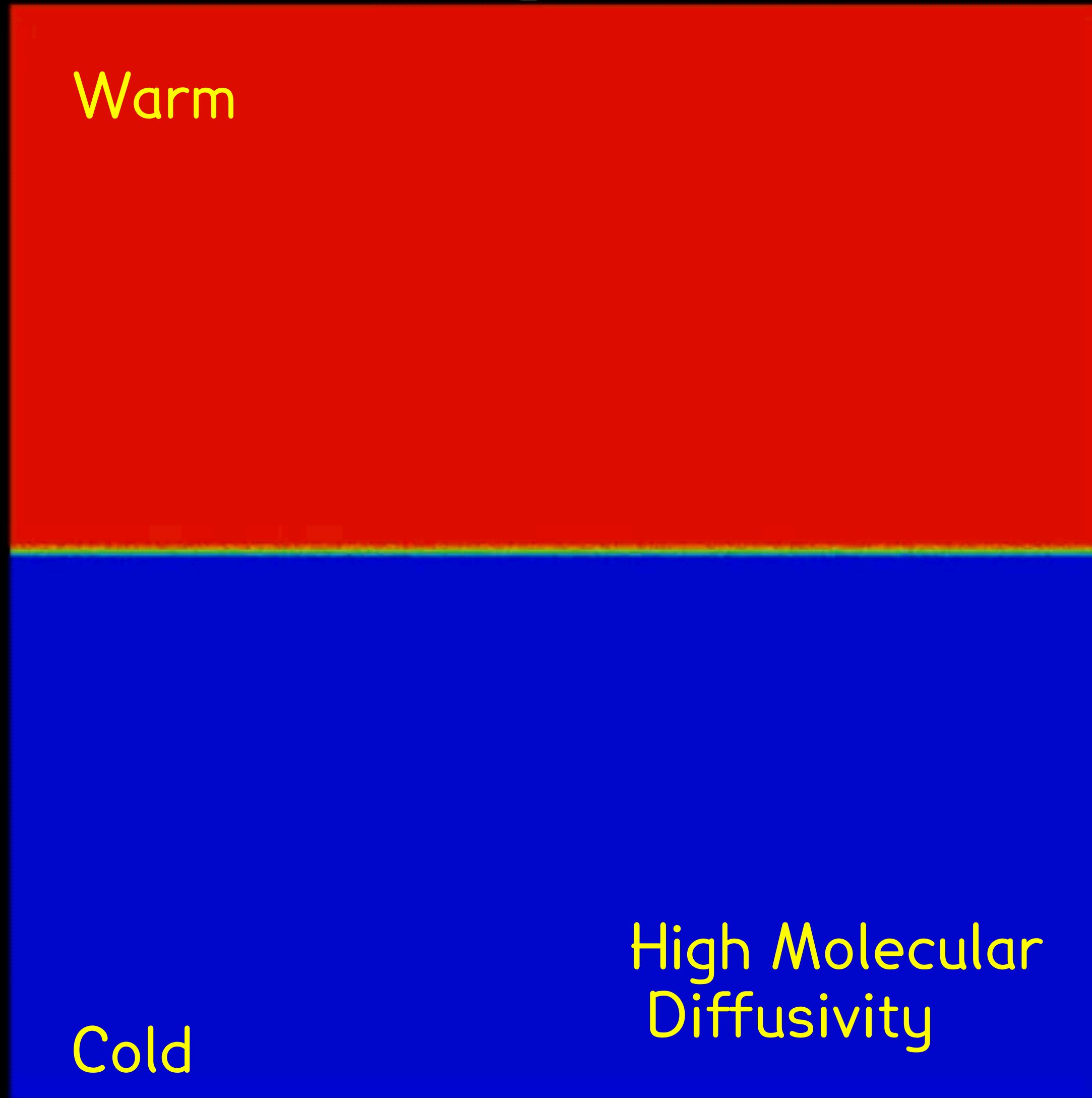
Low Molecular
Diffusivity



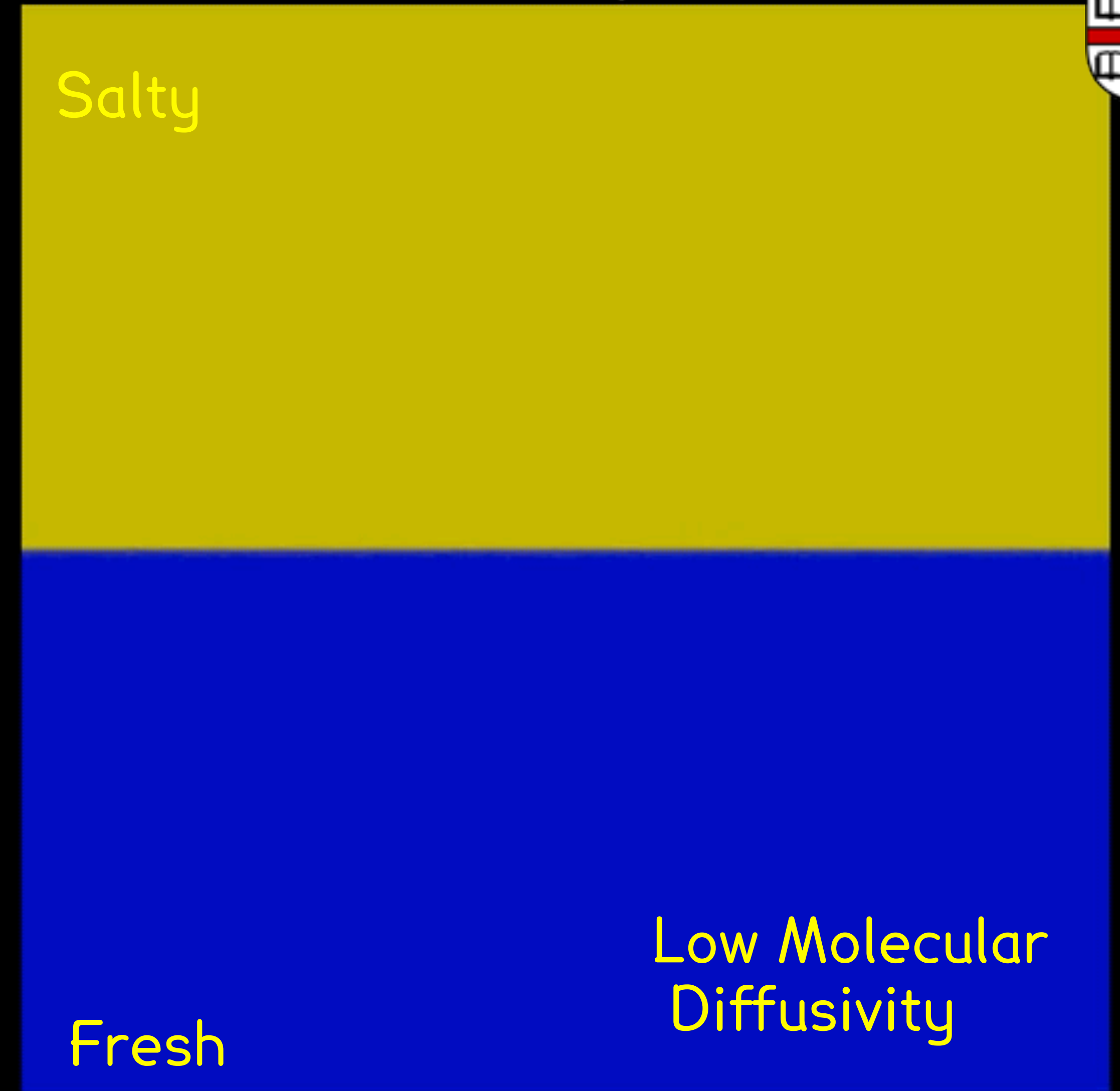
Top: Warm, salt water
Bottom: Cold, fresh water

Movie Credit: mmnasr on YouTube.

Temperature



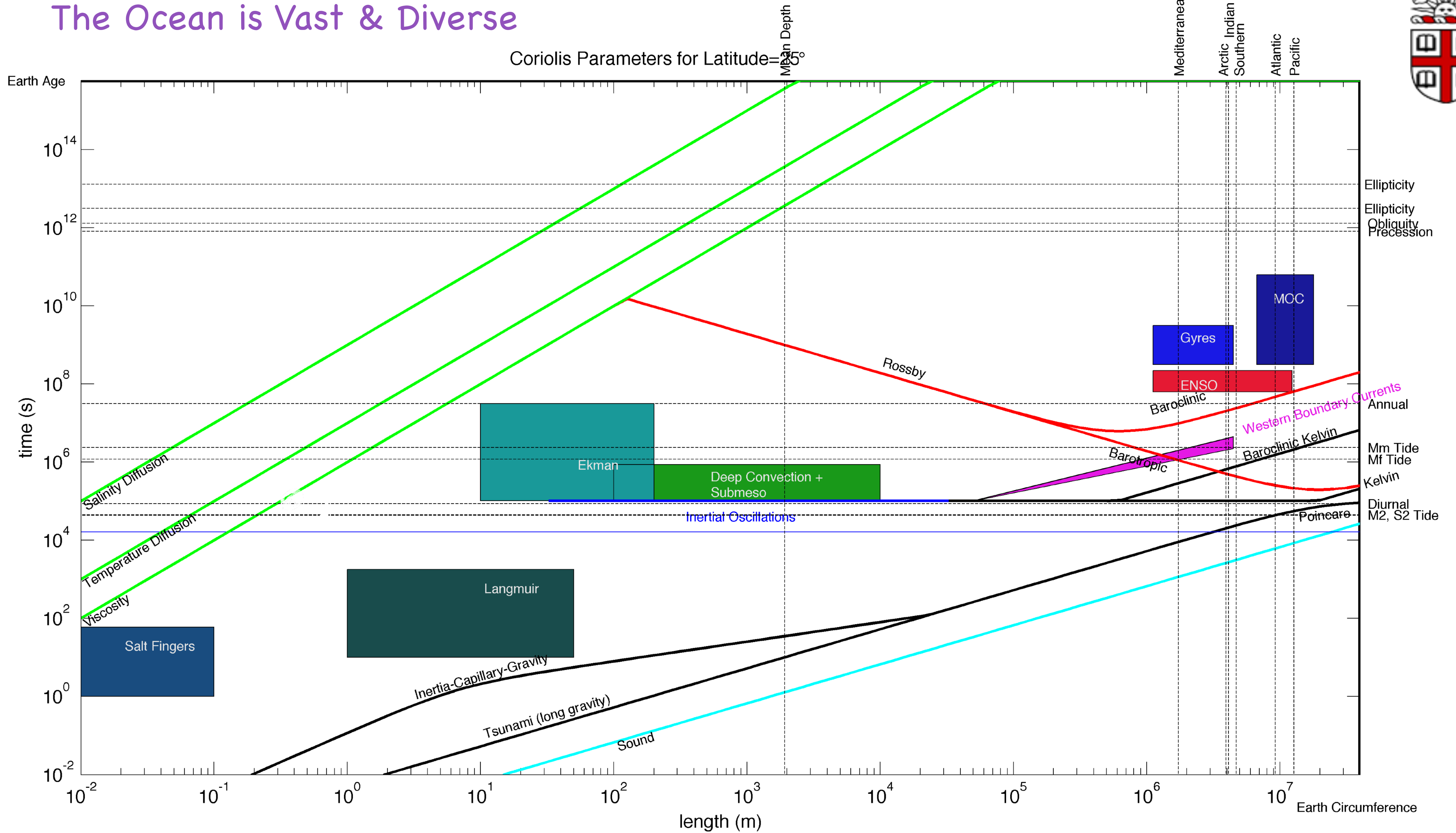
Salinity



Top: Warm, salt water
Bottom: Cold, fresh water

Movie Credit: mmnasr on YouTube.

The Ocean is Vast & Diverse



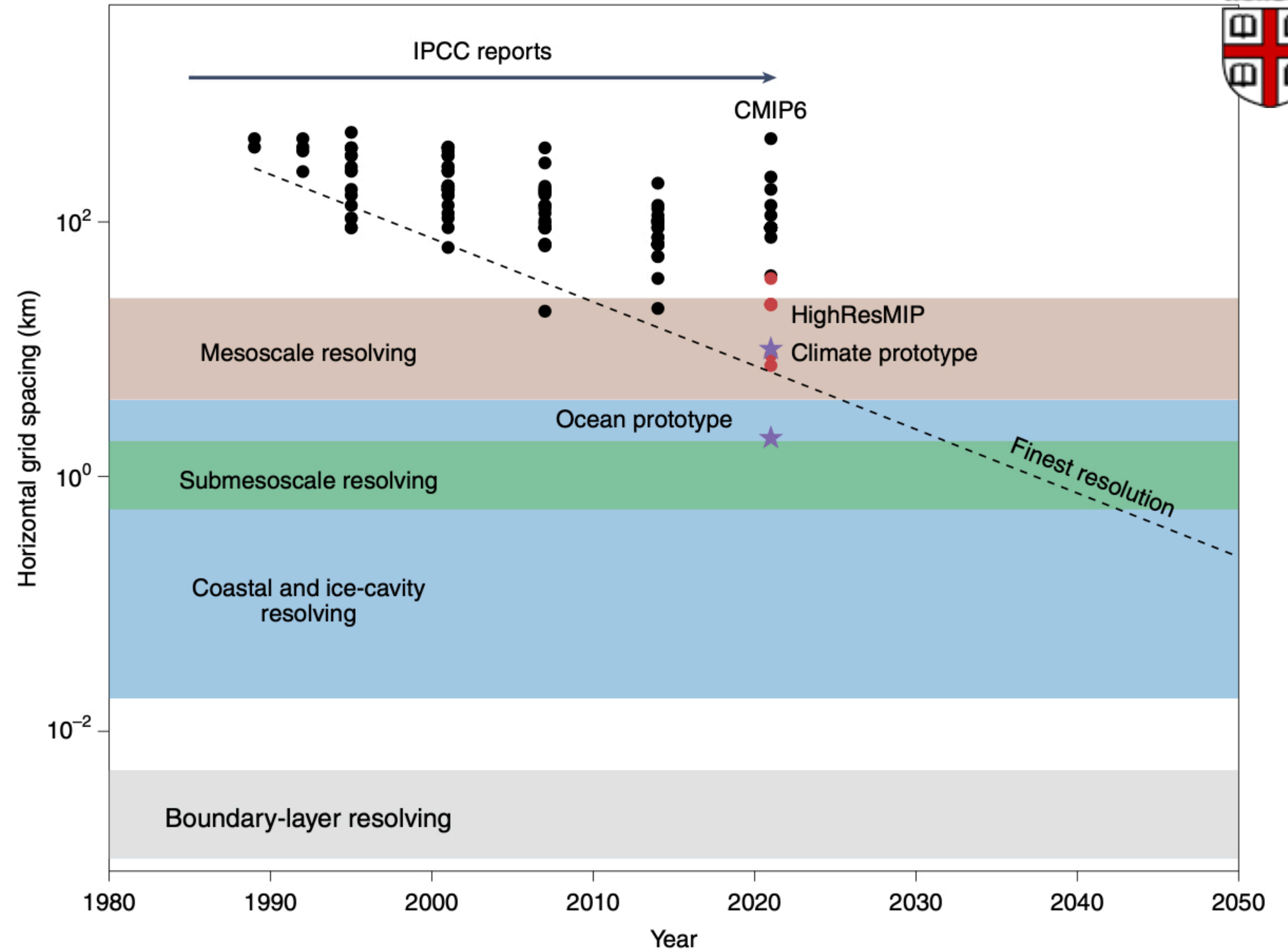
The small scales of the ocean may hold the key to surprises

Sharp fronts and eddies that are ubiquitous in the world ocean, as well as features such as shelf seas and under-ice-shelf cavities, are not captured in climate projections. Such small-scale processes can play a key role in how the large-scale ocean and cryosphere evolve under climate change, posing a challenge to climate models.

Helene Hewitt, Baylor Fox-Kemper, Brodie Pearson, Malcolm Roberts and Daniel Klocke



Ocean resolution of global models



But, global models won't resolve these small processes for decades.

Fig. 2 | The evolution of global ocean model resolution by publication year. Shown are models from

Hewitt et al., *Nature Climate Change*, 2022

Nesting/Overlapping



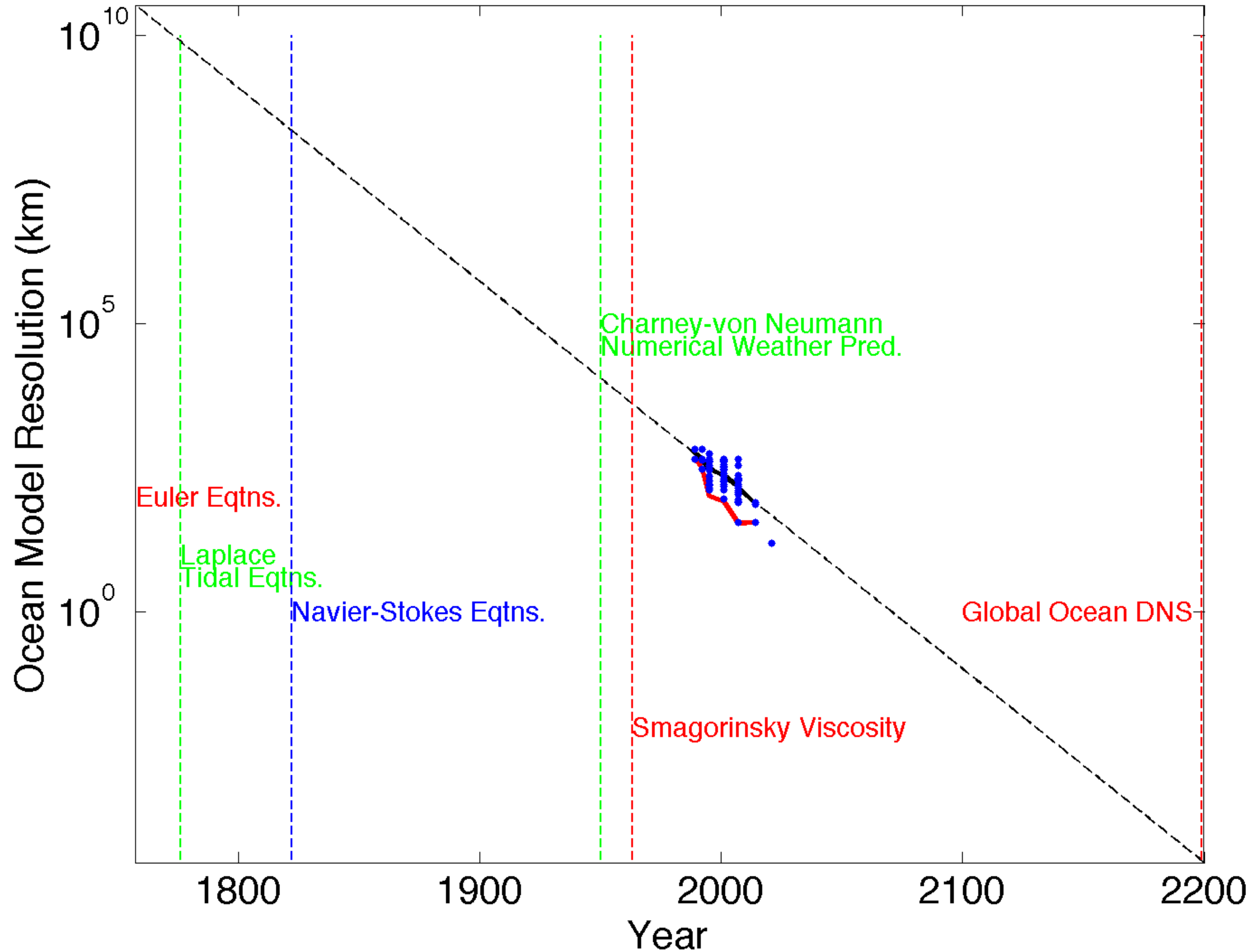
- OK, can simulate global down to molecular scale in 4-5 single-scale nestings, each 1 teragrid (10^{12} space+time units), so could simulate effects of climate change on any chosen small domain fully.
- A teragrid is an accessible amount of computing (e.g., a PhD thesis could contain many with normal resources, possibly more with good code & gpus).
- If a simulation spans more than one scale, they simulations are much more expensive ($O(10-1000$ teragrids)).
- But, what equations for each nest?

Don't we already
know the equations?

- Euler, 1757
- Laplace et al., 1829
- Navier, 1822
- Fourier, 1822
- Stokes, 1845
- Fick, 1855
- Onsager, 1931

Yes, but...

Not how to solve them!





The Ocean is Vast & Diverse:

Vast scales, diverse phenomena, e.g.,
Global, Mesoscale, Submesoscale, Langmuir,
Double Diffusion

- Understanding each scale is needed as we can't afford to resolve all of the scales at once.
- At each grid resolution, we need to parameterize the smaller scales (subgrid modeling).
- Fronts appear at each scale, with varying intensity and varying characters of frontal instabilities/turbulence
- What equations are appropriate at each scale?

The New Schools:

Reduced Models

- Boussinesq, 1897
- Charney, 1947
- Longuet-Higgins, 1962
- Hasselmann, 1971
- Craik-Leibovich, 1976
- Blumen, 1982
- Samelson & Vallis, 1997
- McWilliams, Sullivan, & Moeng, 1997
- Pierrehumbert, Held, & Swanson, 1994
- Julien & Knobloch, 2007

Parameterizations

- Stommel, 1948
- Munk, 1950
- Smagorinsky, 1963
- Mellor & Yamada, 1982
- Gent & McWilliams, 1990
- Large, McWilliams, Doney, 1994
- Leith, 1996
- McDougall, 2003
- Kantha & Clayson, 2004
- Fox-Kemper, Ferrari, Hallberg 2008
- Harcourt, 2013
- Bachman, Fox-Kemper, Pearson 2017
- Reichl & Li, 2019
- Bodner et al. 2022...



Key Concept:

Gridscale* Dimensionless Parameters

Gridscale Reynolds¹:

$$Re^* = \frac{U^* \Delta x}{\nu^*}$$

Gridscale Péclet¹:

$$Pe^* = \frac{U^* \Delta x}{\kappa^*}$$

Gridscale Rossby:

$$Ro^* = \frac{U^*}{f \Delta x}$$

Gridscale Richardson:

$$Ri^* = \frac{\Delta b^* \Delta z}{\Delta U^{*2}}$$

Gridscale Burger:

$$Bu^* = \frac{N^{*2} \Delta z^2}{f^2 \Delta x^2} = \frac{L_d^2}{\Delta x^2} \sim Ro^{*2} Ri^*$$

Asterisks denote *resolved* quantities, rather than true values

¹ Gridscale Reynolds and Péclet numbers MUST be O(1) for numerical stability

BFK. New Frontiers in Operational Oceanography, chapter Notions for the Motions of the Oceans, pages 27-73. GODAE OceanView, 2018.

B. Fox-Kemper and D. Menemenlis. Can large eddy simulation techniques improve mesoscale-rich ocean models? In M. Hecht and H. Hasumi, editors, Ocean Modeling in an Eddying Regime, volume 177, pages 319-338. AGU Geophysical Monograph Series, 2008.

Navier-Stokes+, Fundamental Continuum Ocean Eqns, Following Müller (2006)

$$\frac{D\rho}{Dt} = -\rho \underbrace{\nabla \cdot \mathbf{u}}_{\text{divergence}},$$

$$D_{ij} = \frac{1}{2}(\nabla_i u_j + \nabla_j u_i)$$

$$\rho \frac{D\mathbf{u}}{Dt} = \nabla \cdot \underbrace{(-p\mathbf{I} + \boldsymbol{\sigma}^{\text{mol}})}_{\text{stress tensor}} - \underbrace{2\rho\boldsymbol{\Omega} \times \mathbf{u}}_{\text{Coriolis}} - \underbrace{\rho\nabla(\phi)}_{\text{geopotential}},$$

$$\frac{DT}{Dt} = \underbrace{\left(\frac{\partial T}{\partial p}\right)_{\eta,S} \frac{Dp}{Dt}}_{\text{compress}} + \frac{1}{\rho c_p} \left[-\nabla \cdot \underbrace{(\mathbf{q}^{\text{mol}} + \mathbf{q}^{\text{rad}})}_{\text{heat flux}} + \underbrace{D_{ij}\sigma_{ij}^{\text{mol}}}_{\text{friction heat}} - \underbrace{\mathbf{I}_S^{\text{mol}} \cdot \nabla(h_s - h_w)}_{\text{mixing heat}} \right],$$

$$= \underbrace{\left(\frac{\partial T}{\partial p}\right)_{\eta,S} \frac{Dp}{Dt}}_{\text{compress}} - \frac{\nabla \cdot \mathbf{q}^{\text{all}}}{\rho c_p} + \frac{\dot{q}}{\rho c_p},$$

$$\frac{DS}{Dt} = -\frac{1}{\rho} \nabla \cdot \underbrace{\mathbf{I}_S^{\text{mol}}}_{\text{salt flux}},$$

$$\rho = \underbrace{\rho(p, T, S)}_{\text{eqtn. of state}}.$$

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$$\frac{DS}{Dt} = -\frac{1}{\rho} \nabla \cdot \underbrace{\mathbf{I}_S^{\text{mol}}}_{\text{salt flux}},$$

$$\sigma_{ij}^{\text{mol}} = 2\mu D_{ij} - \frac{2}{3}\nu D_{kk}\delta_{ij}.$$

$$\rho = \underbrace{\rho(p, T, S)}_{\text{eqtn. of state}}.$$

$$\mathbf{q}^{\text{mol}} = -\rho (\kappa_T \nabla T - \kappa_{TS} [\nabla S - \gamma \nabla p]),$$

$$\mathbf{I}_S^{\text{mol}} = -\rho (\kappa_S [\nabla S - \gamma \nabla p] + \kappa_{ST} \nabla T).$$

But, hold on...

- These equations can only be used on an "ocean" less than 1 cubic meter in size for direct numerical simulations of a few seconds.
- Present computing: A "teragrid" we can afford: $1024 \times 1024 \times 1024 \times 1024$ gridpoints & timesteps
- Sound waves are killing us (CFL on 1400 m/s)!
- Maybe we can filter them out?

Sound Waves

$$\frac{D\rho}{Dt} = -\rho \nabla \cdot \mathbf{u},$$

$$\left(\frac{Dp}{Dt} \right)_{S,\eta} = \left(\frac{\partial \rho}{\partial p} \right)_{S,\eta} \left(\frac{D\rho}{Dt} \right)_{S,\eta} = c^2 \left(\frac{D\rho}{Dt} \right)_{S,\eta}$$

$$\frac{Dp}{Dt} = -\rho c^2 \nabla \cdot \mathbf{u} + D_p^{\text{mol}}.$$

$$\frac{D\mathbf{u}}{Dt} = \frac{1}{\rho} \nabla \cdot (-p\mathbf{I} + \sigma^{\text{mol}}) - 2\boldsymbol{\Omega} \times \mathbf{u} - g\mathbf{k}$$

$$\frac{\partial^2 p'}{\partial t^2} + c^2 \nabla^2 p' = -2c^2 \bar{\rho} \boldsymbol{\Omega} \cdot \nabla \times \mathbf{u}' - c^2 \nabla \cdot \sigma^{\text{mol}'} + D_p^{\text{mol}}.$$

Boussinesq (1897)

$$\begin{aligned}\rho &= \rho_0 + \bar{\rho}(z) + \delta\rho(x, y, z, t), \\ b &= g(\rho_0 - \delta\rho(x, y, z, t)) / \rho_0, \\ \bar{b} &= g(\rho_0 - \bar{\rho}(z)) / \rho_0.\end{aligned}$$

$$0 \approx \nabla \cdot \mathbf{u},$$

The Boussinesq Approx. Eqtns

$$\frac{D\mathbf{u}}{Dt} = \frac{1}{\rho_0} \nabla \cdot (-\pi \mathbf{I} + \sigma^{\text{mol}}) - 2\boldsymbol{\Omega} \times \mathbf{u} + b\mathbf{k},$$

$$\frac{D\Theta}{Dt} \approx \frac{1}{\rho_0 C_p^0} \left[-\nabla \cdot (\mathbf{q}^{\text{mol}} + \mathbf{q}^{\text{rad}}) + D_{ij} \sigma_{ij}^{\text{mol}} - \mathbf{I}_S^{\text{mol}} \cdot \nabla (h_s - h_w) \right]$$

$$\frac{DS}{Dt} = -\frac{1}{\rho_0} \nabla \cdot \mathbf{I}_S^{\text{mol}},$$

$$b = g(\rho_0 + \bar{\rho}(z) - \rho(p - \pi, \Theta, S)) / \rho_0 = b(z, \Theta, S).$$

Along with losing sound waves...

- We lose the ability to convert internal energy to mechanical (kinetic or potential). NO HEAT ENGINES, but a side advantage is decoupled thermal and mechanical energy budgets (reflective of the real ocean!)
- We lose the ability to directly simulate sea level rise (mass of ocean conservation is not the same as volume of ocean conservation)
- Could take an intermediate step—anelastic equations in pressure coordinates, as is done in atmosphere.

Can we simplify more?

$$\text{Ro}_* [\partial_t \mathbf{v}_h + \mathbf{v}_h \cdot \nabla \mathbf{v}_h + \epsilon w \partial_z \mathbf{v}_h] + \underbrace{\left(1 + \frac{y \text{Pl}_*}{\Delta y}\right) \mathbf{z} \times \mathbf{v}_h + \text{M}_{R_*} \nabla_h \pi}_{\text{geostrophic}} = \frac{\text{Ro}_*}{\text{Re}_*} \nabla_i \sigma_{ih},$$

$$\text{Fr}_*^2 \frac{\Delta z^2}{\Delta s^2} [\partial_t w + \mathbf{v}_h \cdot \nabla w + \epsilon w \partial_z \mathbf{v}_h] + \underbrace{\partial_z \pi - b}_{\text{hydrostatic}} = \frac{\text{Fr}_*^2 \Delta z^2}{\text{Re}_* \Delta s^2} \nabla_i \sigma_{iz},$$

$$\partial_t S + \mathbf{v}_h \cdot \nabla S + \epsilon w \partial_z S + w \partial_z \bar{S} = \frac{1}{\text{Pe}_*} \nabla \cdot \mathbf{I}_S^{\text{all}},$$

$$\partial_t \Theta + \mathbf{v}_h \cdot \nabla \Theta + \epsilon w \partial_z \Theta + w \partial_z \bar{\Theta} = \frac{1}{\text{Pe}_*} \nabla \cdot \mathbf{I}_\theta^{\text{all}},$$

$$\partial_t b + \mathbf{v}_h \cdot \nabla b + \epsilon w \partial_z b + w \partial_z \bar{b} = \frac{1}{\text{Pe}_*} \nabla \cdot \left(\alpha \mathbf{I}_\theta^{\text{all}} - \beta \mathbf{I}_S^{\text{all}} \right),$$

$$\nabla \cdot \mathbf{v}_h + \epsilon \partial_z w = 0,$$

$$\text{M}_{R_*} \equiv \max(1, \text{Ro}_*), \quad \epsilon \equiv \frac{\text{Fr}_*^2}{\text{Ro}_*} \text{M}_{R_*} = \begin{cases} \text{Fr}_*^2 & \text{Ro}_* \geq 1, \\ \text{Ro}_* \text{Bu}_*^{-1} & \text{Ro}_* < 1 \end{cases}$$

Boussinesq Equations
Following McWilliams (1985)

With dimensions based on model grid...
Hydrostatic & QG are just a step away...

