# Direct Observation of Dynamics in Transcription at the Single Molecule Level 

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## The central dogma of molecular biology



Regulation of transcription is the most common form of genetic control

## Transcription is a complex process



RNA polymerase (RNAP) carries out transcription


## Levitated experiment avoids noise and drift



Massively Parallet Serial Enzymology!
(but very precise ...)

## Transcription assay in an optical trap



## RNAP motion in the optical trap



60x speed
$1 \mathrm{sec}=1 \mathrm{~min}$


## RNAP advances in single bp steps (3.4 Å)



## Automated analysis finds same step size



## Using single proteins to sequence DNA



## Force as a control variable



$$
\begin{aligned}
& k(F)=k(F=0) e^{F \delta / k_{B} T} \\
& v(F)=\frac{v_{\max }}{1+\exp \left[-\frac{\left(F-F_{1 / 2)} \delta\right.}{k_{B} T}\right]}
\end{aligned}
$$

Use force as a control variable like temperature or substrate concentration etc...

Force dependent reactions involve physical motion and are slowed due to the energy required to move against the force.


## Three competing models of elongation

a


## Phosphate-release power stroke

$$
\begin{aligned}
& k_{p}(F)=k_{p} e^{F \delta / k_{B} T} \\
& v(F,[N T P])=\frac{k_{p} k_{+}}{k_{+} e^{-F \delta / k_{B} T}+\left(k_{-} e^{-F \delta / k_{B} T}+k_{p}\right)\left(1+K_{D} /[N T P]\right)}
\end{aligned}
$$



$$
\begin{gathered}
v_{\max }=\frac{k_{+}[N T P]}{K_{D}+[N T P]} \\
F_{1 / 2}=\frac{k_{B} T}{\delta} \ln \left(\frac{v_{\max }+k_{-}}{k_{p}}\right)
\end{gathered}
$$

## Two brownian ratchet models

$$
\begin{aligned}
& K_{\delta}(F)=K_{\delta} e^{-F \delta / k_{B} T} \\
& v_{\max }=\frac{k_{p}[N T P]}{K_{D}+[N T P]} \quad F_{1 / 2}=\frac{k_{B} T}{\delta} \ln \left(\frac{K_{D} K_{\delta}}{K_{D}+[N T P]}\right)
\end{aligned}
$$



$$
F_{1 / 2}=\frac{k_{B} T}{\delta} \ln \left(\frac{K_{D} K_{\delta}+A K_{\delta}[N T P]}{K_{D}+[N T P]}\right)
$$

We remove backwards motion (a separate pathway)


## Force data rules out Power Stroke model

Power Stroke Model Brownian Ratchet
Brownian Ratchet with secondary NTP binding site








## Pauses occur on many time scales




Pausing occurs on many timescales and is the main method of regulation during elongation.

Pausing:
1.allows for the recruitment of factors (DNA repair etc.)
2.serves as a precursor for termination and arrest
3.used during proofreading
4.couples transcription to translation in prokaryotes
5.couples transcription to splicing and polyadenylation in eukaryotes
6.transcription factors can modulate pausing to control the overall rate of RNA synthesis

## Two main mechanisms of pausing

## Backtracking Pause



Formed by weak DNA:RNA hybrid (?) Used to allow factor recruitment Example: ops pause in E coli -- Backtracking leads to binding of RfaH factor that suppresses early termination

Hairpin Pause


Secondary structure in RNA strains RNAP causing a pause (not clear?)
Found in leader region of operons in bacteria to synchronize RNAP with ribosomes during attenuation. Modification of secondary structure by factors can regulate this Example: his pause near beginning of histidine operon in $E$ coli

## A repeating pause sequence





Repeat pause can be used as fiducial references to study sequence dependance.

Aligned single molecule data shows many sequence dependent pauses and agrees with bulk data




## Sequence similarities between the pauses

|  |  | Translocation state (bp) |
| :---: | :---: | :---: |
| $\underset{\text { (bits) }}{\text { Information }} 1-\square \square$ |  |  |
| Consensus sequence | CGTGTAGCTGCGCT |  |
|  | $\begin{array}{rr} 1 \\ \hline-10 & -1 \\ \hline \end{array}$ |  |
| a | CGGGTAGATCCGCC | $0.70 \pm 0.50$ |
| $b$ | GGTGAAACCGCAWC | $-0.25 \pm 0.50$ |
| C | GGTAAAGTGTA CGT | $-0.20 \pm 0.50$ |
| $d$ | CGTATCACTGCGCG | $0.40 \pm 0.50$ |
| ops1 | CGGTAGTCTGT ${ }^{\text {G/CG }}$ | $0.75 \pm 0.25$ |
| ops2 | GTAGTCTGTGEGCT | $-1.25 \pm 0.25$ |
| his | CGATGTGTGCTGGA | $0.00 \pm 0.25$ |