The Character of the Mesoscale

100
km

(Capet et al., 2008)

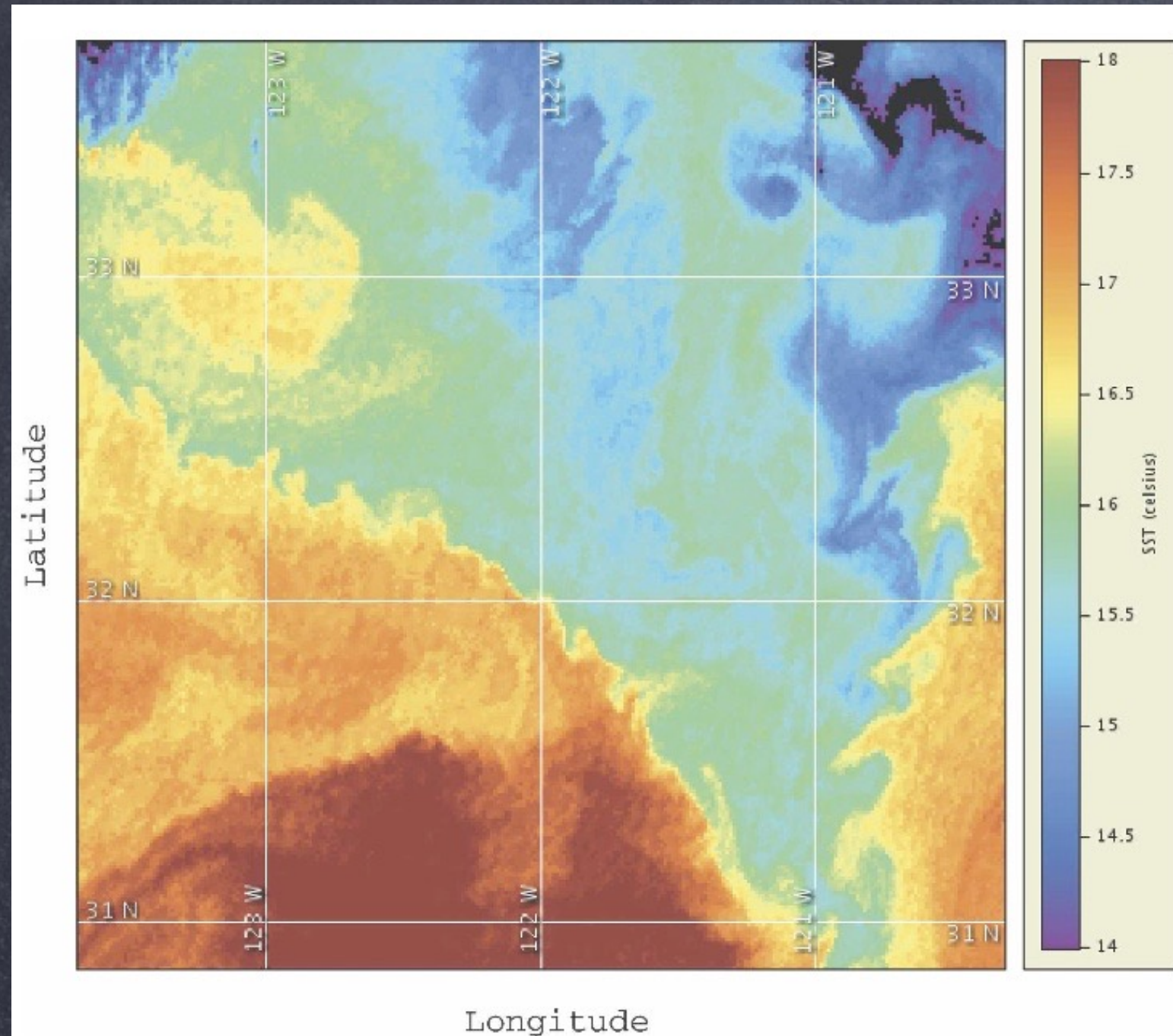
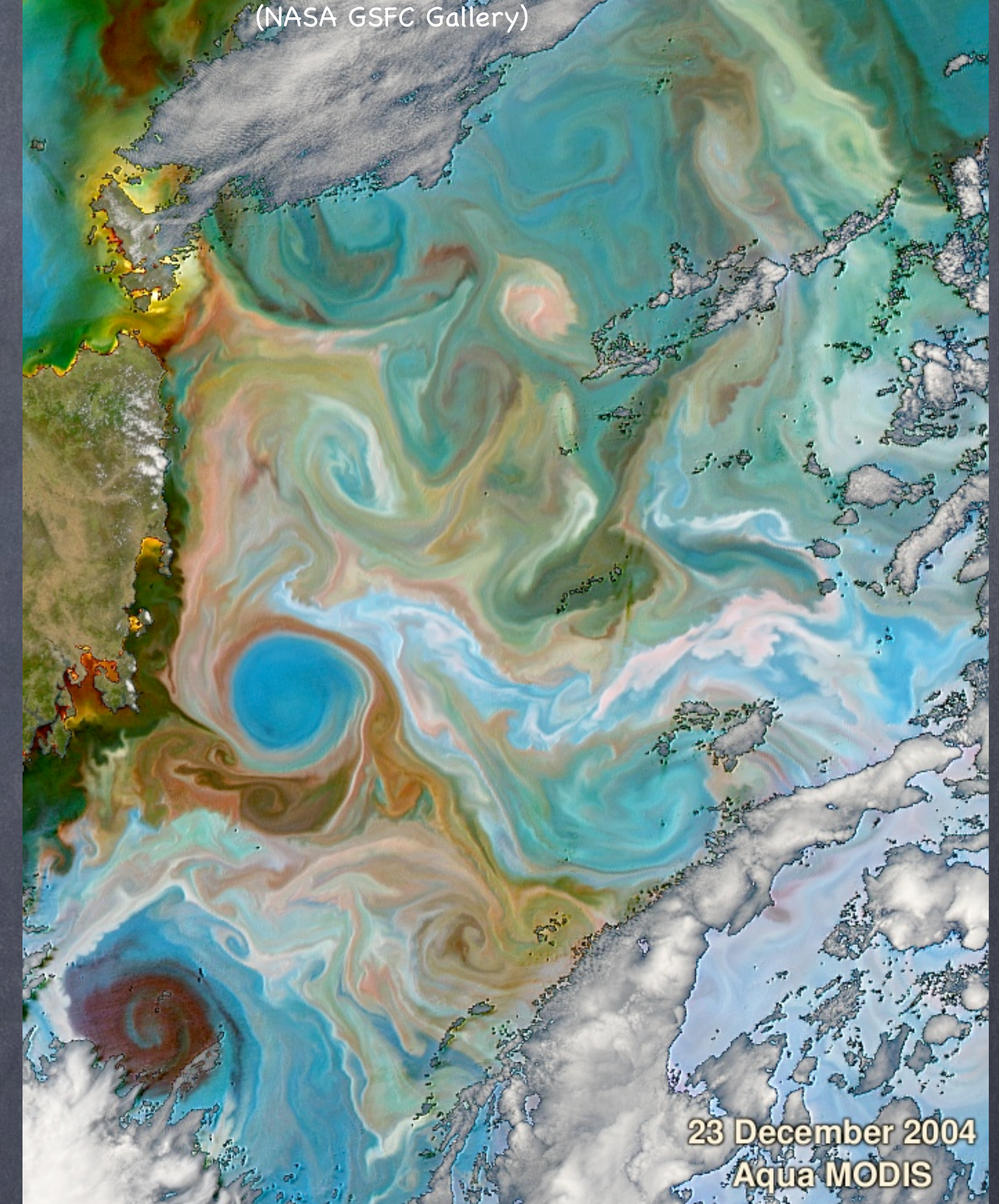


FIG. 16. Sea surface temperature measured at 1832 UTC 3 Jun 2006 off Point Conception in the California Current from CoastWatch (<http://coastwatch.pfeg.noaa.gov>). The fronts between recently upwelled water (i.e., 15°–16°C) and offshore water ($\geq 17^\circ\text{C}$) show submesoscale instabilities with wavelengths around 30 km (right front) or 15 km (left front). Images for 1 day earlier and 4 days later show persistence of the instability events.

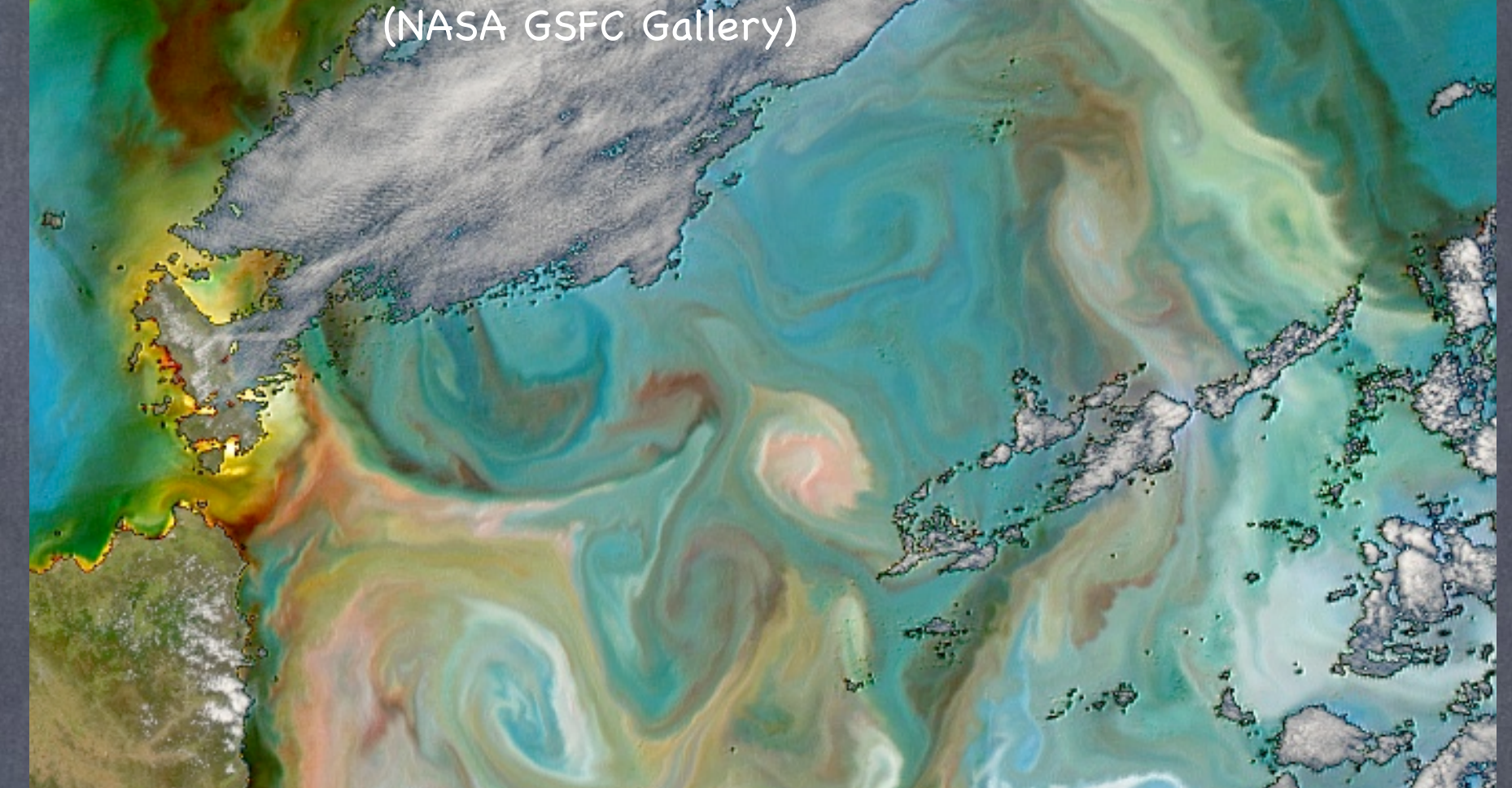
- Boundary Currents
- Eddies
- $Ro=O(0.1)$
- $Ri=O(1000)$,
 $Fr=O(0.01)$
- Full Depth
- Eddies strain to produce Fronts
- 100km, months



Eddy processes mainly **baroclinic & barotropic instability**. Parameterizations of baroclinic instability (GM, Visbeck...).

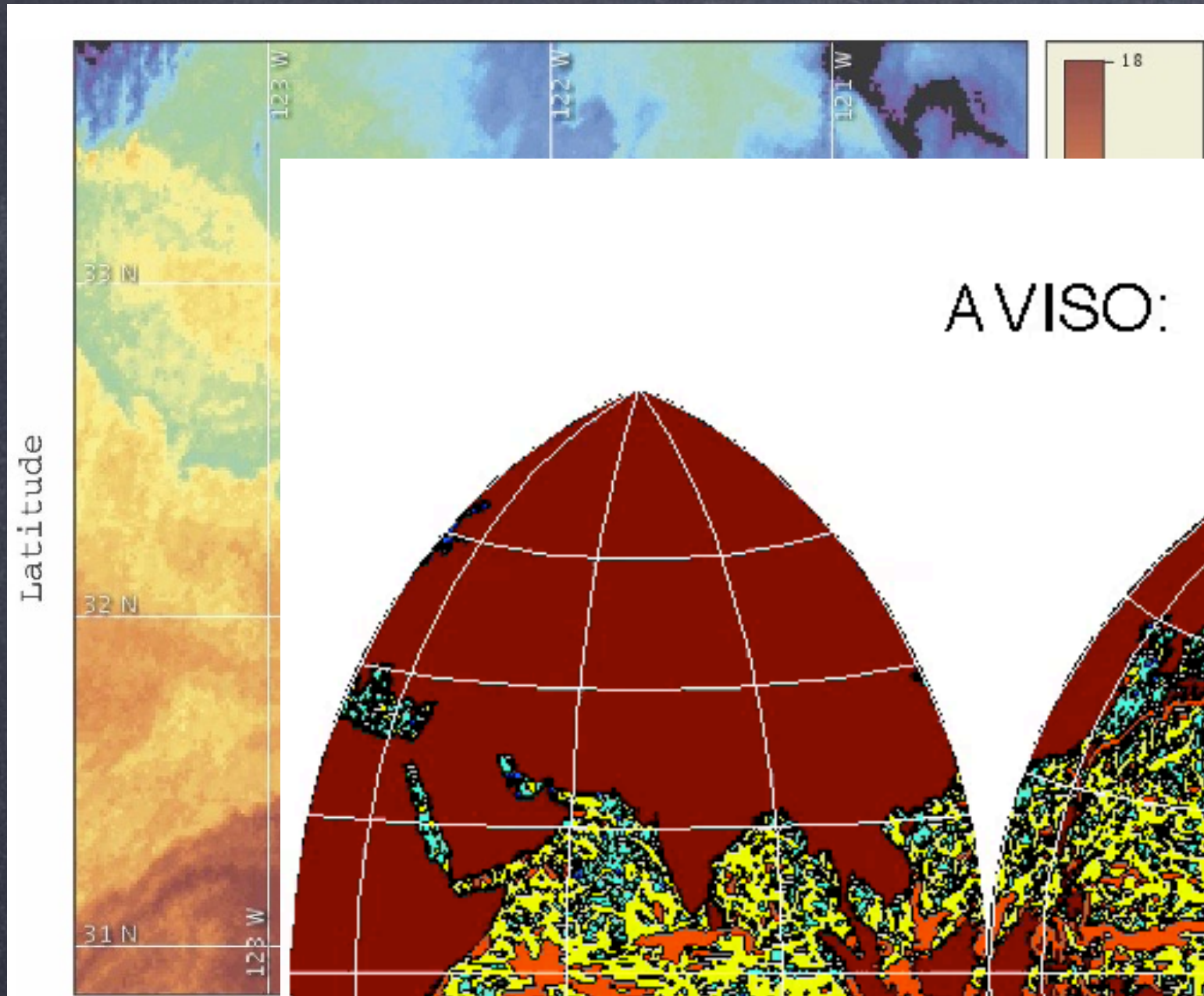
The Character of the Mesoscale

100 km



(Capet et al., 2008)

Boundary Currents



AVISO: $\log_{10}(0.5(u^2+v^2))$ on 19940101

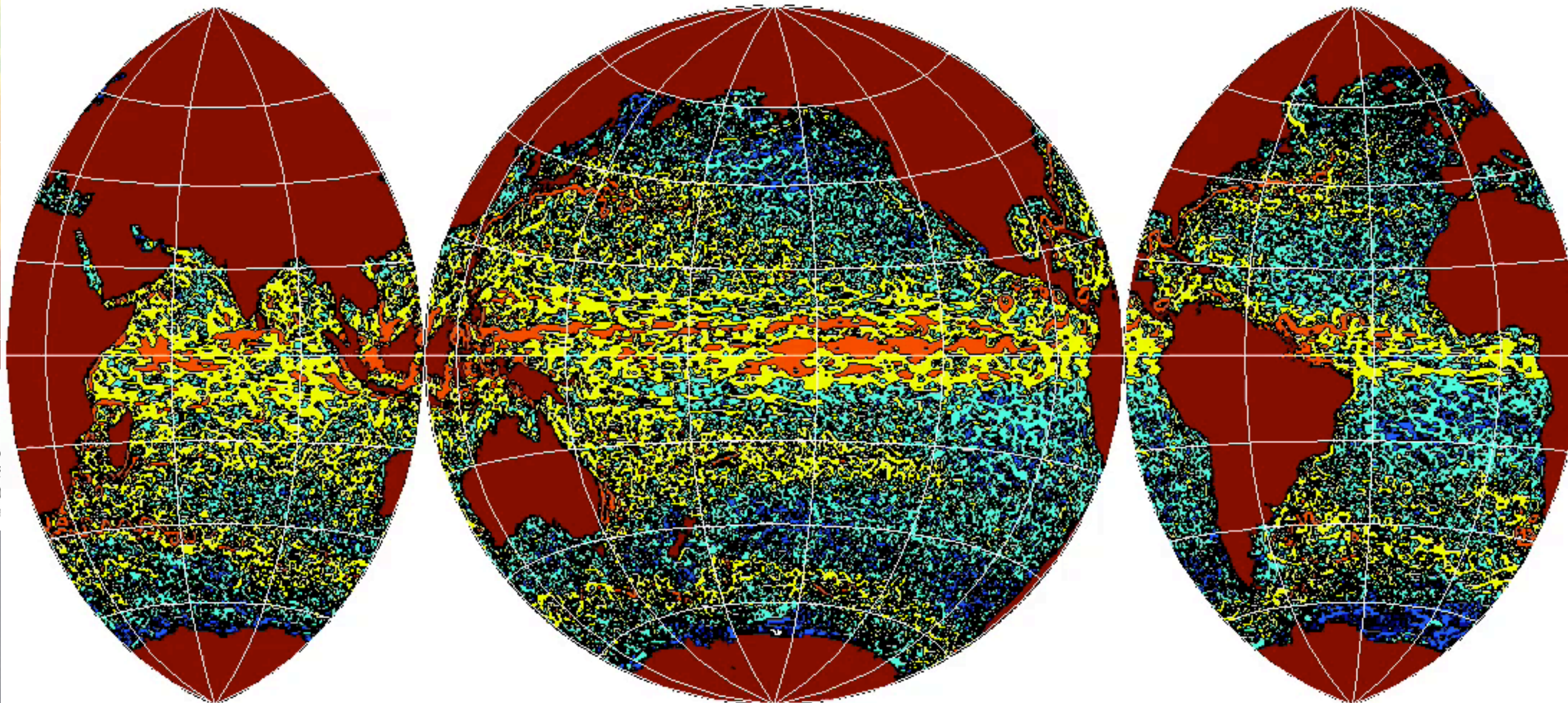


FIG. 16. Sea surface California Current from upwelled water (i.e., 15 lengths around 30 km (persistence of the insta

December 2004
Aqua MODIS

TR

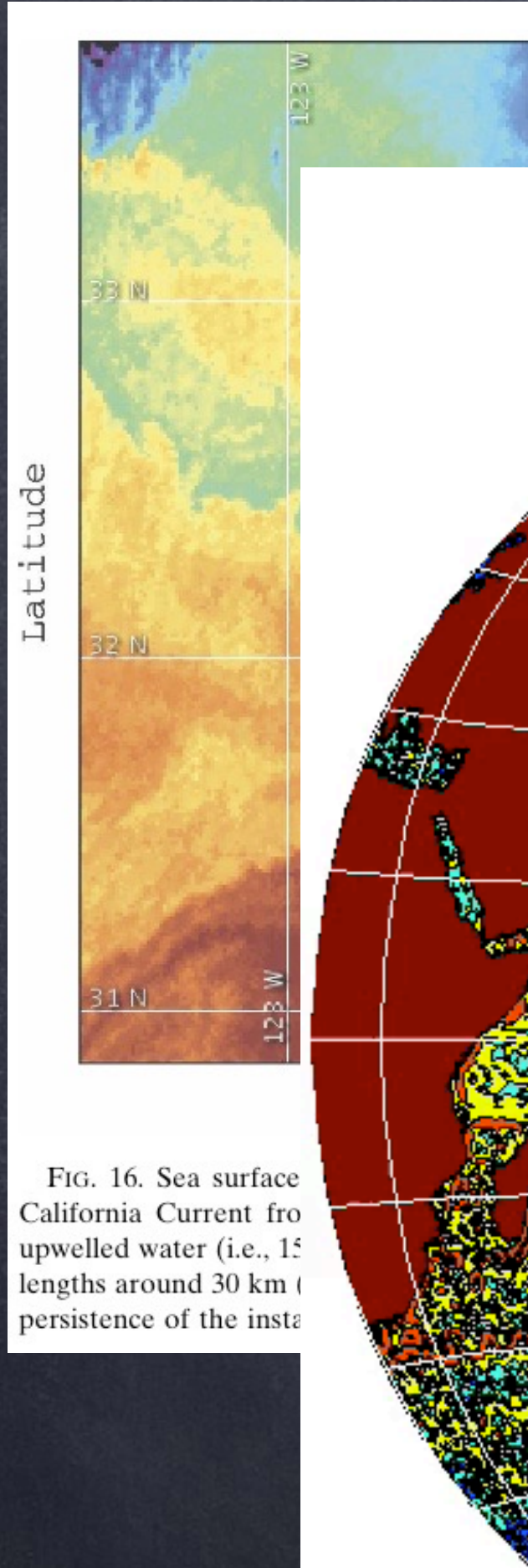
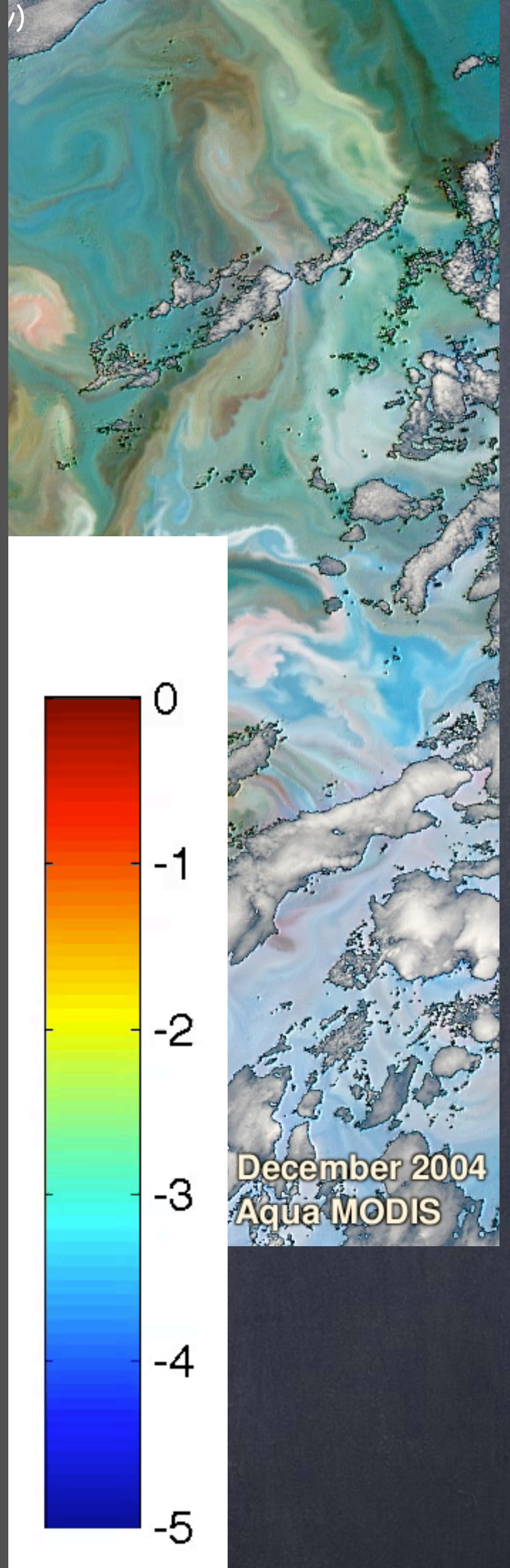
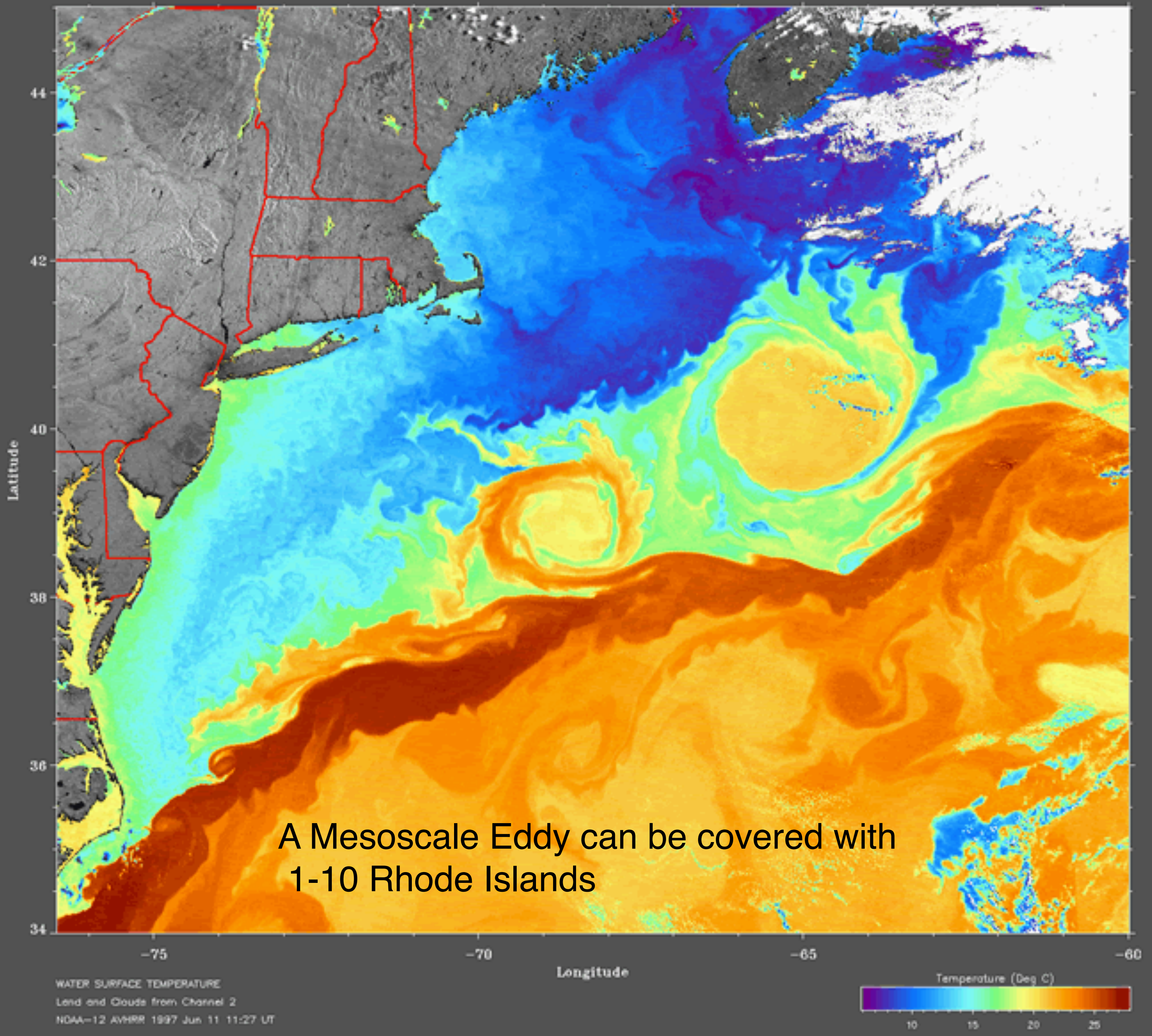
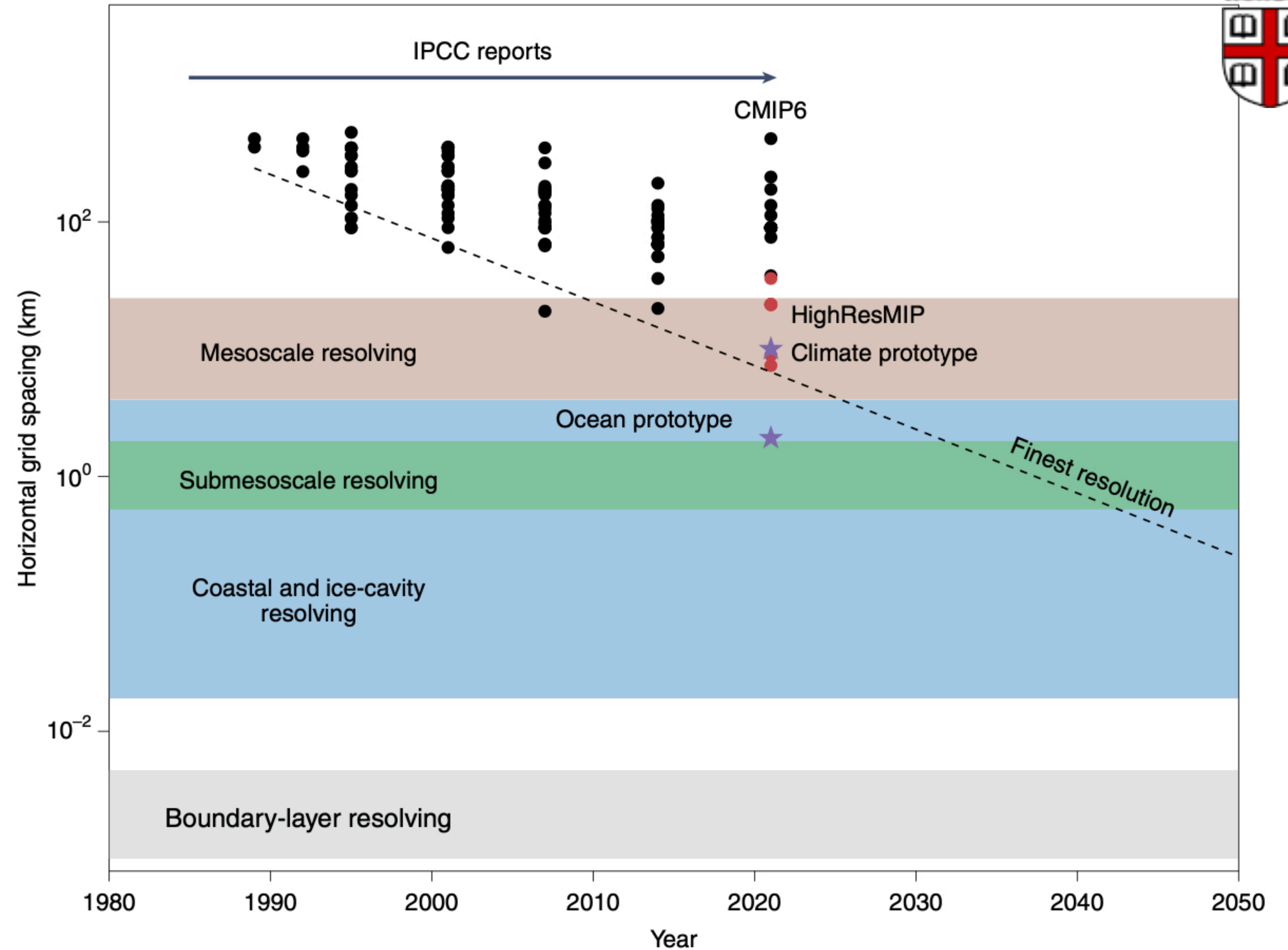


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Ocean resolution of global models



But, global models won't resolve these small processes for decades.

Fig. 2 | The evolution of global ocean model resolution by publication year. Shown are models from

Hewitt et al., *Nature Climate Change*, 2022

$$\text{Ro}_* [\partial_t \mathbf{v}_h + \mathbf{v}_h \cdot \nabla \mathbf{v}_h + \epsilon w \partial_z \mathbf{v}_h] + \underbrace{\left(1 + \frac{y \text{Pl}_*}{\Delta y}\right) \mathbf{z} \times \mathbf{v}_h + \text{M}_{R_*} \nabla_h \pi}_{\text{geostrophic}} = \frac{\text{Ro}_*}{\text{Re}_*} \nabla_i \sigma_{ih},$$

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④ Mesoscale:

④ $\text{Ro} = O(0.1)$

④ $\text{Ri} = O(1000)$,
 $\text{Fr} = O(0.01)$

$$\partial_t S + \mathbf{v}_h \cdot \nabla S + \epsilon w \partial_z S + w \partial_z \bar{S} = \frac{1}{\text{Pe}_*} \nabla \cdot \mathbf{I}_S^{\text{all}},$$

$$\partial_t \Theta + \mathbf{v}_h \cdot \nabla \Theta + \epsilon w \partial_z \Theta + w \partial_z \bar{\Theta} = \frac{1}{\text{Pe}_*} \nabla \cdot \mathbf{I}_\theta^{\text{all}},$$

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$$(\text{Fr}^*)^{-2} = \frac{N^2 H^2}{U^2} \sim \frac{N^2}{\partial^2 U / \partial z^2} = \text{Ri}^*$$

$$\nabla \cdot \mathbf{v}_h + \epsilon \partial_z w = 0,$$

$$\text{M}_{R_*} \equiv \max(1, \text{Ro}_*), \quad \epsilon \equiv \frac{\text{Fr}_*^2}{\text{Ro}_*} \text{M}_{R_*} = \begin{cases} \text{Fr}_*^2 & \text{Ro}_* \geq 1, \\ \text{Ro}_* \text{Bu}_*^{-1} & \text{Ro}_* < 1 \end{cases}$$

For Mesoscale, geostrophic & hydrostatic are good approximations.

Quasigeostrophy? No...

Boussinesq Equations
 Following McWilliams (1985)

The problems with quasigeostrophy...

- A great toy model, crucial in the early days of numerical weather and ocean prediction because **internal waves** are filtered out (i.e., big timesteps).
- However, QG also requires oversimplification of stratification (spatially uniform), which makes it unsuitable for climate.
- Finally, QG velocities are horizontally divergenceless, and...

Quasi-Geostrophic Equations

Continuously-stratified

The adiabatic quasi-geostrophic potential vorticity equation for a Boussinesq fluid on the β -plane, is

$$\frac{Dq}{Dt} = 0, \quad q = \nabla^2 \psi + f\beta y + \frac{\partial}{\partial z} \left(\frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right), \quad (\text{QG.1})$$

where ψ is the streamfunction. The horizontal velocities are given by $(u, v) = (-\partial\psi/\partial y, \partial\psi/\partial x)$. The boundary conditions at the top and bottom are given by the buoyancy equation,

$$\frac{Db}{Dt} = 0, \quad b = f_0 \frac{\partial \psi}{\partial z}. \quad (\text{QG.2})$$

Two-level

The two-level or two-layer quasi-geostrophic equations are

$$\frac{Dq_i}{Dt} = 0, \quad q_i = \nabla^2 \psi_i + \beta y + \frac{k_d^2}{2} (\psi_j - \psi_i), \quad (\text{QG.3})$$

where $i = 1, 2$, denoting the top and bottom levels respectively, and $j = 3 - i$.

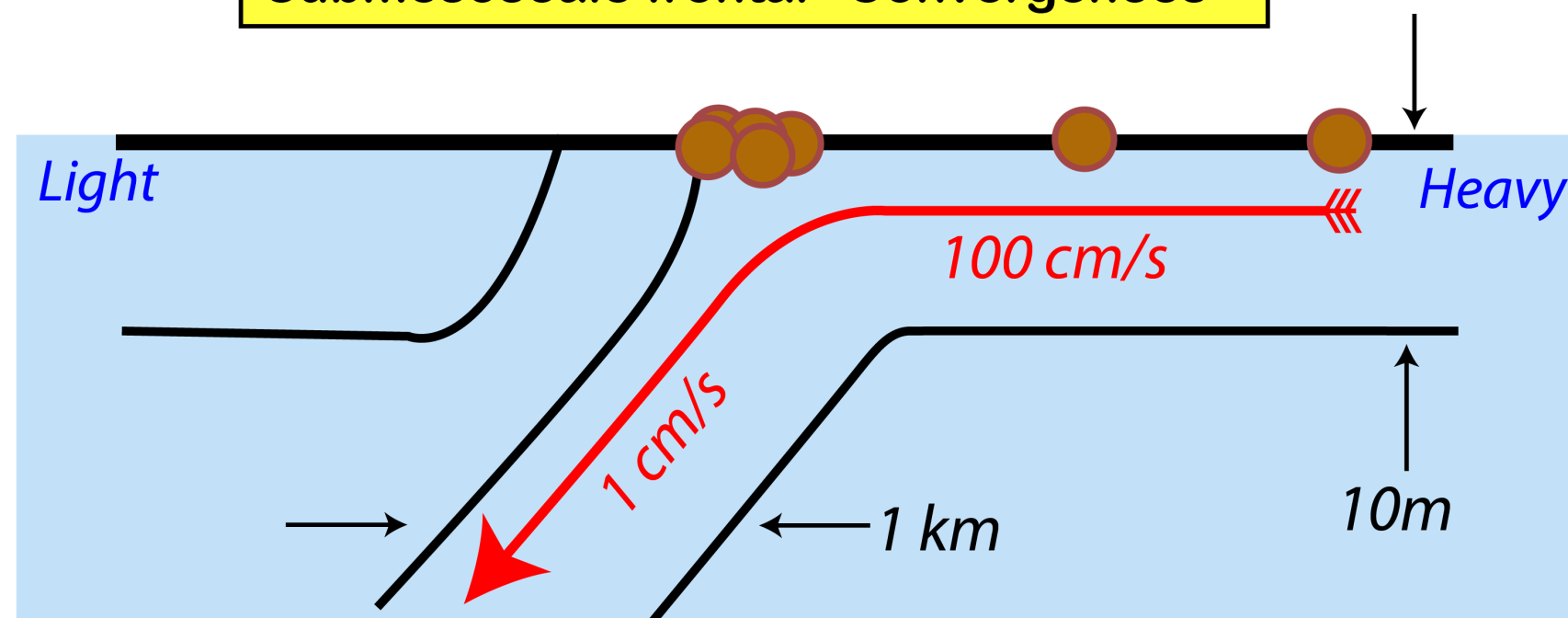
Quasigeostrophy? Not for submesoscales...

Vertical Velocity

Models & Theory predict a strong dependence on scale

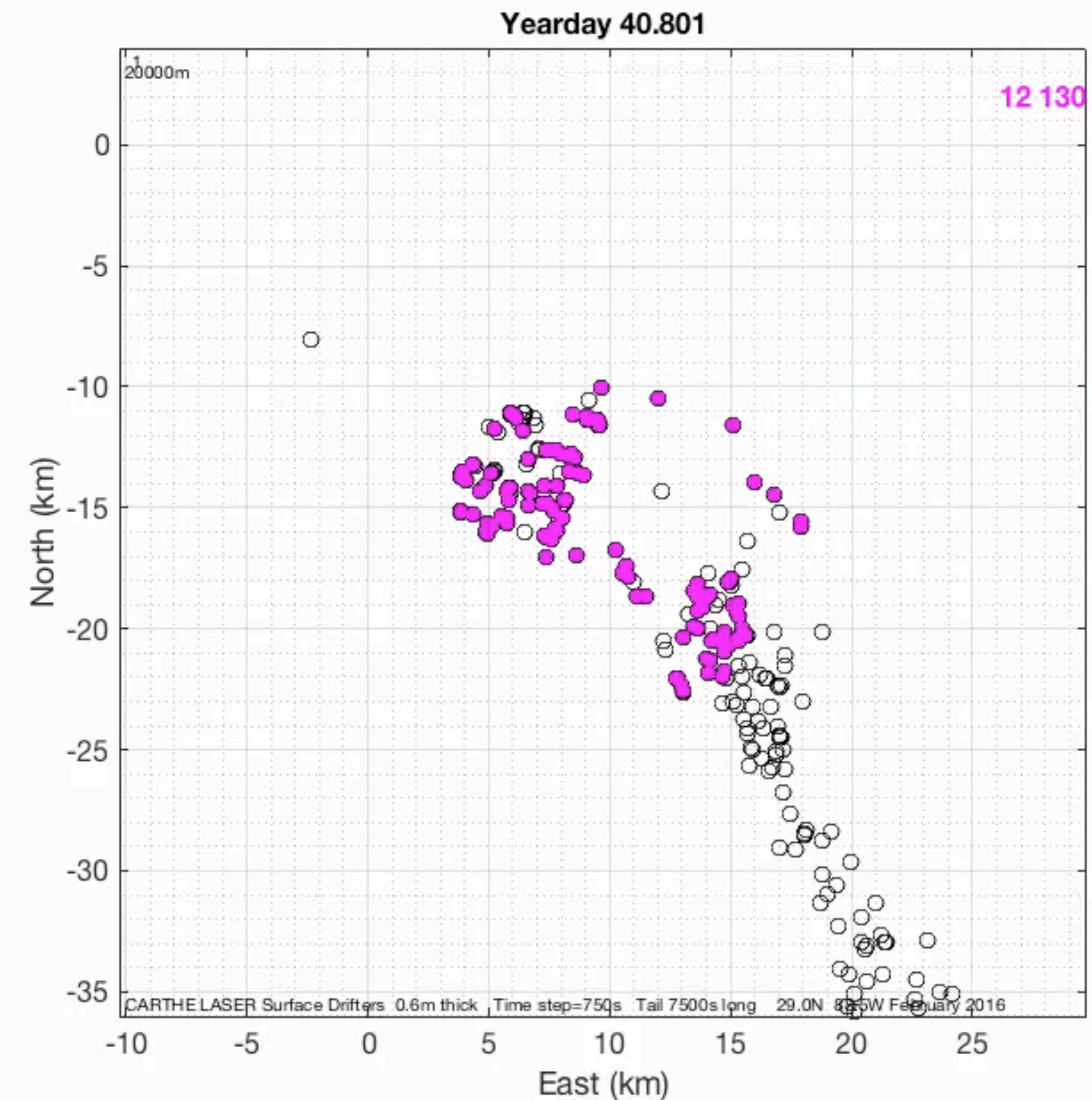
- "Mesoscale" (>100 km, 100 days): $10\mu\text{m/s}$ (1 m /day) - Not important
- "Submesoscale" (<10 km, 1 day): 1 cm/s (1 km/day)- Important, New
- "Mixed layer" (<100m, 1 hour): 1 cm/s - Dominant

Floating material accumulates at Submesoscale frontal "Convergences"



Movie & Slide Courtesy of Eric D'Asaro: See D'Asaro, E.A., Shcherbina, A.Y., Klymak, J.M., Molemaker, J., Novelli, G., Guigand, C.M., Haza, A.C., Haus, B.K., Ryan, E.H., Jacobs, G.A. and Huntley, H.S., 2018. Ocean convergence and the dispersion of flotsam. *Proceedings of the National Academy of Sciences*, 115(6), pp.1162-1167.

E. A. D'Asaro, D. F. Carlson, M. Chamecki, R. R. Harcourt, B. K. Haus, B. Fox-Kemper, M. J. Molemaker, A. C. Poje, and D. Yang. Advances in observing and understanding small-scale open ocean circulation during the Gulf of Mexico Research Initiative era. *Frontiers in Marine Science*, 7:349, May 2020.



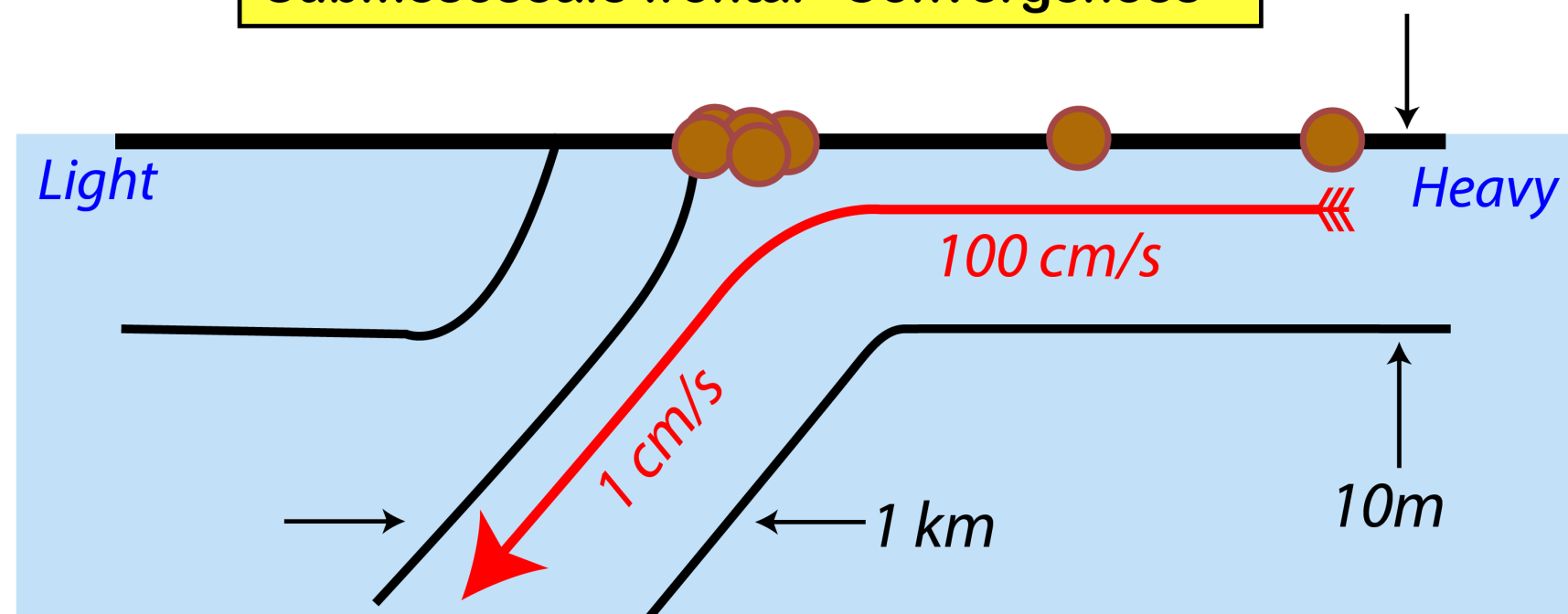
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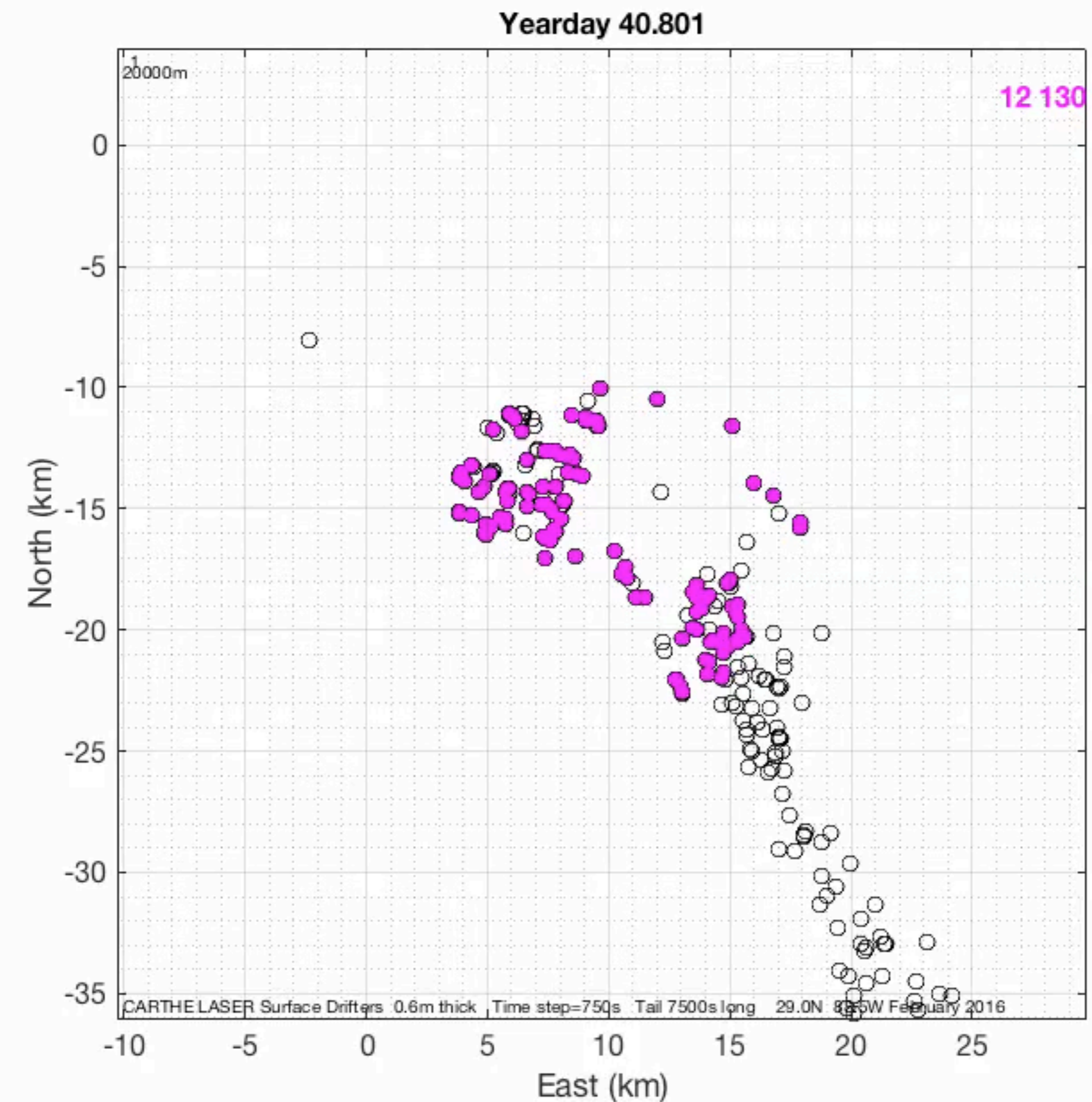
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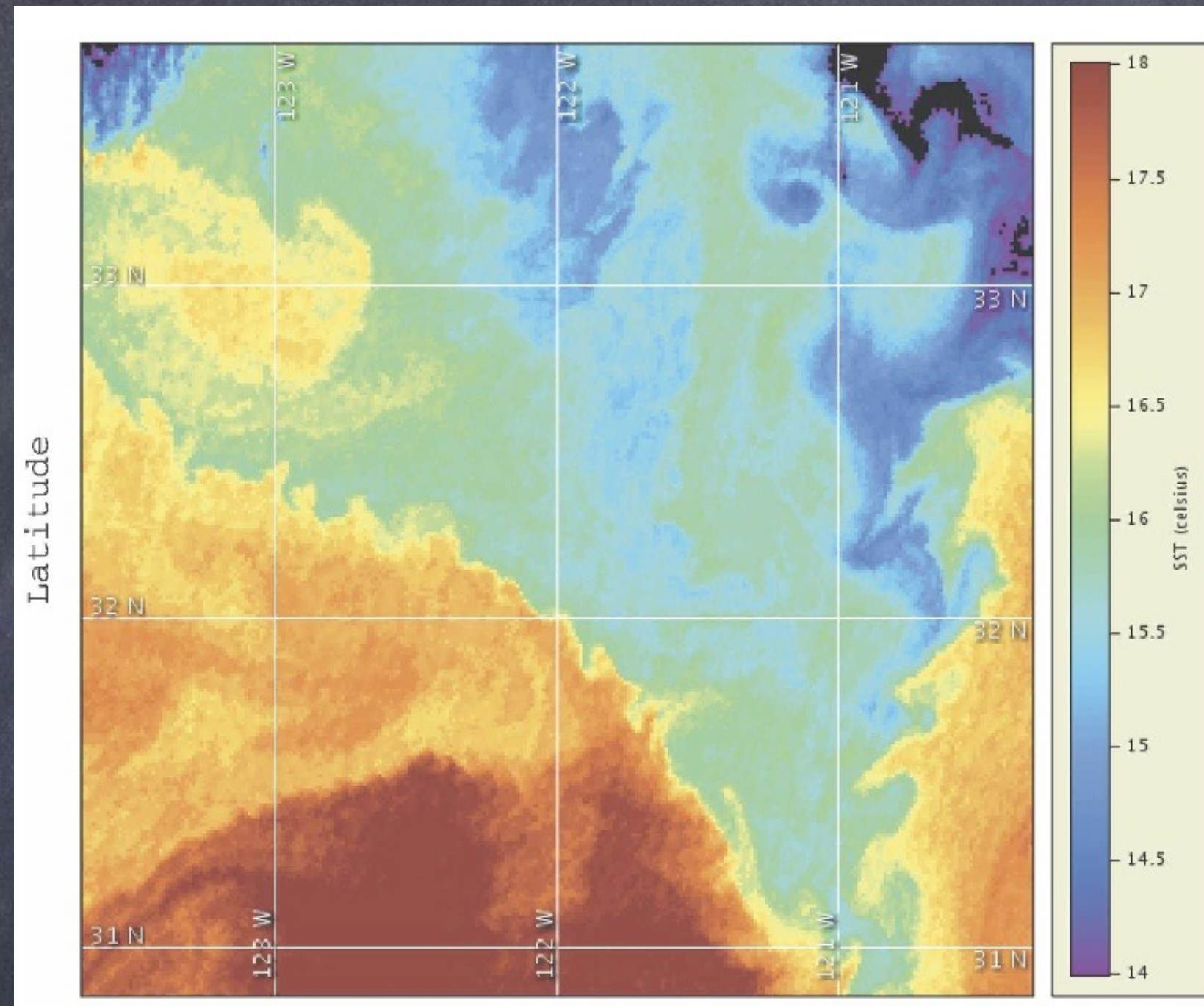
E.g., submesoscale-sea ice interactions...

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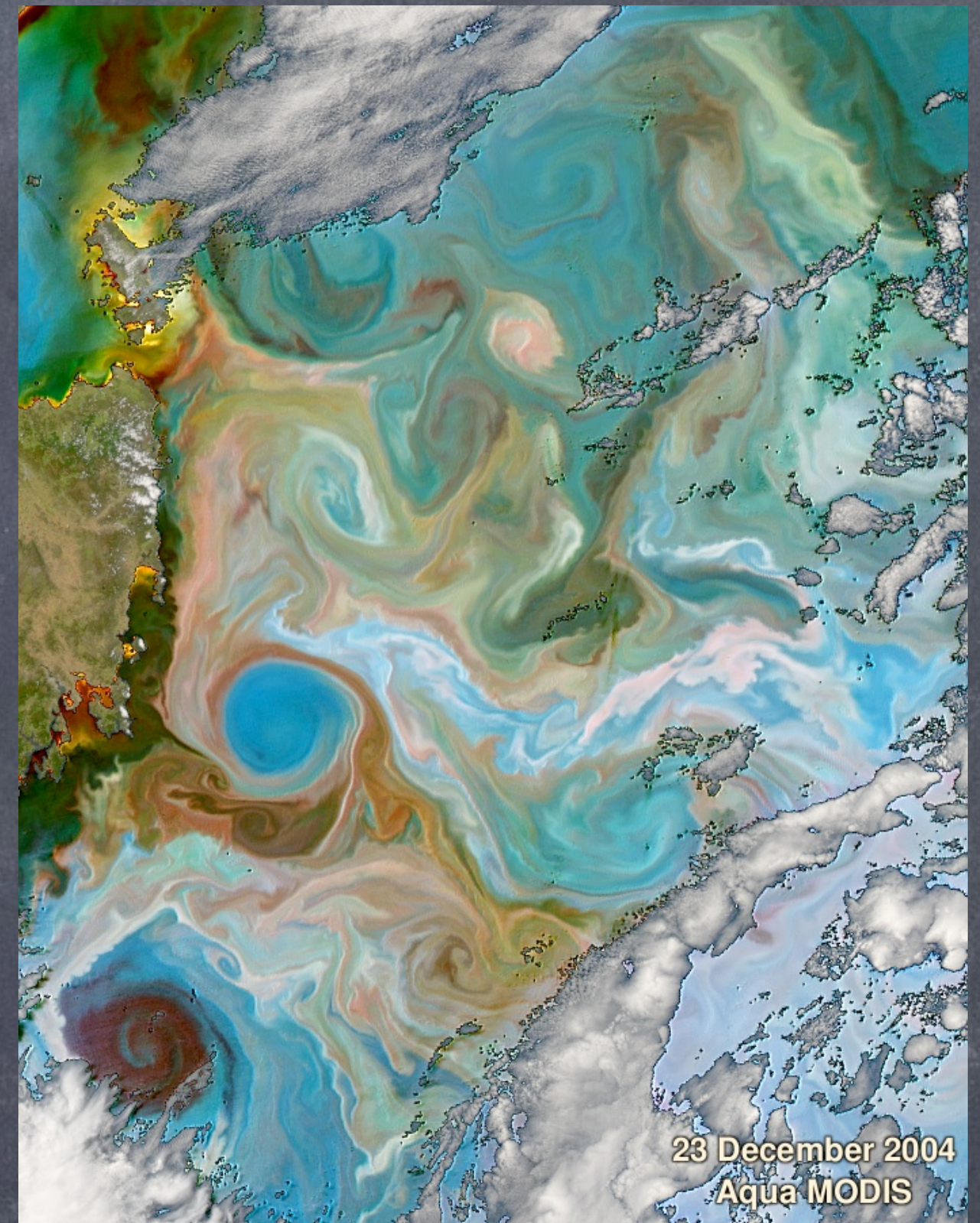
Image credit: D.
Schwen via C. Bitz

Character of the Submesoscale

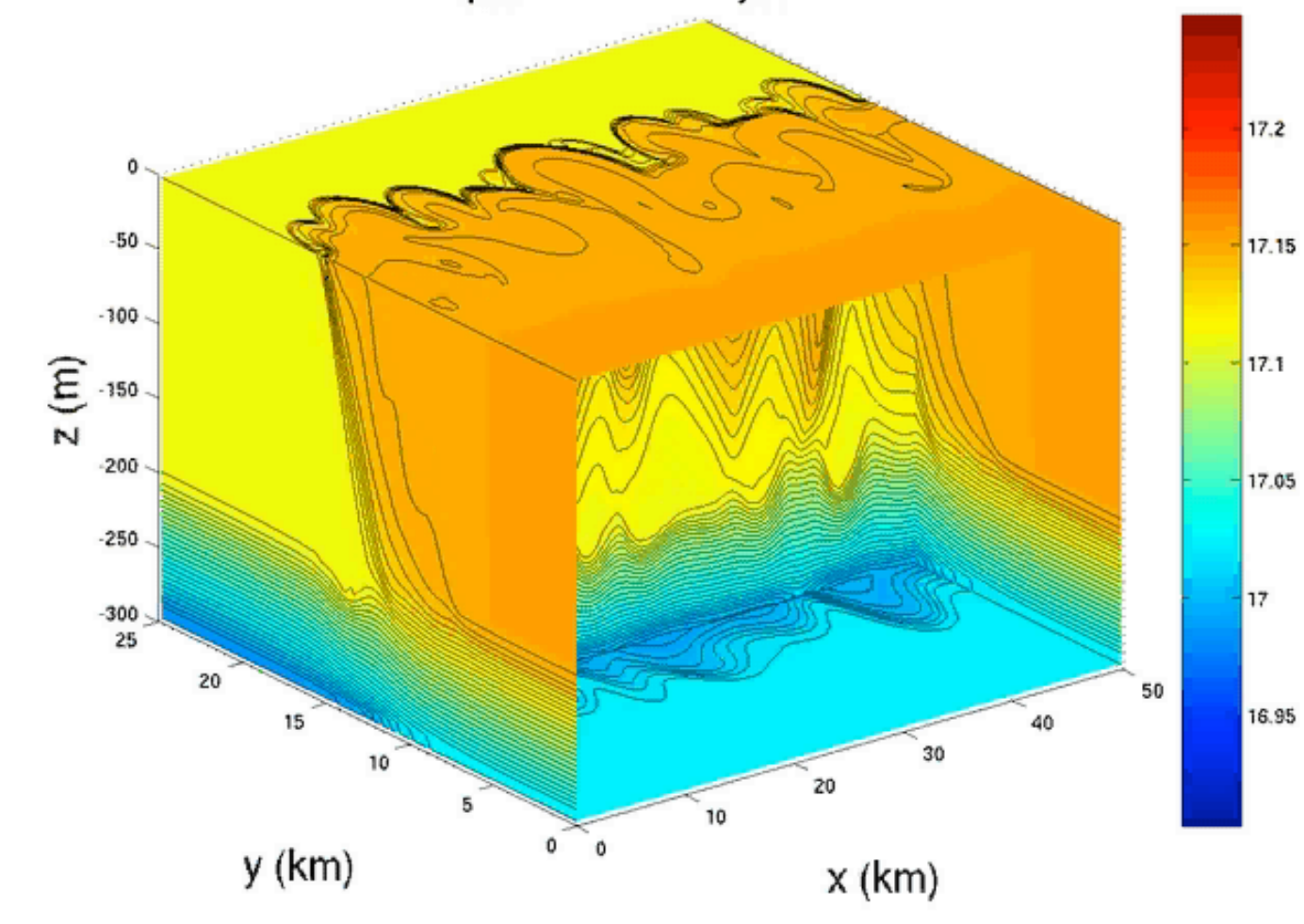


- Fronts
- Eddies
- $Ro=O(1)$
- $Ri=O(1), Fr=O(1)$
- near-surface ($H=100m$)
- 1-10km, days
- $W/H \sim U/L$
- hydrostatic
- Globally resolved in 2070-2100

← 10 km



Temperature on day:17.375

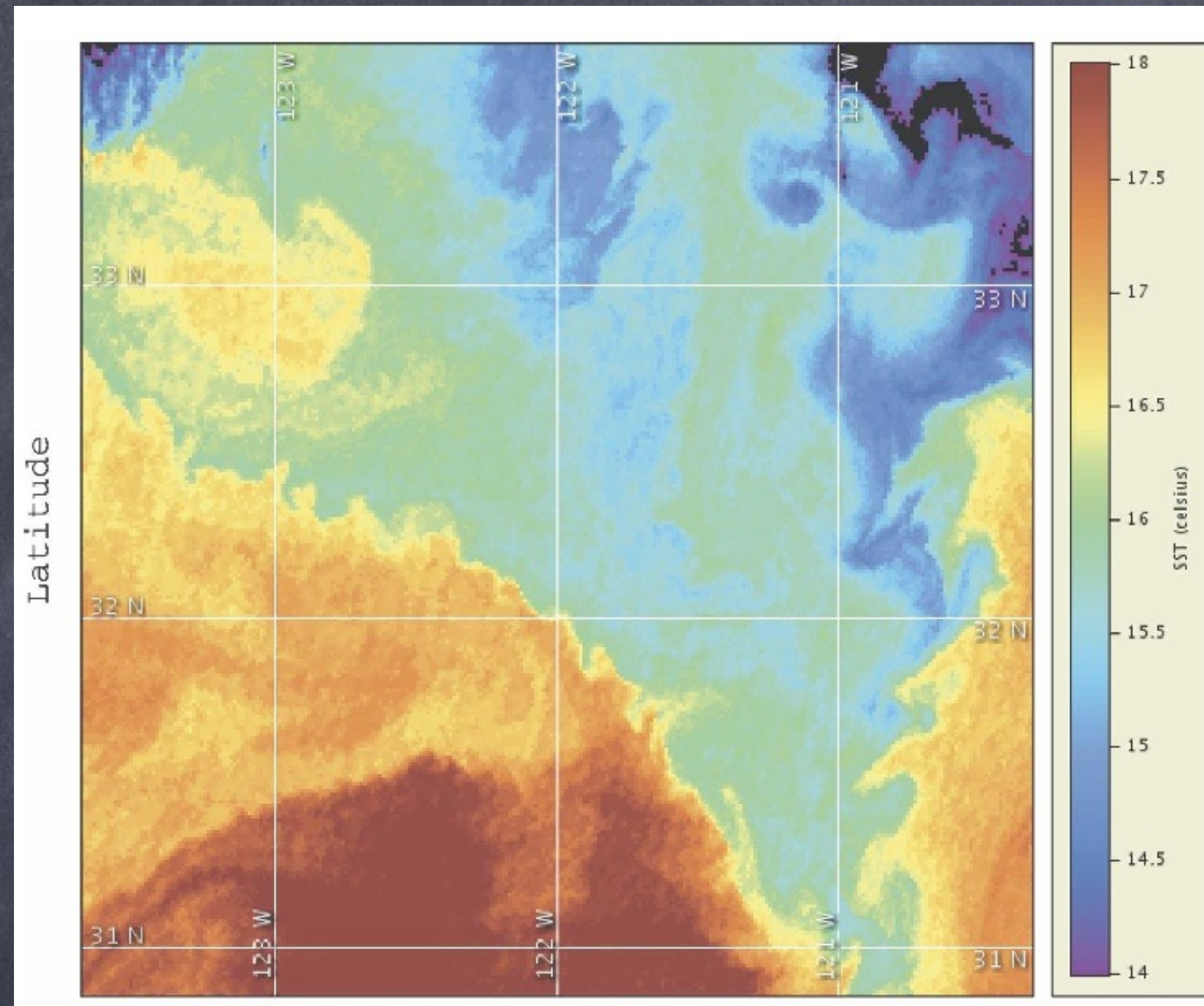


Eddy processes often
baroclinic mixed layer
instability

BFK, R. Ferrari, and R. W. Hallberg. Parameterization of mixed layer eddies. Part I: Theory and diagnosis. *Journal of Physical Oceanography*, 38(6):1145-1165, 2008