No large displacement forward or backward No pre or post translocation

## Pause density varies greatly over the template



## All 6 pauses exhibit the same corrected lifetime






| D | Pausing <br> kinetics |  |  |
| :--- | :---: | :---: | :---: |
|  | $\varepsilon$ | $\tau *(\mathrm{~s})$ | $\tau(\mathrm{s})$ |
| $a$ | $55 \pm 3 \%$ | $2.5 \pm 0.2$ | $1.1 \pm 0.1$ |
| $b$ | $29 \pm 3 \%$ | $1.7 \pm 0.1$ | $1.2 \pm 0.1$ |
| $c$ | $30 \pm 4 \%$ | $1.3 \pm 0.2$ | $0.9 \pm 0.1$ |
| $d$ | $74 \pm 3 \%$ | $6.4 \pm 0.4$ | $1.8 \pm 0.2$ |
| ops | $82 \pm 4 \%$ | $4.2 \pm 0.4$ | $0.8 \pm 0.2$ |
| his | $76 \pm 4 \%$ | $4.6 \pm 0.4$ | $1.1 \pm 0.2$ |

$$
\tau^{*}=\frac{\tau}{1-\epsilon}
$$

Efficiency not $100 \%$-> Pausing must be off pathway!
When corrected for the efficiency, all six pauses have the same lifetime
They may all be the same off-pathway intermediate that leads to the regulatory pauses?


## Backtracking pauses

The ops pause data I just showed had no backtracking. Why?

-Error rates in vitro :

$$
10^{-3}-10^{-4}
$$



- Error rates in vivo :

$$
10^{-5}-10^{-6}
$$

## Consensus model of RNAP proofreading

## Average behavior shows backtracking and recovery




## Backtracking is force dependent



At $>7 \mathrm{pN}$, backtracking would have been hard to see in Herbert et al.'s experiments.

## ITP increases pause number and duration



Guanine






## Cleavage reduces the duration of long pauses



Opalka et al., Cell (2003)


## Cleavage removes inosine from the transcript




## Eukaryotic RNAP II acts the same way



## TFIIS is necessary to sustain high force



Max force doubles with TFIIS

Backtracking limits force in Pol II

## The RNA's role in pausing and termination



## Models of intrinsic hairpin termination

## U-rich ‘slippery’ sequence:

leads to RNAP pausing and/or forms an unstable RNA:DNA hybrid

## Forward Translocation Model

hairpin drives RNAP downstream without transcript elongation
RNA stays "in register" with DNA


Allosteric Model
hairpin induces a conformational rearrangement of RNAP

## With force you can probe different parts of the system



You can:

1. determine the stability of the RNA:DNA hybrid
2. bias formation of secondary structure in the RNA
3. probe steps that involve enzyme motion along DNA or RNA

## Pulling on the DNA tests translocation of the enzyme



## Elongation followed by termination



## TE independent of force between DNA and RNAP




No forward translocation!

## Pulling on the RNA

encodes for his U-tract


## Termination occurs at the U-tract, is force dependent




$$
\begin{aligned}
T E(F)= & \frac{1}{1+e^{\left(E_{\text {shear }}-F \delta\right) / k_{B} T}} \\
& \delta=0.6 \mathrm{~nm}=1 \mathrm{bp}
\end{aligned}
$$




## We find the same behavior in all 3 terminators




For all three the shear distance is the same, 1 bp
But, the shear energy barrier is different, related to the sequence ...

## Pulling on the hairpin





$$
\delta=1.4 \mathrm{~nm} \sim 2 \mathrm{bp}
$$

Unzipping the hairpin lowers the TE.
For these hairpins the last two bases are important for holding it together


Hairpin zipping pulls the RNA out of the enzyme and causes termination...

## Simple model predicts TE and effect of load

$$
\Delta E_{\text {total }}=\left[E_{\text {shear }}-F \delta_{\text {shear }}\right]-\left[E_{\text {hairpin }}-F \delta_{\text {hairpin }}\right]
$$



| $\delta_{\text {shear }}$ | $0.6 \mathrm{~nm} \leftrightarrow 1 \mathrm{nt}$ |
| :--- | :--- |
| $E_{\text {shear }}($ his $)$ | $2.8 \mathrm{kT}(1.7 \mathrm{kcal} / \mathrm{mol})$ |
| $E_{\text {shear }}(\mathrm{t} 500)$ | $3.7 \mathrm{kT}(2.2 \mathrm{kcal} / \mathrm{mol})$ |
| $E_{\text {shear }}(\lambda \mathrm{tR} 2)$ | $4.6 \mathrm{kT}(2.8 \mathrm{kcal} / \mathrm{mol})$ |
| $\delta_{\text {hairpin }}$ | $1.4 \mathrm{~nm} \leftrightarrow 2 \mathrm{nt}$ |
| $E_{\text {hairpin }}$ | $6.5-5.5 \mathrm{kT}$ |