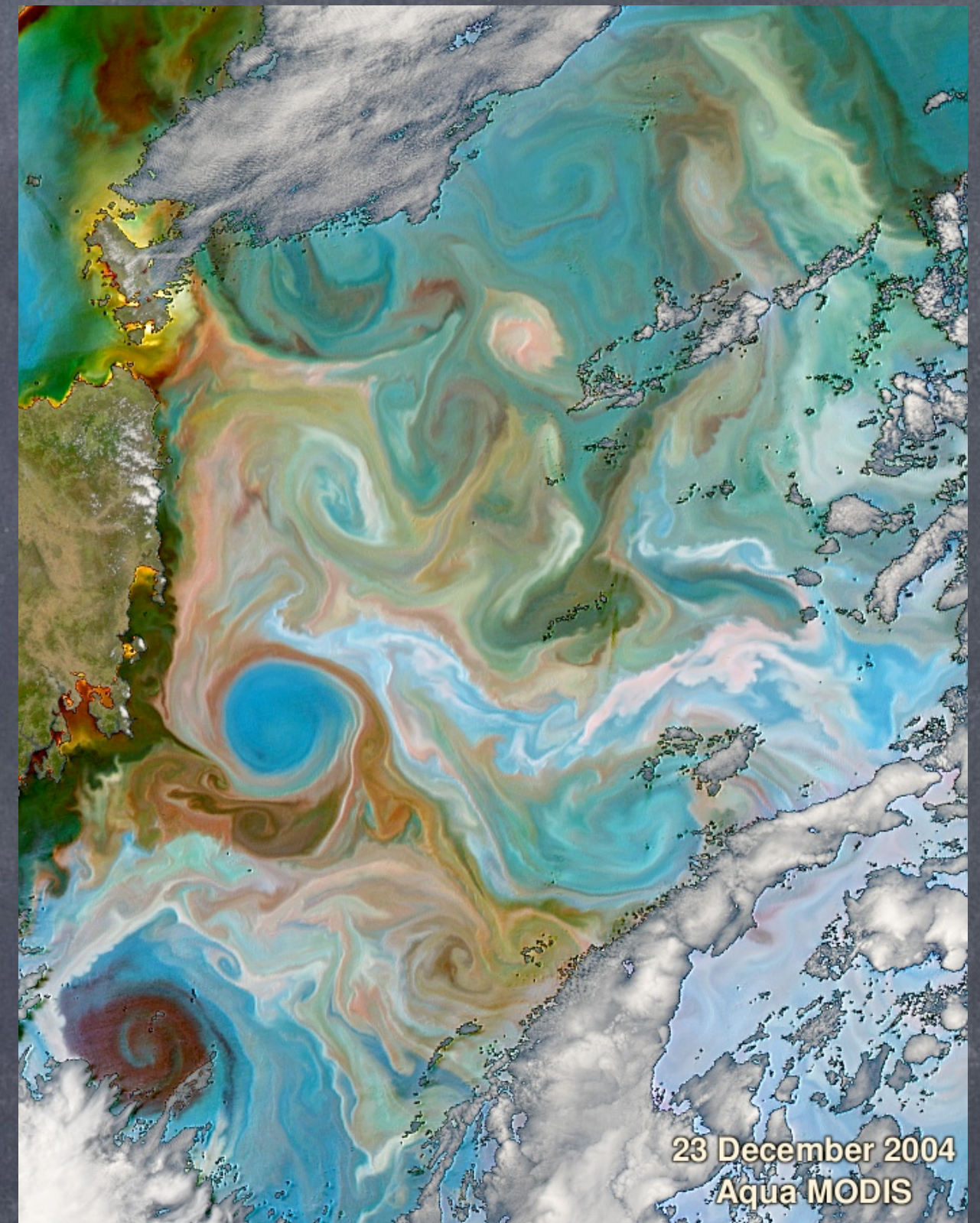
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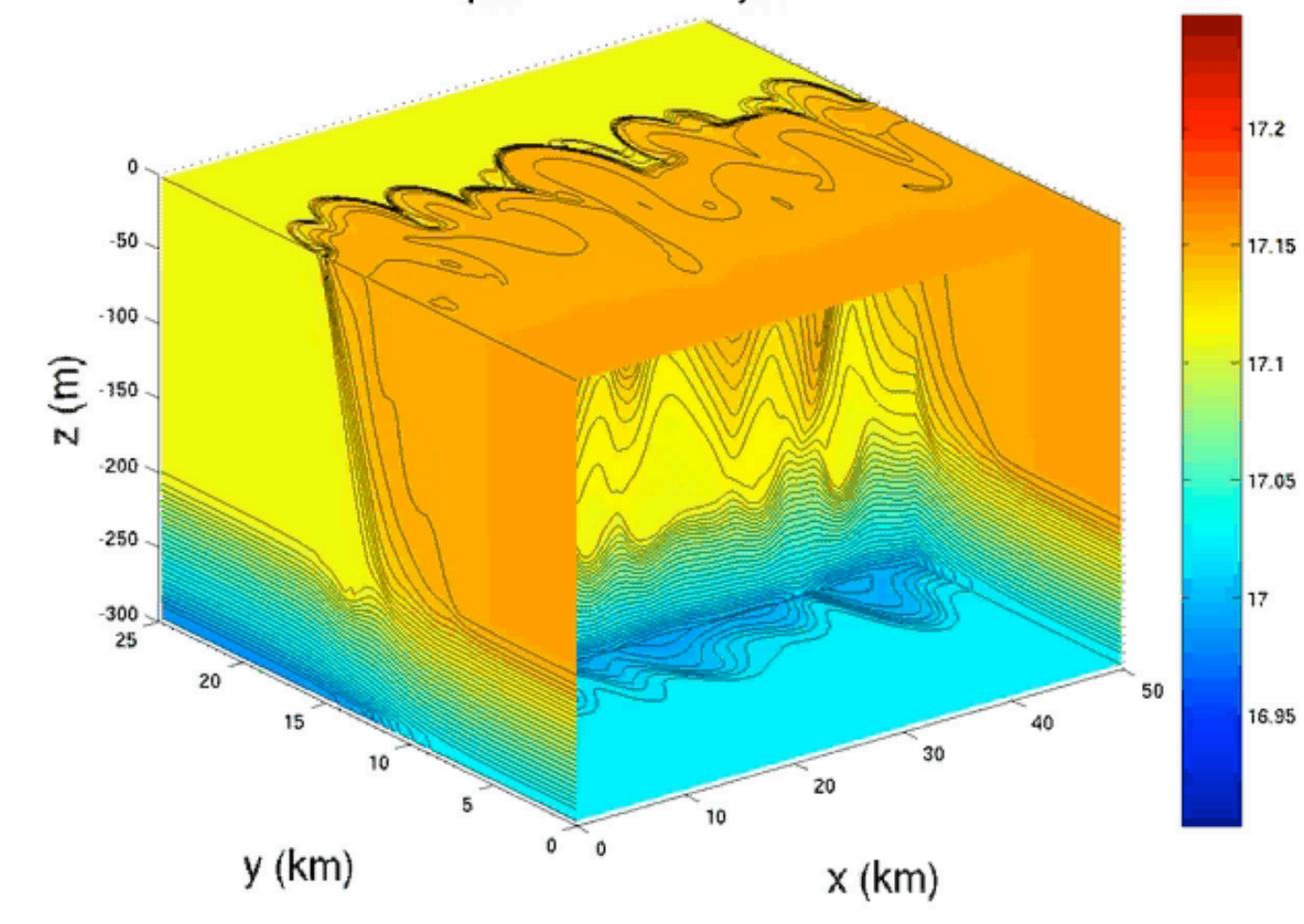


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$$\text{Ro}_* [\partial_t \mathbf{v}_h + \mathbf{v}_h \cdot \nabla \mathbf{v}_h + \epsilon w \partial_z \mathbf{v}_h] + \underbrace{\left(1 + \frac{y \text{Pl}_*}{\Delta y}\right) \mathbf{z} \times \mathbf{v}_h + \text{M}_{R_*} \nabla_h \pi}_{\text{geostrophic}} = \frac{\text{Ro}_*}{\text{Re}_*} \nabla_i \sigma_{ih},$$

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Boussinesq Equations
Following McWilliams (1985)

Geostrophic is out for submeso...
What about hydrostatic?...

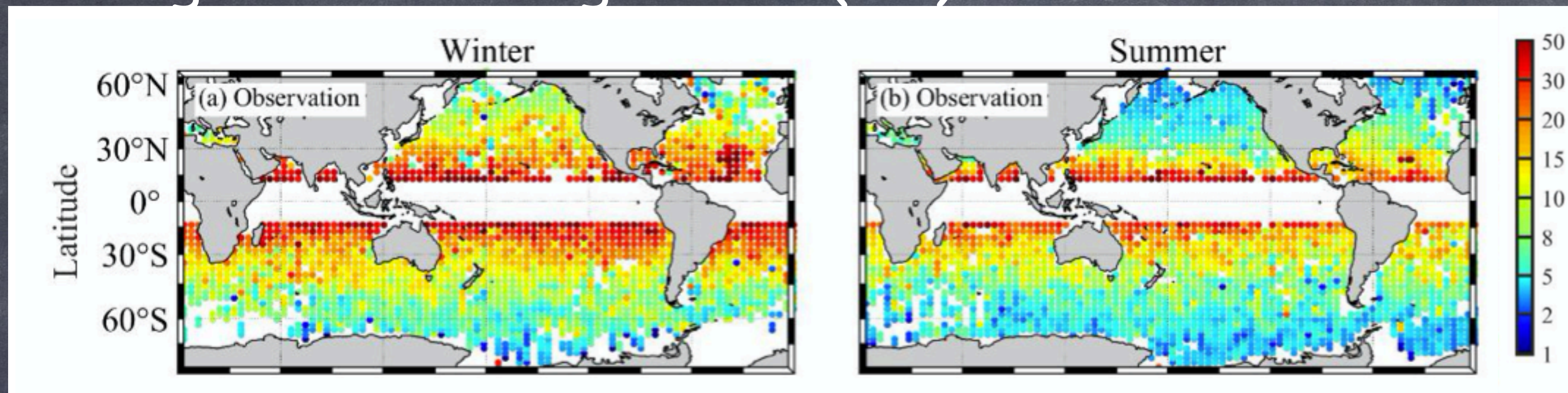
At the submesoscale...

- Rossby, Richardson, Froude are all $O(1)$, invalidating geostrophic balance & QG, hence the strong convergences.
- But, submesoscales are most active in the surface and bottom boundary layers—so it's just not deep enough to have $O(1)$ aspect ratio.

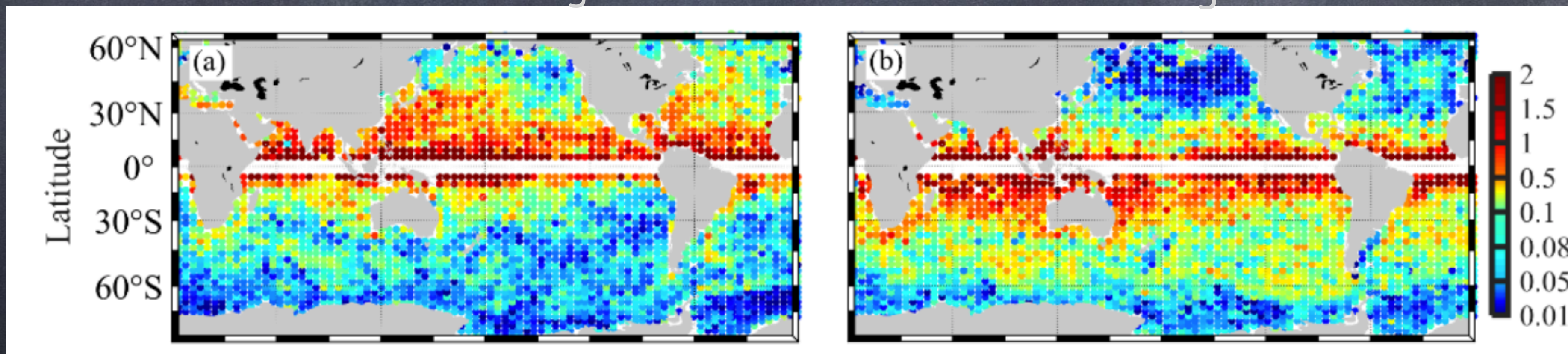
$$\text{Fr}_*^2 \frac{\Delta z^2}{\Delta s^2} [\partial_t w + \mathbf{v}_h \cdot \nabla w + \epsilon w \partial_z \mathbf{v}_h] + \underbrace{\partial_z \pi - b}_{\text{hydrostatic}} = \frac{\text{Fr}_*^2 \Delta z^2}{\text{Re}_* \Delta s^2} \nabla_i \sigma_{iz},$$

For example, the two classic hydrodynamic instabilities of the submesoscale are this big... while boundary layers are only $O(50\text{m})$ deep.

Mixed Layer Instability Scale (km)



Symmetric Instability Scale (km)



J. Dong, BFK, H. Zhang, and C. Dong. The scale of submesoscale baroclinic instability globally. *JPO*, 50(9):2649-2667, 2020. [dx.doi.org/10.1175/JPO-D-20-0043.1](https://doi.org/10.1175/JPO-D-20-0043.1)

J. Dong, BFK, H. Zhang, and C. Dong. The Scale and Activity of Symmetric Instability Estimated from a Global Submesoscale-Permitting Ocean Model.

JPO, 2021. [dx.doi.org/10.1175/JPO-D-20-0159.1](https://doi.org/10.1175/JPO-D-20-0159.1)

$$\text{Ro}_* [\partial_t \mathbf{v}_h + \mathbf{v}_h \cdot \nabla \mathbf{v}_h + \epsilon w \partial_z \mathbf{v}_h] + \underbrace{\left(1 + \frac{y \text{Pl}_*}{\Delta y}\right) \mathbf{z} \times \mathbf{v}_h + \text{M}_{R_*} \nabla_h \pi}_{\text{geostrophic}} = \frac{\text{Ro}_*}{\text{Re}_*} \nabla_i \sigma_{ih},$$

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Boussinesq Equations
Following McWilliams (1985)

Geostrophic is out for submeso...
Hydrostatic is OK!

The Character of the Langmuir Scale

- Near-surface
- Langmuir Cells & Langmuir Turb.
- $Ro \gg 1$
- $Ri < 1$: Nonhydro
- 1-100m ($H=L$)
- 10s to 1hr
- $w, u = O(10\text{cm/s})$
- Stokes drift
- Eqtns: Craik-Leibovich
- Params: McWilliams & Sullivan, 2000, Van Roekel et al. 2012
- Resolved routinely in 2170

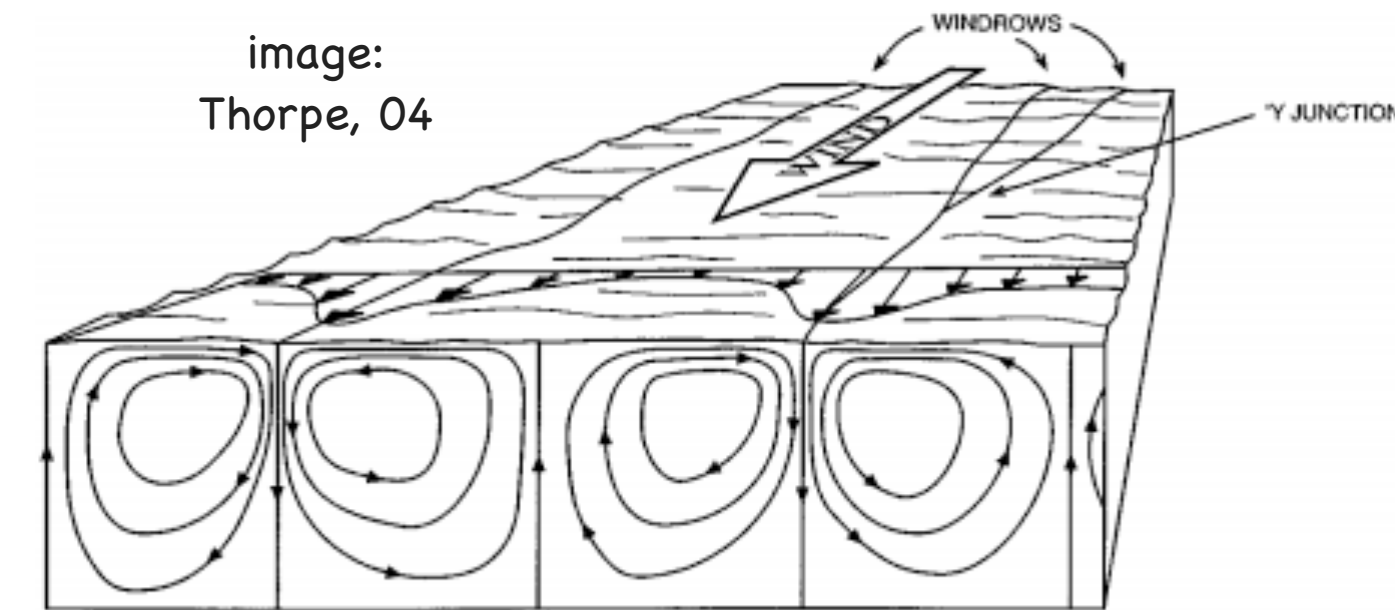


Figure 1 Sketch showing the pattern of mean flow in idealized Langmuir circulation. The windrows may be 2 m to 300 m apart, and the cell form is roughly square (as shown). In practice the flow is turbulent, especially near the water surface, and the windrows (Figure 2) amalgamate and meander in space and time. Bands of bubbles or buoyant algae may form within the downward-going (or downwelling) flow (see Figure 3).



OK...

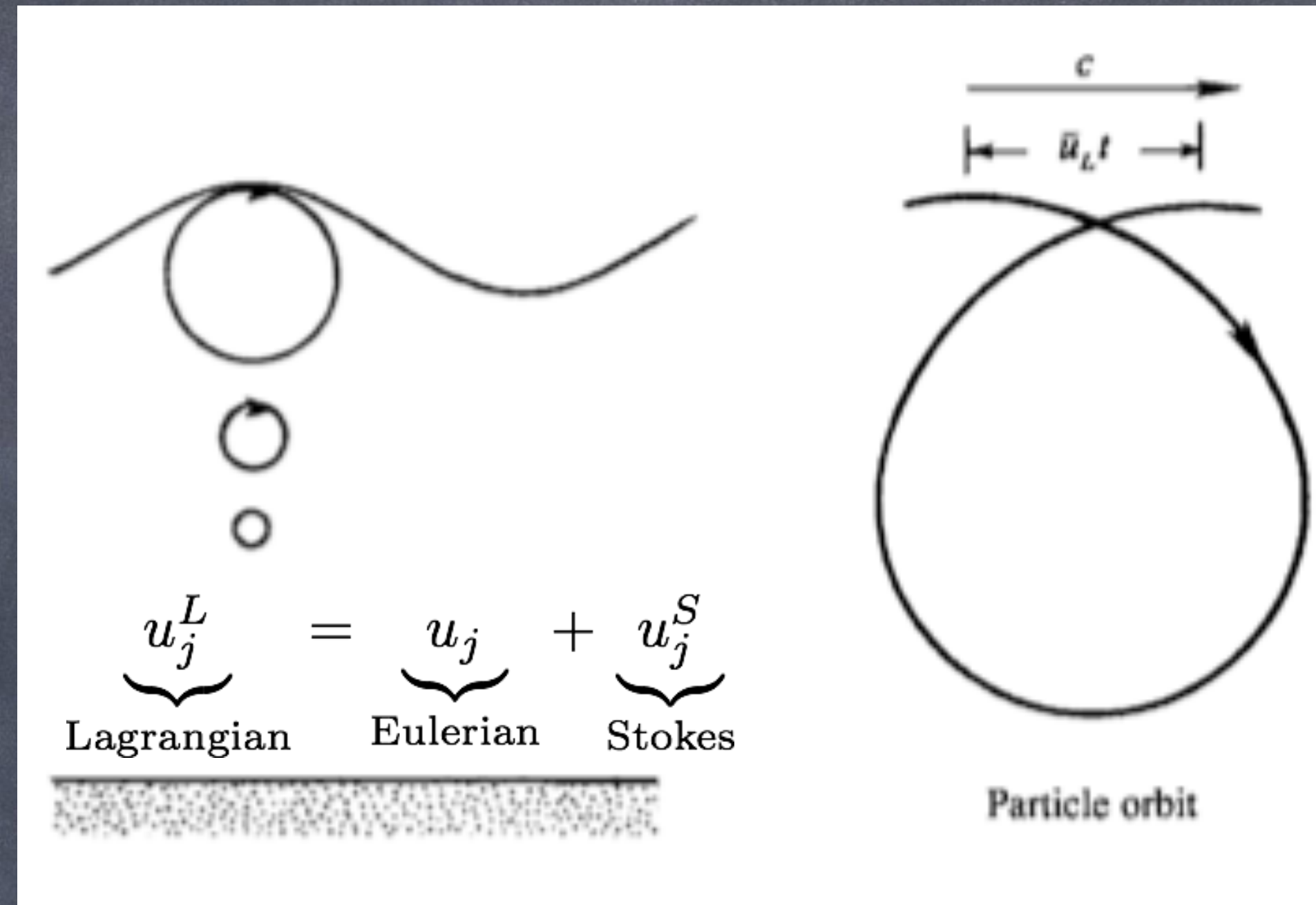
Tell us something new!

- The Wave-Averaged Boussinesq equations (or Craik-Leibovich eqns.) have been used for Langmuir turbulence sims for about 20 years.
- But, they are capable of much more!
- This is, in essence, our first parameterization of unresolved effects—waves

Surface Waves are...

Fast, small, approx.
irrotational solutions of the
Boussinesq Equations

Have a Stokes drift
depending on sea state
(wave age, winds)



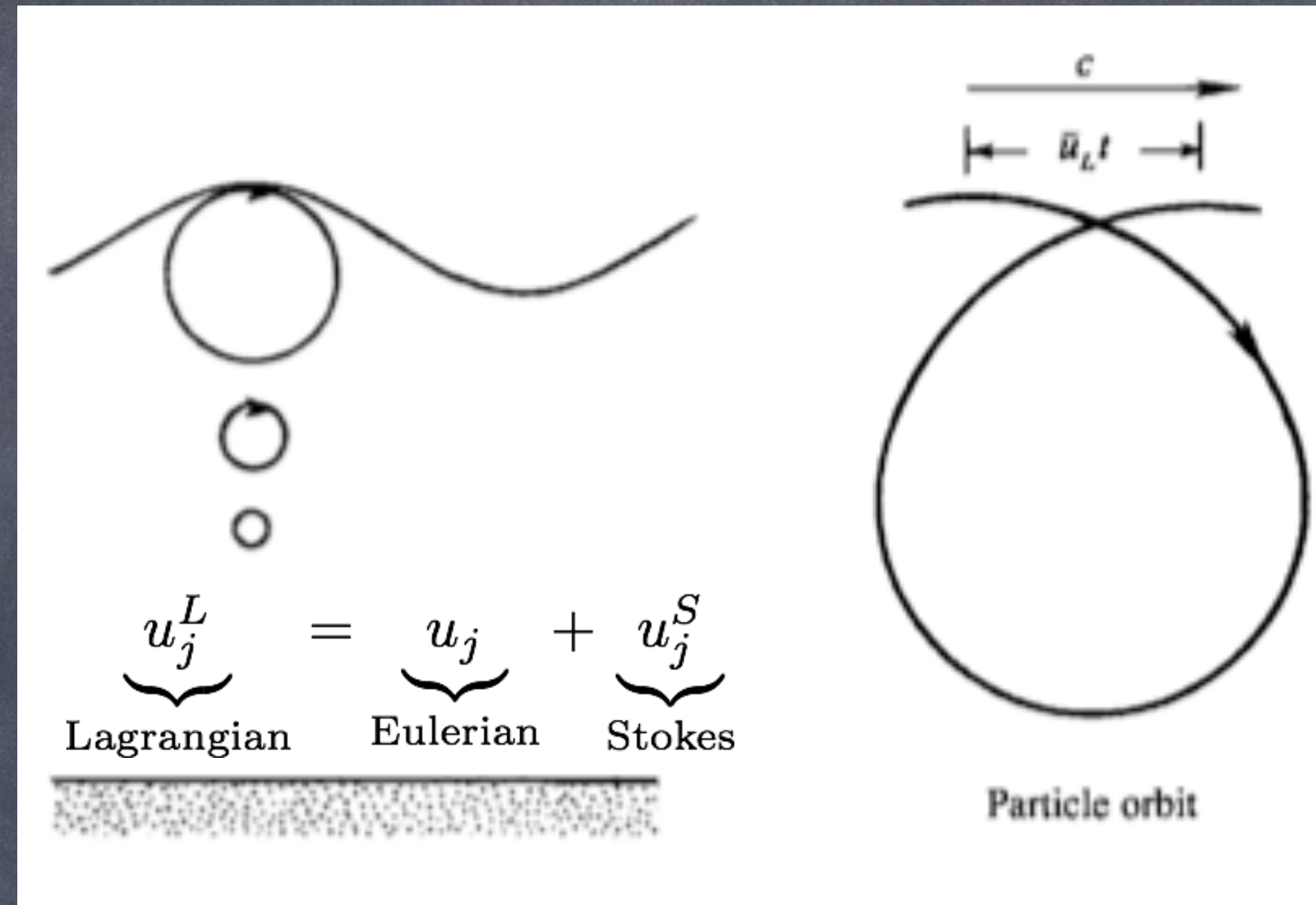
A. Webb and B. Fox-Kemper. Wave spectral moments and Stokes drift estimation. *Ocean Modelling*, 40(3-4):273-288, 2011.

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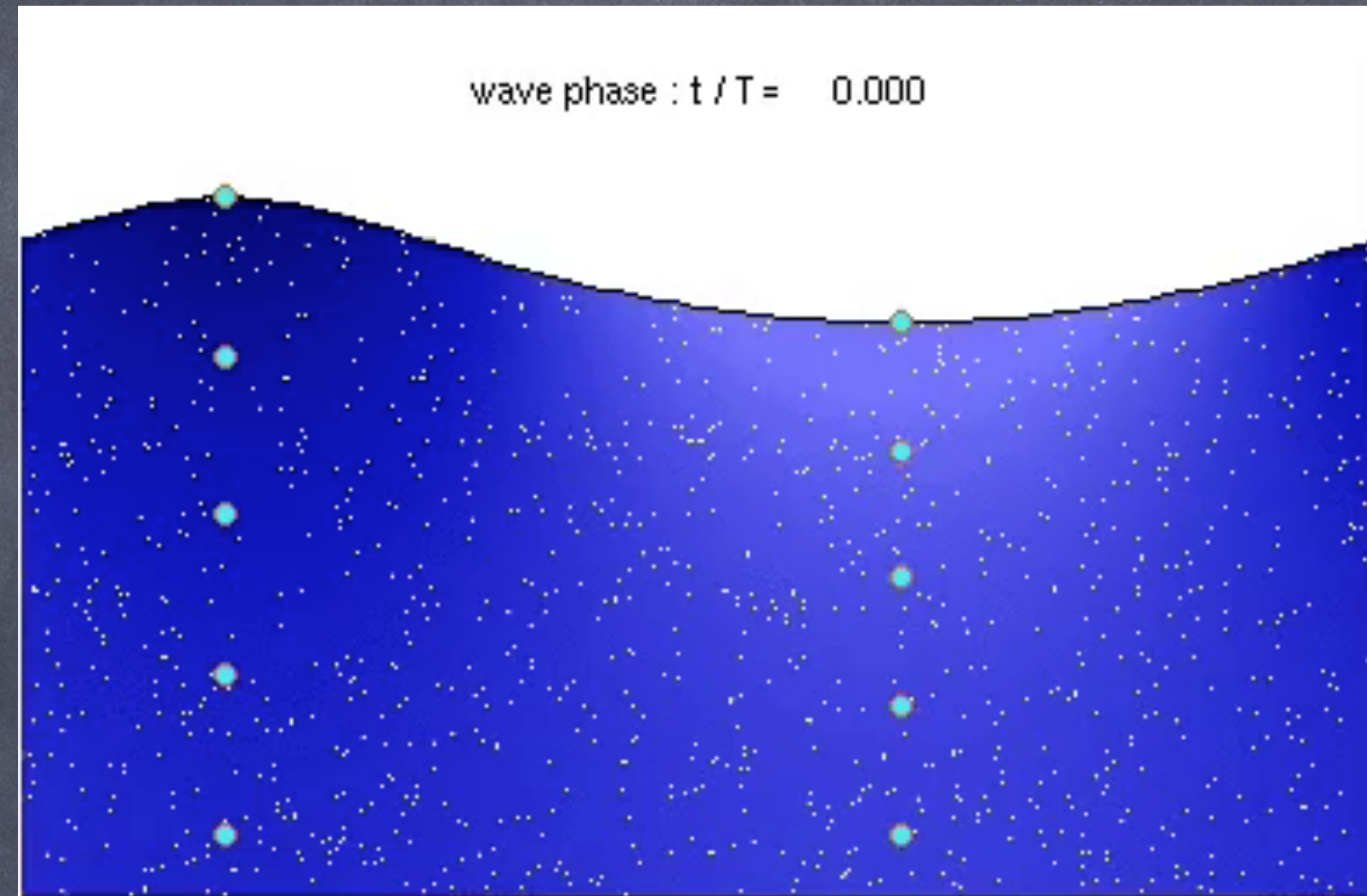
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3 Effects Dominate open ocean

“Wave-Averaged Equations”:

(Craik, Leibovich, McWilliams et al. 1997, Lane et al. 2007)

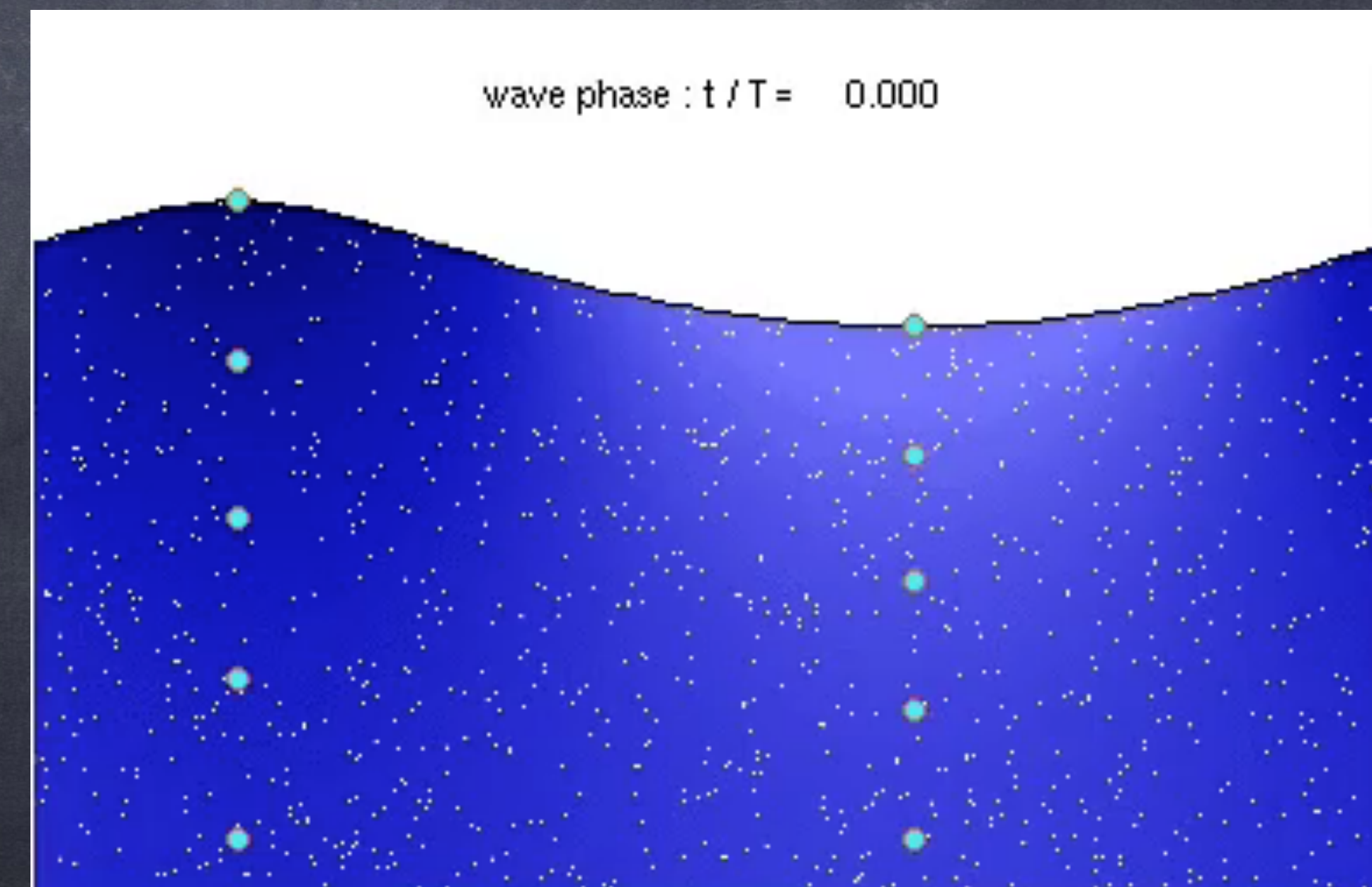
All rely only on Stokes drift of waves & vorticity in flow;
easier to model than radiation stresses

1: Stokes Advection: parcels, tracers,
momentum move with Lagrangian, not Eulerian
flow

2: Stokes Coriolis: water parcels experience
Coriolis force during this motion

3: Stokes Shear Force

N. Suzuki and BFK. Understanding Stokes forces
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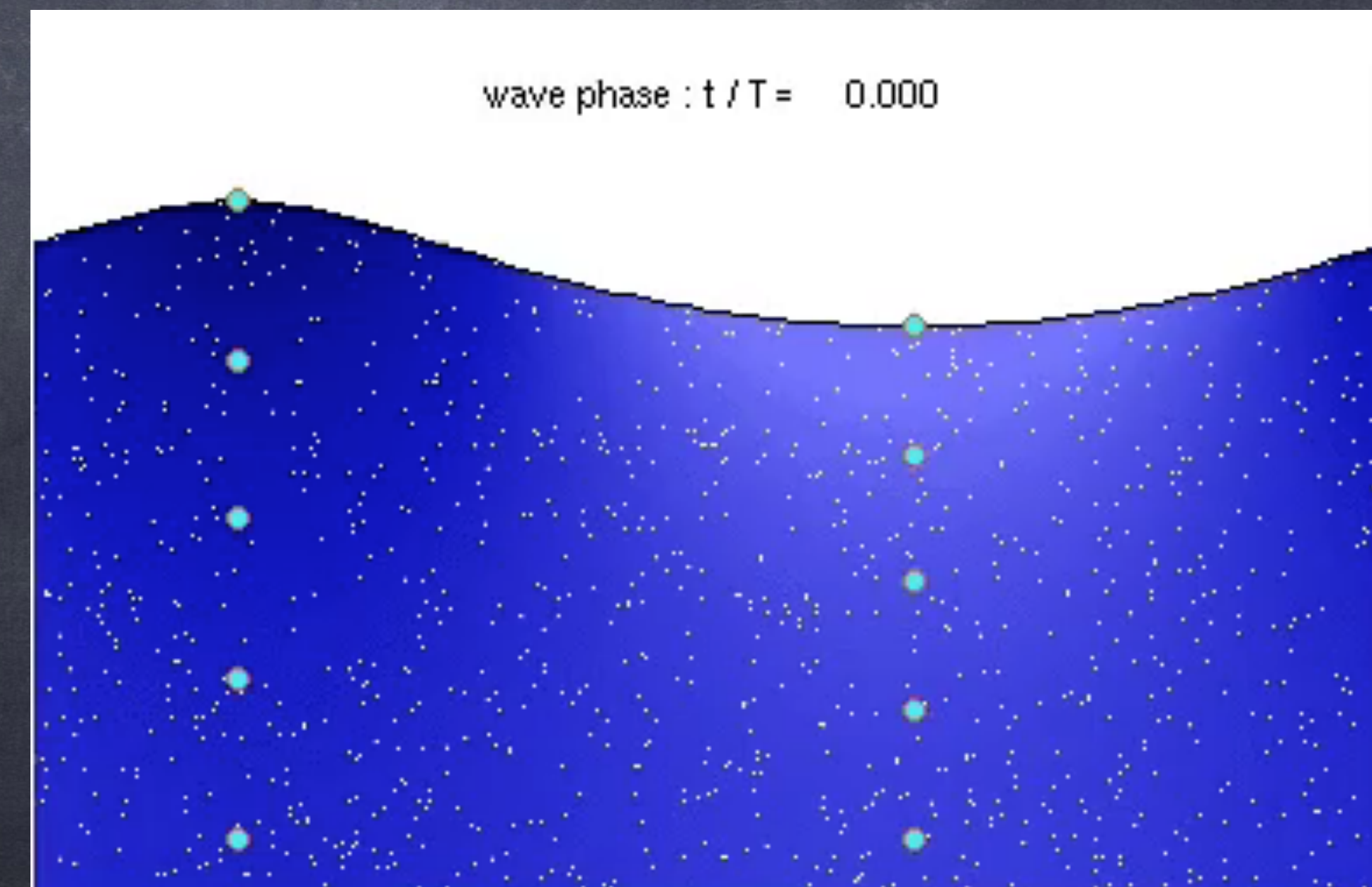
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Wave-Averaged Equations following

Lane et al. (07), McWilliams & F-K (13)
and Suzuki & F-K (16)

$$\underbrace{v_j^L}_{\text{Lagrangian}} = \underbrace{v_j}_{\text{Eulerian}} + \underbrace{v_j^S}_{\text{Stokes}}$$

Coupling Depends on Stokes drift–WAVE effects in YELLOW

Boundary conditions, plus:

$$Ro [v_{i,t} + v_j^L v_{i,j}] + \frac{M_{Ro}}{Ri} w v_{i,z} + \boxed{\epsilon_{izj} v_j^L} \stackrel{\text{(Lagrangian) geostrophic}}{=} -M_{Ro} \pi_{,i} + \frac{Ro}{Re} v_{i,jj}$$

$$\frac{\alpha^2}{Ri} \left[w_{,t} + v_j^L w_{,j} + \frac{M_{Ro}}{Ro Ri} w w_{,z} \right] = \boxed{-\pi_{,z} + b} \stackrel{\text{hydrostatic}}{=} -\epsilon v_j^L v_{j,z}^S + \frac{\alpha^2}{Re Ri} w_{,jj}$$

$$b_t + v_j^L b_{,j} + \frac{M_{Ro}}{Ro Ri} w b_z = \frac{1}{Pe} b_{,jj}$$

$$v_{j,j} + \frac{M_{Ro}}{Ro Ri} w_z = 0$$

$$\boxed{\epsilon = \frac{V^S H}{f L H_s}}$$

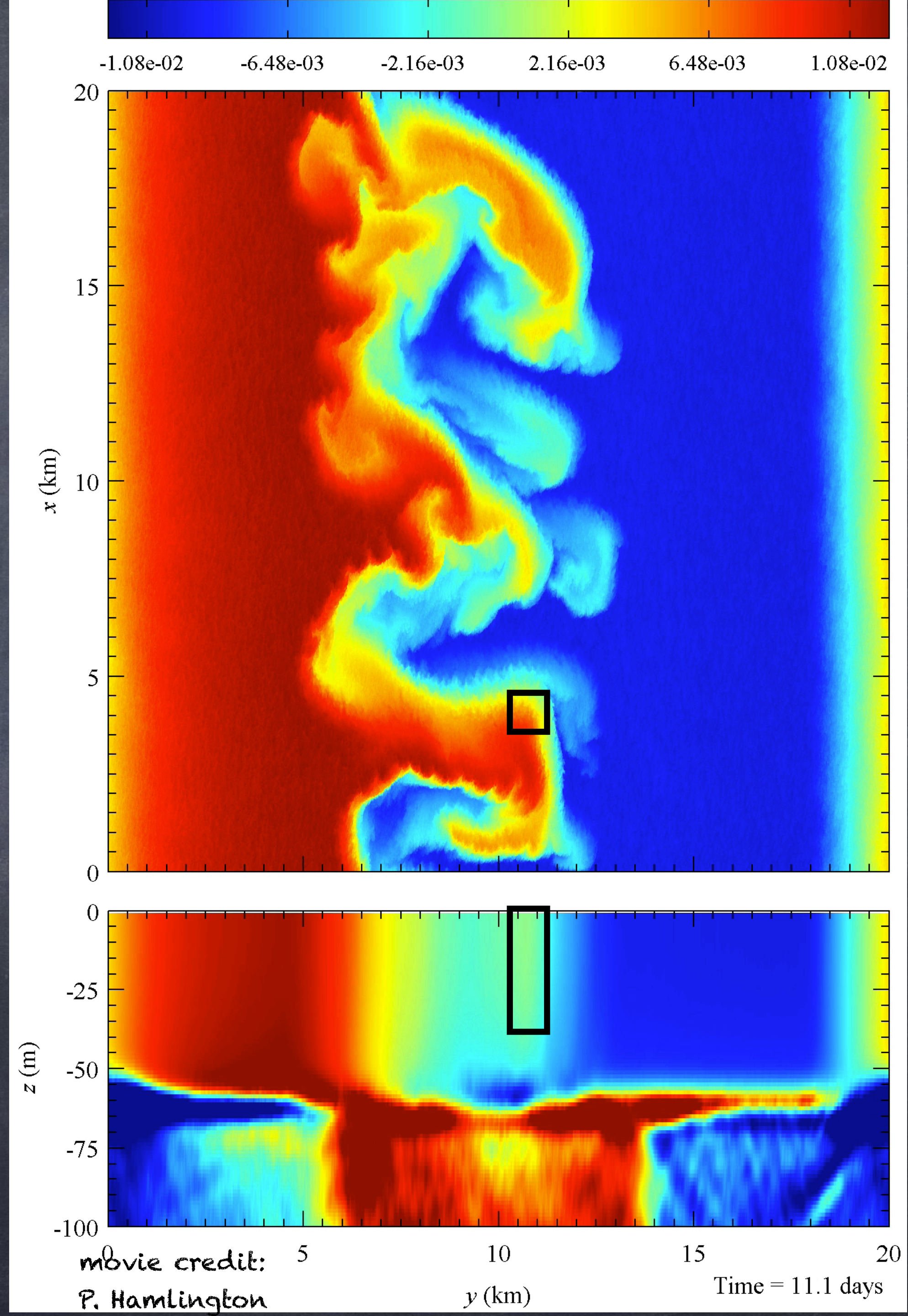
$$Re = \frac{UL}{\nu} \quad Ro = \frac{U}{fL} \quad Ri = \frac{N^2}{(U_{,z})^2} \quad \alpha = H/L \quad M_{Ro} \equiv \max(1, Ro)$$

20km x 20km x 150m
domain

15 Day Simulation

This is a 300 teragrid
simulation to span both
submesoscale &
Langmuir scale

P. E. Hamlington, L. P. Van Roekel, BFK, K. Julien, and G. P. Chini. Langmuir-submesoscale interactions: Descriptive analysis of multiscale frontal spin-down simulations. *Journal of Physical Oceanography*, 44(9):2249-2272, September 2014.

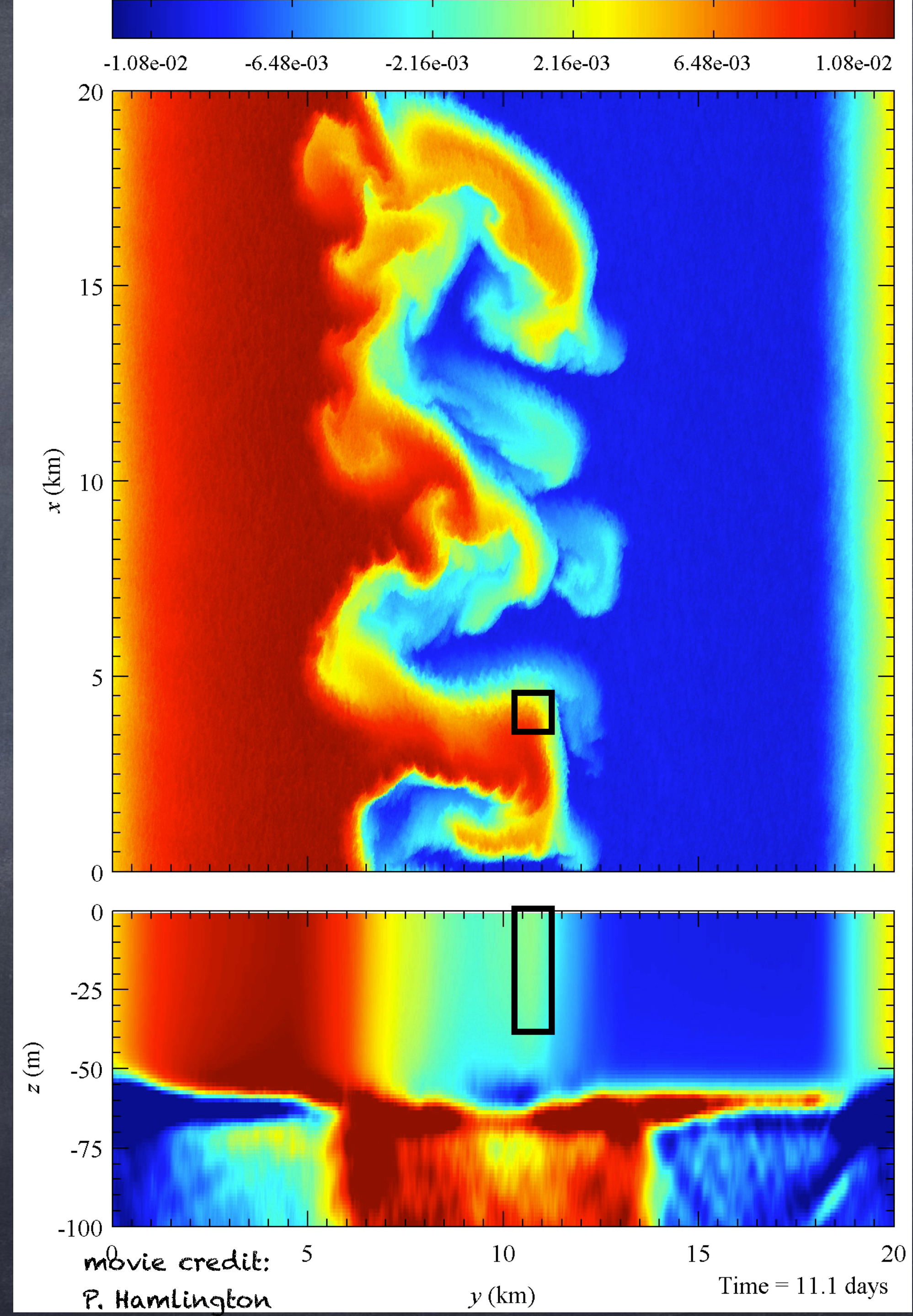


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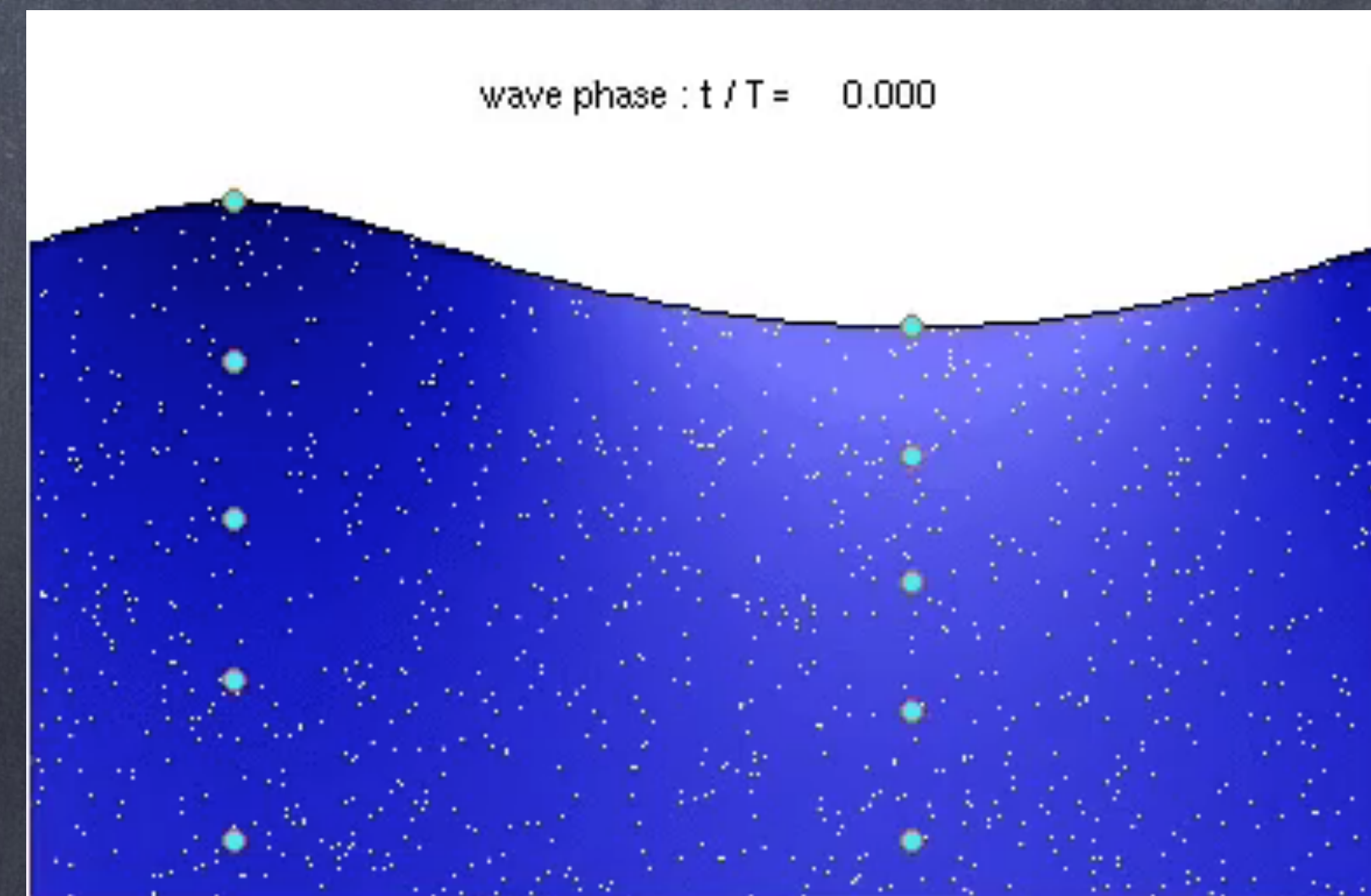
3 Wave Effects, 1: Lagrangian Advection:

Particles, tracers, momentum flow with Lagrangian, not Eulerian flow

$$\begin{aligned}
 Ro \left[v_{i,t} + v_j^L v_{i,j} \right] + \frac{M_{Ro}}{Ri} w v_{i,z} + \epsilon_{izj} v_j^L &= -M_{Ro} \pi_{,i} + \frac{Ro}{Re} v_{i,jj} \\
 \frac{\alpha^2}{Ri} \left[w_{,t} + v_j^L w_{,j} + \frac{M_{Ro}}{Ro Ri} w w_{,z} \right] &= -\pi_{,z} + b - \epsilon v_j^L v_{j,z}^s + \frac{\alpha^2}{Re Ri} w_{,jj} \\
 b_t + v_j^L b_{,j} + \frac{M_{Ro}}{Ro Ri} w b_z &= \frac{1}{Pe} b_{,jj}
 \end{aligned}$$

Adding a Stokes advection term converts total to Lagrangian advection

$$\underbrace{v_j^L}_{\text{Lagrangian}} = \underbrace{v_j}_{\text{Eulerian}} + \underbrace{v_j^S}_{\text{Stokes}}$$



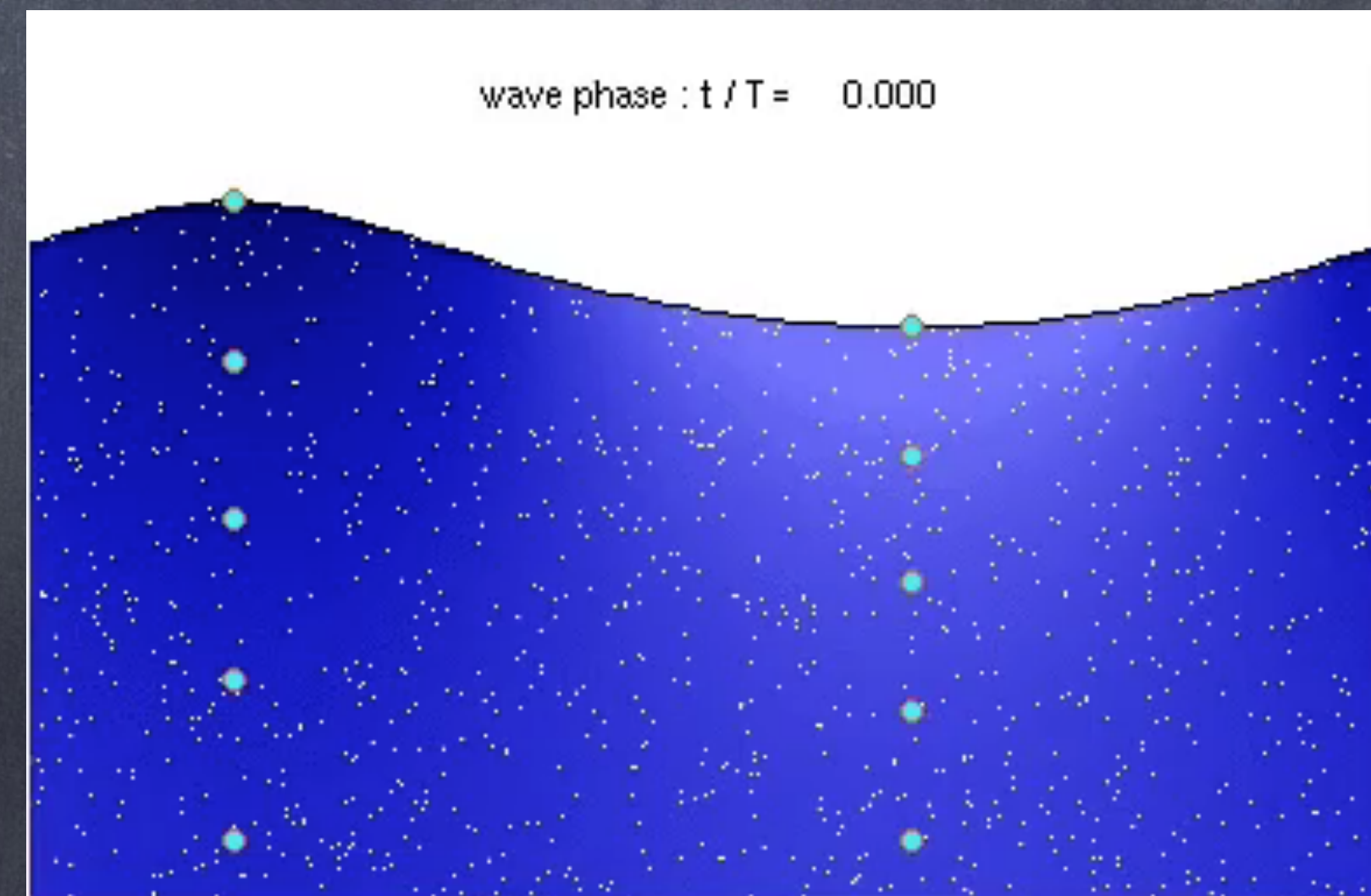
3 Wave Effects, 1: Lagrangian Advection:

Particles, tracers, momentum flow with Lagrangian, not Eulerian flow

$$\begin{aligned}
 Ro \left[v_{i,t} + v_j^L v_{i,j} \right] + \frac{M_{Ro}}{Ri} w v_{i,z} + \epsilon_{izj} v_j^L &= -M_{Ro} \pi_{,i} + \frac{Ro}{Re} v_{i,jj} \\
 \frac{\alpha^2}{Ri} \left[w_{,t} + v_j^L w_{,j} + \frac{M_{Ro}}{Ro Ri} w w_{,z} \right] &= -\pi_{,z} + b - \epsilon v_j^L v_{j,z}^s + \frac{\alpha^2}{Re Ri} w_{,jj} \\
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3 Wave Effects, 2: Lagrangian Coriolis:

Particles, tracers, momentum flow with Lagrangian, not Eulerian flow—Experience Coriolis force during this motion

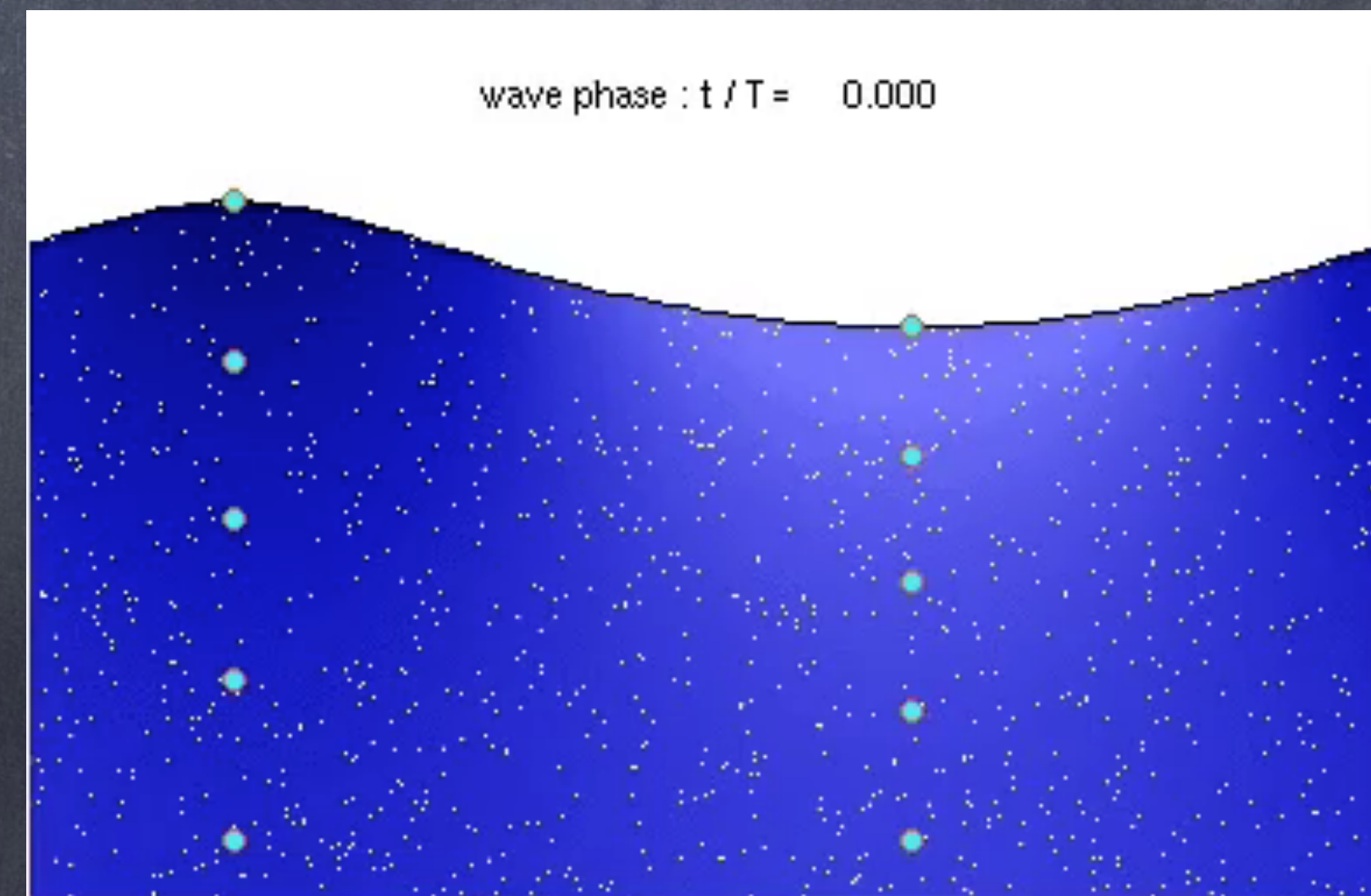
$$Ro [v_{i,t} + v_j^L v_{i,j}] + \frac{M_{Ro}}{Ri} w v_{i,z} - \epsilon_{izj} v_j^L = -M_{Ro} \pi_{,i} + \frac{Ro}{Re} v_{i,jj}$$

$$\frac{\alpha^2}{Ri} \left[w_{,t} + v_j^L w_{,j} + \frac{M_{Ro}}{Ro Ri} w w_{,z} \right] = -\pi_{,z} + b - \epsilon v_j^L v_{j,z}^s + \frac{\alpha^2}{Re Ri} w_{,jj}$$

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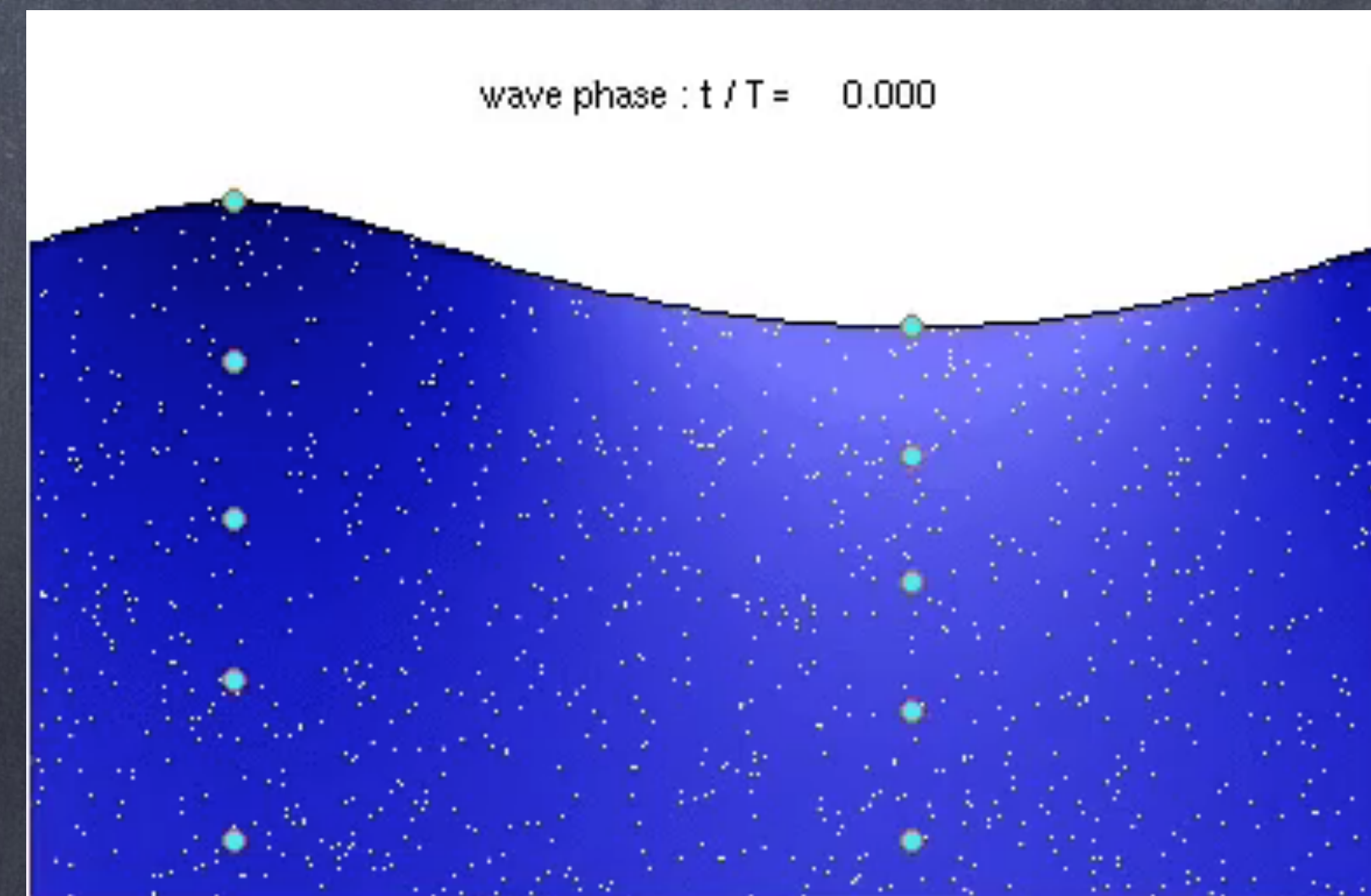


image:
Thorpe, 04

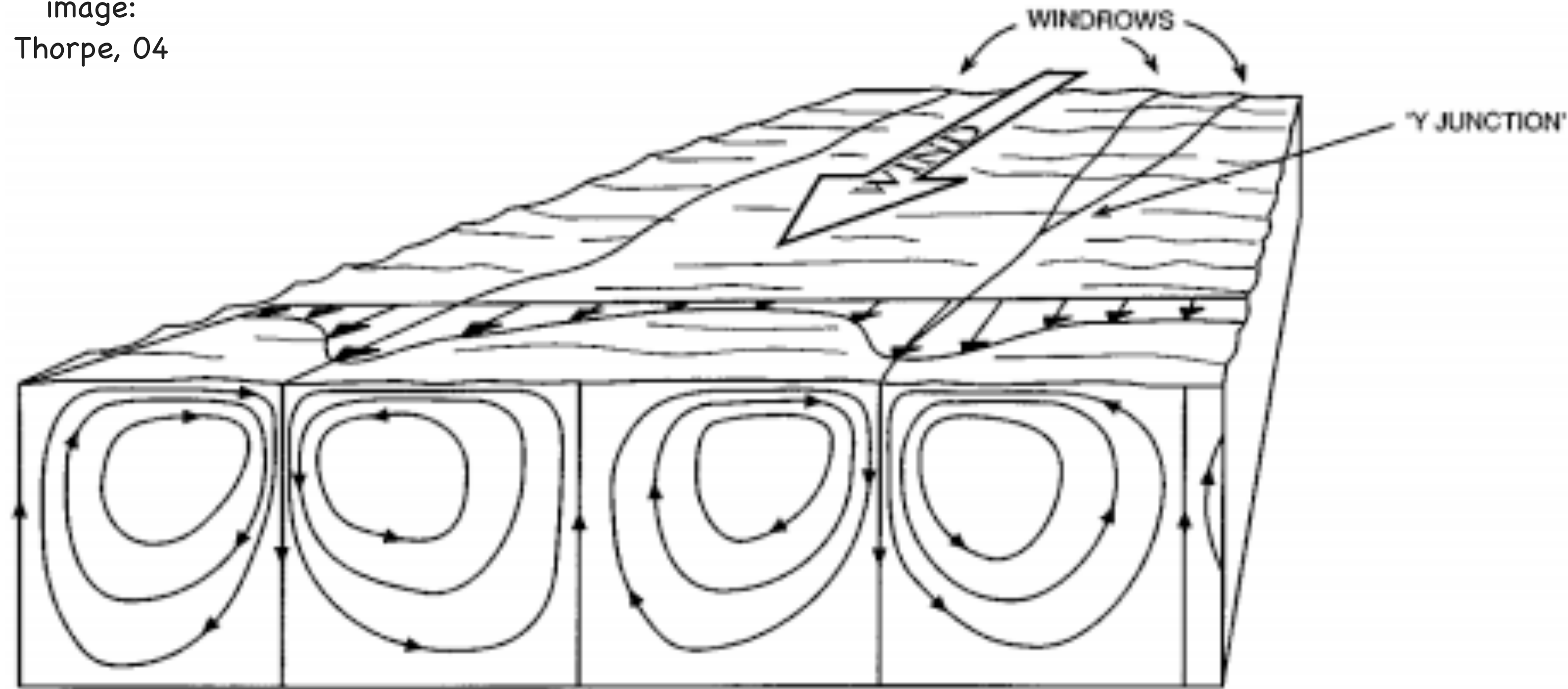
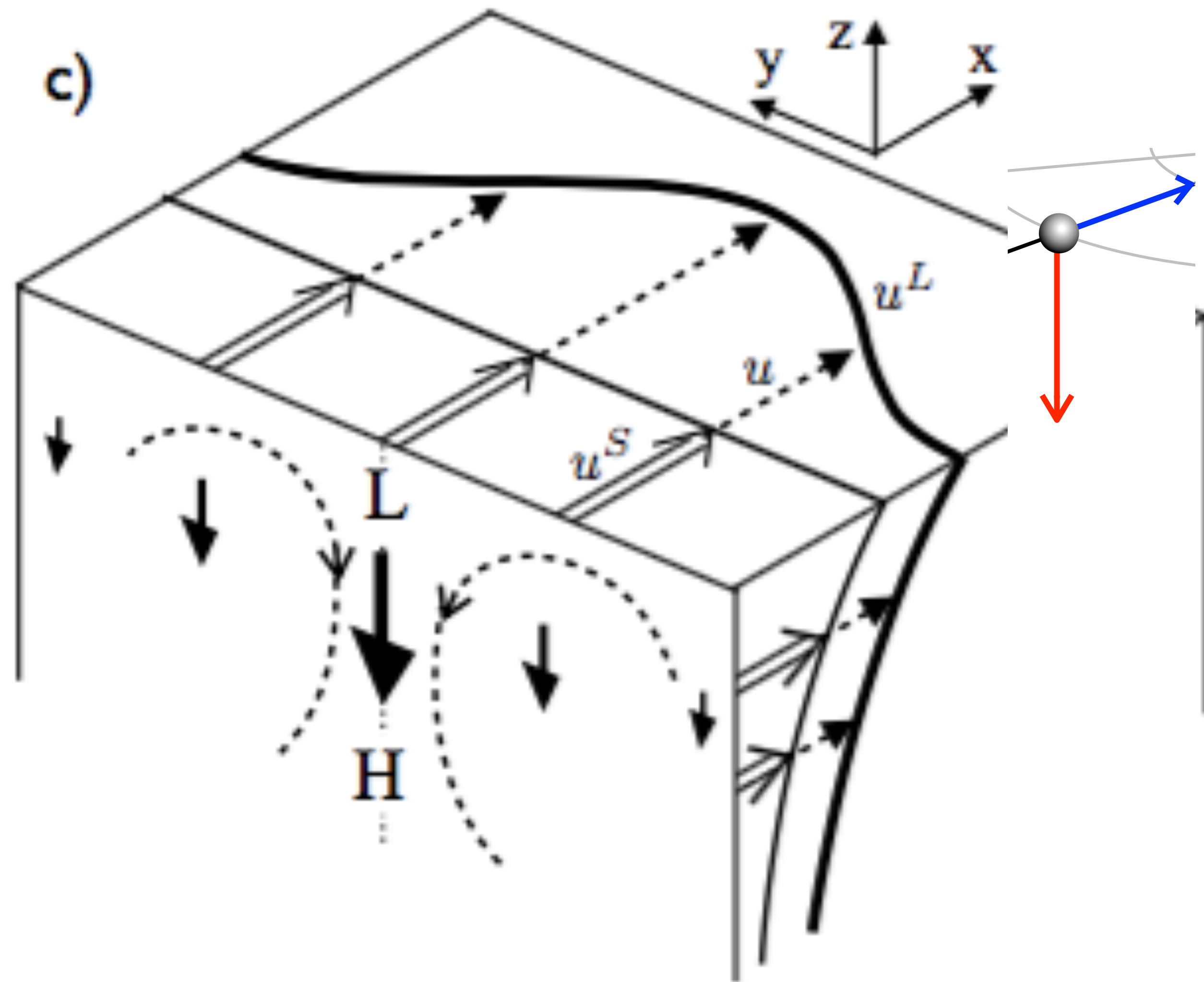


Figure 1 Sketch showing the pattern of mean flow in idealized Langmuir circulation. The windrows may be 2 m to 300 m apart, and the cell form is roughly square (as shown). In practice the flow is turbulent, especially near the water surface, and the windrows (Figure 2) amalgamate and meander in space and time. Bands of bubbles or buoyant algae may form within the downward-going (or downwelling) flow (see Figure 3).

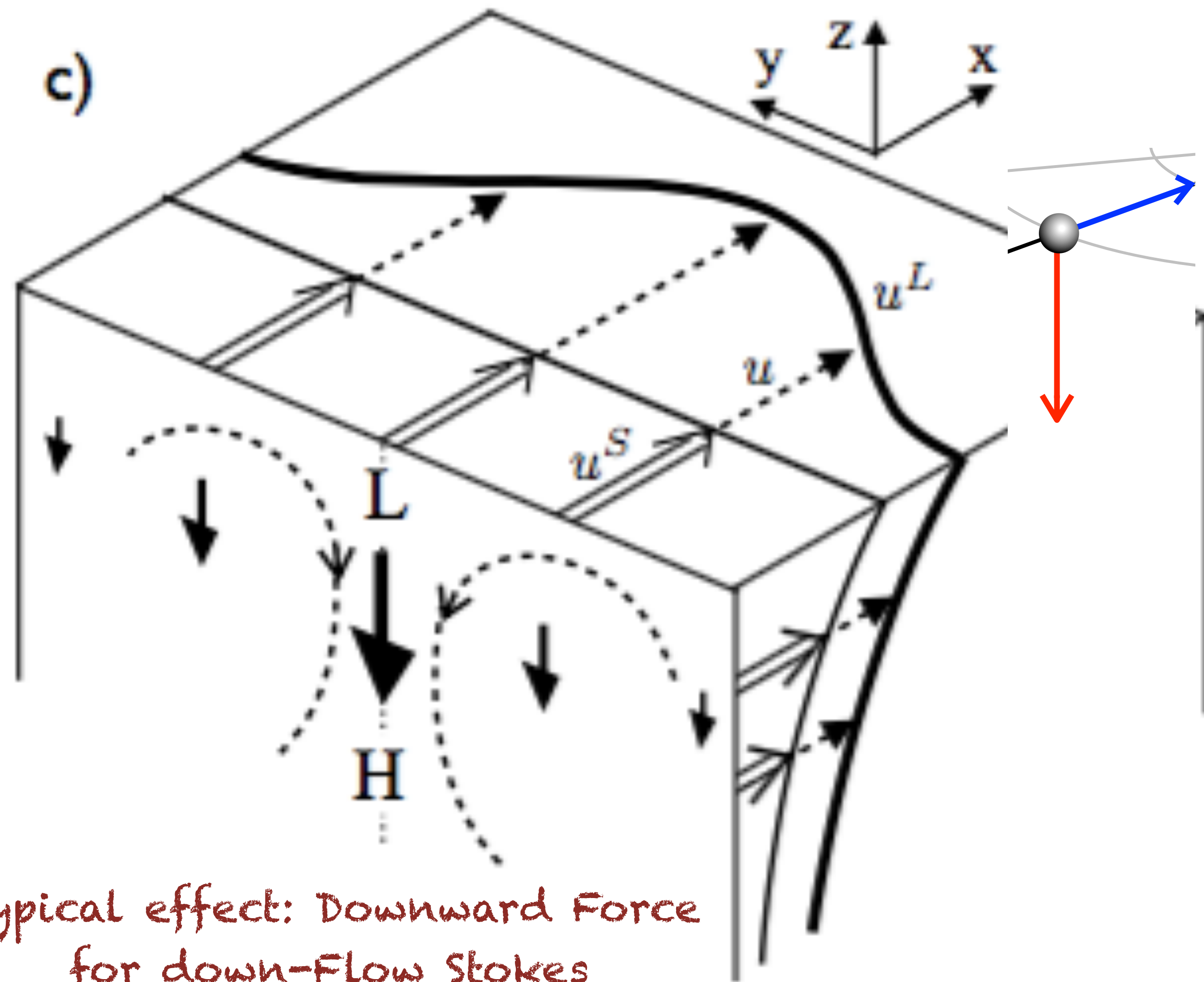
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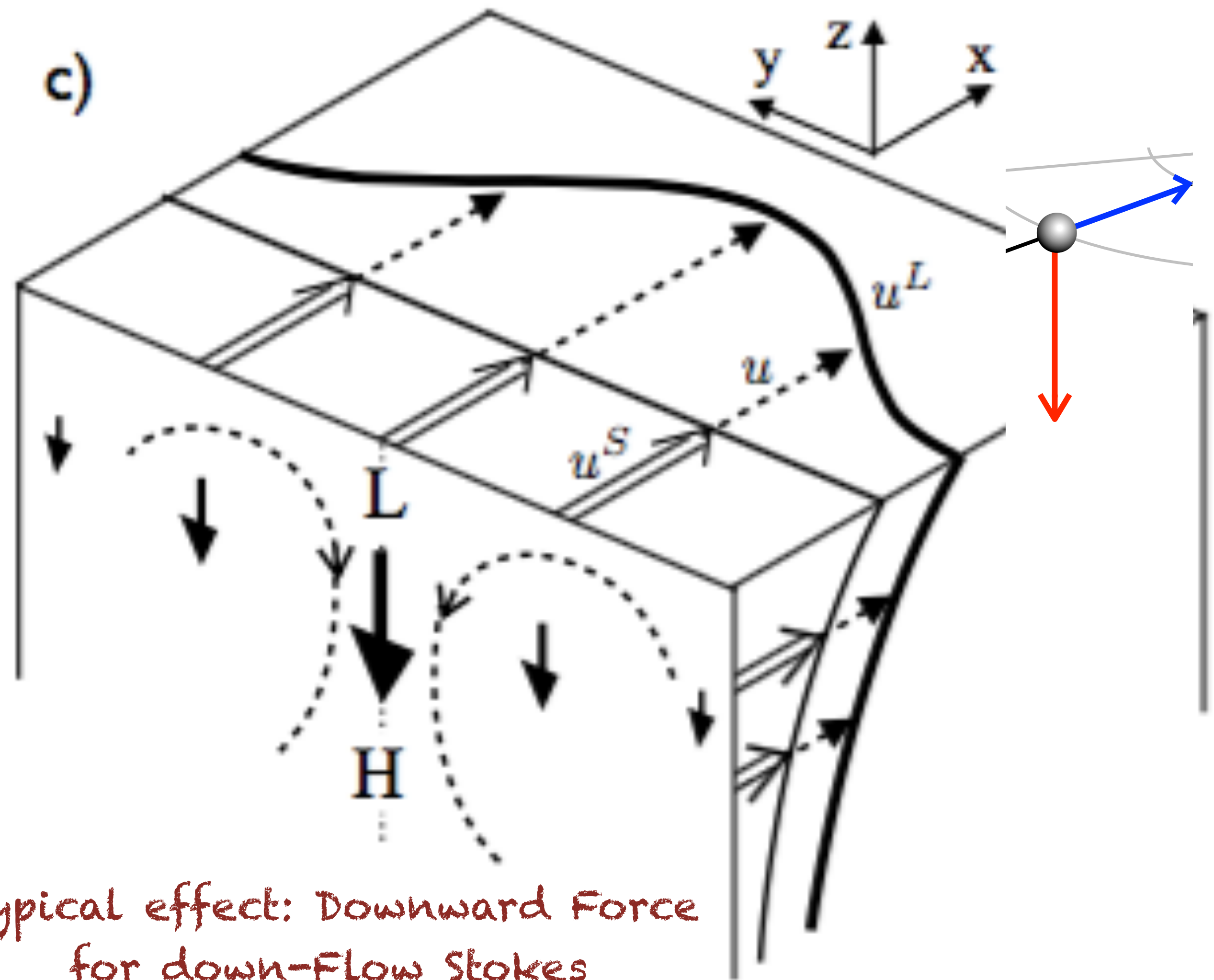
c)



Typical effect: Downward Force
for down-Flow Stokes

$$\frac{\alpha^2}{Re} \left[w_{,t} + v_j^L w_{,j} + \frac{M_{Ro}}{RoRe} w w_{,z} \right] = -\pi_{,z} + b - \epsilon v_j^L v_{j,z}^s + \frac{\alpha^2}{ReRe} w_{,jj}$$

c)



Typical effect: Downward Force for down-Flow Stokes

"wavy hydrostatic" if $\epsilon \gg 1$

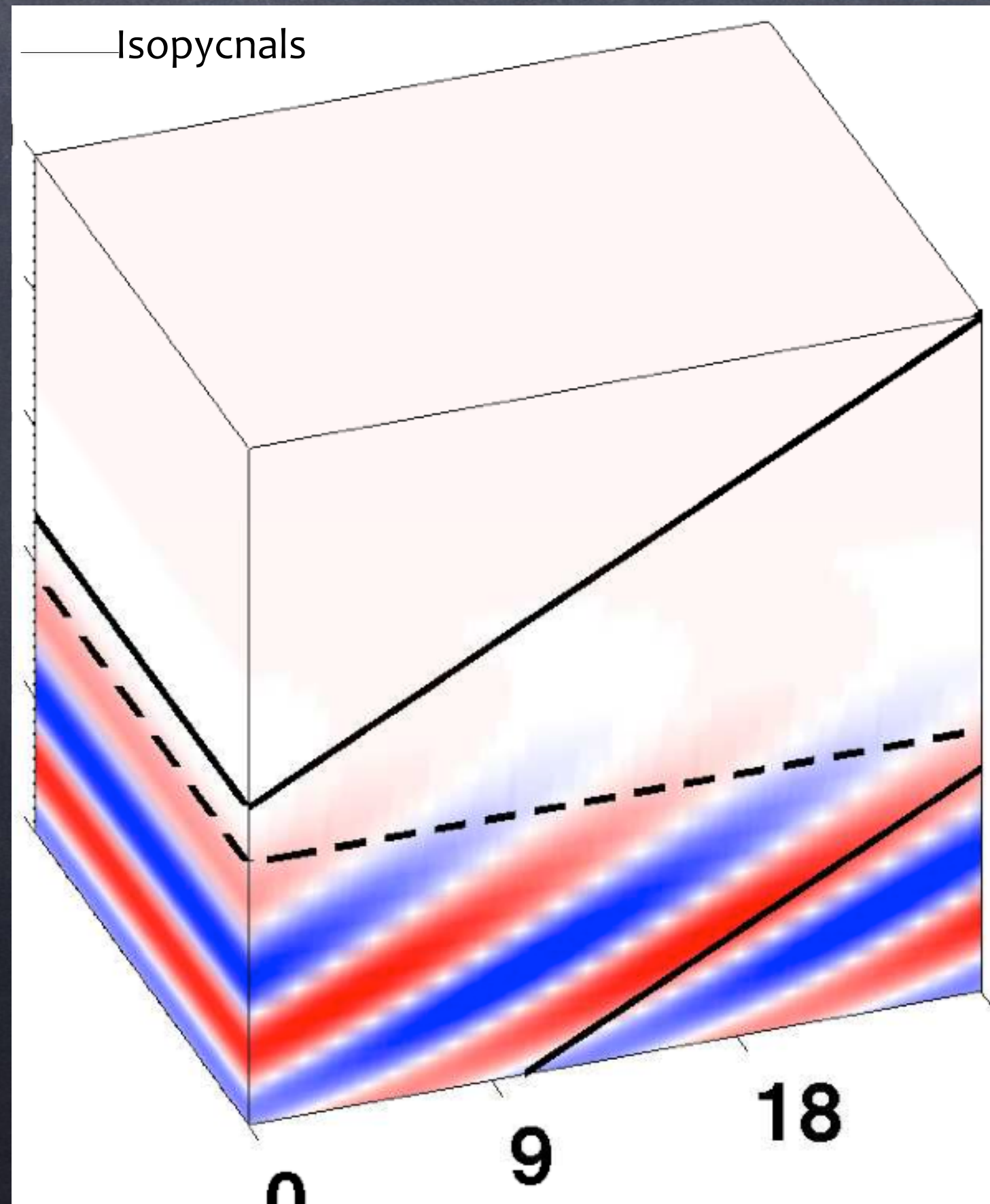
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~~Ri < 1 ⇒ SI~~

Wavy Submesoscale
Instability Different:
Symmetric Instability

★ $fQ < 0 \Rightarrow SI$

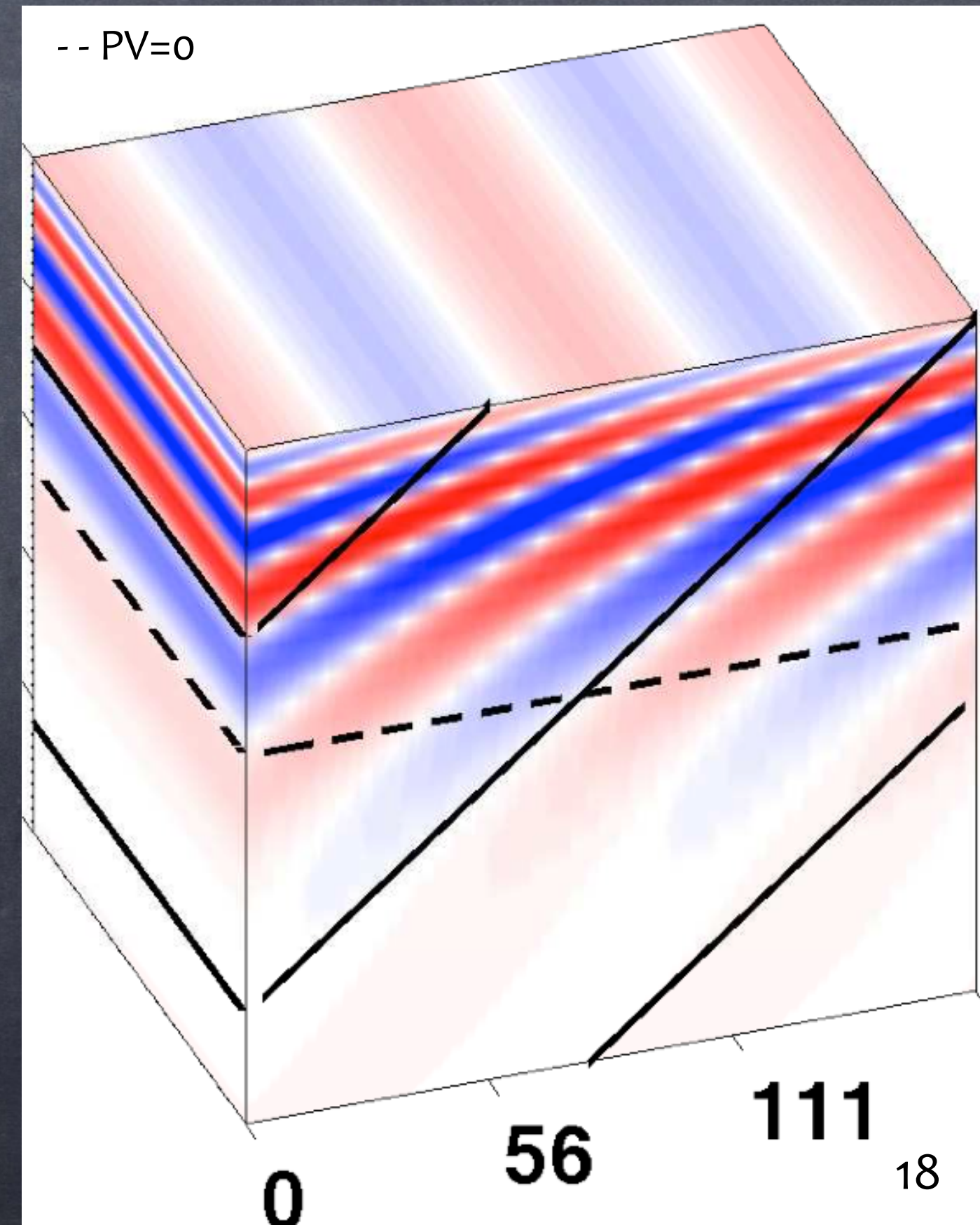
Ri = 0.5
Stokes Forces
Stabilize SI



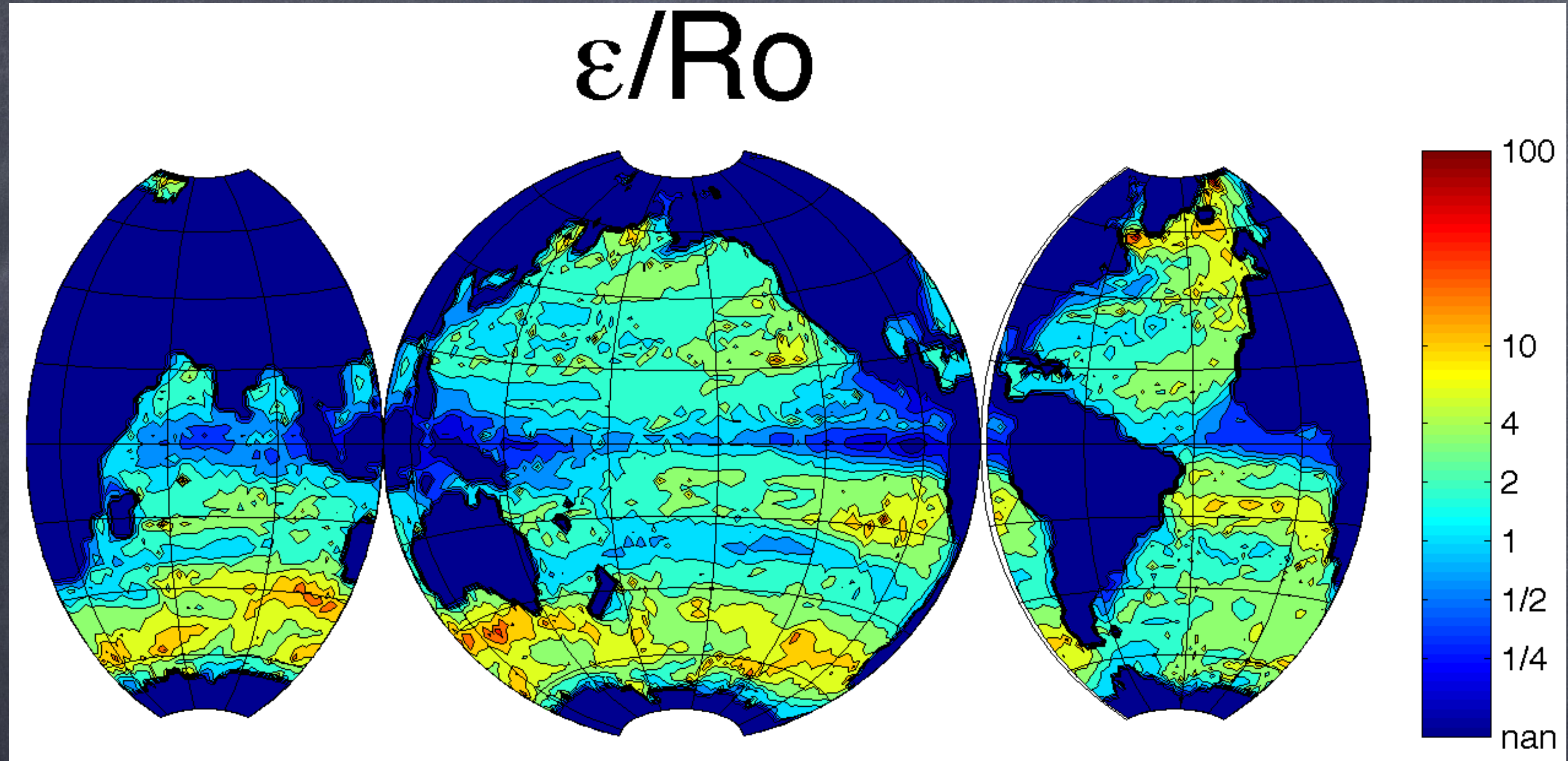
Cross front
velocity for
the fastest
growing
mode

S. Haney, BFK, K. Julien, and A. Webb. Symmetric and geostrophic instabilities in the wave-forced ocean mixed layer. JPO 45:3033-3056, 2015.

Ri = 2
Stokes Forces
Destabilize SI

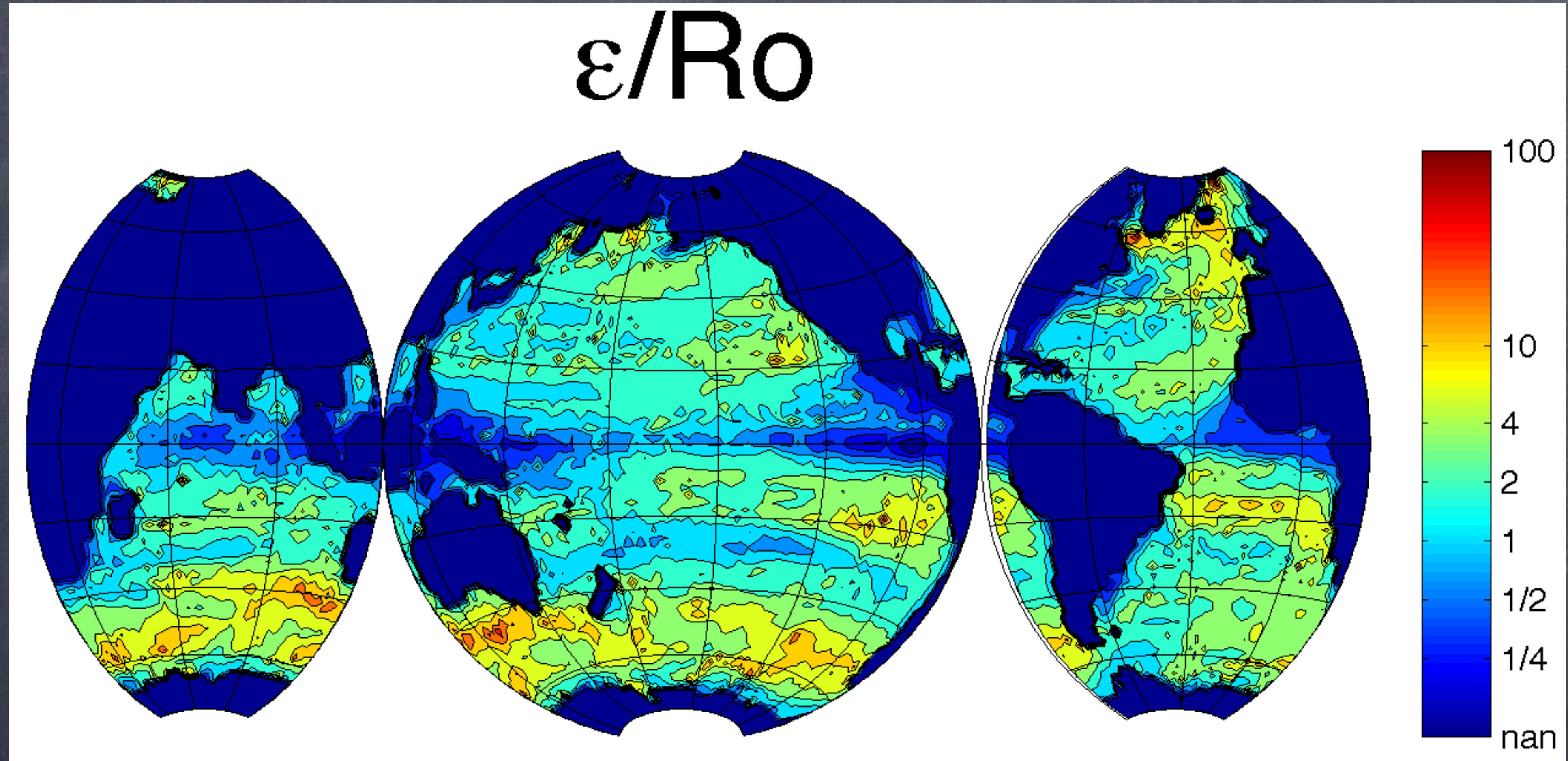


Do Stokes force directly affect larger scales?



$$\varepsilon = \frac{V^s H}{f L H_s} \quad Ro = \frac{U}{f L}$$

Do Stokes force directly affect larger scales?



“wavy hydrostatic” if

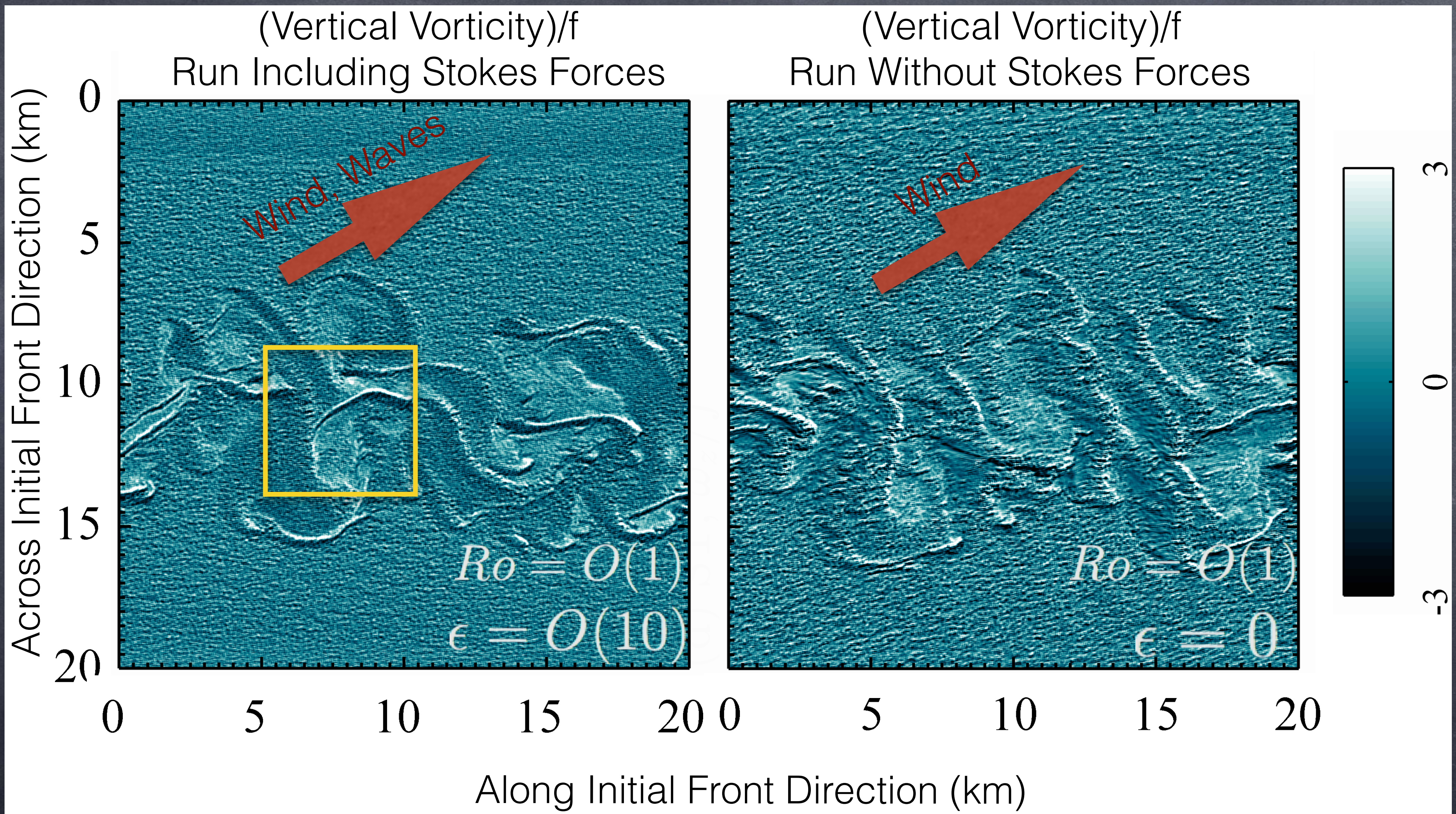
$$\epsilon \gg 1$$

$$\epsilon = \frac{V^s H}{f L H_s}$$

$$Ro = \frac{U}{f L}$$

Are Fronts and Filaments different with Stokes shear force?

$$\frac{\alpha^2}{Ri} \left[w_{,t} + v_j^L w_{,j} + \frac{M_{Ro}}{Ro Ri} w w_{,z} \right] = -\pi_{,z} + b - \varepsilon v_j^L v_{j,z}^s + \frac{\alpha^2}{Re Ri} w_{,jj}$$



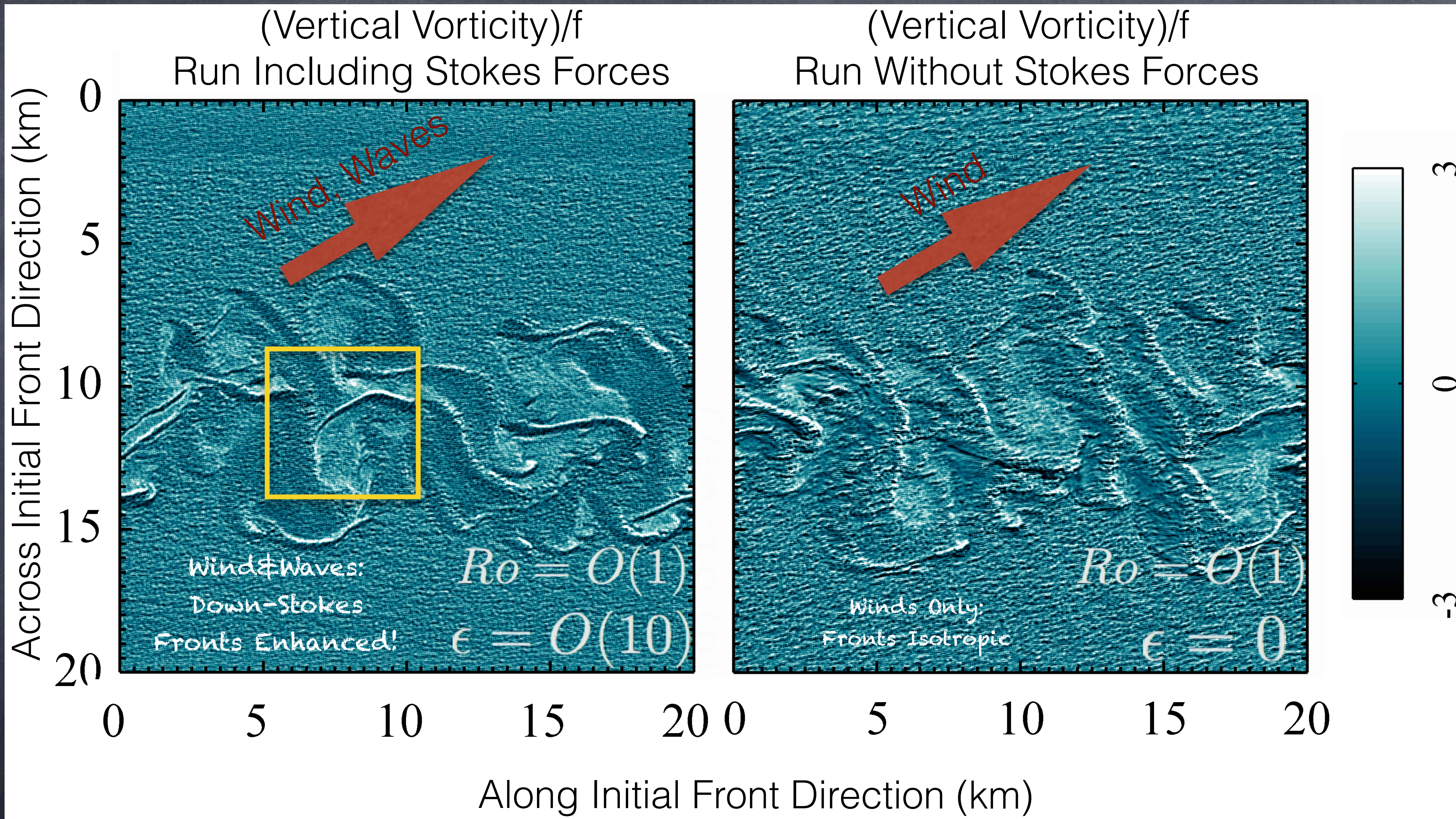
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J. C. McWilliams and BFK. Oceanic wave-balanced surface fronts and filaments. *Journal of Fluid Mechanics*, 730:464-490, 2013.

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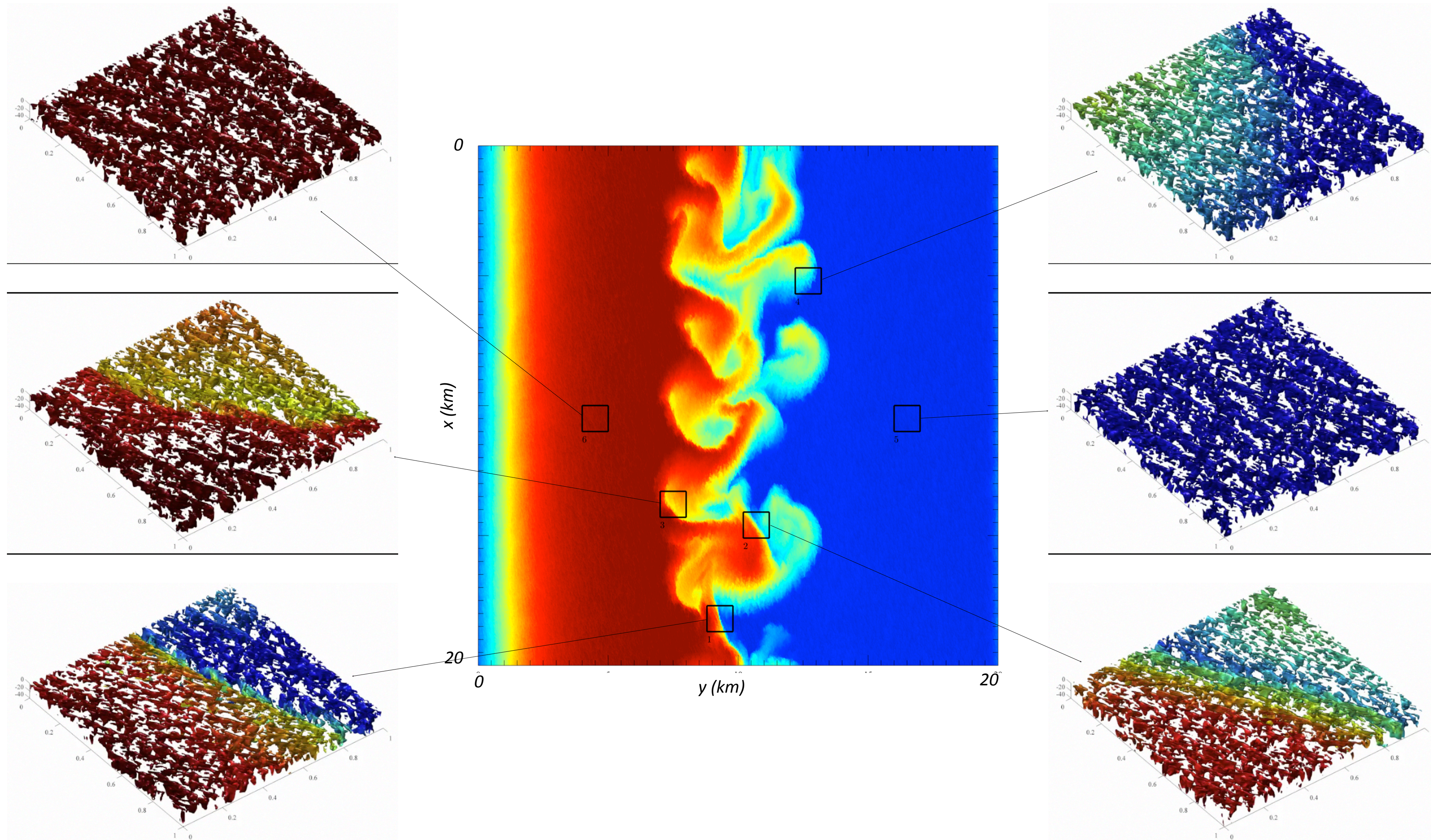


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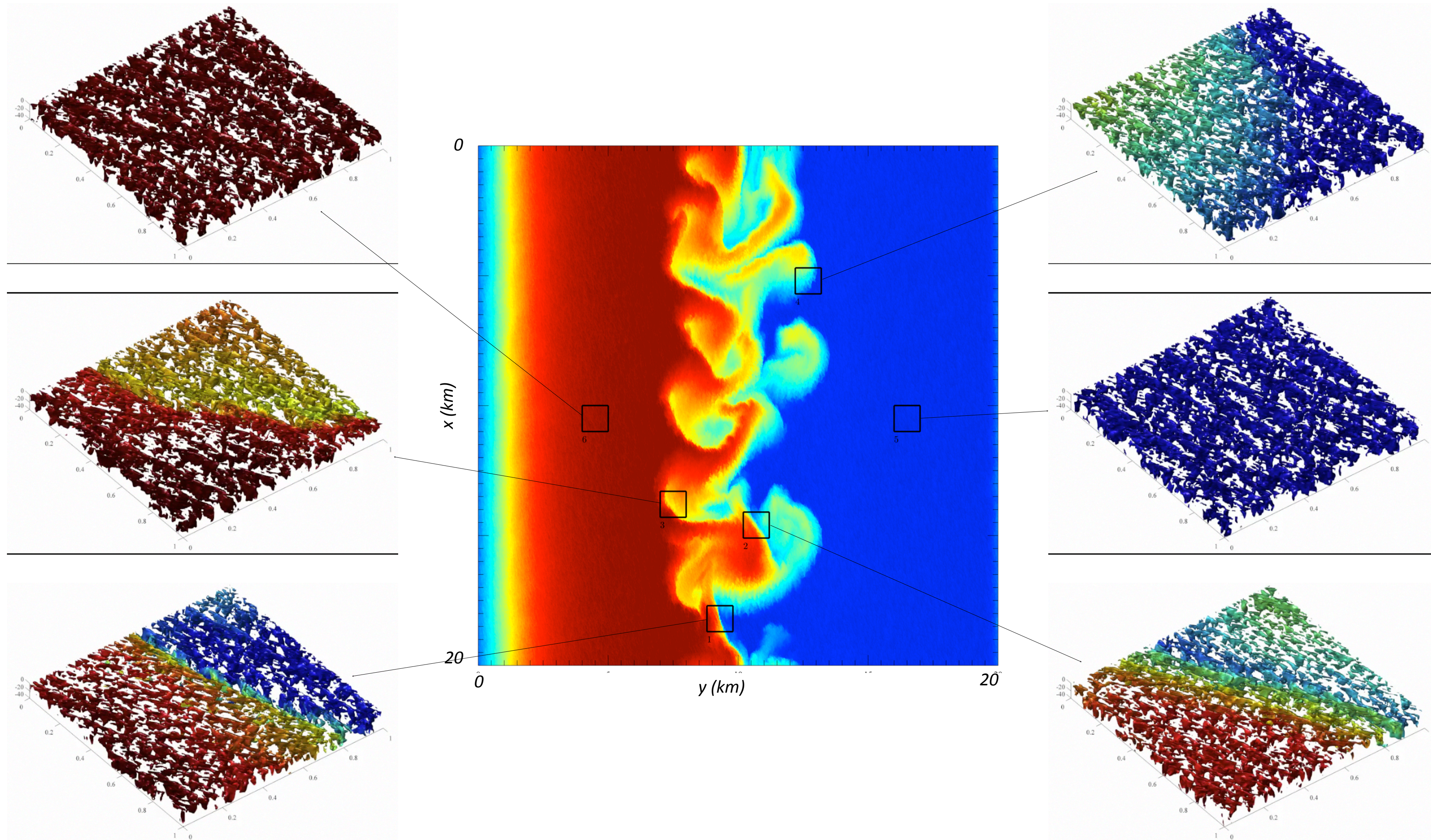
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Diverse types of interaction: Stronger Langmuir (small) Turbulence



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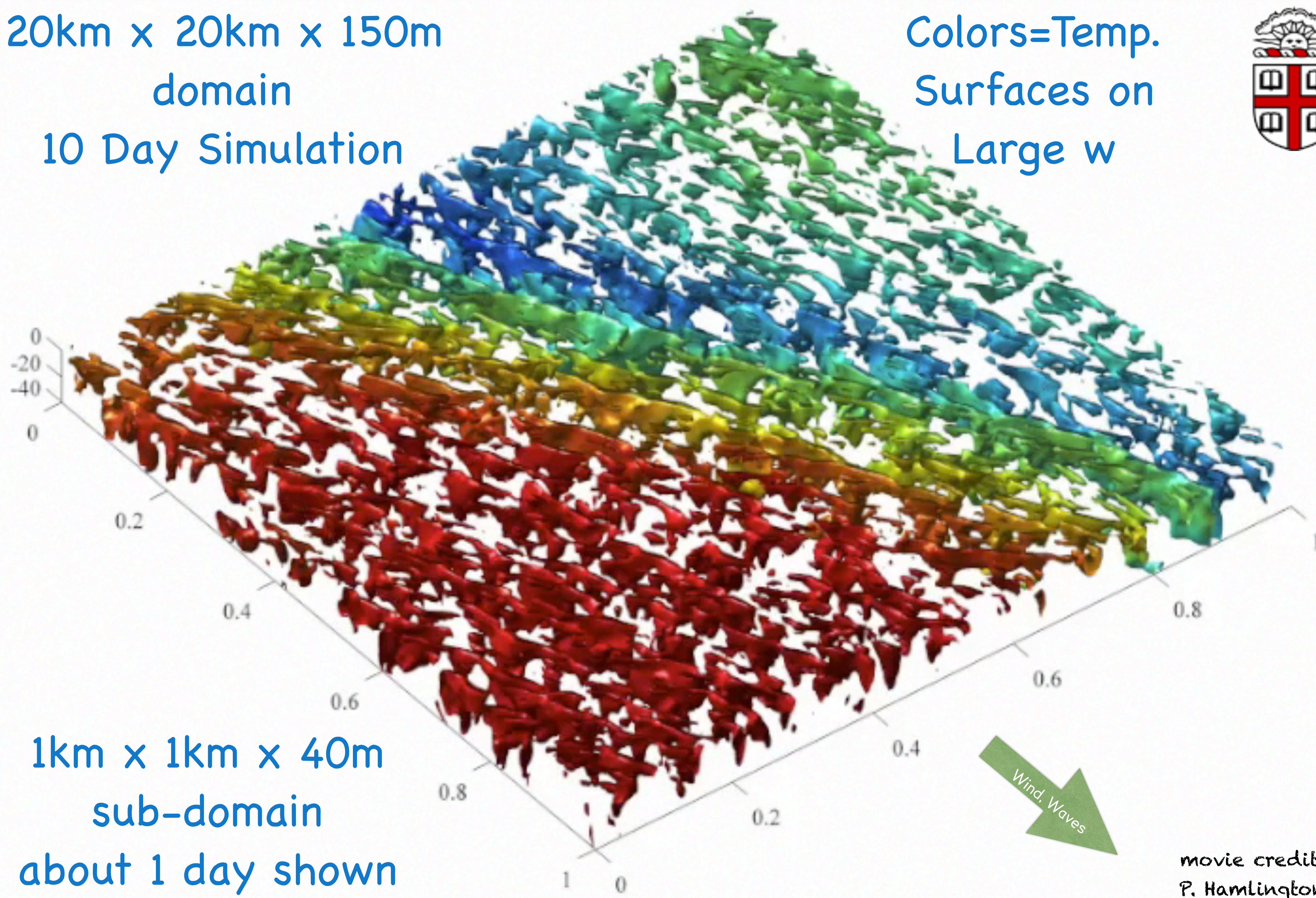
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20km x 20km x 150m
domain
10 Day Simulation

Colors=Temp.
Surfaces on
Large w

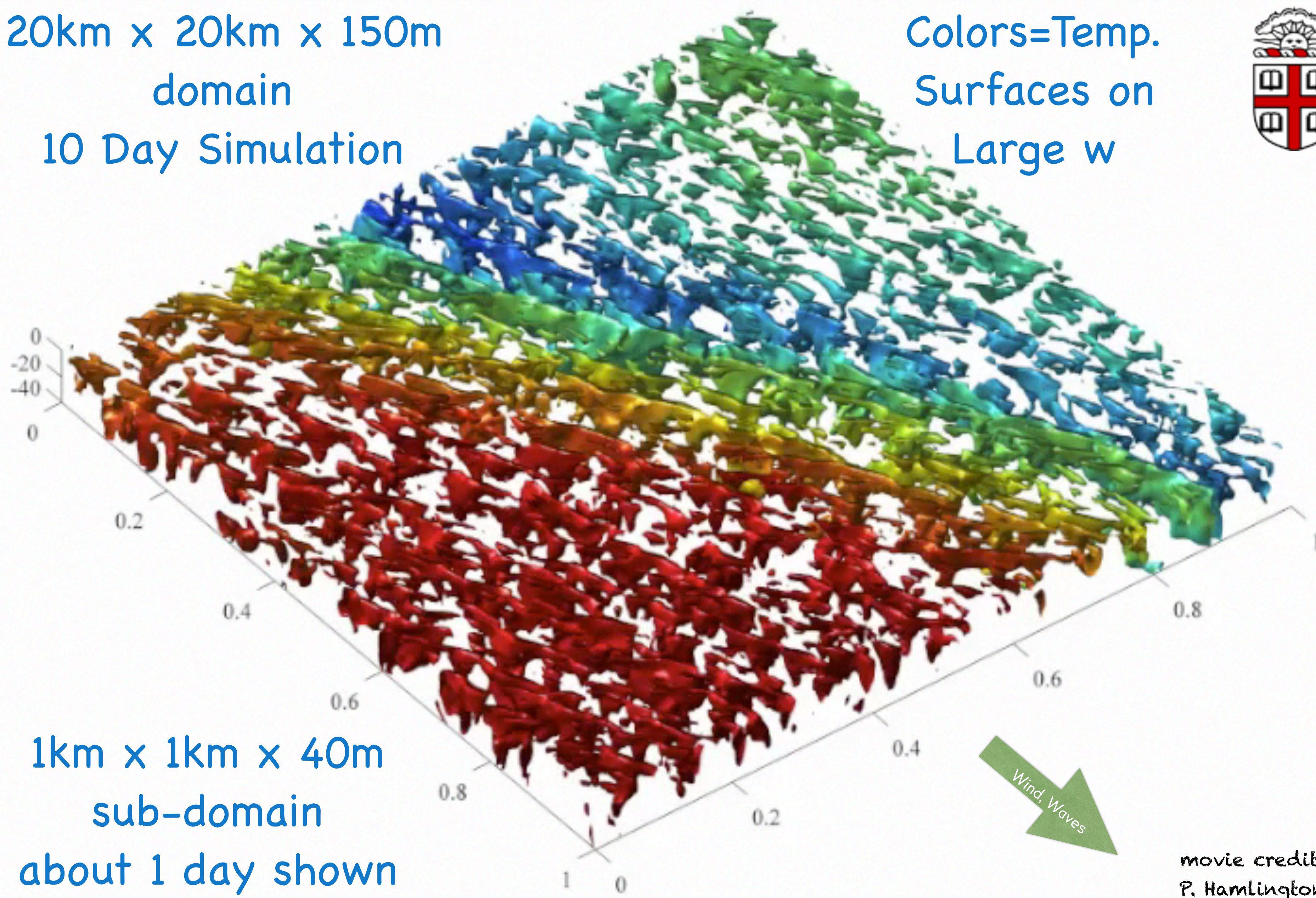


1km x 1km x 40m
sub-domain
about 1 day shown

movie credit:
P. Hamlington

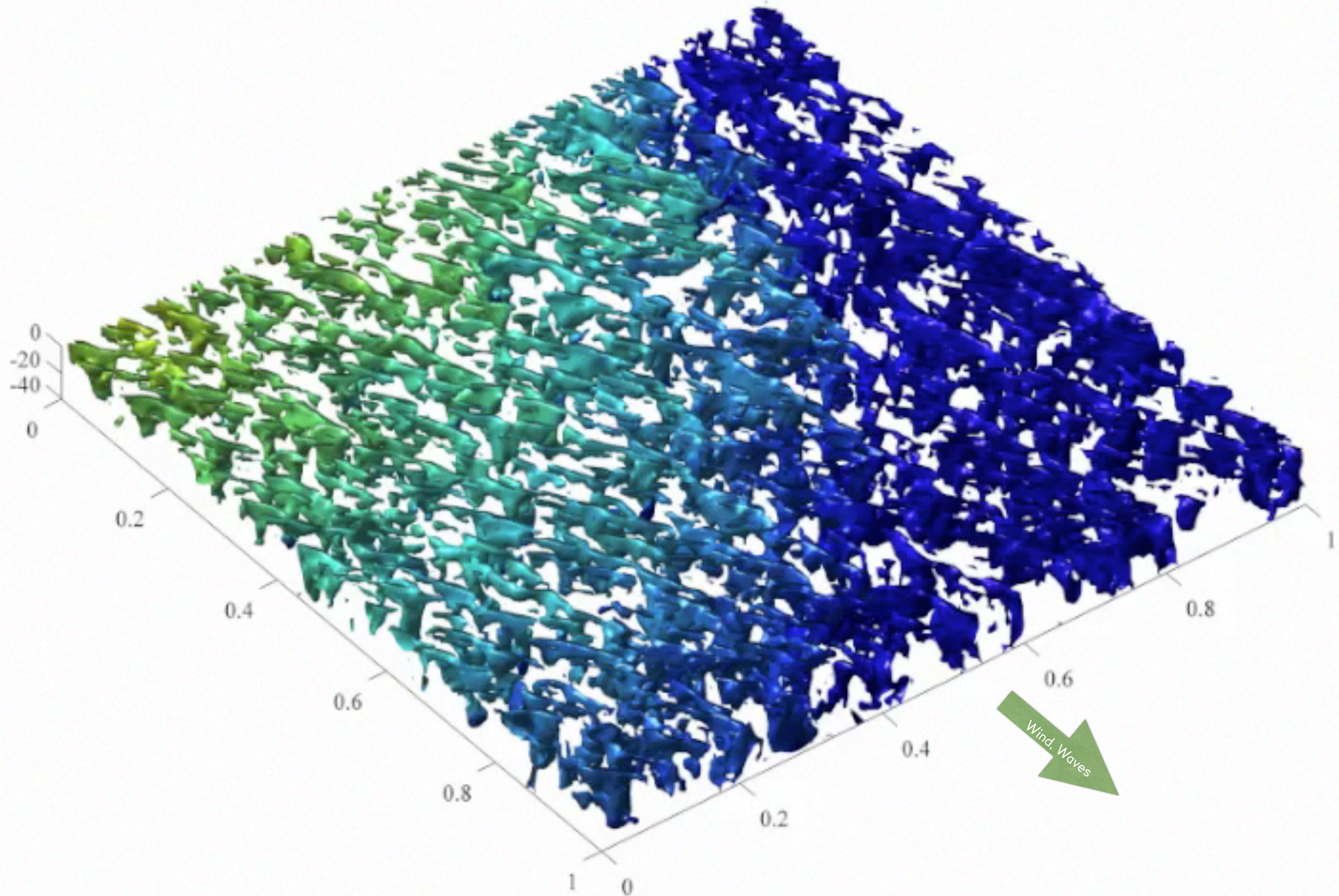
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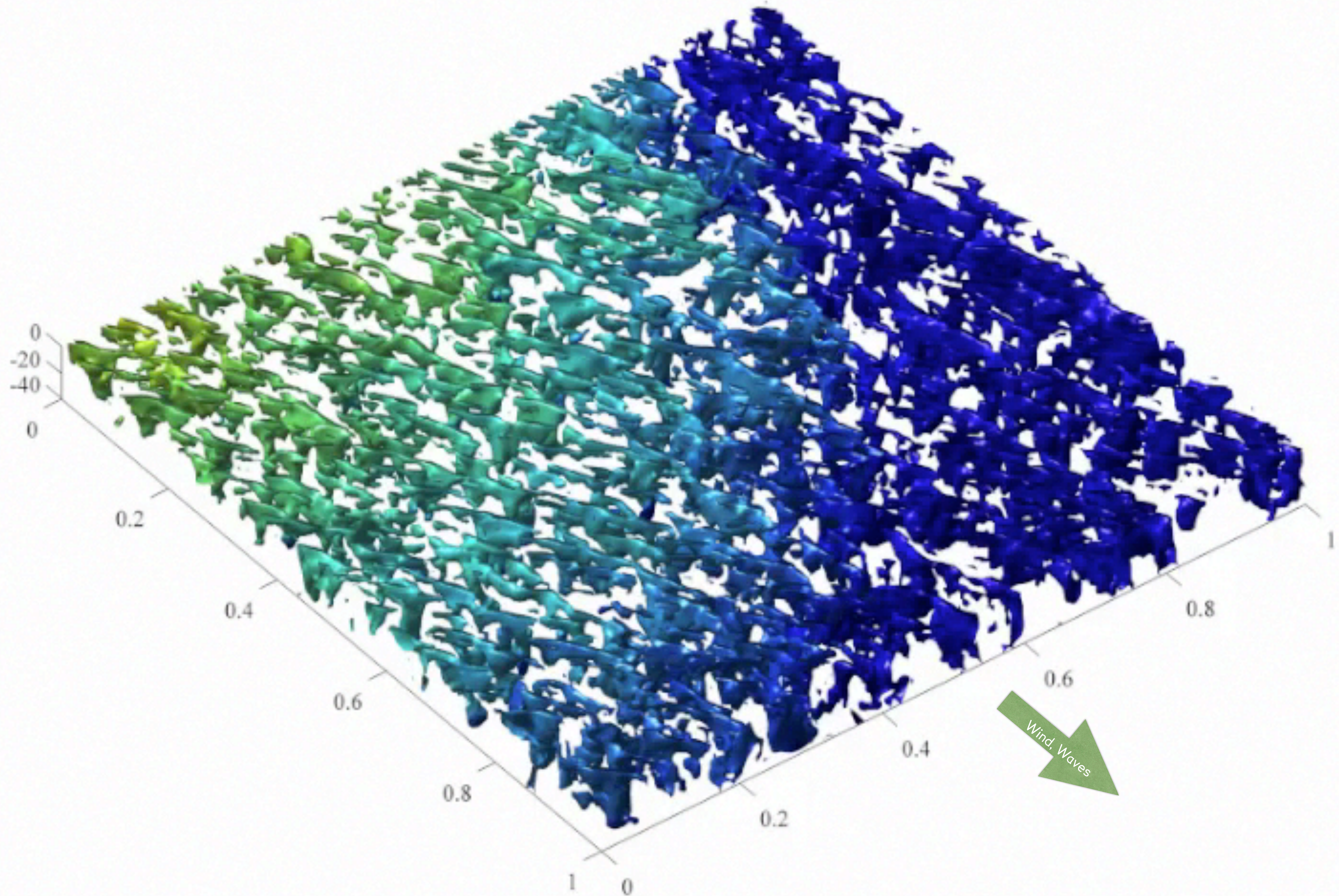


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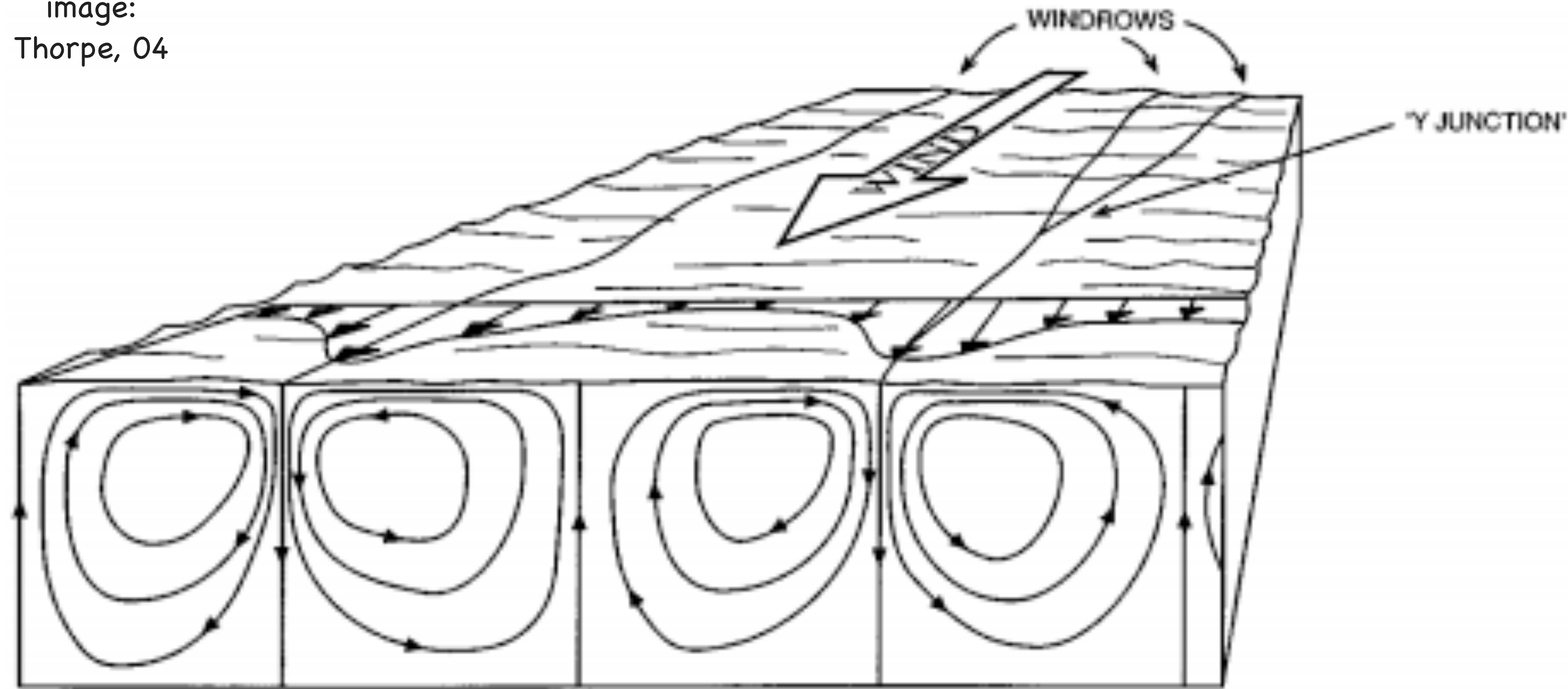
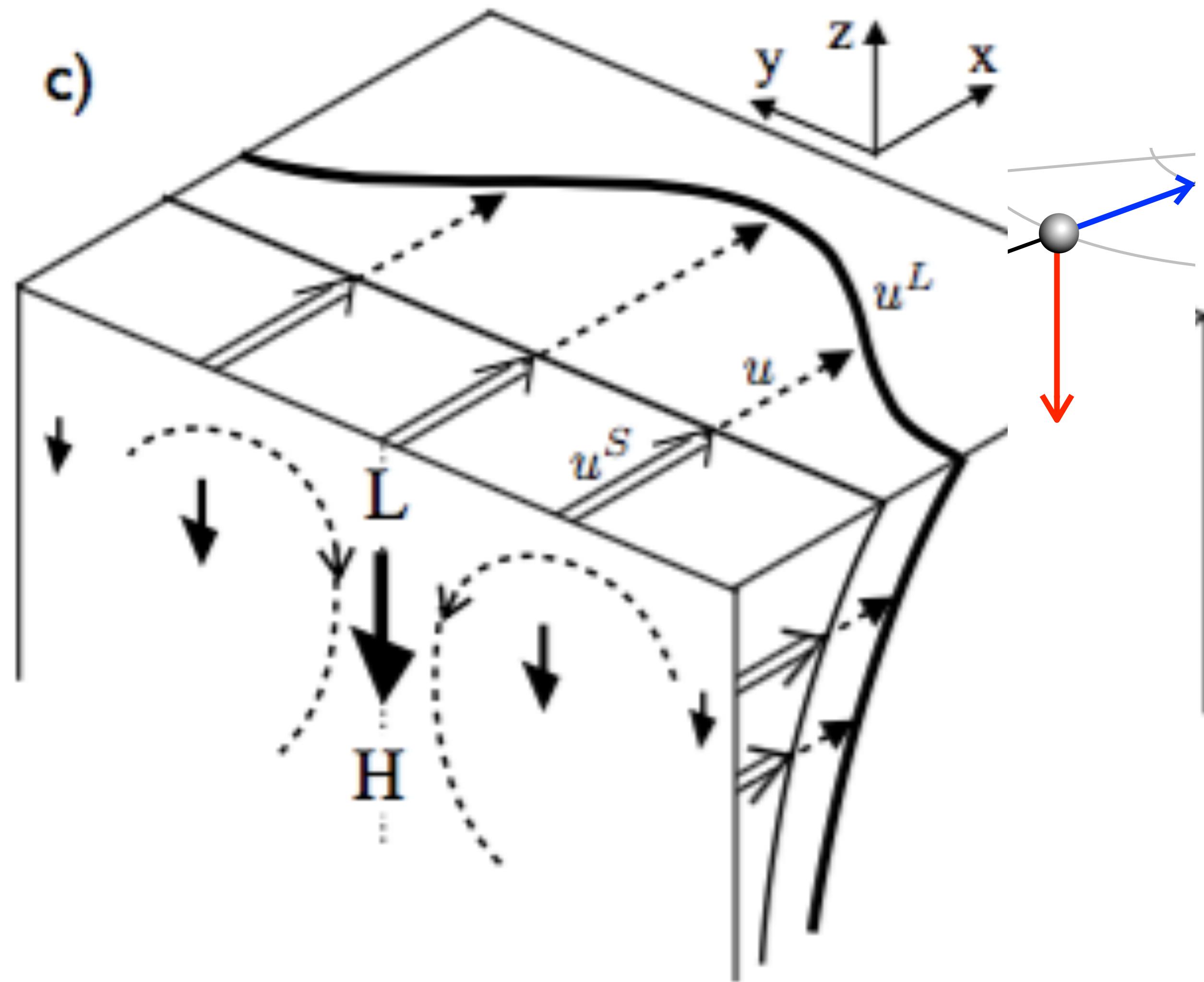


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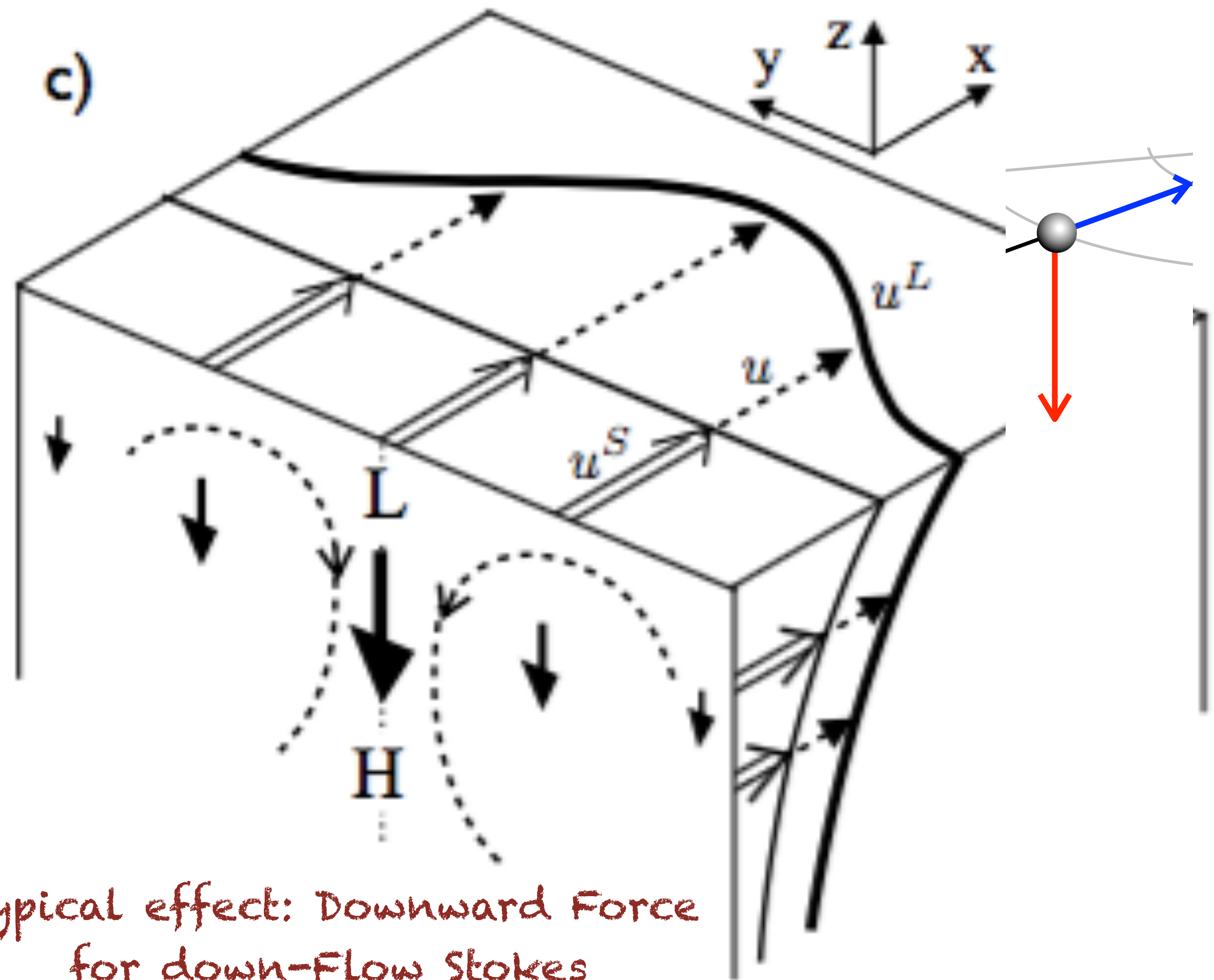
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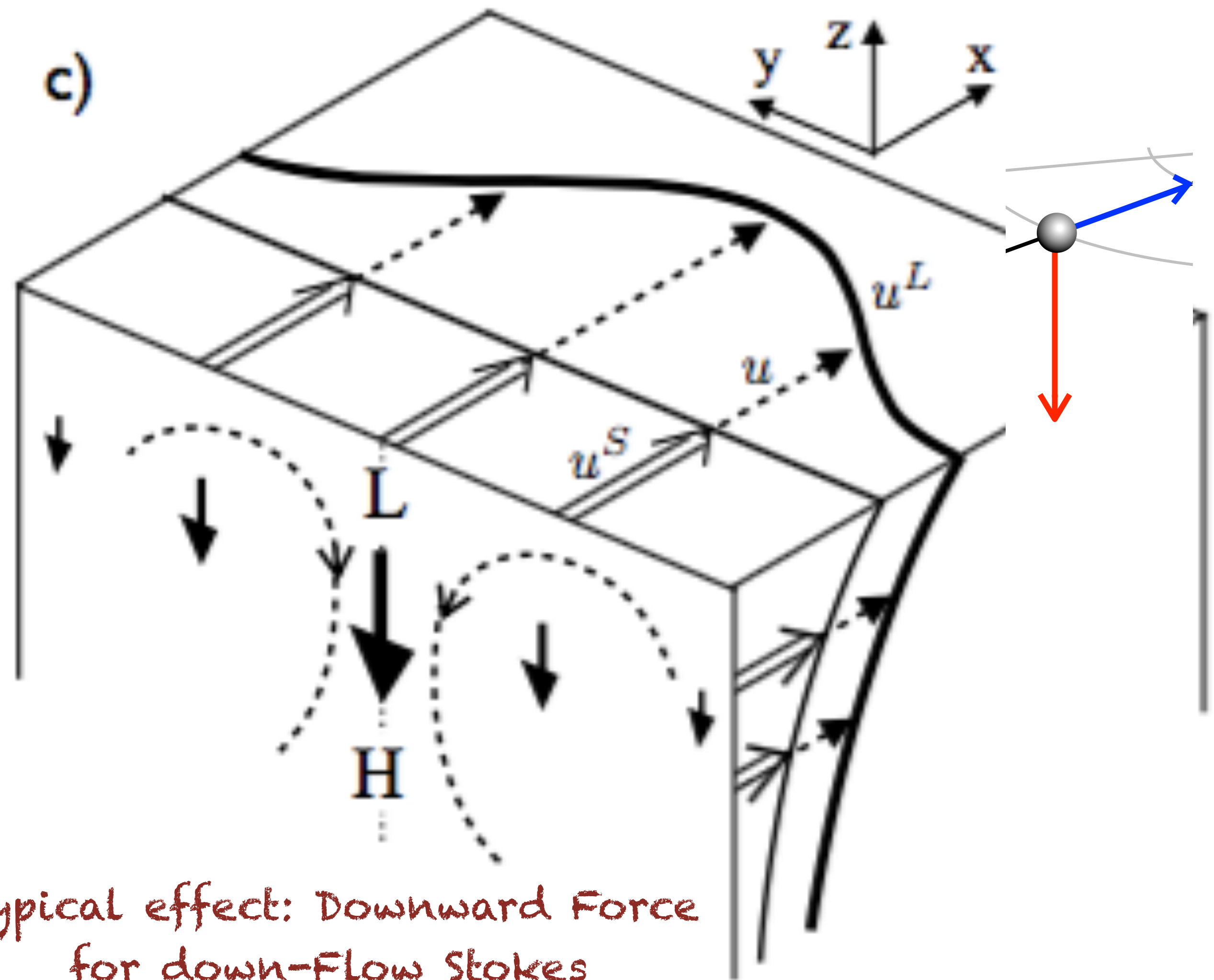


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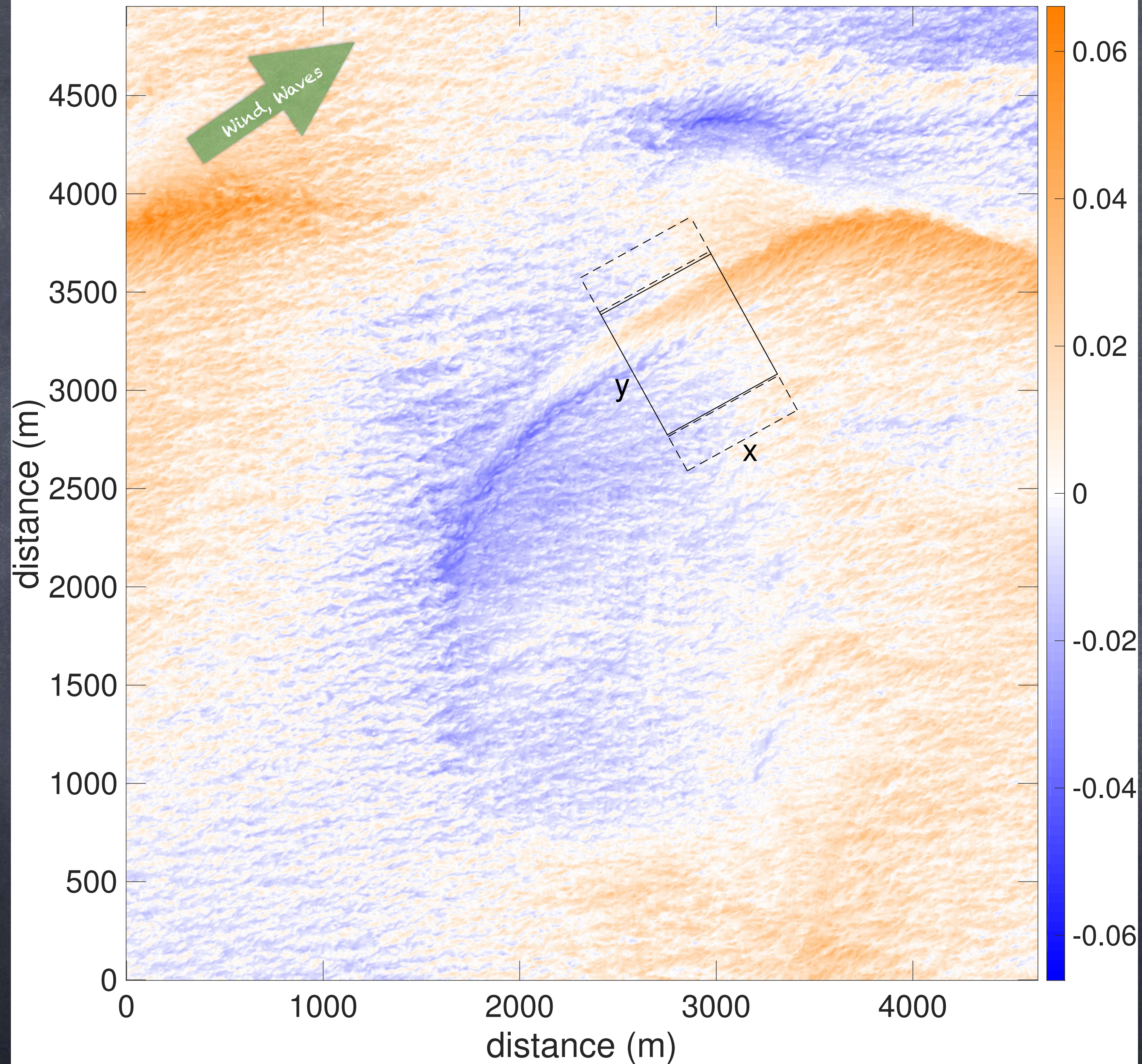


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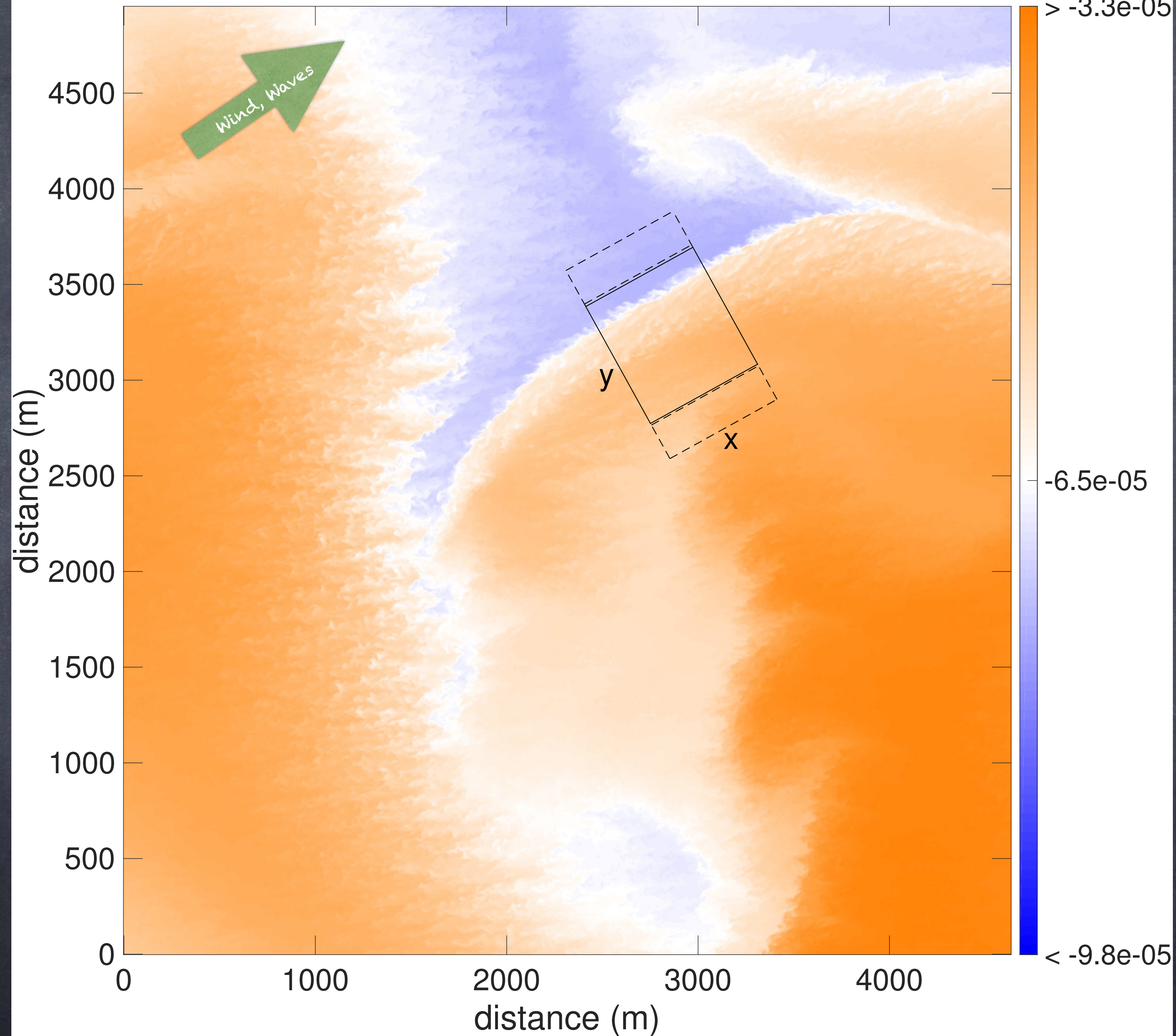
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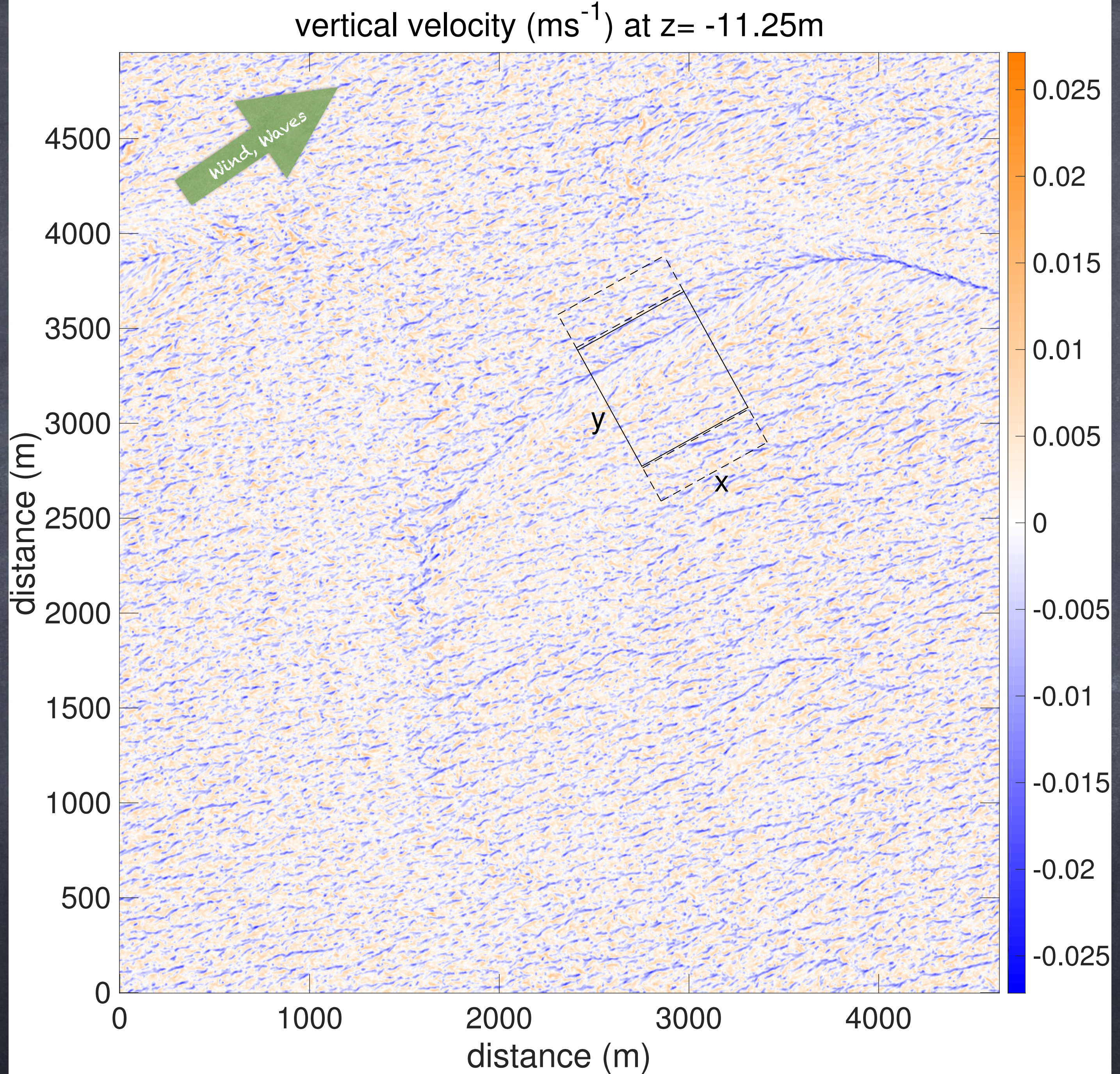
velocity in the x-direction - the horizontal mean (ms^{-1}) at $z = -11.25\text{m}$



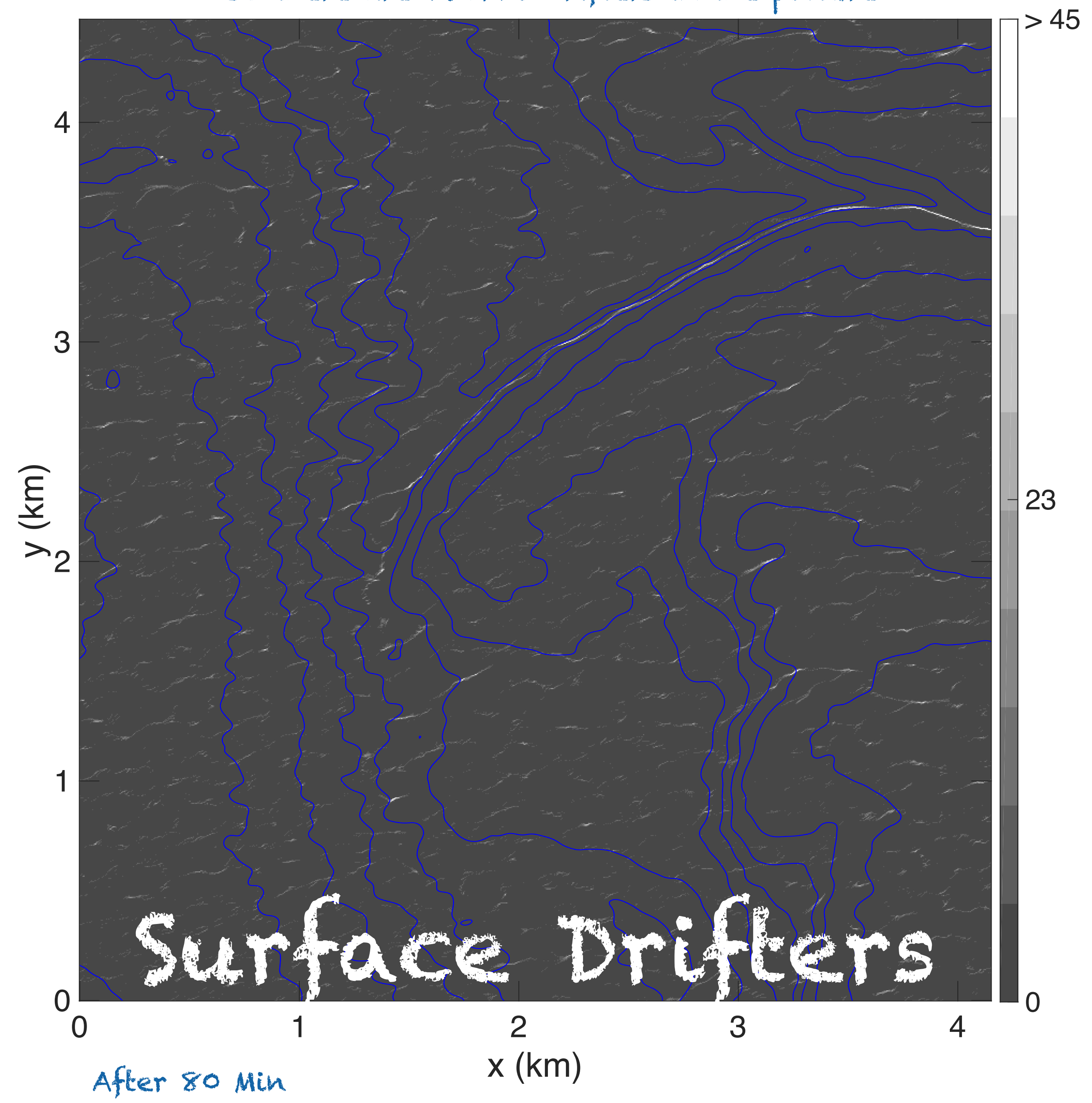
buoyancy - the horizontal mean (ms^{-2}) at $z = -11.25\text{m}$



W

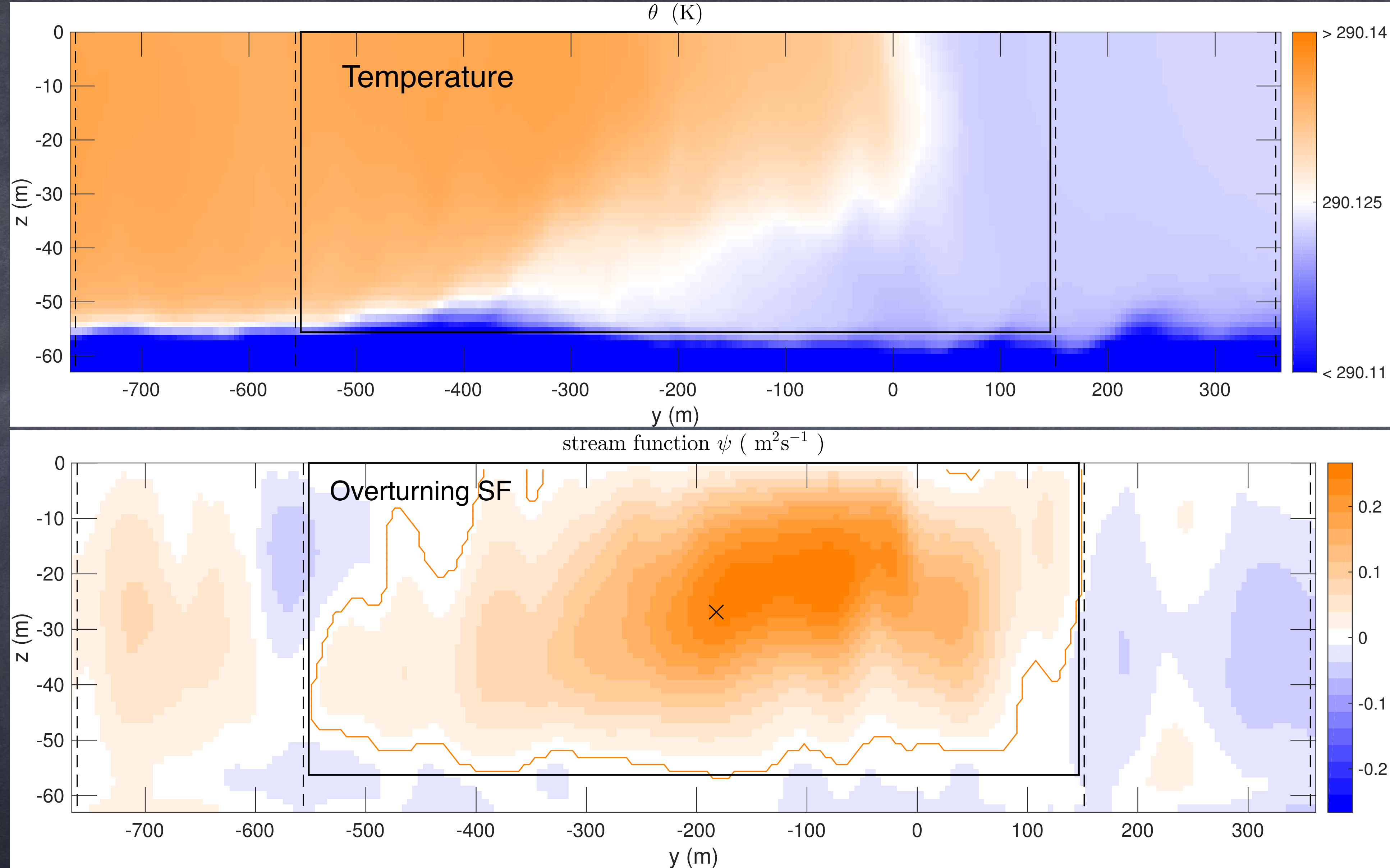


Initially every surface node has 1 drifter, so there are 851796 drifters in the picture



After 80 Min

Surface Drifters



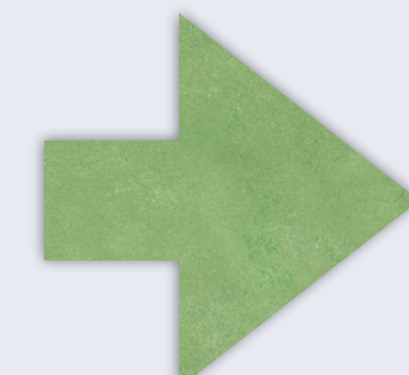
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Do (wavy hydrostatic) Stokes Forces Matter?

Yes! At Leading Order (in LES)

Table 3. Integrated Budget for Overturning Vorticity^a

Responsible Force	Relative Value
<i>Relative Tendency of Overturning Circulation along the Cell Boundary</i>	
Net tendency	11 ± 8%
Sources	
Buoyancy anomaly	100%
Stokes shear force anomaly	44 ± 4%
Interaction with v^H	44 ± 8%
Frontal anomaly in pressure gradient	6 ± 9%
Nonlinear interaction with v^B :	2 ± 1%
Sinks	
Frontal turbulence anomaly (mostly, imbalance in wavy Ekman relation)	-82 ± 11%
Coriolis on along-front jet	-66 ± 2%
Lagrangian advection of (v^ψ, w^ψ)	-36 ± 7%

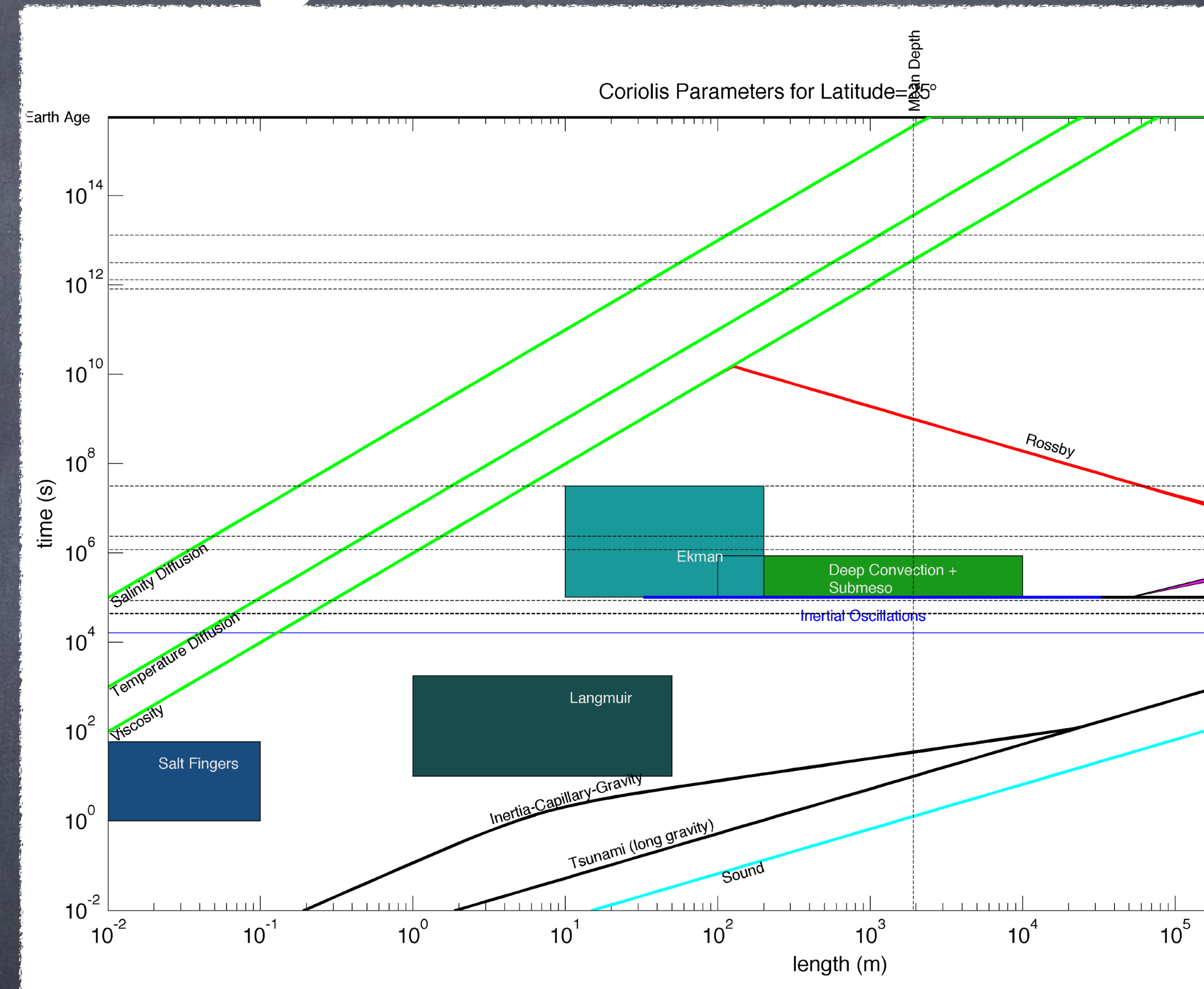


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For Today

- Vast & Diverse
 - Turbulence
 - Waves
- Breakpoints at the Grid Scale
- Mesoscale, Submesoscale, Boundary Layer
- Navier-Stokes
- Boussinesq
 - Hydrostatic or Not?
- Quasi-Geostrophic?
- Wave-Averaged Equations for Boundary Layer AND Submesoscale!



4 Review/Comment Papers: "Notions for the Motions of the Oceans", "Ocean near-surface layers", "Challenges and prospects in ocean circulation modeling", "The small scales of the ocean may hold the key to surprises"